



Course IIMSc, Chennai, India

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# Combinatorial theory of orthogonal polynomials and continued fractions

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# Chapter 6 q-analogues

Ch6b

IMSc, Chennai  
March 11, 2019

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reminding Ch 6a

## $q$ -analogue

$$[i]_q = 1 + q + \dots + q^{i-1} = \frac{1 - q^i}{1 - q}$$

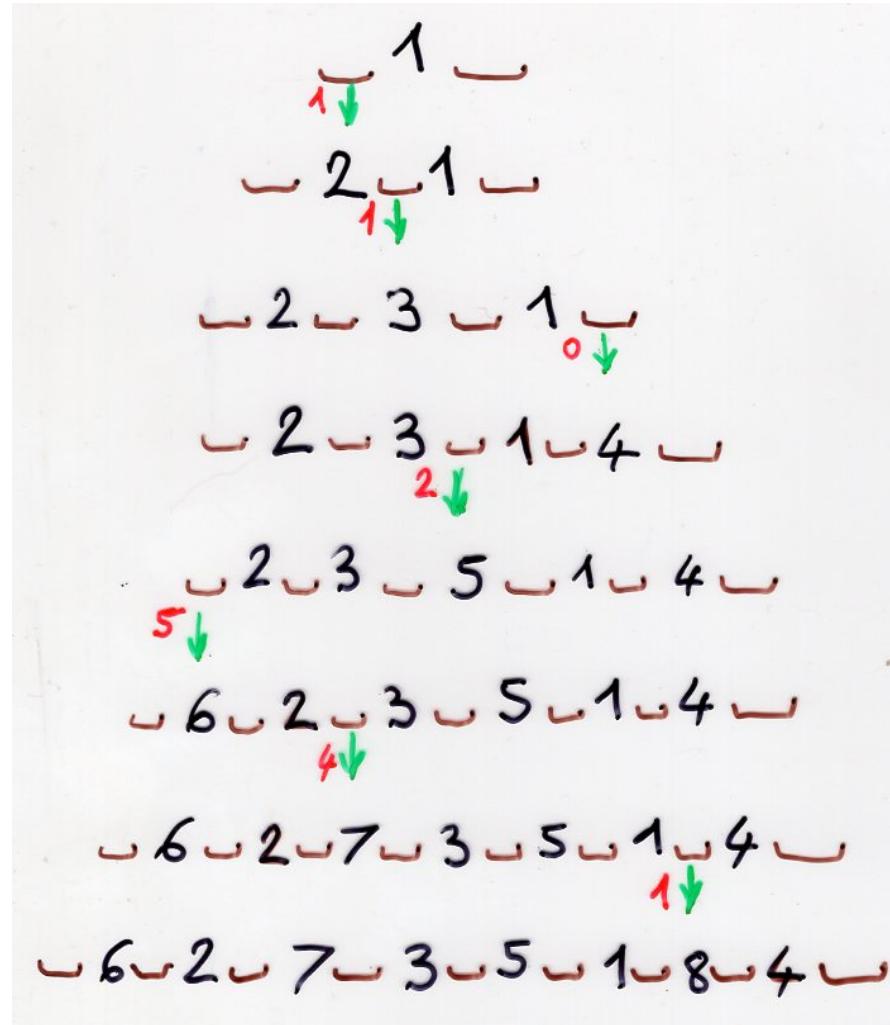
$$[n!]_q = [1]_q \times [2]_q \times \dots \times [n]_q$$

$$= \frac{(1-q)(1-q^2) \dots (1-q^n)}{(1-q)^n}$$

$$[n!]_q = \sum_{\sigma \in S_n} q^{\text{inv}(\sigma)}$$

**Inv**

number  
of inversions



**Maj**

Major  
index

$$\sigma = 6 \ 2 \ 7 \ 3 \ 5 \ 1 \ 8 \ 4$$

## $q$ -Hermite I (continuous)

$$\lambda_k = [k]_q$$

## $q$ -Hermite II discrete

$$\lambda_k = q^{k-1} [k]_q$$

"continuous version"

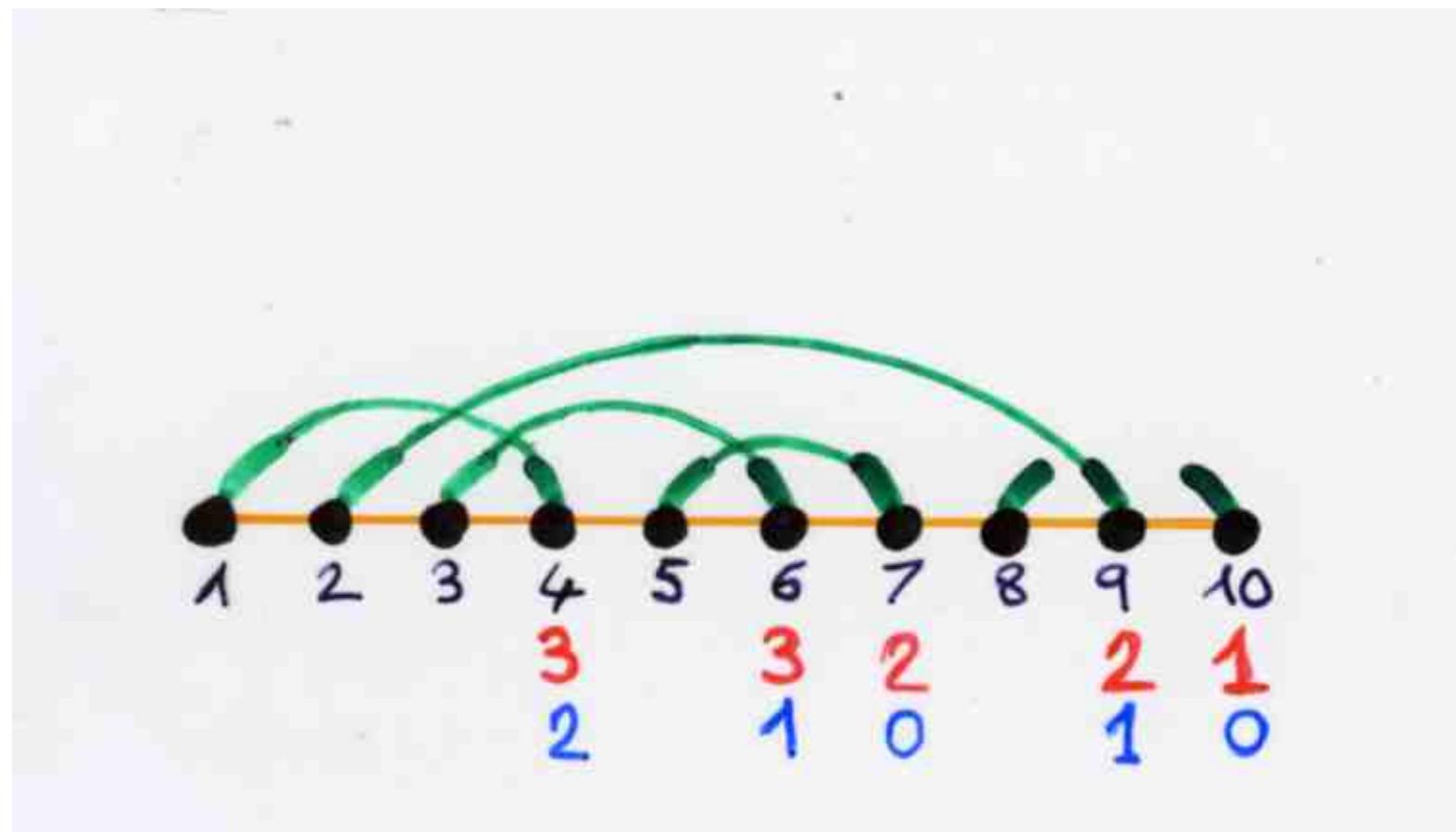
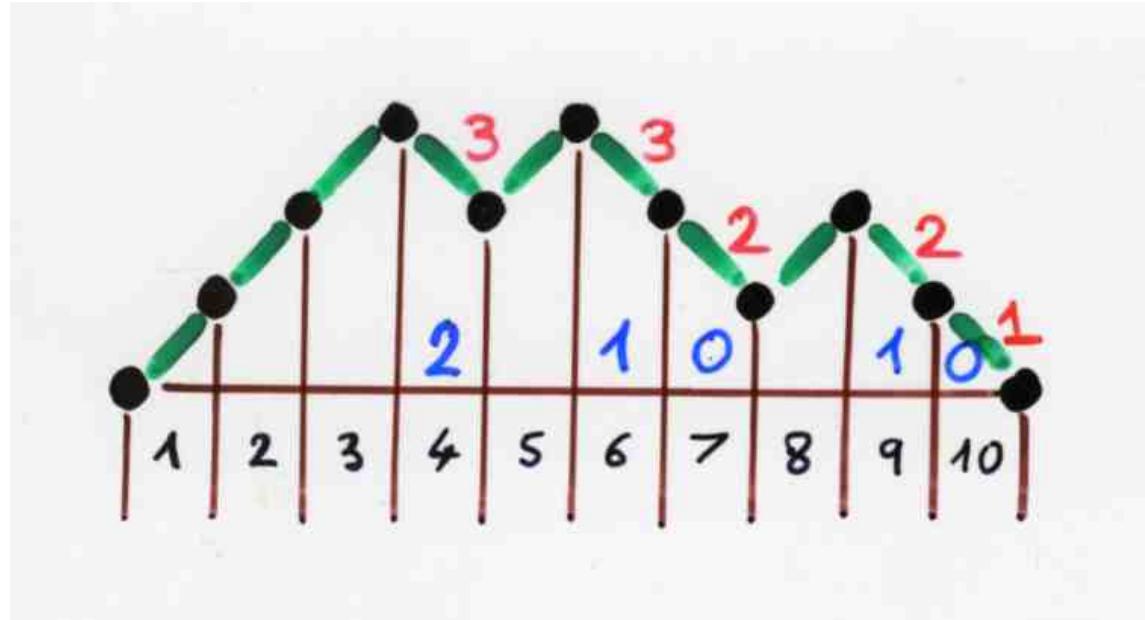
## $q$ -Charlier I

$$\begin{cases} b_k = a + [k]_q \\ \lambda_k = a [k]_q \end{cases}$$

discrete

## $q$ -Charlier II

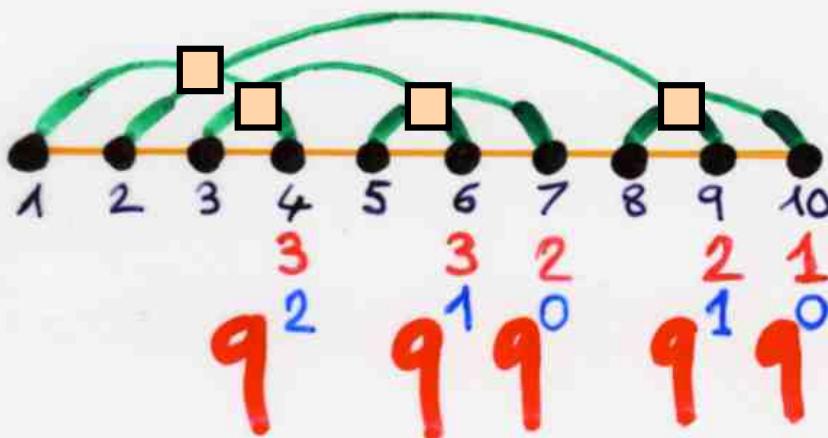
$$\begin{cases} b_k = a q^k + [k]_q \\ \lambda_k = a q^{k-1} [k]_q \end{cases}$$



*q-weight*

of an Hermite  
history

$$v_q(h) = q^{\left(\sum_{i=1}^n p_i\right)}$$



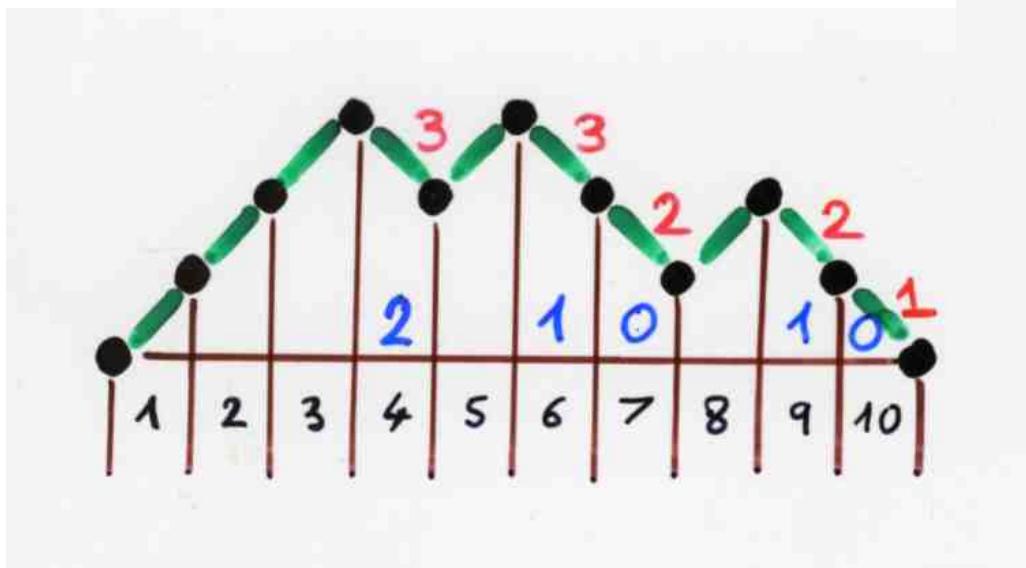
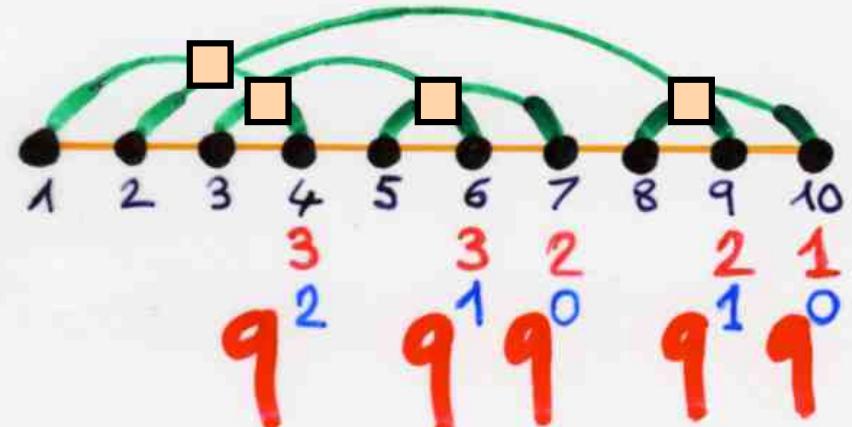
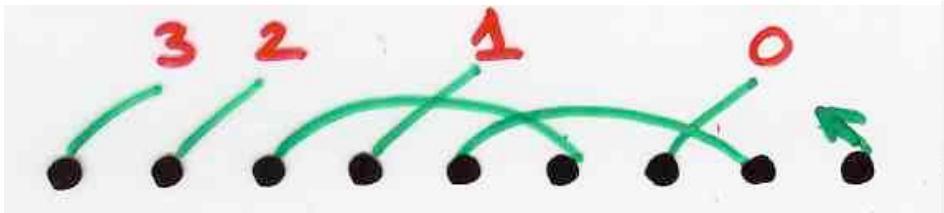
*crossing*



Proposition

$$q^{2+1+0+1+0} = q^4$$

$$\mu_{2n}^I(q) = \sum_{\substack{I \\ \text{chord diagrams} \\ \text{on } [1, 2n]}} q^{\text{cr}(I)}$$

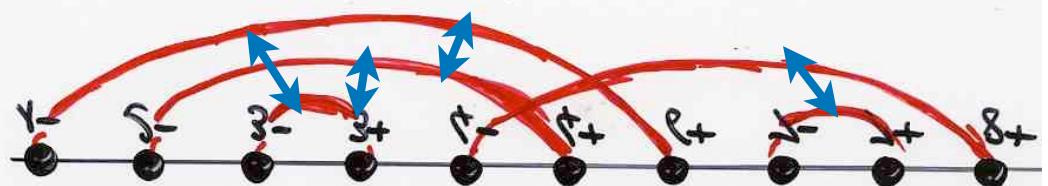


crossing

$$= 9^4$$



nesting



$q$ -Hermite II  
(discrete I)

$$\lambda_k = q^{k-1} [k]_q$$

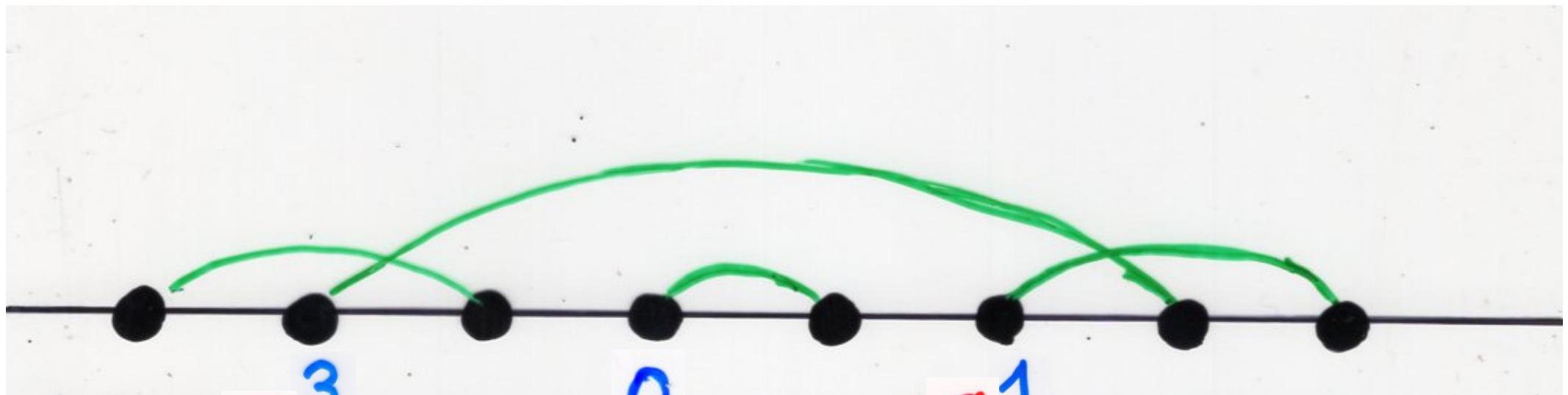
Proposition

moments

$$\mu_{2n}^{\text{II}}(q) = [1]_q \cdot [3]_q \cdots [2n-1]_q$$

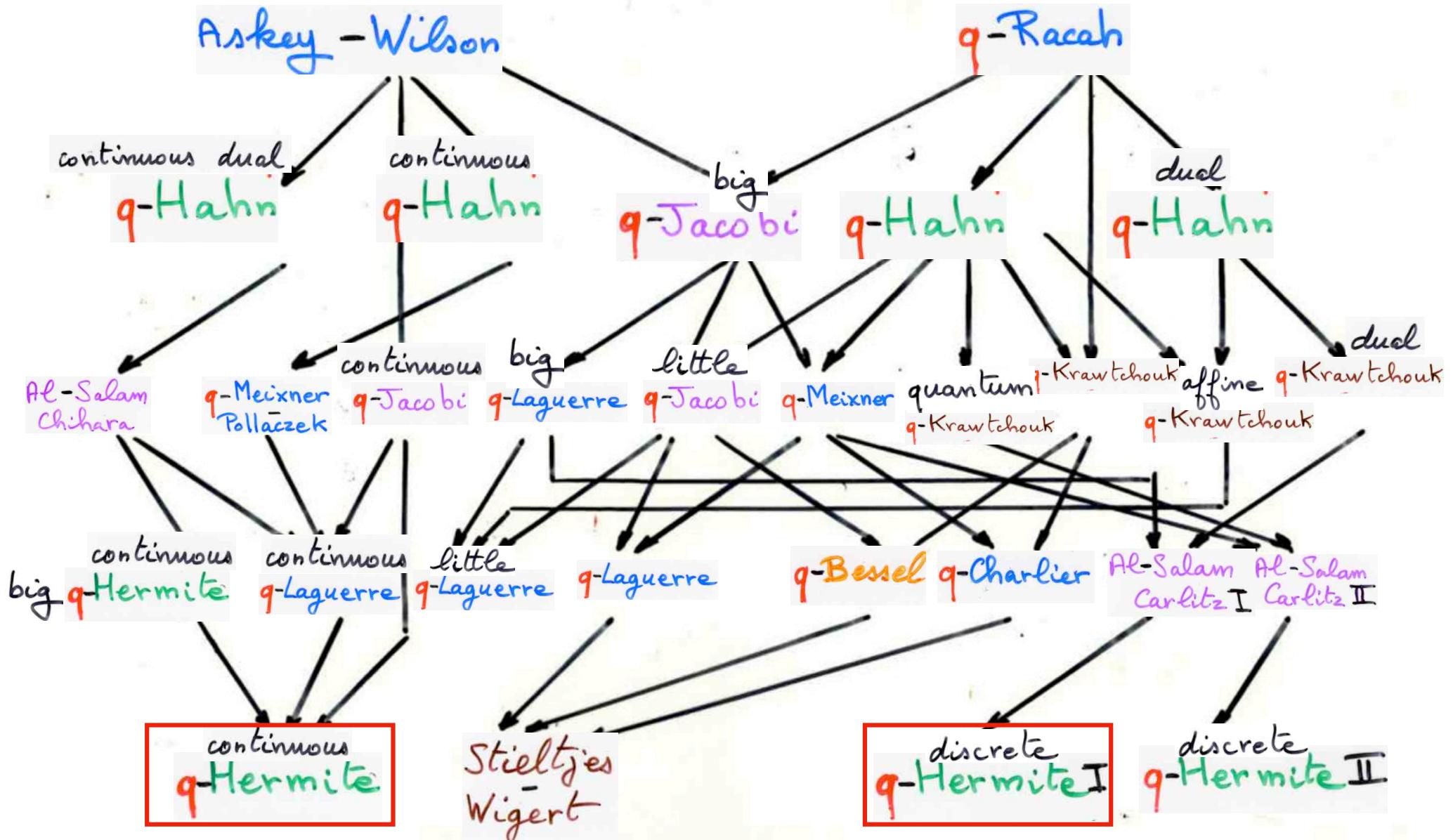
## Proposition

$$\text{Inv}(I) = \text{cr}(I) + 2 \cdot \text{nest}(I)$$



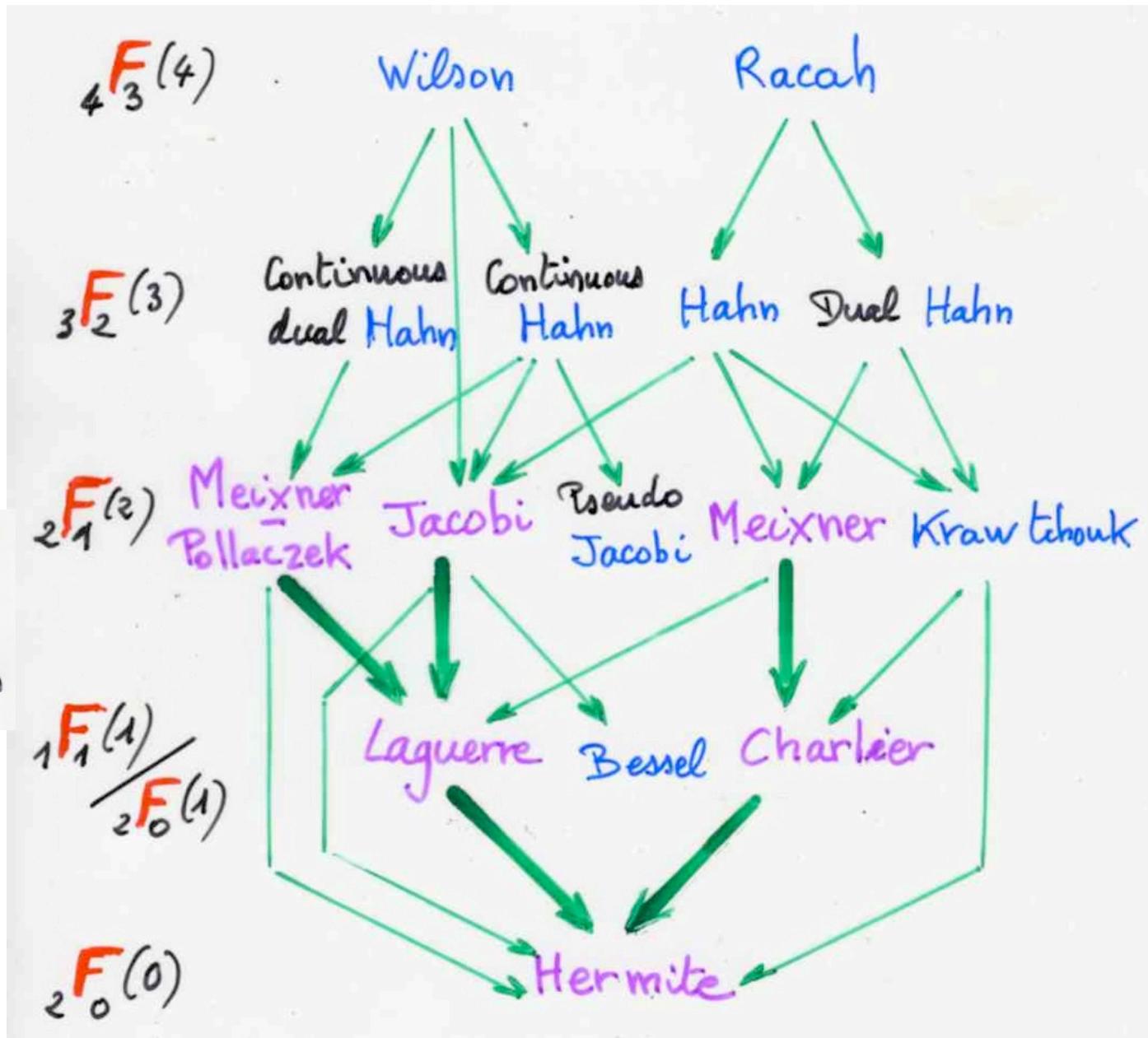
$$\text{Inv}(I) = q^0 q^3 q^1$$

scheme  
 of  
 basic hypergeometric  
 orthogonal polynomials



# Askey scheme of hypergeometric orthogonal polynomials

orthogonal Sheffer polynomials



Basic hypergeometric series

# basic hypergeometric series

Gauss (1812)

$$_2F_1 \left[ \begin{matrix} a, b \\ c \end{matrix}; z \right]$$

$$1 + \frac{ab}{1 \cdot c} z + \frac{a(a+1) b(b+1)}{1 \cdot 2 \cdot c(c+1)} z^2 + \dots$$

Heine (1846, 1847, 1878)

$$1 + \frac{(1-q^a)(1-q^b)}{(1-q)(1-q^c)} z + \frac{(1-q^a)(1-q^{a+1}) (1-q^b)(1-q^{b+1})}{(1-q)(1-q^2) (1-q^c)(1-q^{c+1})} z^2 + \dots$$

$$\lim_{q \rightarrow 1} \frac{1-q^a}{1-q} = a$$

$${}_2\phi_1(a, b; c; q, z) = {}_2\phi_1 \left[ \begin{matrix} a, b \\ c \end{matrix}; q, z \right]$$

$$= \sum_{n \geq 0} \frac{(a; q)_n (b; q)_n}{(q; q)_n (c; q)_n} z^n$$

$$(a; q)_n = \begin{cases} 1 & n=0 \\ (1-a)(1-aq)\dots(1-aq^{n-1}), & n=1, 2, \dots \end{cases}$$

$$(a; q)_n = \frac{(a; q)_\infty}{(aq^n; q)_\infty}$$

$$(a; q)_\infty = \prod_{k \geq 0} (1 - aq^k)$$

$r\phi_s$

basic hypergeometric series

$$r\phi_s(a_1, a_2, \dots, a_r; b_1, b_2, \dots, b_s; q, z)$$

$$\equiv r\phi_s \left[ \begin{matrix} a_1, a_2, \dots, a_r \\ b_1, \dots, b_s \end{matrix}; q, z \right]$$

$$= \sum_{n \geq 0} \frac{(a_1; q)_n (a_2; q)_n \cdots (a_r; q)_n}{(q; q)_n (b_1; q)_n \cdots (b_s; q)_n} \left[ (-1)^n q^{\binom{n}{2}} \right]^{1+s-r} z^n$$

$$|q| < 1$$

$$\binom{n}{2} = \frac{n(n-1)}{2}$$

$$|q| > 1$$

$$(a; q)_n = (\bar{a}^{-1}; P)_n (-a)^n P^{-\binom{n}{2}}$$

$$P = q^{-1}$$

q-Laguerre polynomials

q-Laguerre I  
(continuous)

"continuous version"

discrete

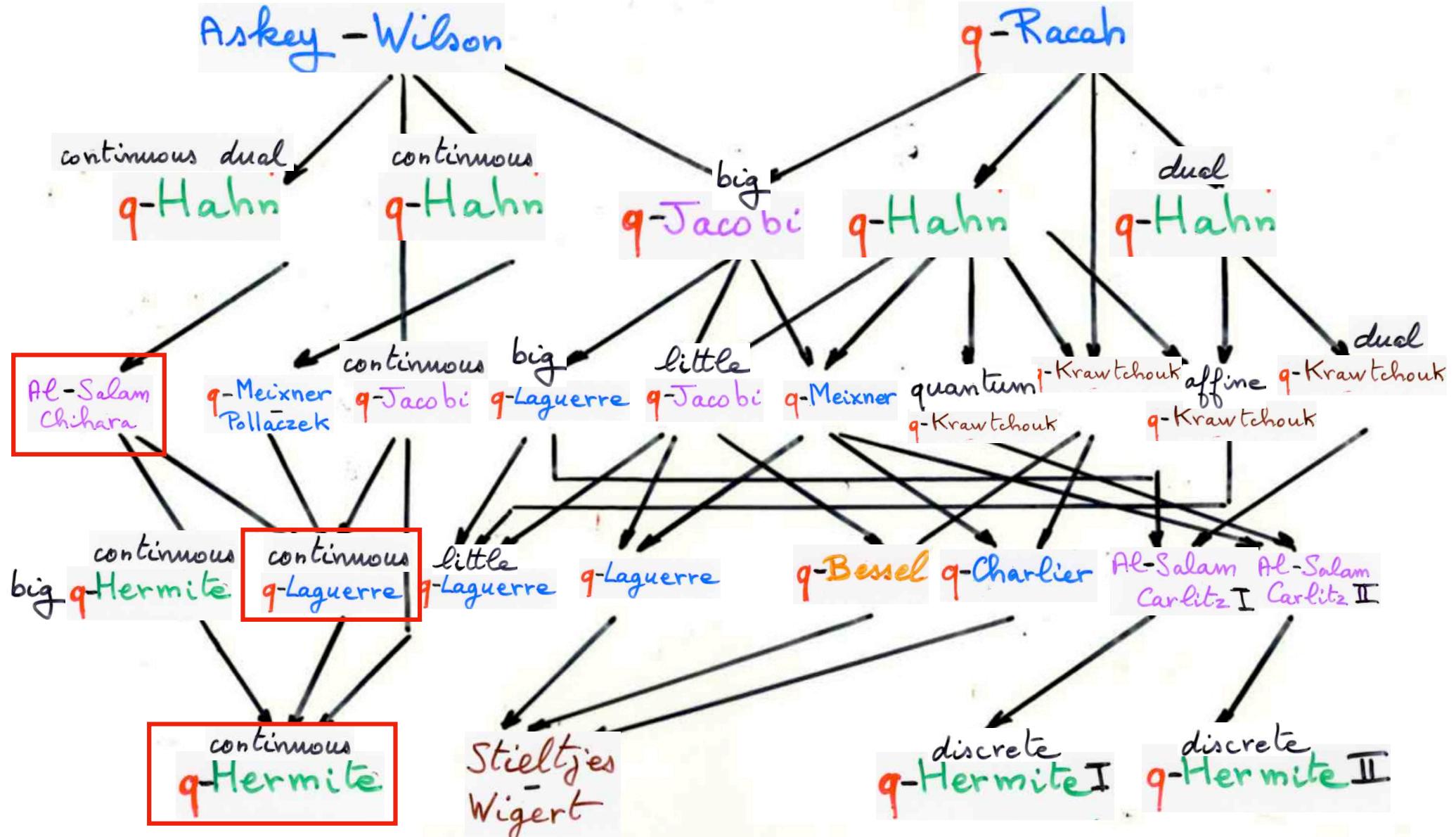
q-Laguerre I

q-Laguerre II

$$\begin{cases} b_k = [k]_q + [k+1]_q \\ \lambda_k = [k]_q \times [k]_q \end{cases}$$

$$\begin{cases} b_k = q^k ([k]_q + [k+1]_q) \\ \lambda_k = q^{2k-1} [k]_q \times [k]_q \end{cases}$$

scheme  
of  
basic hypergeometric  
orthogonal polynomials



Al-Salam - Chihara

polynomials

$$Q_{n+1}(x) = (2x - (\alpha + \beta)q^n) Q_n(x) - (1-q^n)(1-\alpha\beta q^{n-1}) Q_{n-1}(x)$$

$$x = \frac{u+u^{-1}}{2}$$

$$x = \cos \theta, \quad u = e^{i\theta}$$

$$Q_n(x; \alpha, \beta; q) = \frac{(\alpha\beta; q)_n}{\alpha^n} {}_3\phi_2 \left[ \begin{matrix} q^{-n}, \alpha u, \alpha u^{-1} \\ \alpha\beta, 0 \end{matrix}; q, \alpha^{-1}qu \right]$$

$$= (\alpha u; q)_n u^{-n} {}_2\phi_1 \left[ \begin{matrix} q^{-n}, \beta u^{-1} \\ \alpha^{-1}q^{-n+1}u^{-1} \end{matrix}; q, \bar{\alpha}^{-1}qu \right]$$

Kasraoui , Stanton, Zeng (2011)

Al-Salam - Chihara  $q$ -Laguerre polynomials

$$L_n(x; q) = \frac{1}{(q-1)^n} Q_n\left(\frac{(q-1)x}{2} + 1; 1, q; q\right)$$

$$\begin{cases} b_k = [k]_q + [k+1]_q \\ \lambda_k = [k]_q \times [k]_q \end{cases}$$

$$L_n(x; q) =$$

$$\sum_{k \geq 0} (-1)^{n-k} \frac{[n!]_q}{[k!]_q} \begin{bmatrix} n \\ k \end{bmatrix}_q q^{k(k-n)} \prod_{j=0}^{k-1} (x - (1-q^{-j}) [j]_q)$$

Simion, Stanton (1996)  $\left\{ \begin{array}{l} a=s=u=1 \\ r=t=q \end{array} \right.$   
Octabasic Laguerre polynomials

q-Laguerre I  
(continuous)

Moments

$q$ -Laguerre I

$$\begin{cases} b_k = [k+1]_q + [k+1]_q \\ \lambda_k = [k]_q \times [k+1]_q \end{cases}$$

$$\mu_n = (n+1)!$$

$q$ -Laguerre  
restricted  
histories

$$\begin{cases} b_k = [k]_q + [k+1]_q \\ \lambda_k = [k]_q \times [k]_q \end{cases}$$

$$\mu_n = n!$$

## $q$ -Laguerre I

$$\mu_n = (n+1)!$$

$$\begin{cases} b_k = [k+1]_q + [k+1]_q \\ \lambda_k = [k]_q \times [k+1]_q \end{cases}$$

$$\begin{cases} b'_k = [k+1]_q \\ b''_k = [k+1]_q \\ a_k = [k+1]_q \\ c_k = [k+1]_q \end{cases}$$

bijection

$$h = (\omega_c; \underbrace{(p_1, \dots, p_n)}_{P})$$

$|\omega| = n$



permutations  
 $\sigma \in S_{n+1}$

Laguerre  
histories

$$(n+1)!$$

$$|h| = |\omega|$$

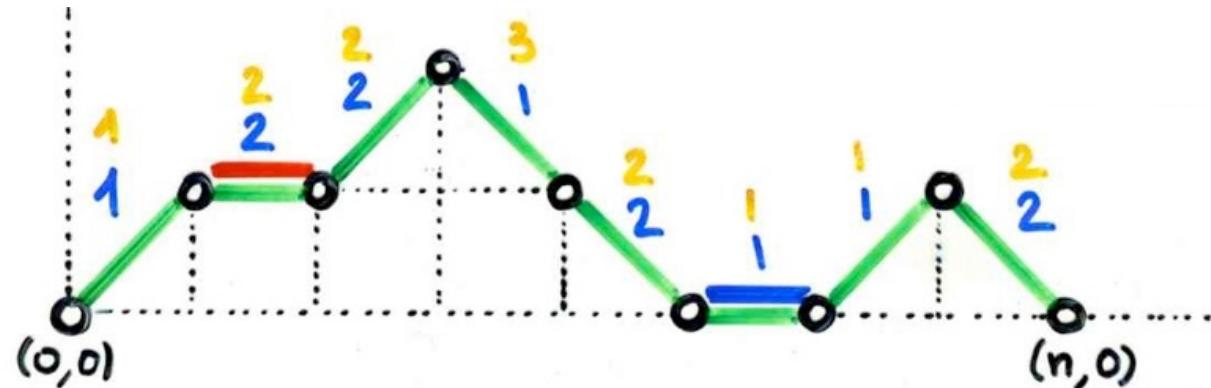
length of  
the history

J. Frangon , X.V. (1979)

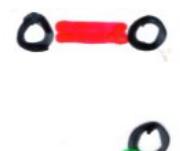
see Ch2a, p56-66

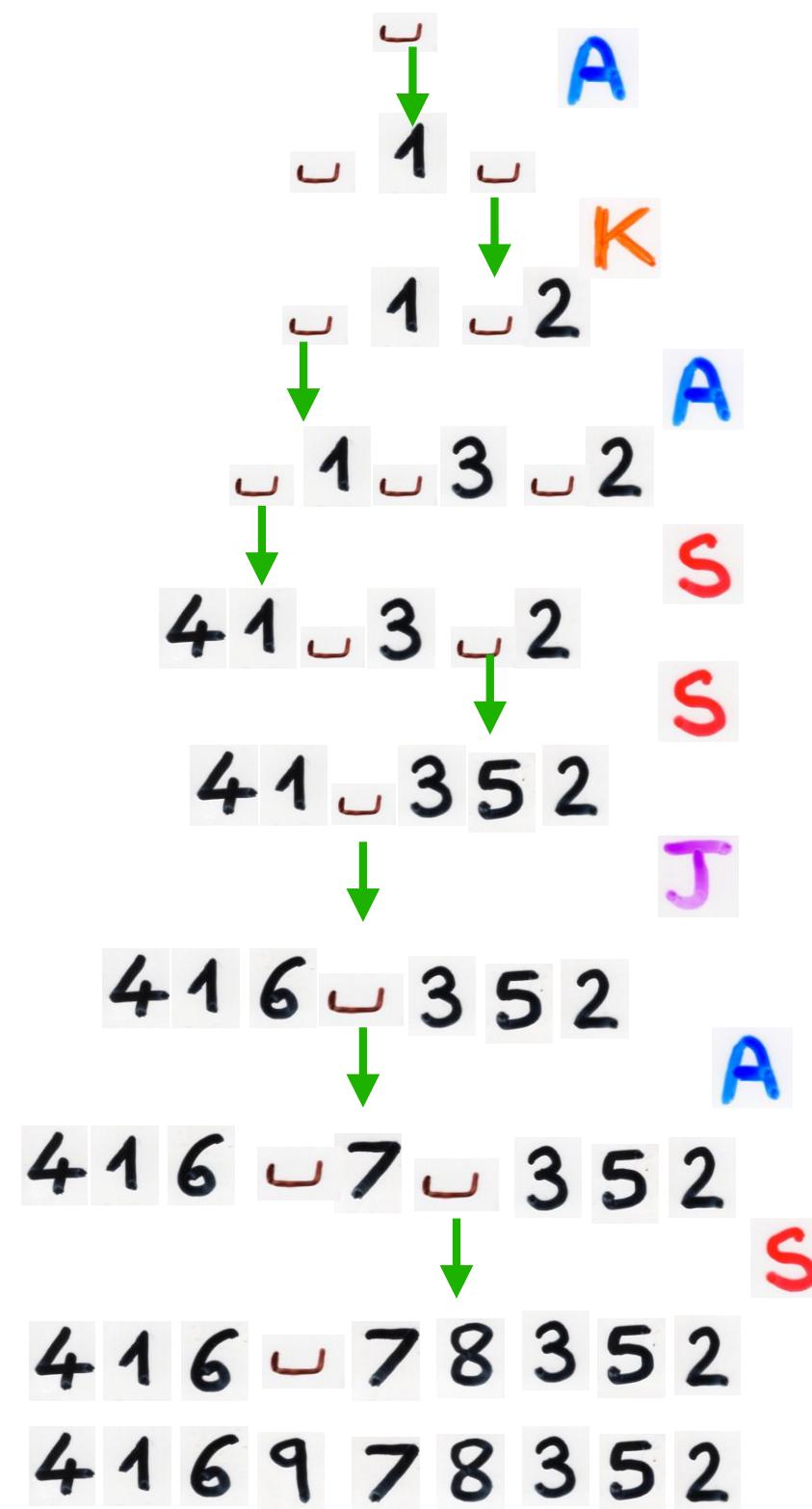
1		1	1
2		2	2
3		2	2
4		3	1
5		2	2
6		1	1
7		1	1
8		2	2

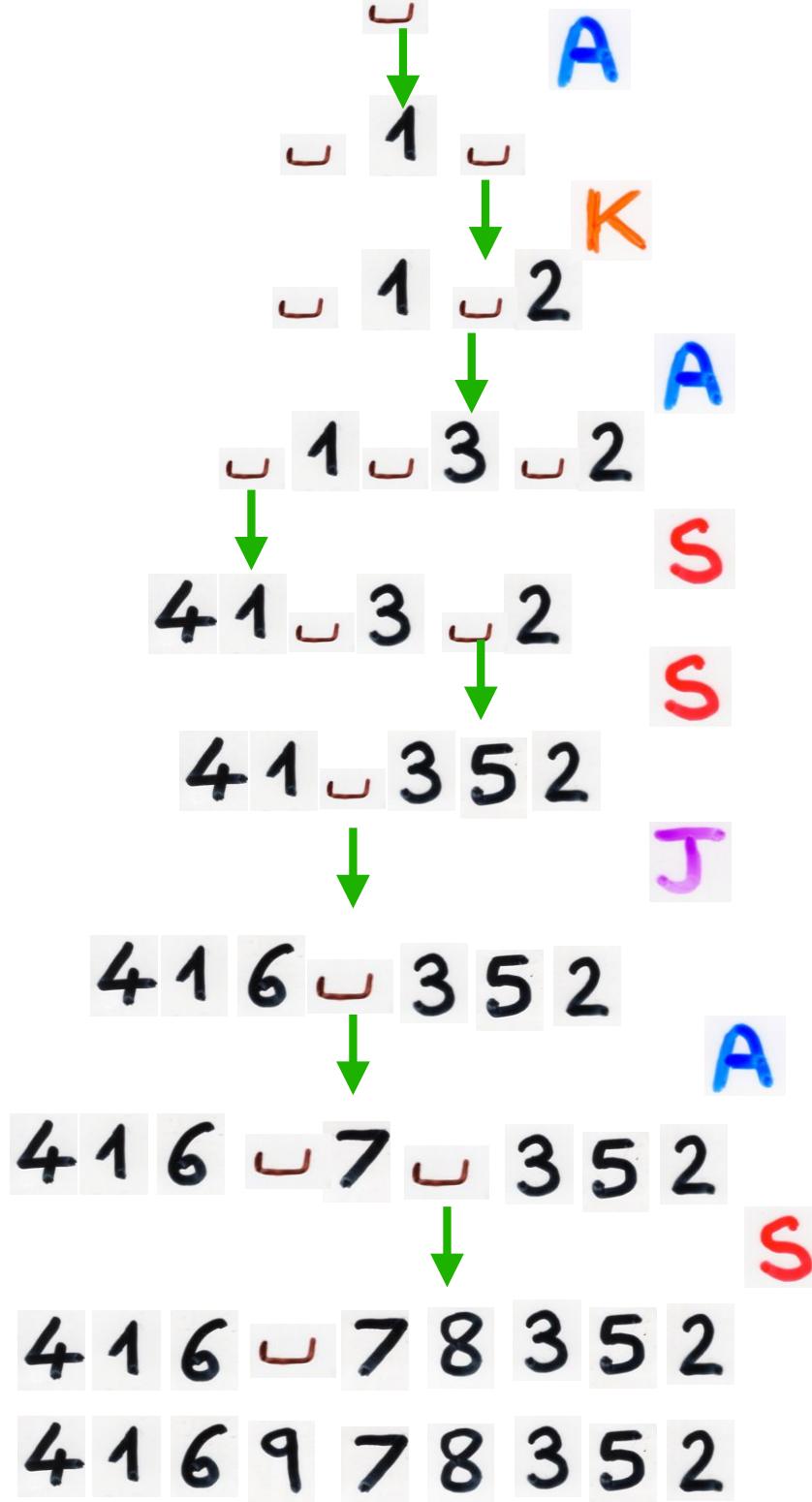
Laguerre  
history



$$\begin{aligned} \langle k | A &= (k+1) \langle (k+1) | \\ \langle k | K &= (k+1) \langle k | \\ \langle k | J &= (k+1) \langle k | \\ \langle k | S &= (k+1) \langle (k-1) | \end{aligned}$$

1		1	1
2		2	2
3		2	2
4		3	1
5		2	2
6		1	1
7		1	1
8		2	2





"q-analogue"  
of  
Laguerre  
histories

choice function

$i =$	1 2 3 4 5 6 7 8
$p_i =$	1 2 2 1 2 1 1 2
$p_{i-1} =$	0 1 1 0 1 0 0 1

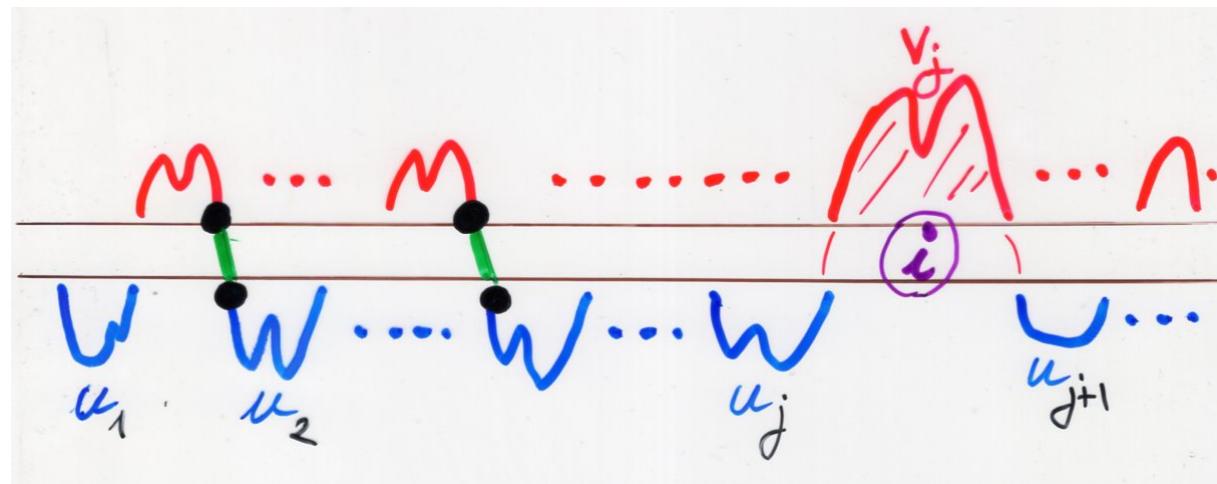
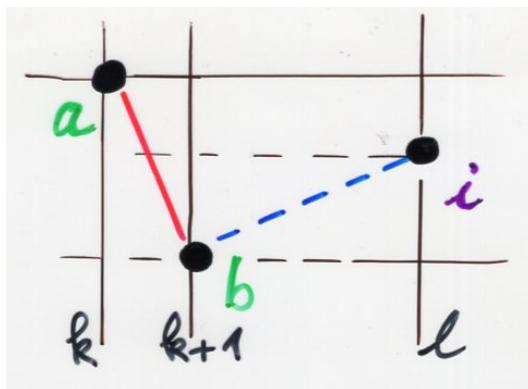
weighted  
q-Laguerre  
histories

$$q^4 = v_q(h)$$

## Lemma

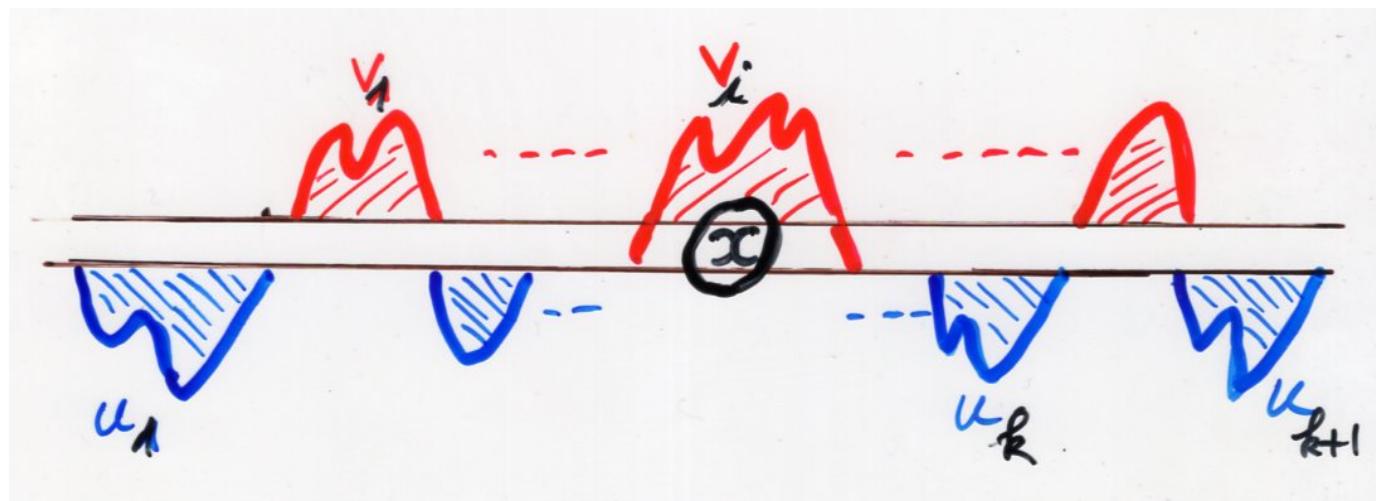
$P_i = j$  is also defined by:  
 $j = 1 + \text{number of triples } (a, b, i)$   
having the pattern (31-2), that is:

$a = \sigma(k)$ ,  $b = \sigma(k+1)$ ,  $i = \sigma(l)$   
with  $k < k+1 < l$  and  $b < i < a$



$$\begin{array}{ccccccccc} 4 & 1 & 6 & \textcolor{brown}{\boxed{7}} & \textcolor{brown}{\boxed{3}} & 5 & 2 \\ & & & \downarrow & & & & \textcolor{red}{S} \\ 4 & 1 & 6 & \textcolor{brown}{\boxed{7}} & 8 & 3 & 5 & 2 \end{array}$$

- $\sigma = u_1 v_1 \dots u_k v_k u_{k+1}$
- letters ( $u_i$ ) <  $x$
- letters ( $v_j$ )  $\geq x$
- words  $v_1, u_2, \dots, u_k, v_k$

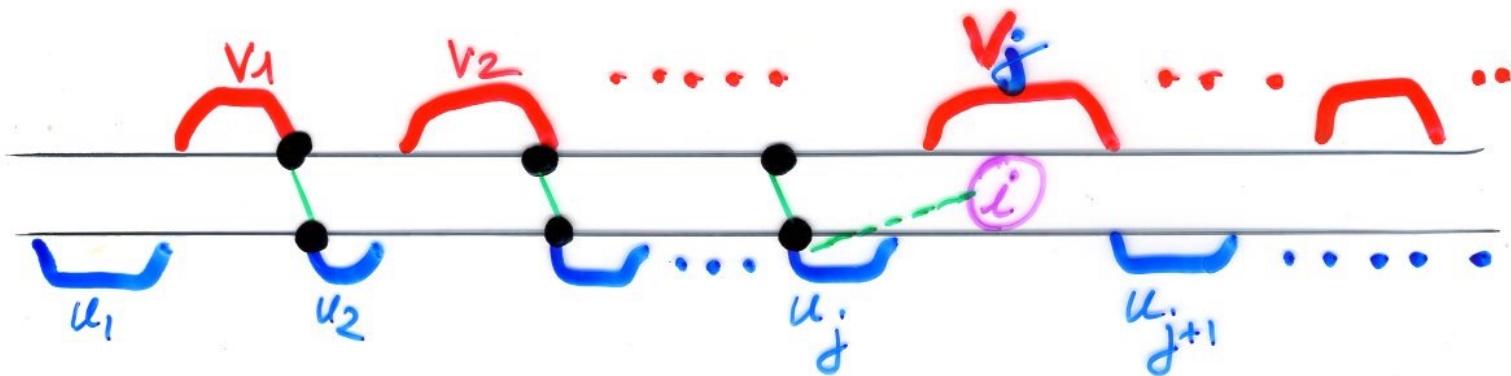


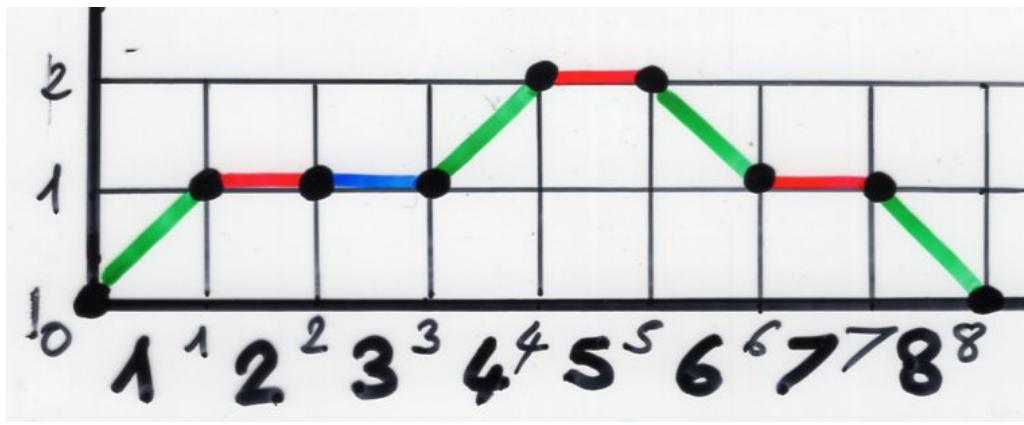
see Ch2a, p70-75

weighted  
q-Laguerre  
histories

$$q^{[\sum_{i=1}^n (p_i - 1)]} = \text{v}_q(h)$$

this is also  $q^m$  where  $m$  is the number of subsequences  $(a, b, c)$  of  $\sigma$  having the pattern  $(31-2)$





1 2 1 1 1 1

restricted  
Laguerre  
histories

see Ch2b, p19-23

see Ch2c, p3-15

$\text{u}_1$	1
$\text{u}_1 \text{u}_2$	2
$\text{u}_1 \text{u}_2$	1
$\text{u}_4 \text{u}_1 \text{u}_3 \text{u}_2$	1
$\text{u}_5 \text{u}_4 \text{u}_1 \text{u}_3 \text{u}_2$	1
$\text{u}_5 \text{u}_4 \text{u}_6 \text{u}_1 \text{u}_3 \text{u}_2$	1
$\text{u}_7 \text{u}_5 \text{u}_4 \text{u}_6 \text{u}_1 \text{u}_3 \text{u}_2$	1
$\text{u}_7 \text{u}_5 \text{u}_4 \text{u}_6 \text{u}_1 \text{u}_3 \text{u}_8 \text{u}_2$	1

## Proposition

$$\mu_n(q) = \sum_{\sigma \in S_n} q^{31-2(\sigma)}$$

$$\beta = \alpha + 1$$

$\alpha = 1 \quad \sigma \in S_{n+1}$  Laguerre histories

$\alpha = 0 \quad \sigma \in S_n \rightarrow$  restricted  
Laguerre histories

## $q$ -Laguerre I

$q$ -Laguerre  
restricted  
histories

$$\begin{cases} b_k = [k]_q + [k+1]_q \\ \lambda_k = [k]_q \times [k]_q \end{cases}$$

$$\mu_n = \frac{1}{(1-q)^n} \sum_{k=0}^n (-1)^k \left( \binom{2n}{n-k} - \binom{2n}{n-k-2} \right) \left( \sum_{i=0}^k q^{i(n+1-i)} \right)$$

Corteel, Josuat-Vergès y  
Prellberg, Rubey (2008)

$q$ -Hermite I  
(continuous)

$$\lambda_k = [k]_q$$

$$\mu_{2n}^I(q) = \frac{1}{(1-q)^n} \sum_{k=-n}^n \binom{2n}{n+k} (-1)^k q^{\binom{k}{2}}$$

Touchard (1952)  
Riordan (1978)  
Read (1979)

Penaud (1995)  
bijective proof

q-Laguerre I  
(continuous)

with parameter beta

restricted  
Laguerre  
histories

$$\left\{ \begin{array}{l} a_k = k + \beta \\ b'_k = k + \beta \\ b''_k = k \\ c_k = k \end{array} \right. \quad (k \geq 0)$$

$$(k \geq 1)$$

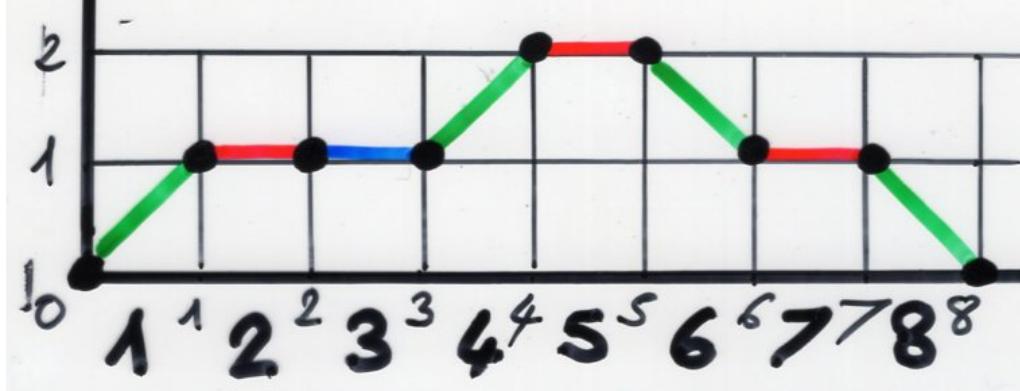
$$\left\{ \begin{array}{l} \lambda_k = a_{k-1} c_k \\ b_k = b'_k + b''_k \end{array} \right.$$

$$\mu_n = \beta(\beta+1)\cdots(\beta+n-1)$$

$$[k; \beta]_q = (\beta + q + q^2 + \dots + q^{k-1})$$

$$\left\{ \begin{array}{l} a_k = [k+1; \beta]_q \\ b'_k = [k+1; \beta]_q \\ b''_k = [k]_q \\ c_k = [k]_q \end{array} \right.$$

$$\left\{ \begin{array}{l} b_k = [k]_q + [k+1; \beta]_q \\ \lambda_k = [k; \beta]_q [k]_q \end{array} \right.$$



1 2 1 1 1 1

u4 u13 u2

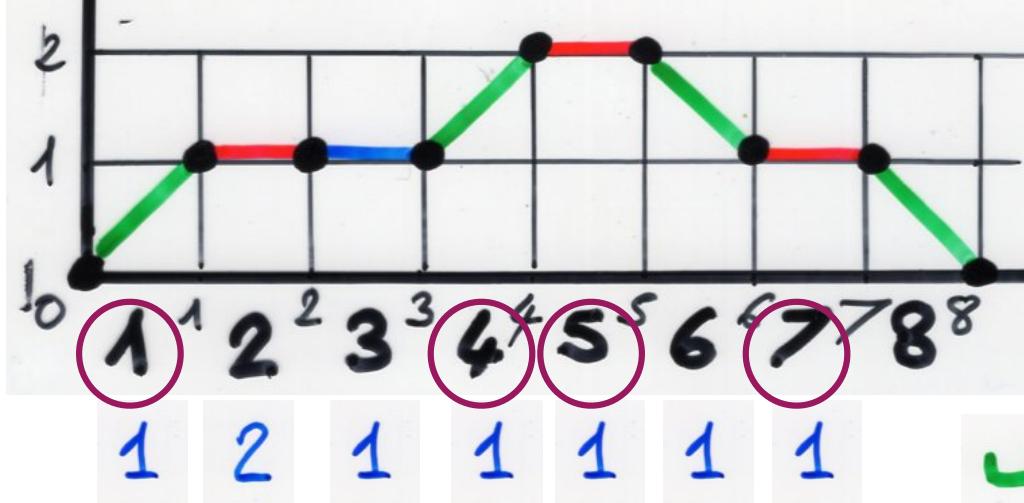
u54 u13 u2

u54613 u2

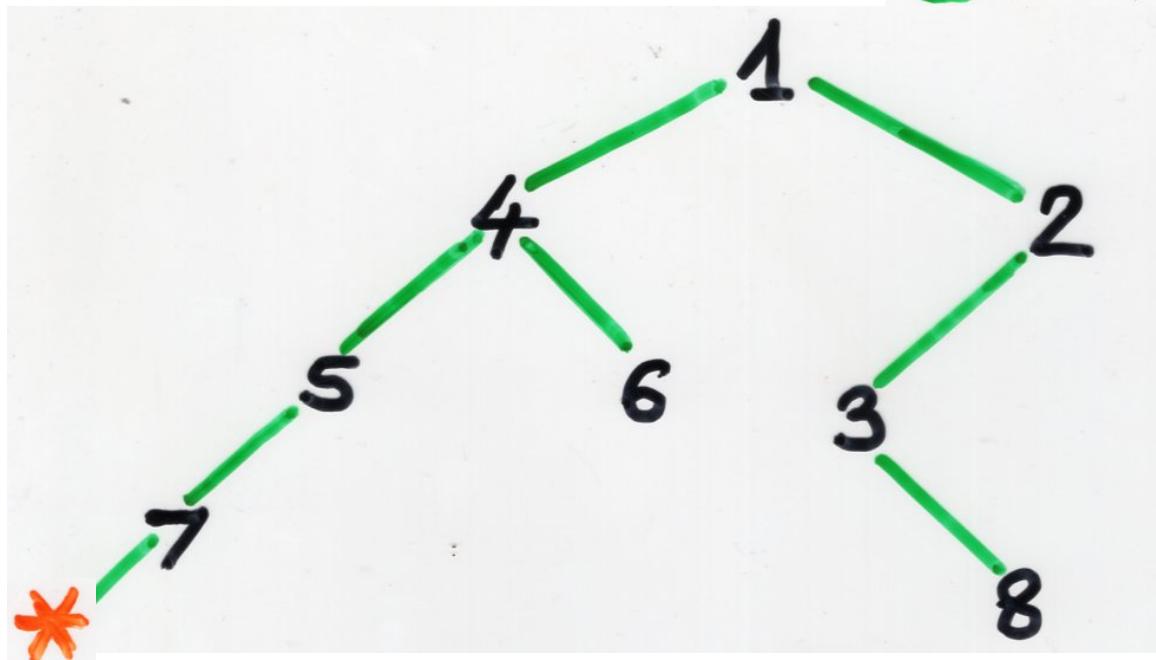
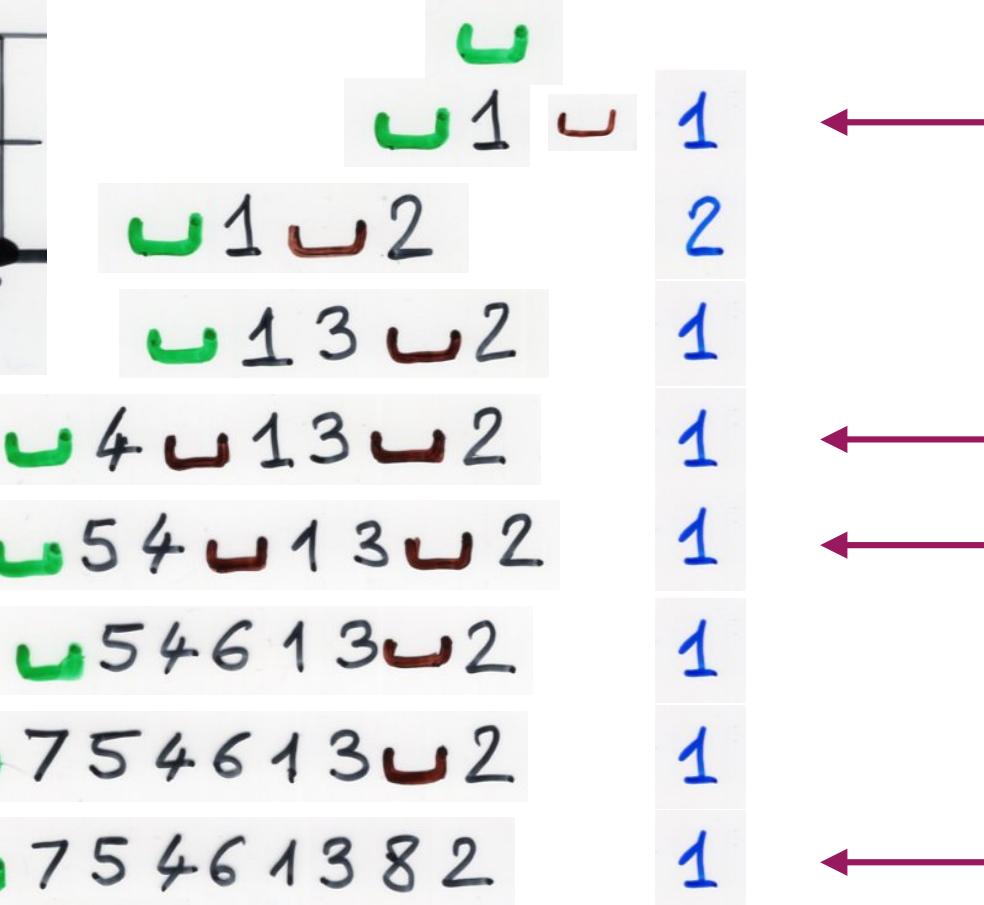
u754613 u2

u75461382

u	1	u	1
u1	u2		2
u13	u2		1
u4	u13	u2	1
u54	u13	u2	1
u54613	u2		1
u754613	u2		1
u75461382			1



see Ch2b, p19-23



$\ell_{r-\min}$   
elements

$$\sigma = 7 / 5 / 4 6 / 1 3 8 2$$

$$\begin{cases} b_k = [k]_q + [k+1; \beta]_q \\ \lambda_k = [k; \beta]_q [k]_q \end{cases}$$

Proposition

$$\mu_n^{(\beta)}(q) = \sum_{\sigma \in S_n} \beta^{\lambda(\sigma)} q^{31-2(\sigma)}$$

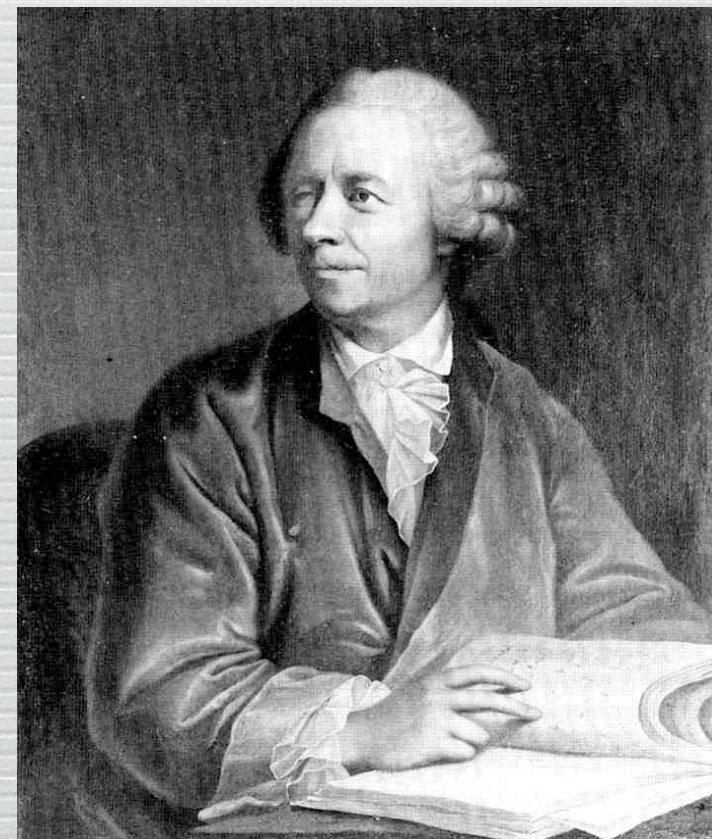
subdivided Laguerre histories

DE  
**FRACTIONIBVS CONTINVIS.**  
*DISSERTATIO.*  
 AVCTORE  
*Leonb. Euler.*

§. 1.

**V**ARII in Analysis recepti sunt modi quantitates, quae alias difficulter assignari queant, commode exprimenti. Quantitates scilicet irrationales et transcendentes, cuiusmodi sunt logarithmi, arcus circulares, alias rectaque curvarum quadraturae, per series infinitas exhiberi solent, quae, cum terminis constant cognitis, valores illarum quantitatum satis distincte indicant. Series autem istae duplicis sunt generis, ad quorum prius pertinent illae series, quarum termini additione subtractione sunt connexi; ad posterius vero referri possunt eae, quarum termini multiplicatione coniunguntur. Sic utroque modo area circuli, cuius diameter est = 1, exprimi solet; priore nimirum area circuli aequalis dicitur  $1 - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \frac{1}{5} - \dots$  etc. in infinitum; posteriore vero modo eadem area aequatur huic expressioni  $\frac{2 \cdot 4 \cdot 6 \cdot 8 \cdot 10 \cdot 12}{3 \cdot 5 \cdot 7 \cdot 9 \cdot 11}$  etc. in infinitum. Quarum serierum illae reliquis merito praeferuntur, quae maxime conuergant, et paucissimis sumendis terminis valorem quantitatis quaesitae proxime praebent.

§. 2. His duobus serierum generibus non immerito superaddendum videtur tertium, cuius termini continua diui-



§. 21. Datur vero alias modus in summam huius seriei inquirendi ex natura fractionum continuarum petitus, qui multo facilius et promptius negotium conficit: sit enim formulam generalius exprimendo:

$$A = 1 - x + 2x^2 - 6x^3 + 24x^4 - 120x^5 + 720x^6 - 5040x^7 + \text{etc.} = \frac{1}{1+x}$$

$$\begin{aligned}
 A &= \frac{1}{1+x} \\
 &= \frac{1}{1+x} \\
 &= \frac{1}{1+\frac{2x}{1+x}} \\
 &= \frac{1}{1+\frac{2x}{1+\frac{3x}{1+x}}} \\
 &= \frac{1}{1+\frac{3x}{1+\frac{4x}{1+\frac{4x}{1+\frac{5x}{1+\frac{5x}{1+\frac{6x}{1+\frac{6x}{1+\frac{7x}{\text{etc.}}}}}}}}}}
 \end{aligned}$$

9

§. 22. Quemadmodum autem huiusmodi fractio-

$$\gamma_k = \left[ \frac{k}{2} \right]$$

$$\sum_{n \geq 0} n! t^n =$$

$$\frac{1}{1 - \cancel{1}t} \frac{1}{1 - \cancel{1}t} \frac{1}{1 - \cancel{2}t} \frac{1}{1 - \cancel{2}t} \frac{1}{1 - \cancel{3}t} \frac{1}{1 - \dots}$$

$$\sum_{n \geq 0} n! t^n =$$

$$\frac{1}{1 - \cancel{1}t} \frac{1}{1 - \cancel{1}t} \frac{1}{1 - \cancel{2}t} \frac{1}{1 - \cancel{2}t} \frac{1}{1 - \cancel{3}t} \frac{1}{1 - \dots}$$

$$\gamma_k = \left[ \frac{k}{2} \right]$$

$$S(t; \gamma) = J(t; b, \lambda)$$

$$\begin{cases} b_k = \gamma_{2k} + \gamma_{2k+1} \\ \lambda_k = \gamma_{2k} \gamma_{2k-1} \end{cases}$$

q-Laguerre  
restricted  
histories

$$\sum_{n \geq 0} n! t^n =$$

$$\frac{1}{1 - \cancel{1}t - \cancel{1^2}t^2} \frac{1}{1 - \cancel{3}t - \cancel{2^2}t^2} \frac{1}{1 - \cancel{5}t - \cancel{3^2}t^2} \dots$$

$$\begin{cases} b_k = (2k+1) \\ \lambda_k = k^2 \end{cases}$$

$$\sum_{n \geq 0} n! t^n = \frac{1}{1 - \cancel{1}t} \frac{1}{1 - \cancel{1}t} \frac{1}{1 - \cancel{2}t} \frac{1}{1 - \cancel{2}t} \frac{1}{1 - \cancel{3}t} \dots$$

$\gamma_k = \left[ \begin{smallmatrix} F_k \\ \vdots \\ F_2 \\ \vdots \\ F_1 \end{smallmatrix} \right]_q$

$\mu_n(q)$

$$S(t; \gamma) = J(t; b, \lambda)$$

$$\begin{cases} b_k = \gamma_{2k} + \gamma_{2k+1} \\ \lambda_k = \gamma_{2k} \gamma_{2k-1} \end{cases}$$

*q-Laguerre  
restricted  
histories*

$$\sum_{n \geq 0} n! t^n = \frac{1}{1 - \cancel{1}t - \cancel{1^2}t^2} \frac{1}{1 - \cancel{3}t - \cancel{2^2}t^2} \frac{1}{1 - \cancel{5}t - \cancel{3^2}t^2} \dots$$

Corollary

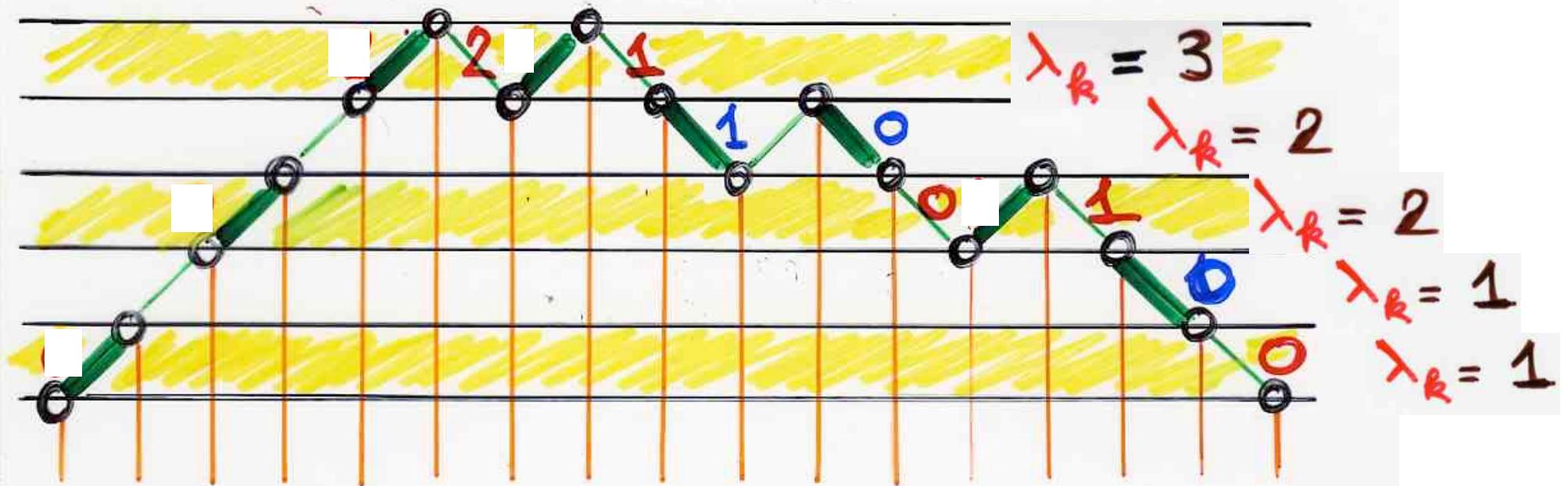
$$\gamma_k = \left[ \lceil \frac{k}{2} \rceil \right]_q$$

$$\sum_{n \geq 0} \mu_n(q) t^n =$$

$$\frac{1}{1 - (1)t} \frac{t}{1 - (1)t} \frac{t}{1 - (1+q)t} \frac{t}{1 - (1+q)t} \frac{t}{1 - (1+q+q^2)t} \frac{t}{1 - \dots}$$

$$\gamma_k = \left[ \left\lceil \frac{k}{2} \right\rceil \right]_q$$

$$\lambda_k = \left[ \frac{k}{2} \right]$$

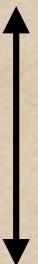


subdivided Laguerre history

$H$

# Bijection

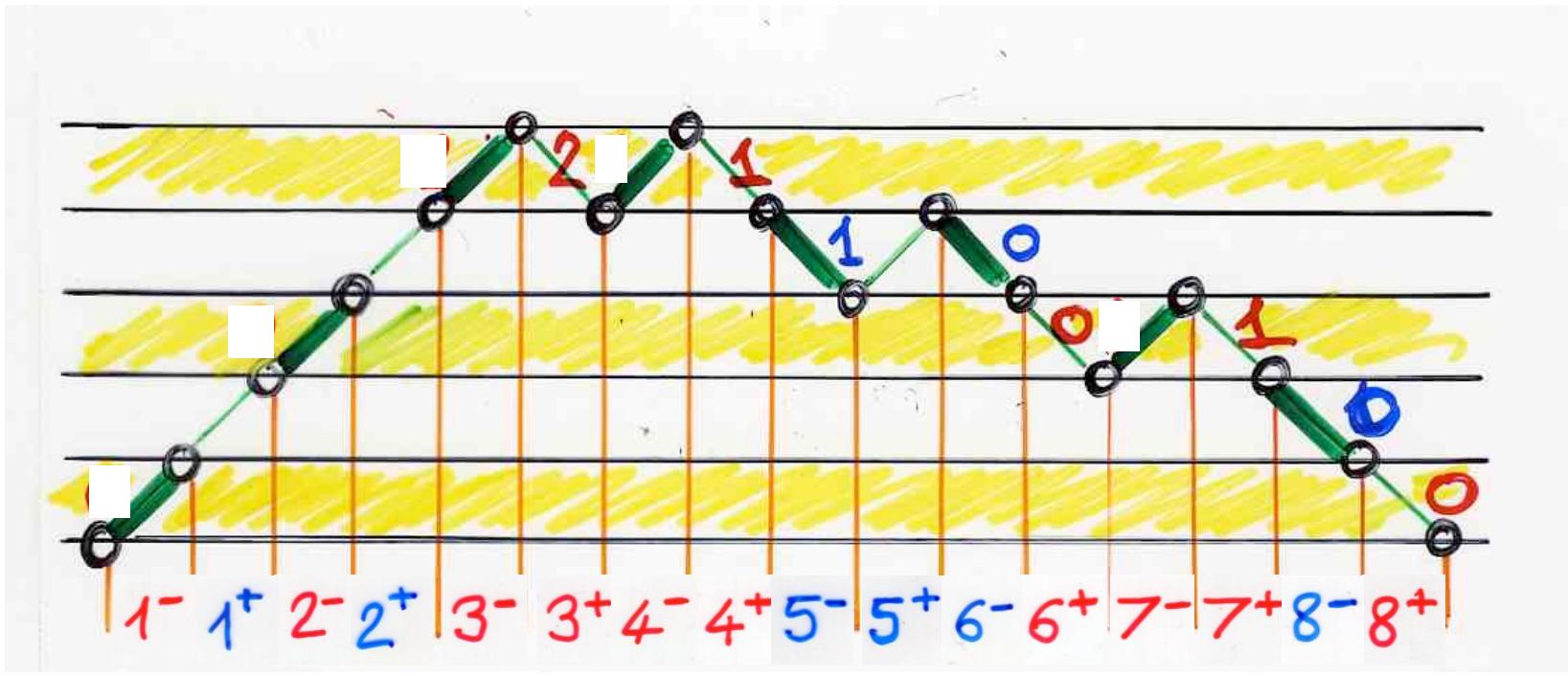
subdivided Laguerre histories



(restricted) Laguerre histories

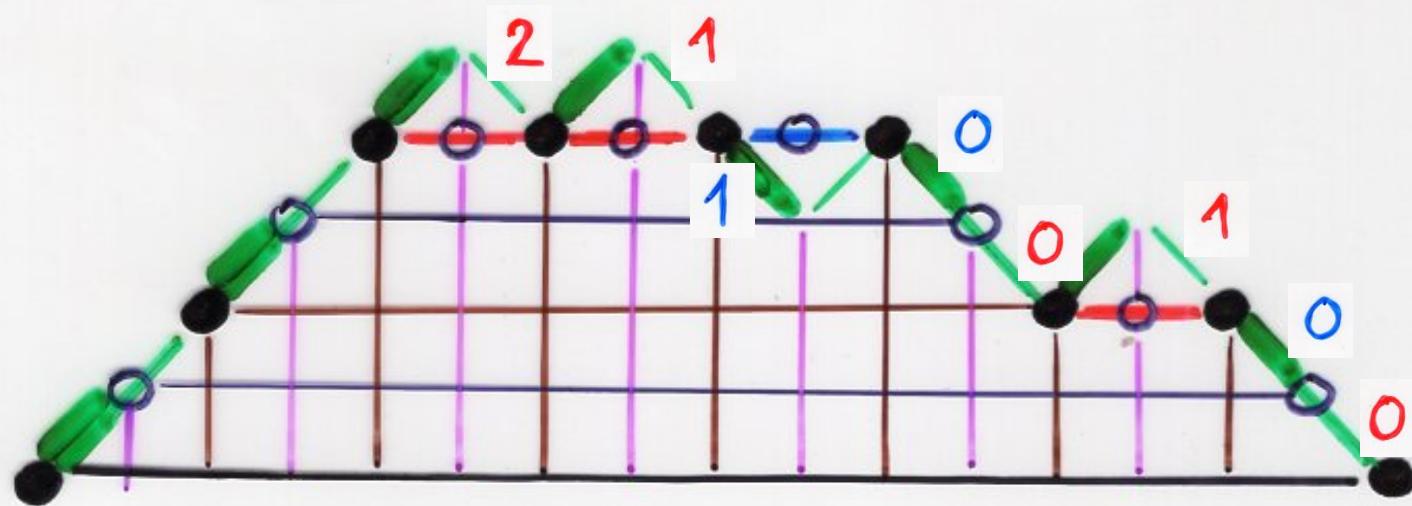


see Ch3b, p82-91

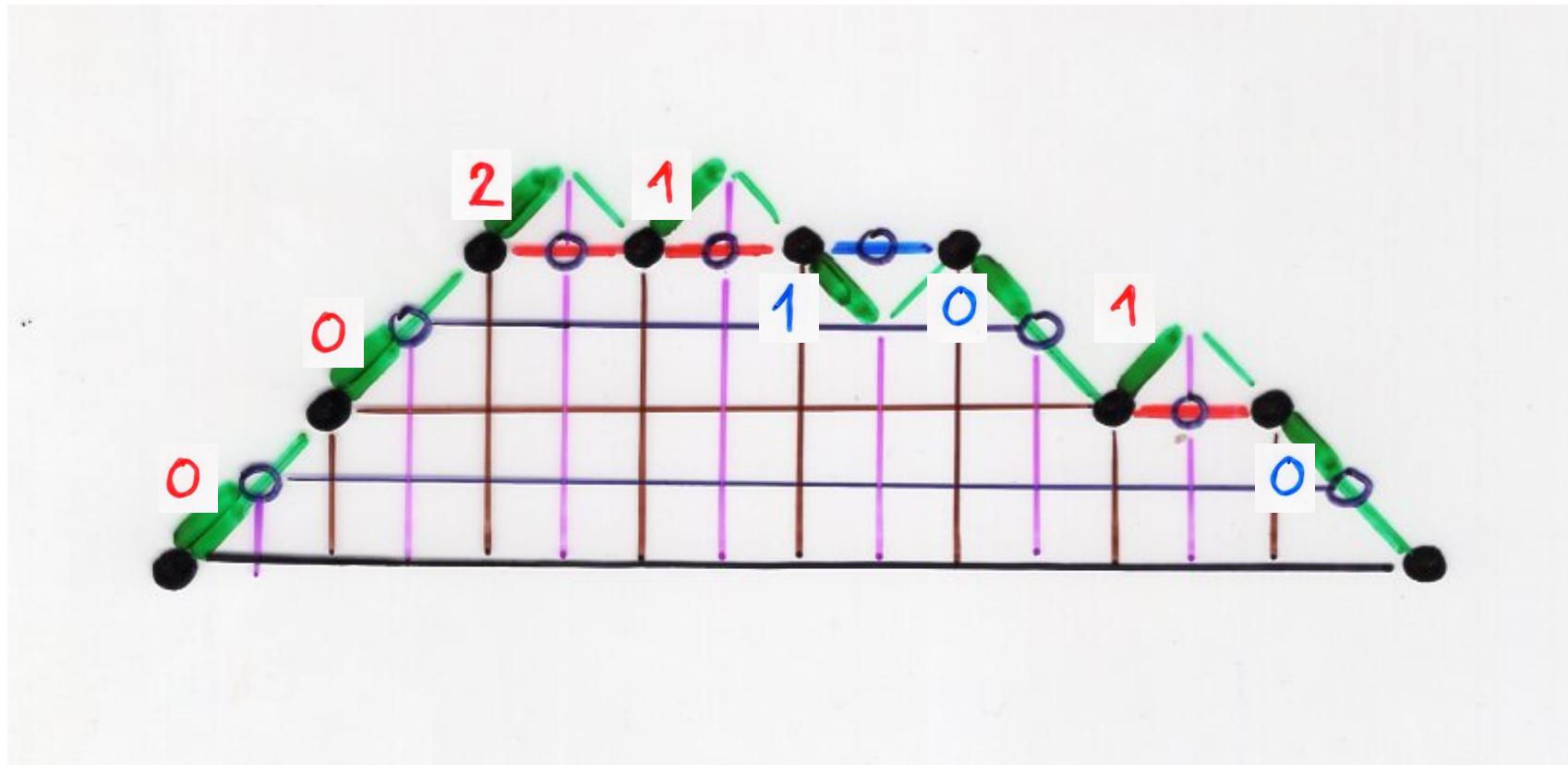


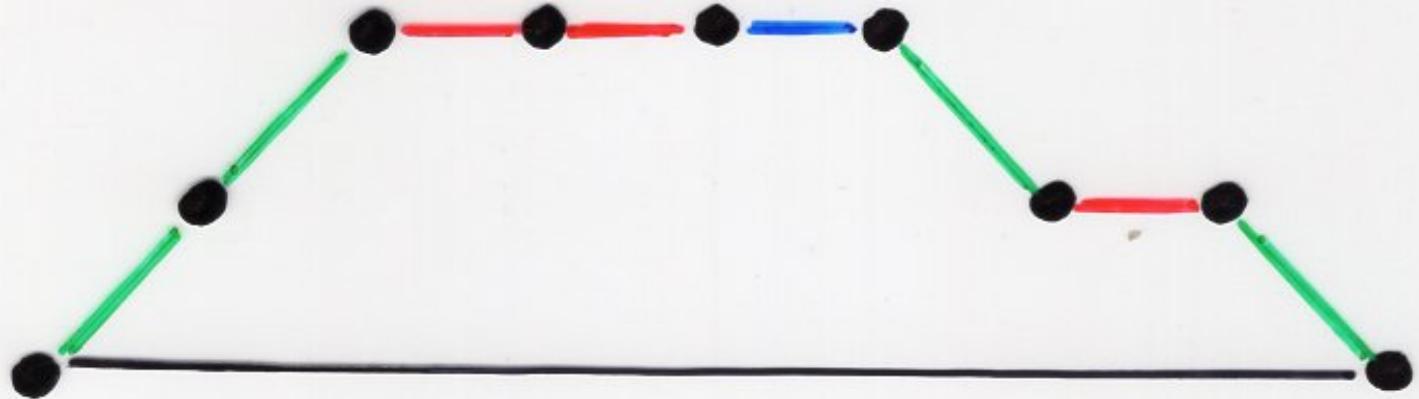
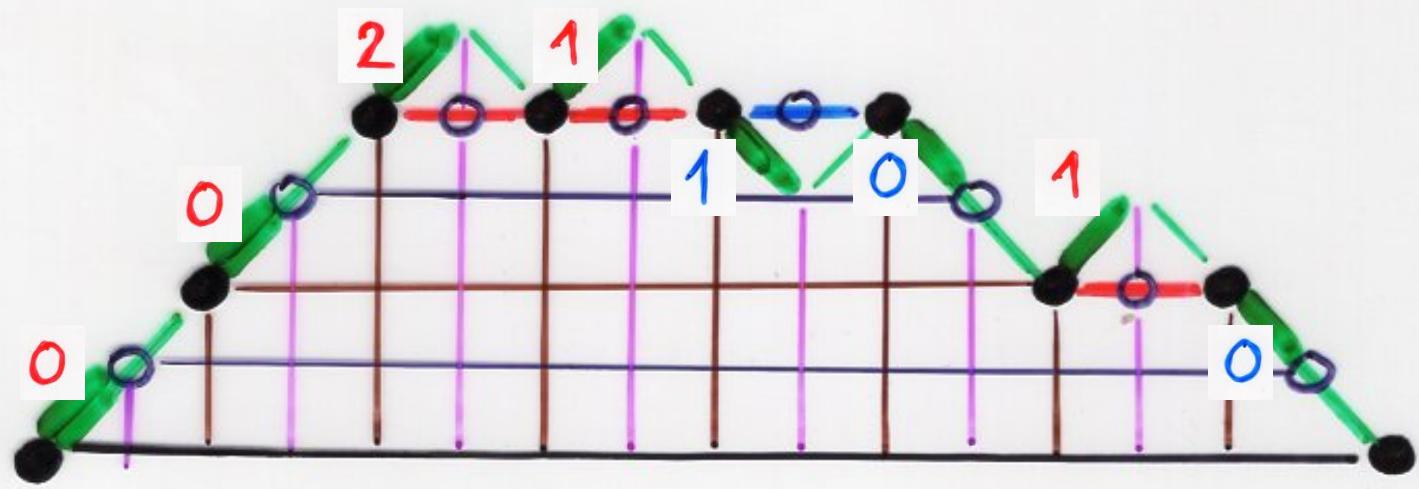
subdivided Laguerre history

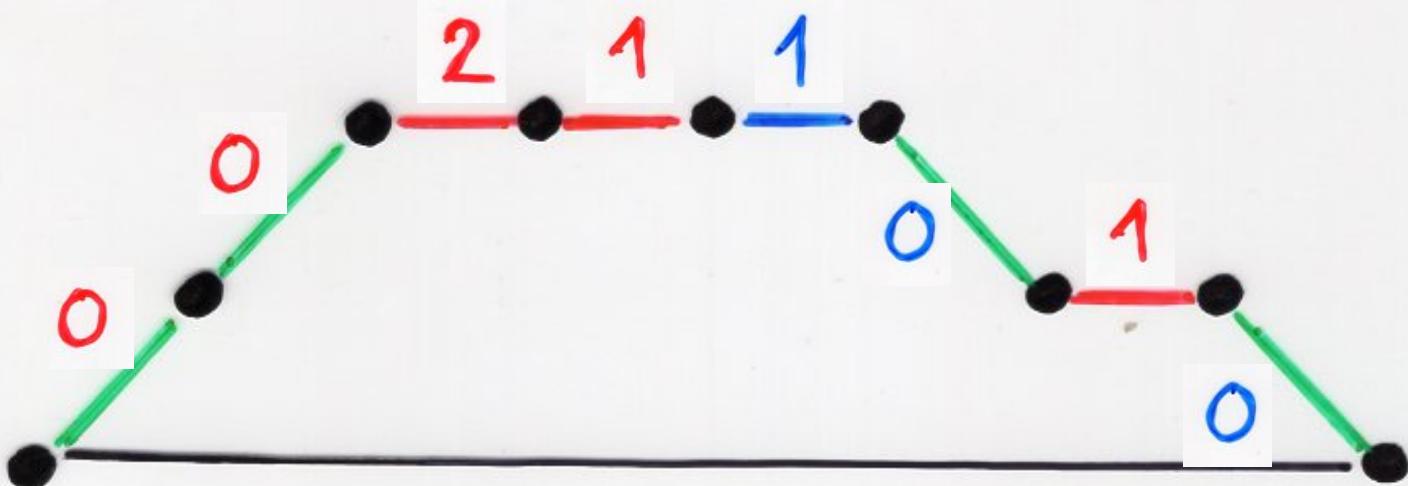
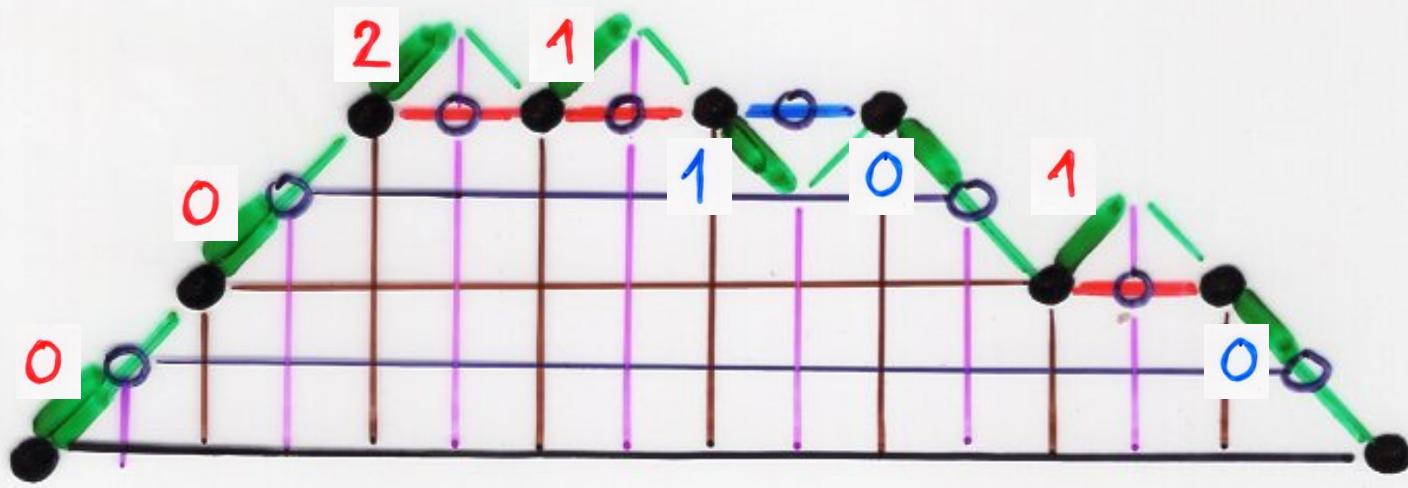
H



subdivided Laguerre history

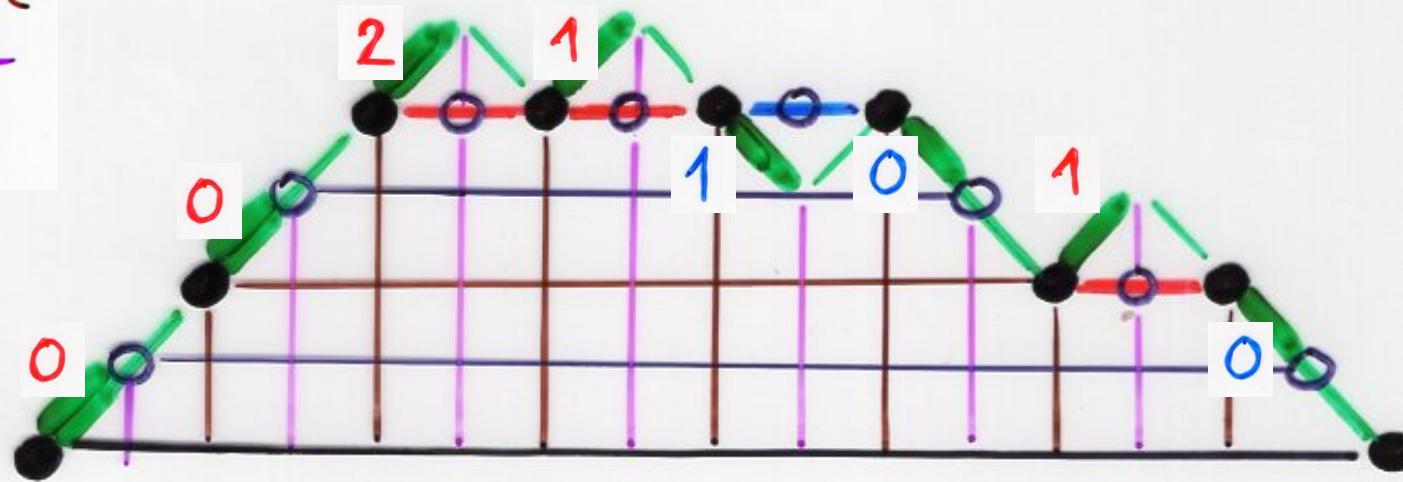






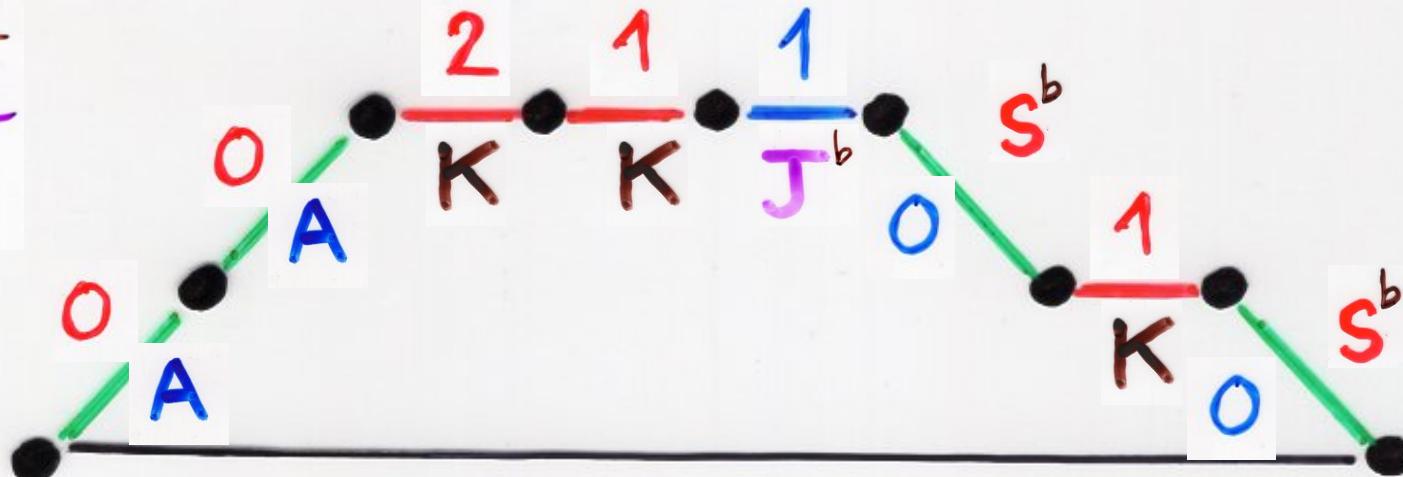
subdivided  
Laguerre  
history

H



restricted  
Laguerre  
history

h



$$v_q(H) = v_q(h)$$

Bijection

Permutations



subdivided Laguerre histories

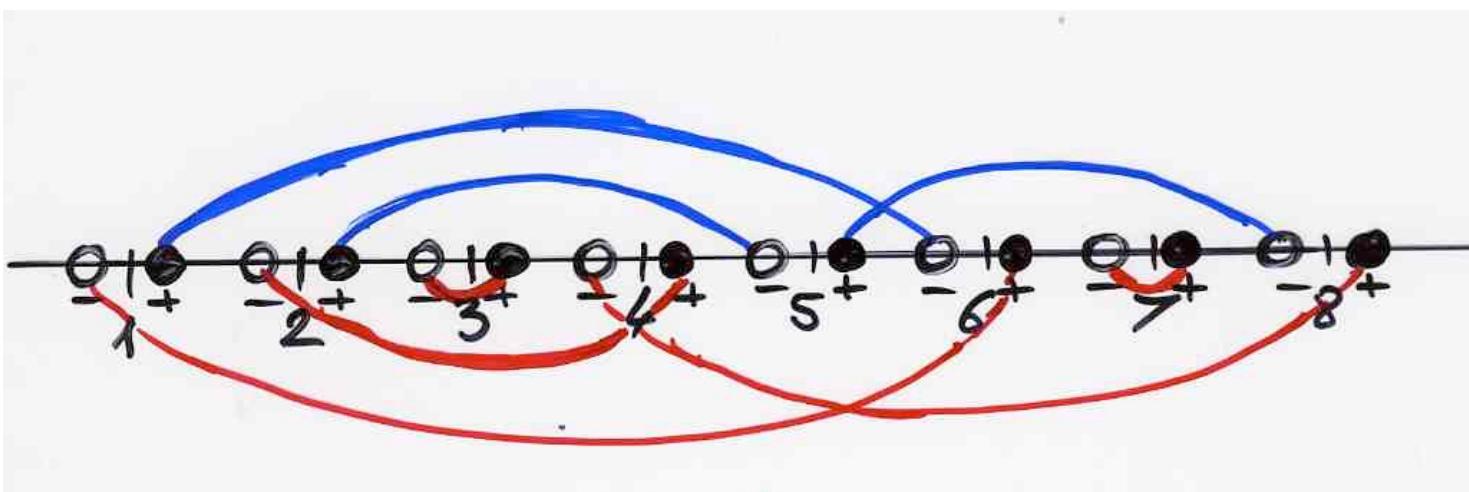
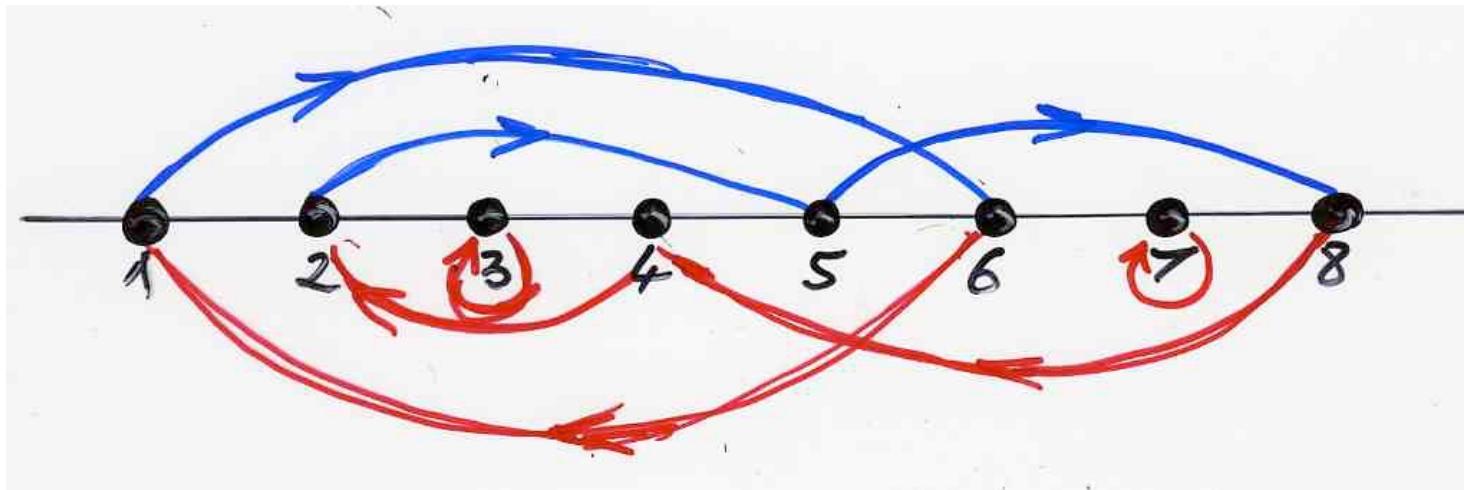


see Ch3b, p43-72

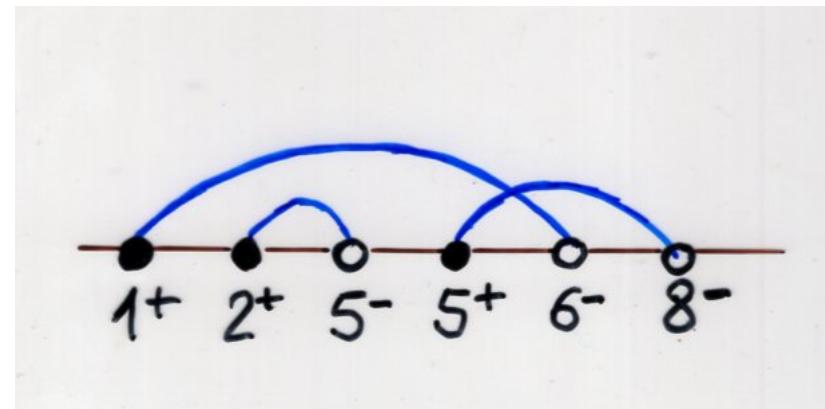
A. de Médicis, X.V.  
(1994)

$$\sigma = (1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8)$$
$$\begin{matrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 6 & 5 & 3 & 2 & 8 & 1 & 7 & 4 \end{matrix}$$

9

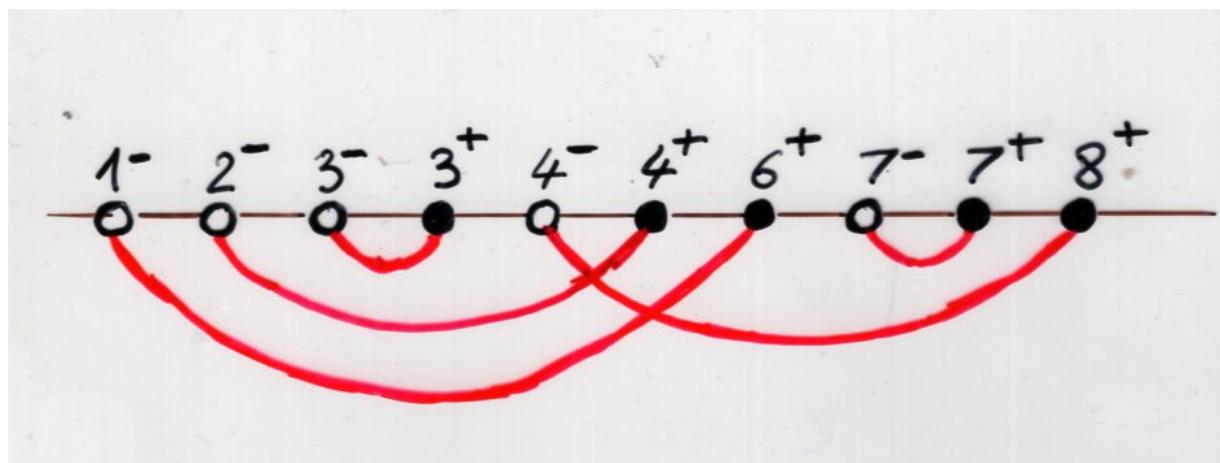


$\tau_{\text{exc}}$

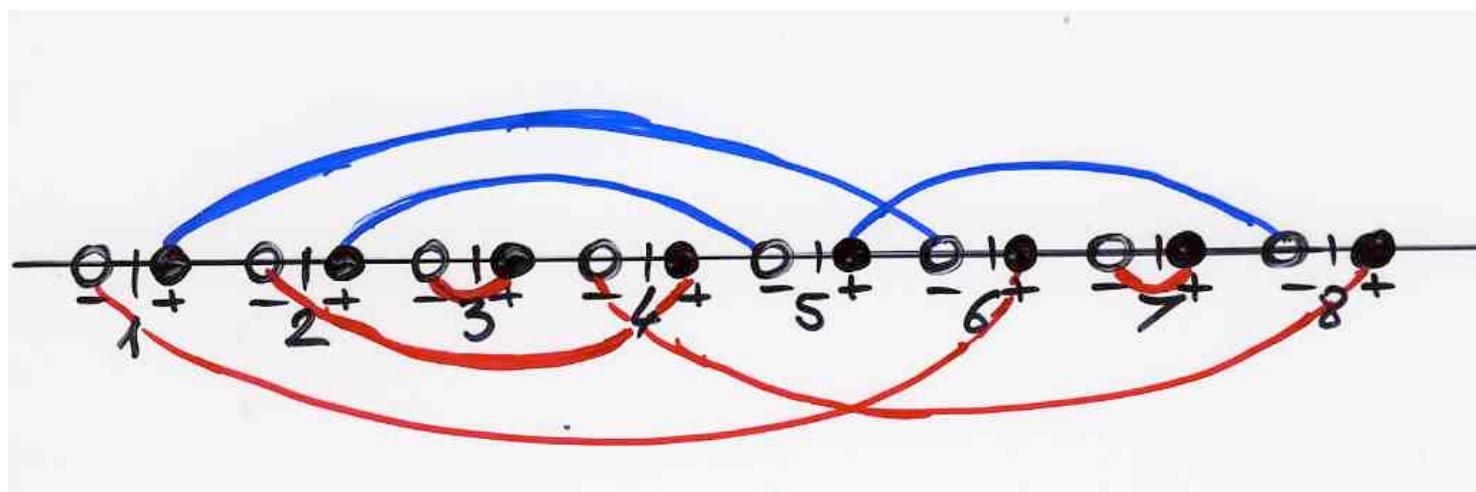


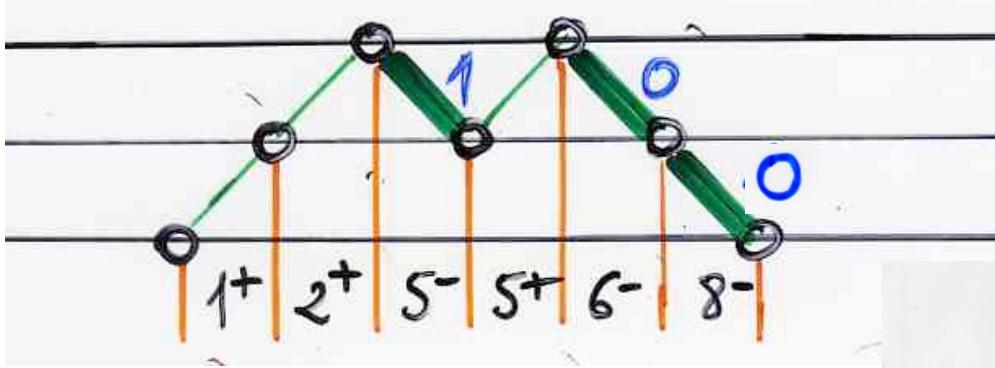
chord diagram  
(strict) exceedances  
 $i < \sigma(i)$

$\tau_{\text{nexc}}$

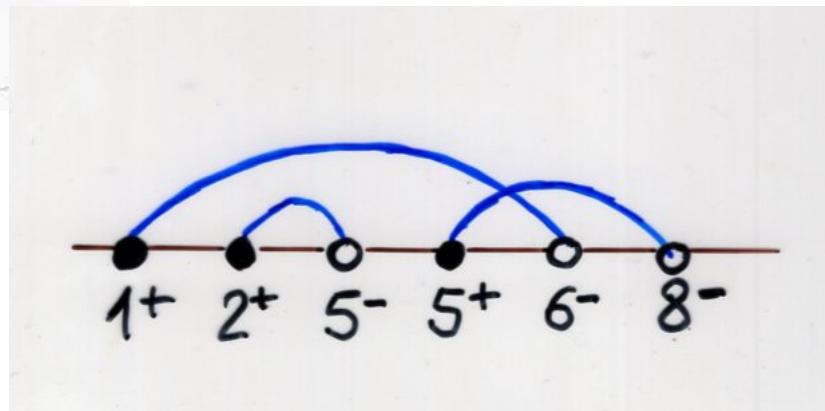
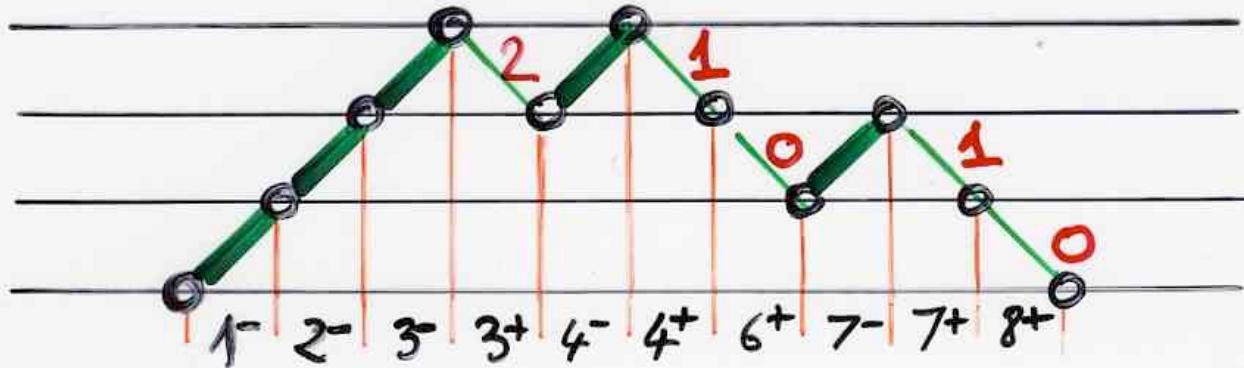
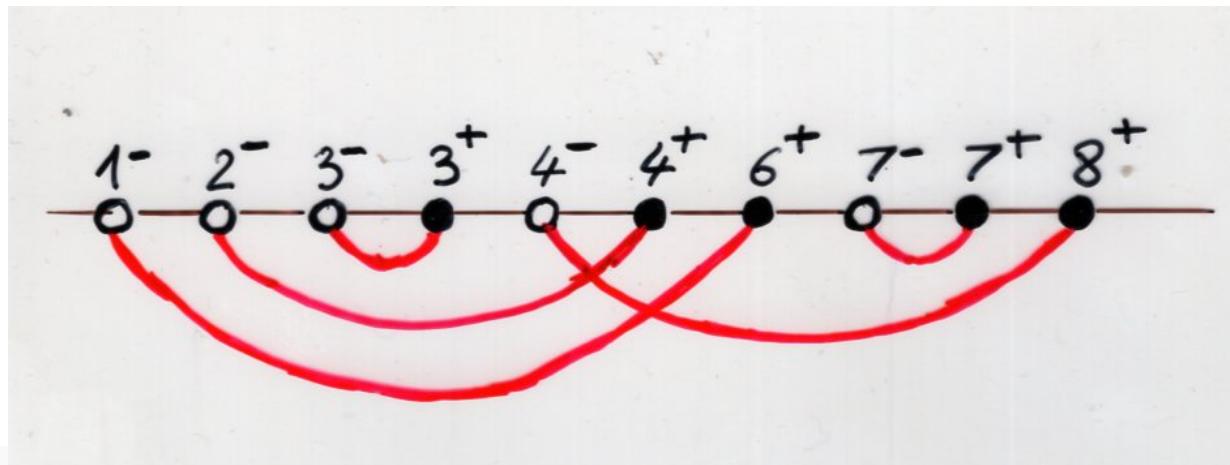


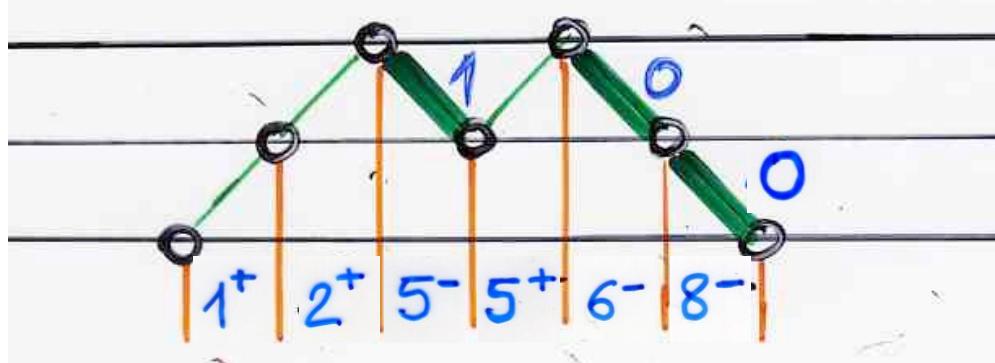
chord diagram  
non-exceedances  
 $i \geq \sigma(i)$



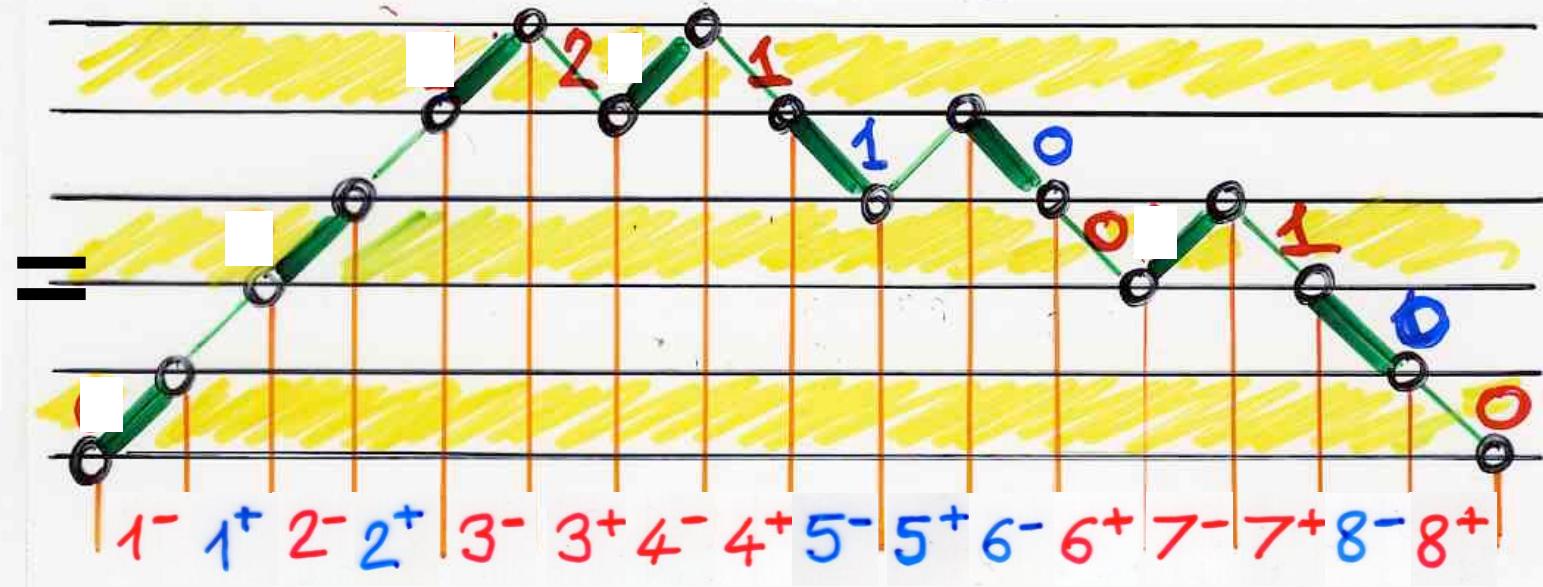

 $\tau_{\text{exc}}$ 

pair of two  
Hermite histories  
("shuffle")

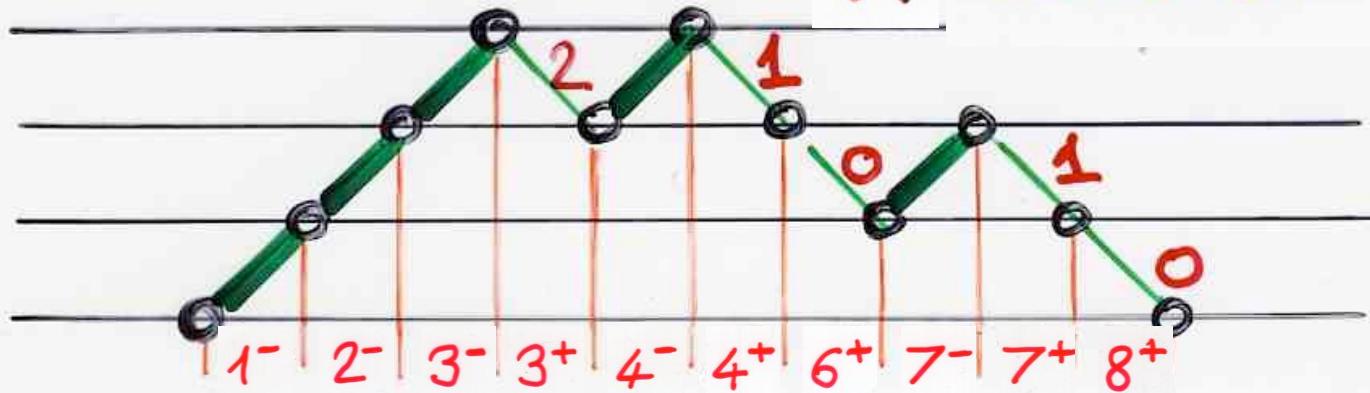

 $\tau_{\text{nexc}}$ 


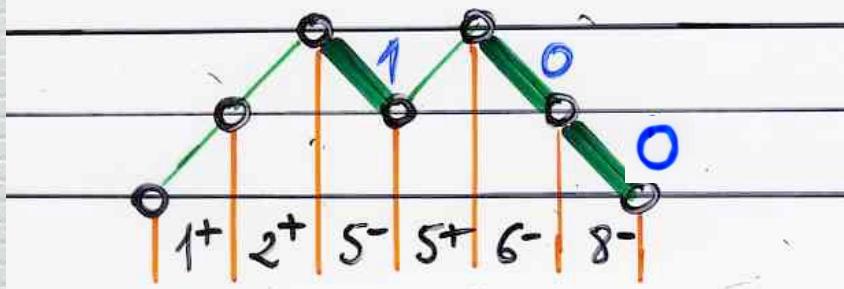


pair of two  
 Hermite histories  
 ("shuffle")

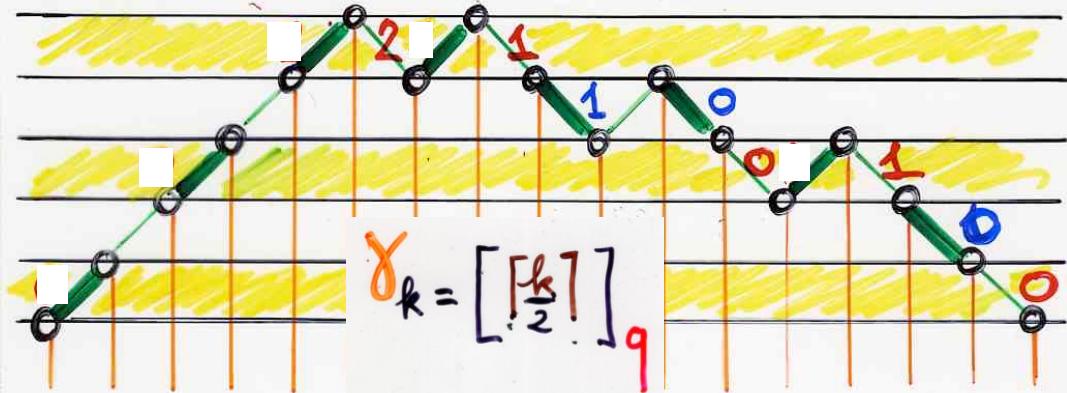
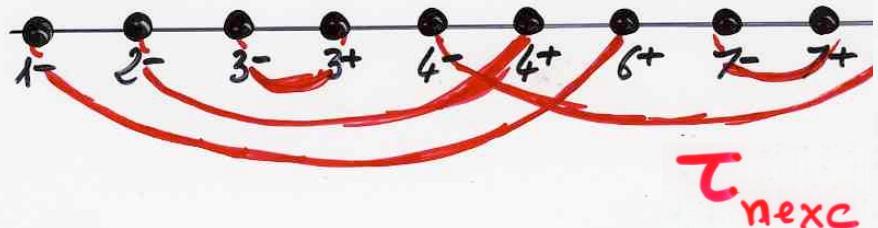
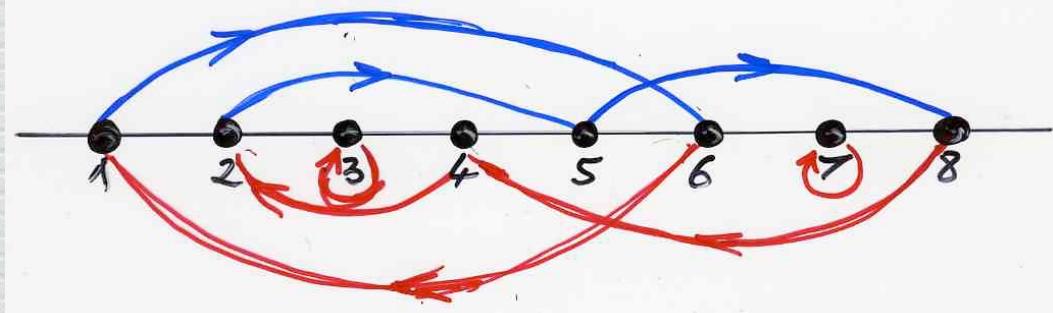
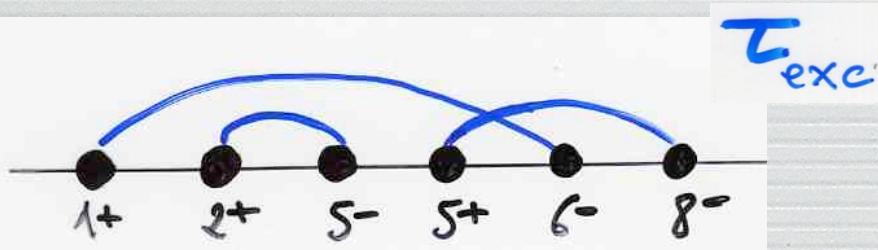


**H** subdivided Laguerre history

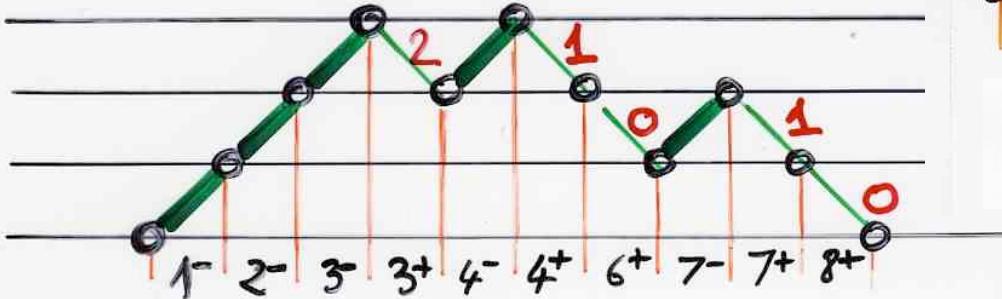


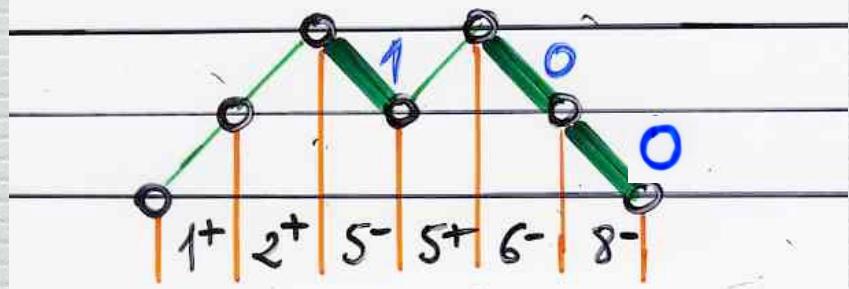


$$\sigma = (1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8) \quad (6 \ 5 \ 3 \ 2 \ 8 \ 1 \ 7 \ 4)$$

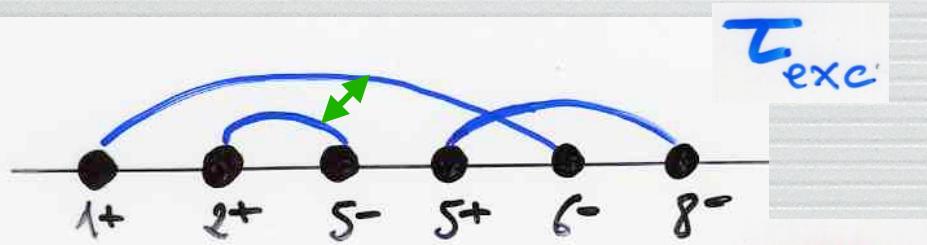


H subdivided Laguerre history

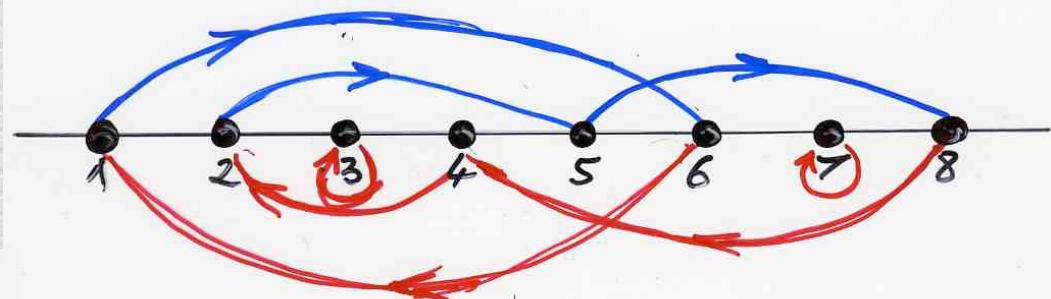
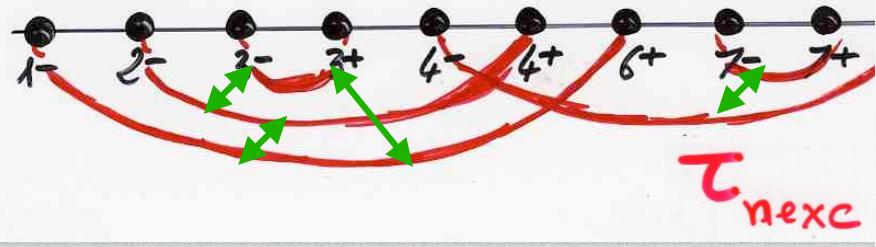




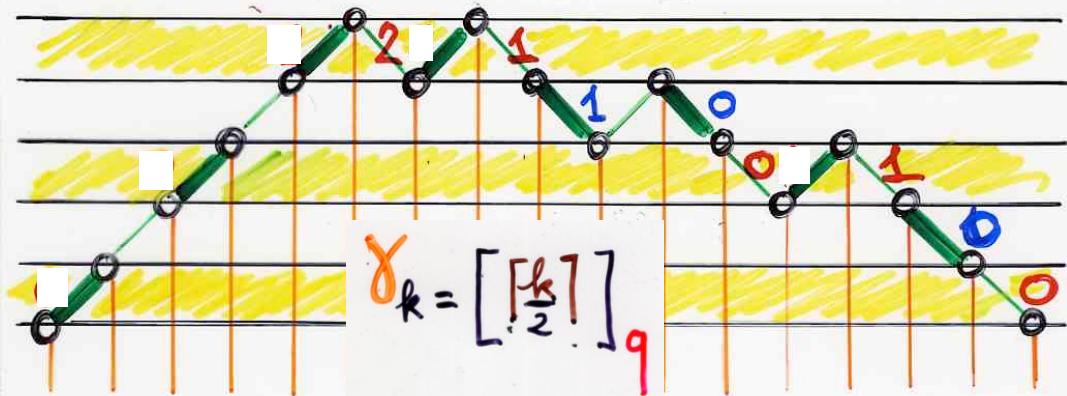
$$\sigma = (1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8) \\ (6 \ 5 \ 3 \ 2 \ 8 \ 1 \ 7 \ 4)$$



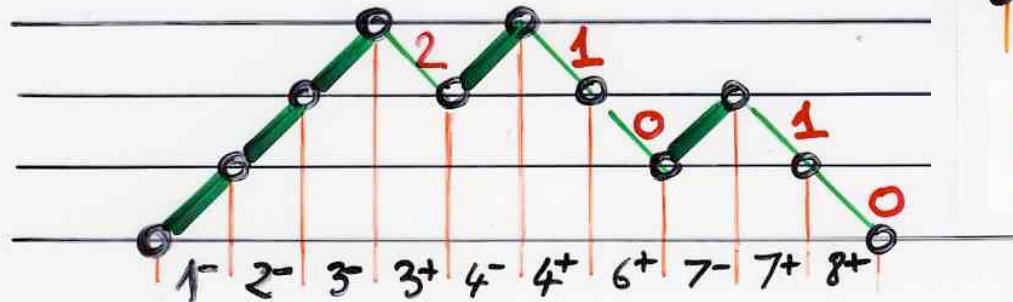
nb of nestings - 9



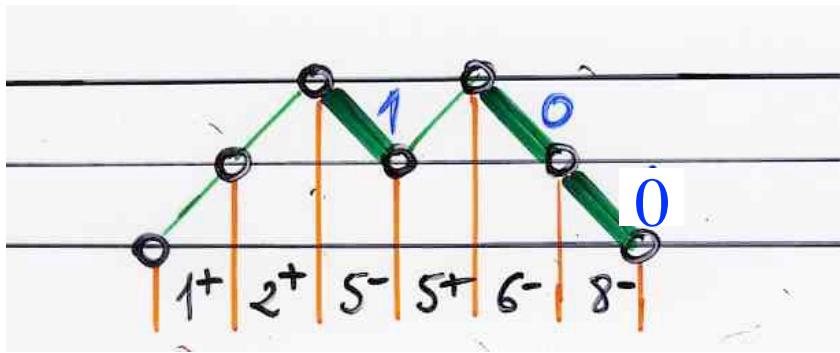
$$v_9(H) = \text{nest}(\tau_{\text{exc}}) + \text{nest}(\tau_{\text{nexc}})$$



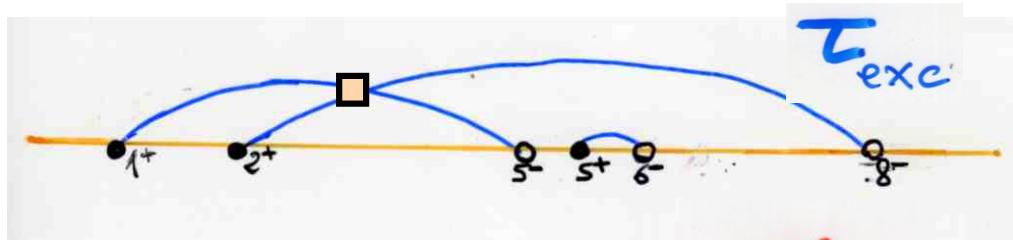
$$\gamma_k = \left[ \frac{k!}{2^k} \right]_q$$



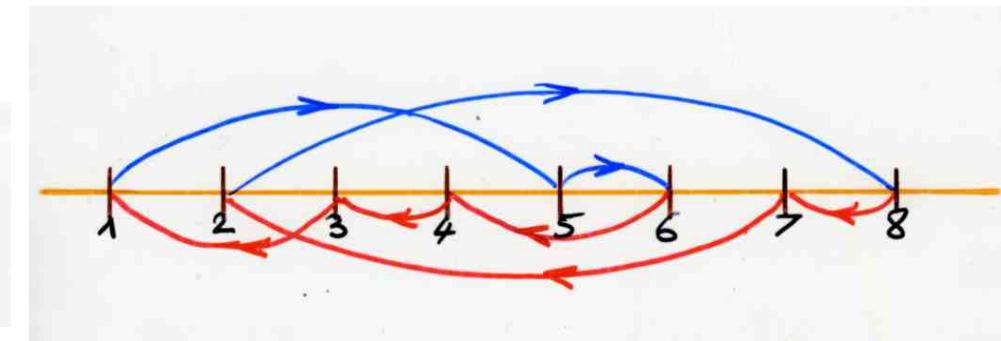
H subdivided Laguerre history



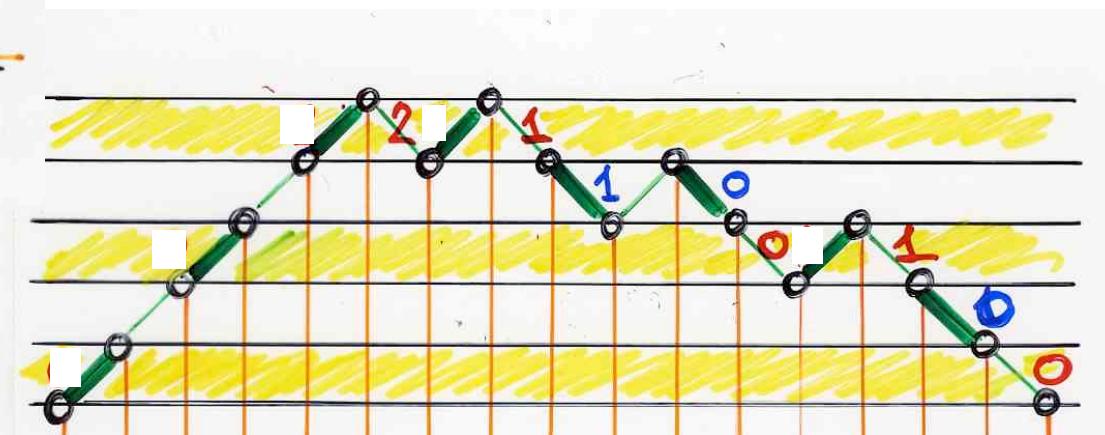
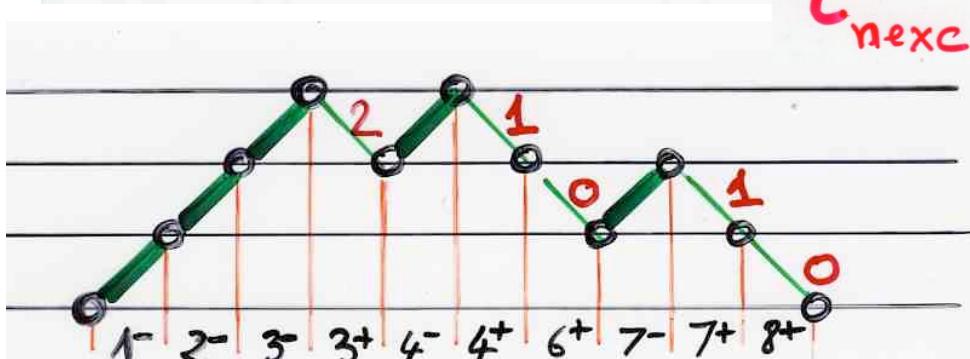
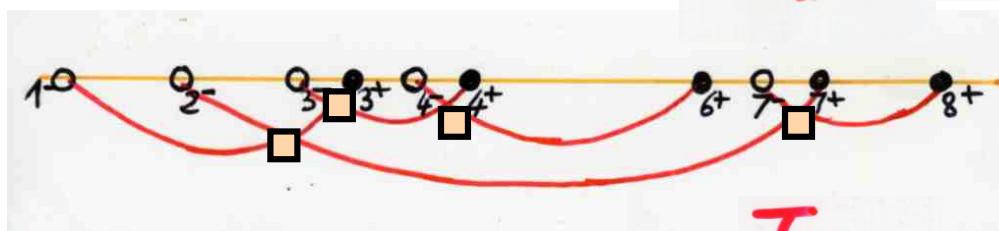
$$\sigma = \left( \begin{smallmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 5 & 8 & 1 & 3 & 6 & 4 & 2 & 7 \end{smallmatrix} \right)$$



nb of crossings 9



$$v_q(H) = cr(\tau_{exc}) + cr(\tau_{nexc})$$



H subdivided Laguerre history

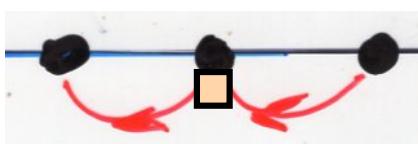
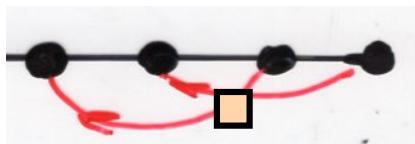
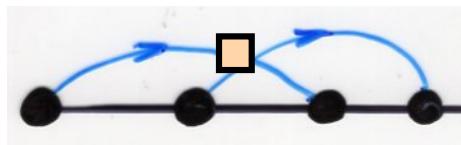
Definition

Corteel (2007)

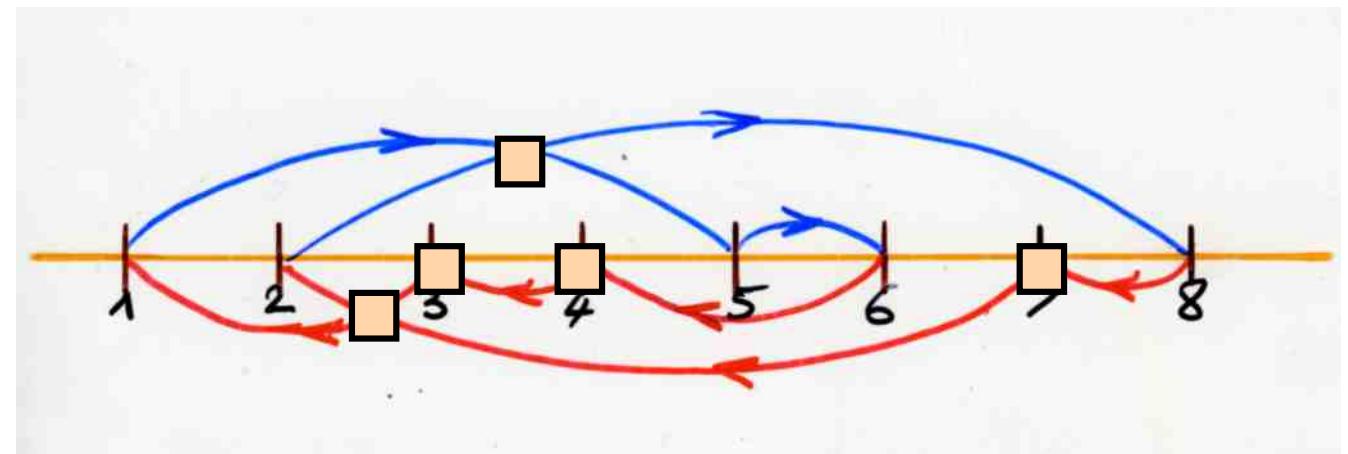
number of crossings  
of a permutation

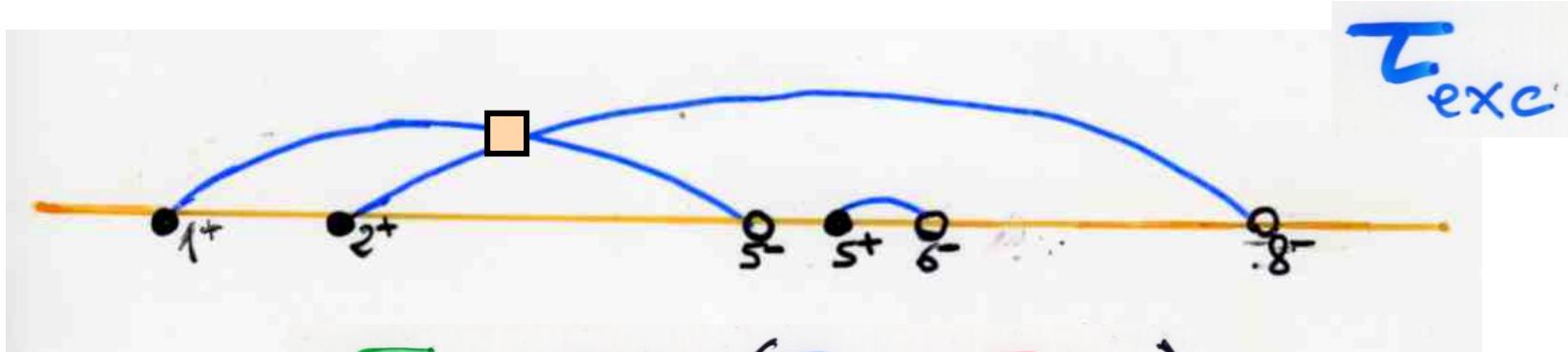
9

$$\sigma = ((1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8) \quad | \quad 5 \ 8 \ 1 \ 3 \ 6 \ 4 \ 2 \ 7)$$

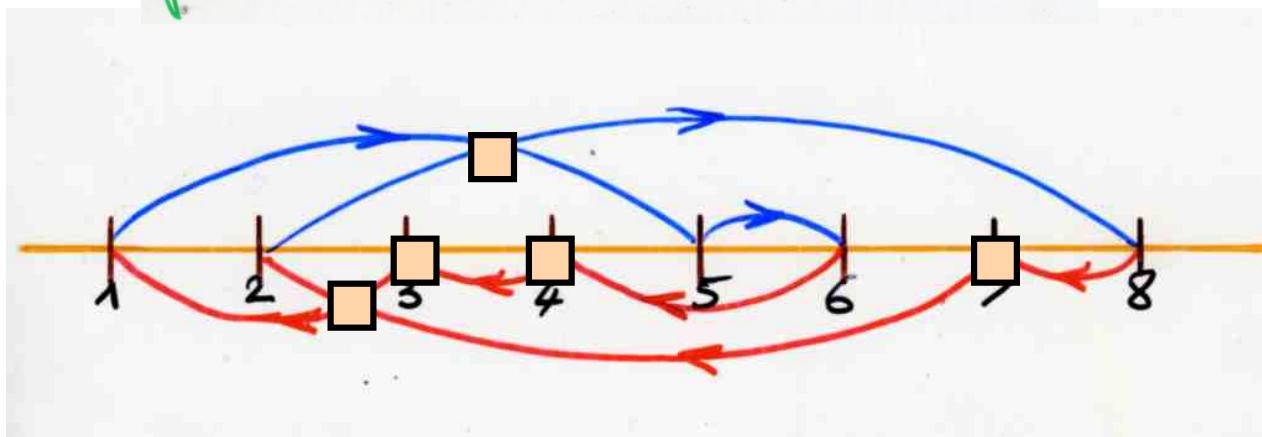


(strict) exceedances



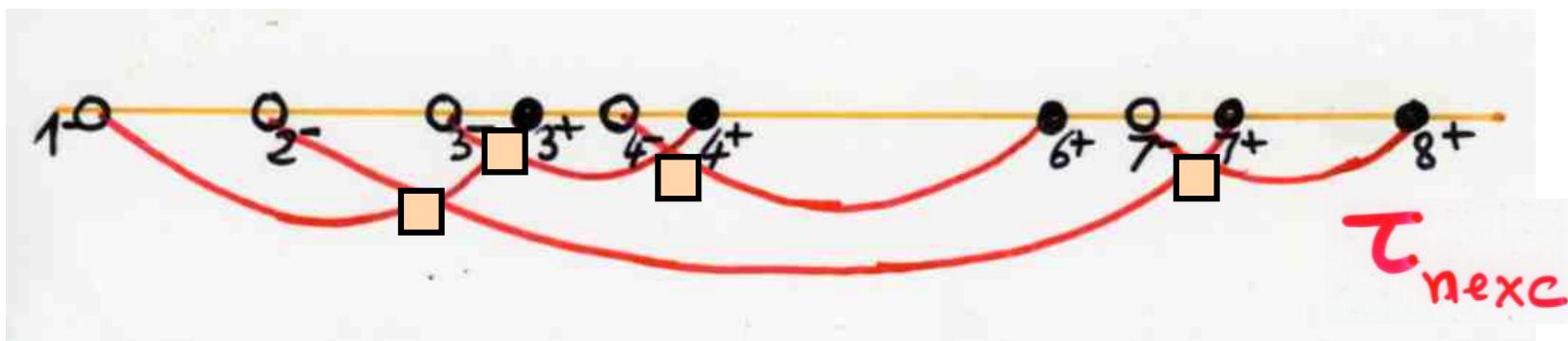


$$\sigma \xleftrightarrow{\text{permutation}} (\tau_{\text{exc}}, \tau_{\text{nexc}})$$



Lemma

$$\text{cr}(\sigma) = \text{cr}(\tau_{\text{exc}}) + \text{cr}(\tau_{\text{nexc}}) = v_q(H)$$

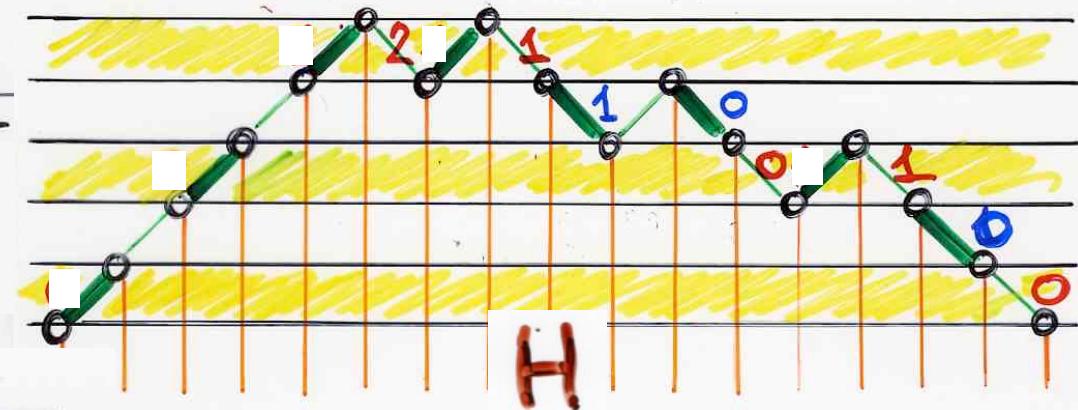
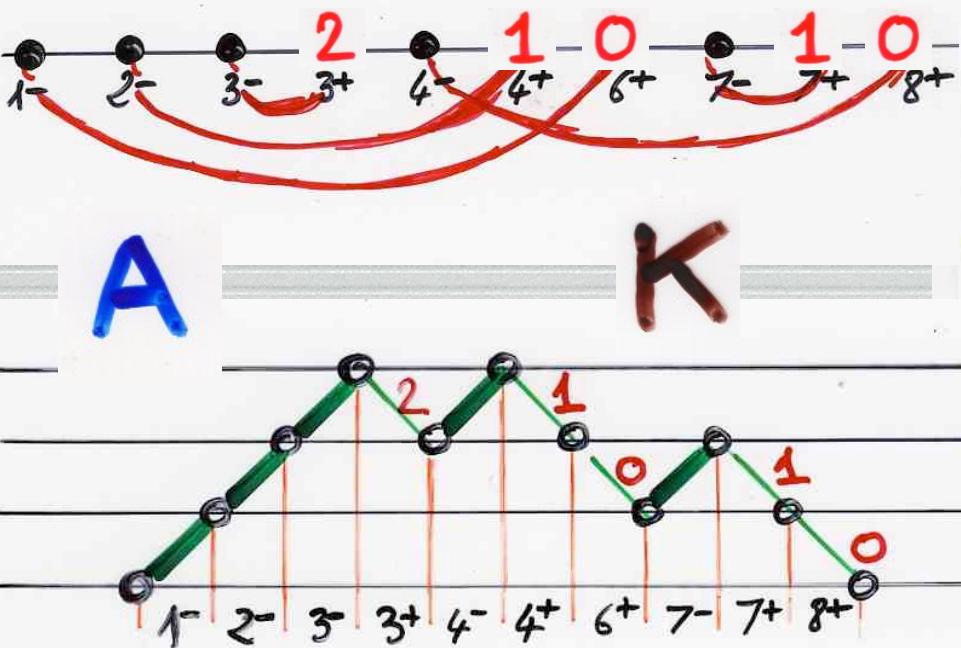
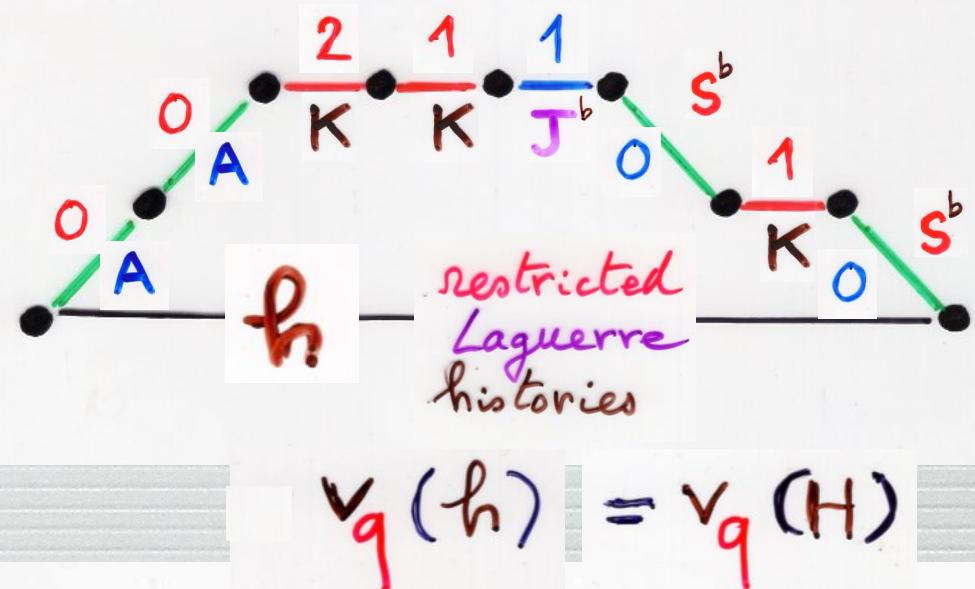
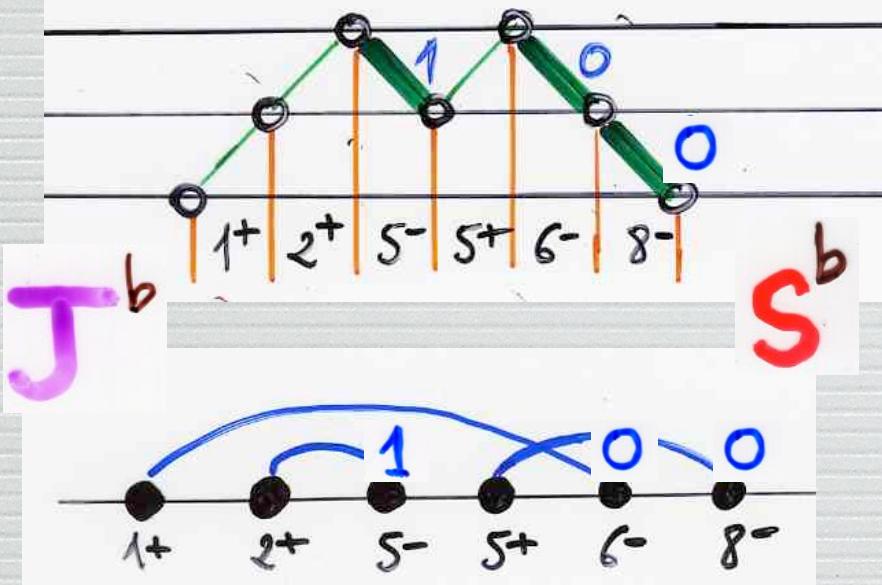


$\tau_{\text{nexc}}$

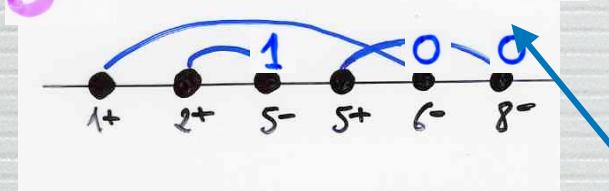
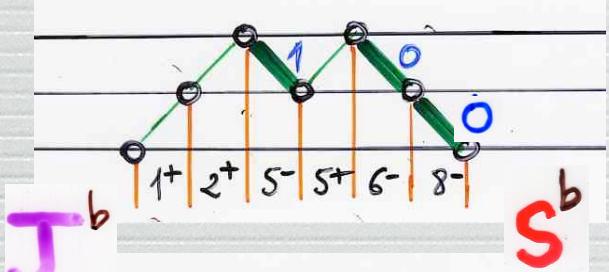
Corollary

$$\mu_n(q) = \sum_{\sigma \in S_n} q^{\text{cr}(\sigma)}$$

number of crossings  
of a permutation

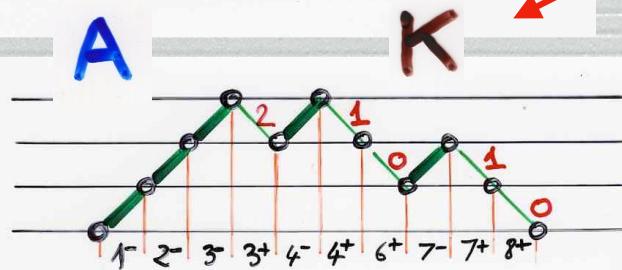
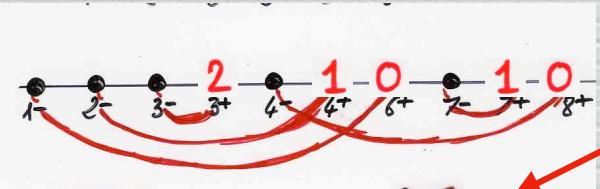
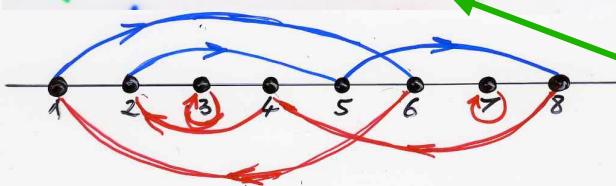


subdivided Laguerre history



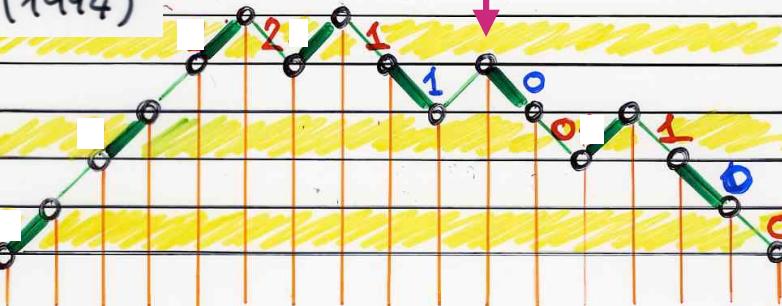
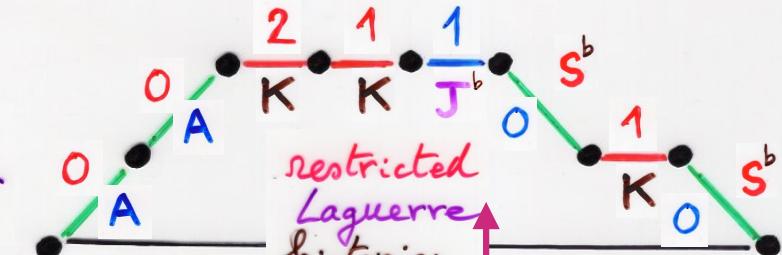
$$\sigma = (1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8) \\ (6 \ 5 \ 3 \ 2 \ 8 \ 1 \ 7 \ 4)$$

permutation cycle notation



Foata-Zeilberger  
(1990)

de Médicis,  
X.V. (1994)



subdivided Laguerre history

$$\begin{cases} b_k = y [k+1]_q + [k]_q \\ \lambda_k = y [k]_q^2 \end{cases}$$

$$\mu_n(y, q) = \sum_{\sigma \in S_n} y^{wex(\sigma)} q^{cr(\sigma)}$$

$$wex(\sigma) = \left\{ \begin{array}{l} \text{number of } i, \ 1 \leq i \leq n \\ i \leq \sigma(i) \end{array} \right\}$$

Interpretation with Laguerre heaps of segments

Bijection (restricted) Laguerre histories



Laguerre heaps of segments



see Ch2c, p95-104, p113-133

$$A|k\rangle = (k+1)|k+1\rangle$$

$$K|k\rangle = (k+1)|k\rangle$$

restricted  
Laguerre  
histories

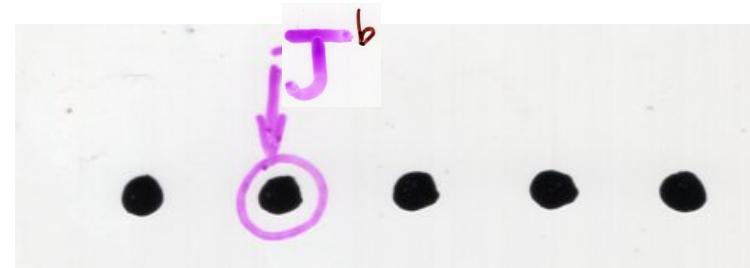
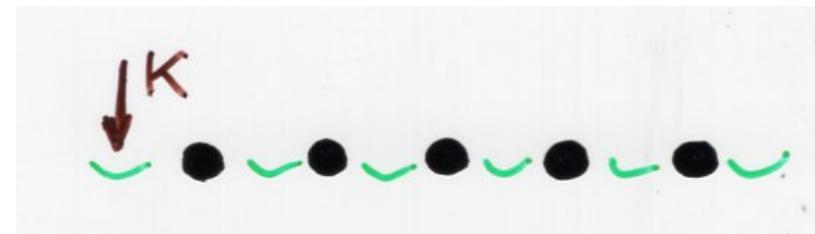
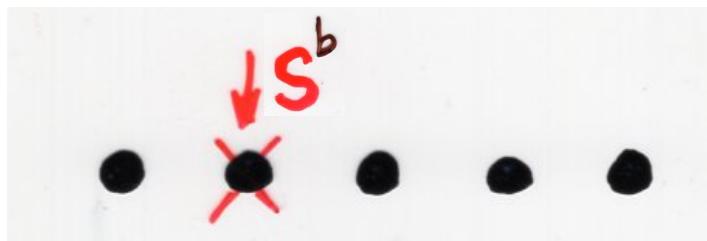
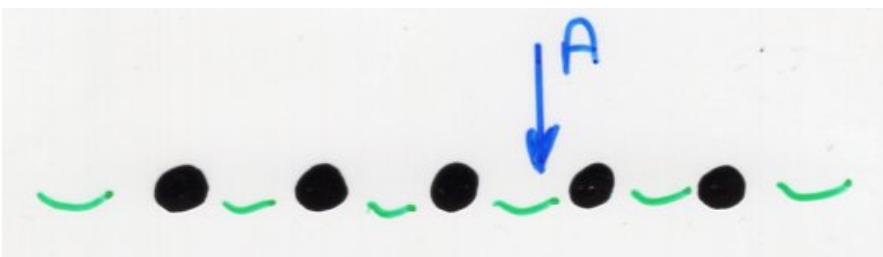
$$J^b|k\rangle = k|k\rangle$$

$$S^b|k\rangle = k|k-1\rangle$$

dictionary data structure

add or delete any element

ask questions  
 $J^b$  positive  
 $K$  negative



$$A|k\rangle = (k+1)|k+1\rangle$$

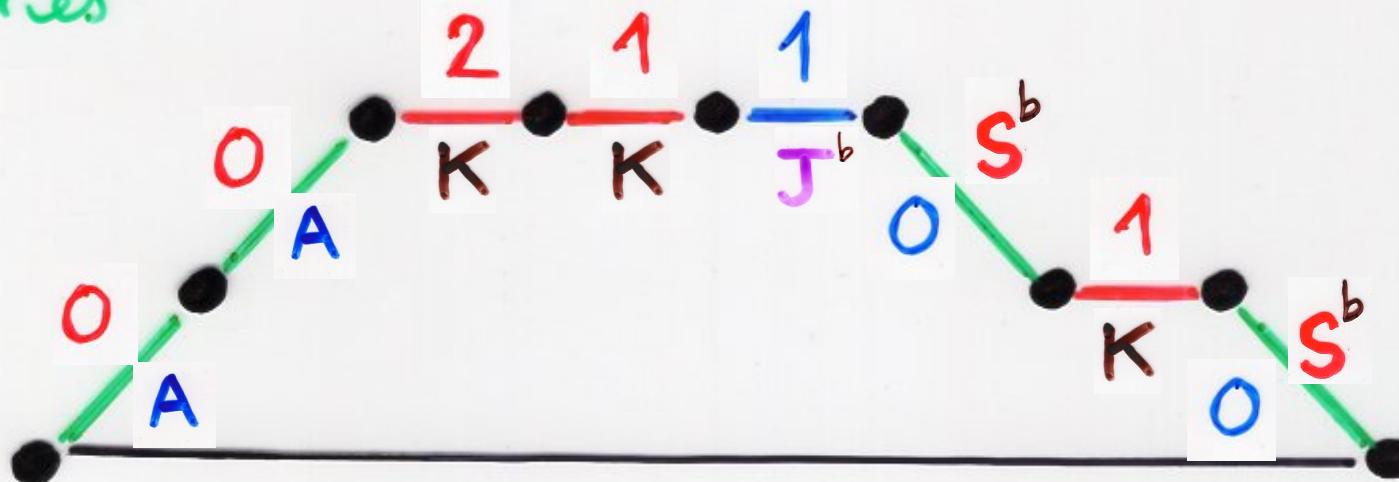
$$J^b|k\rangle = k|k\rangle$$

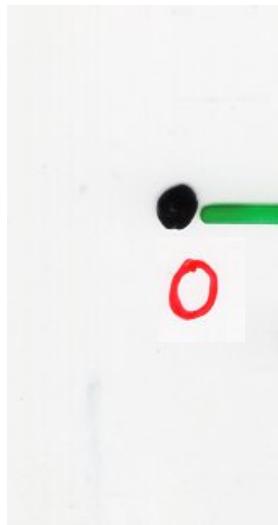
$$K|k\rangle = (k+1)|k\rangle$$

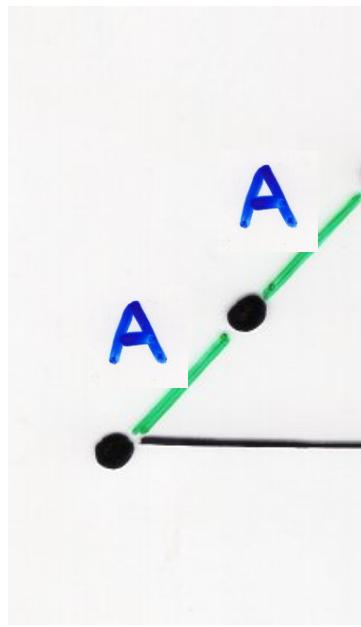
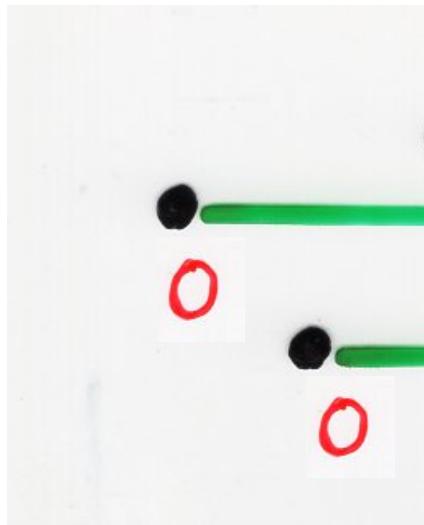
$$S^b|k\rangle = k|(k-1)\rangle$$

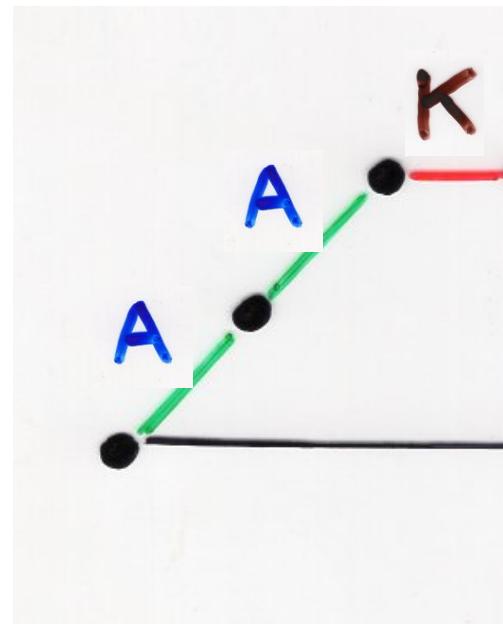
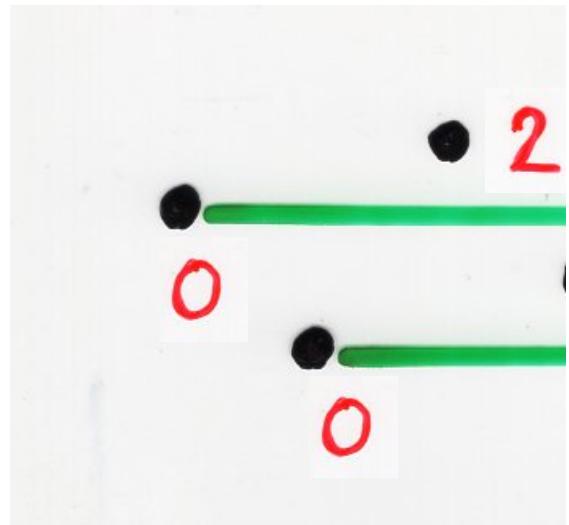
restricted  
Laguerre  
histories

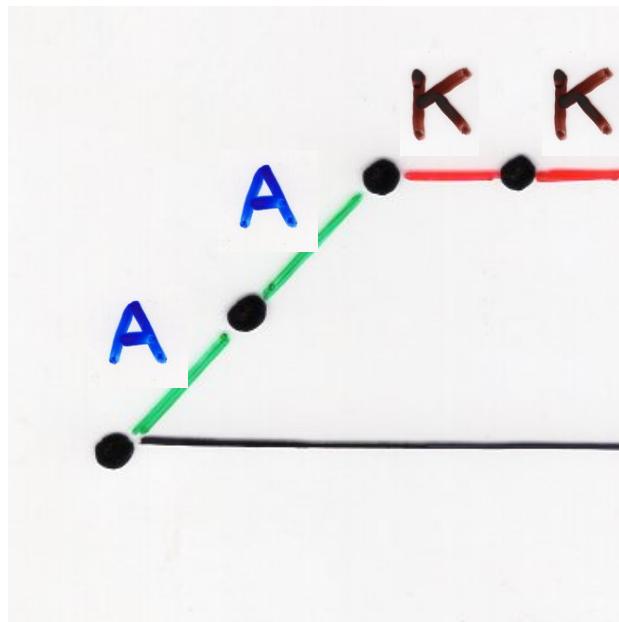
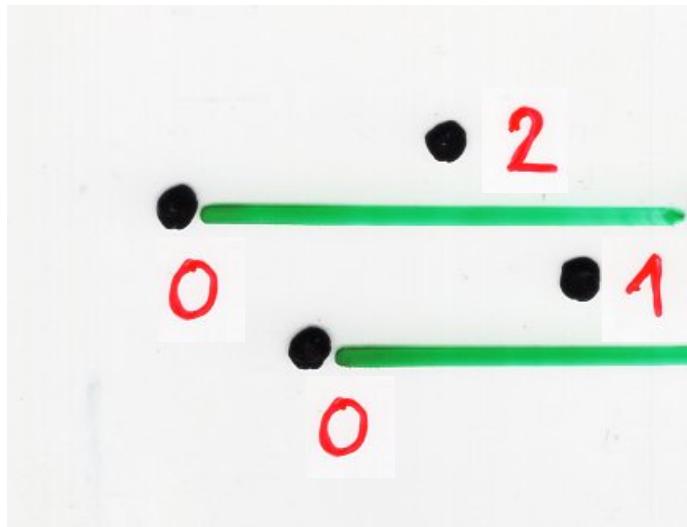
$\phi_i$

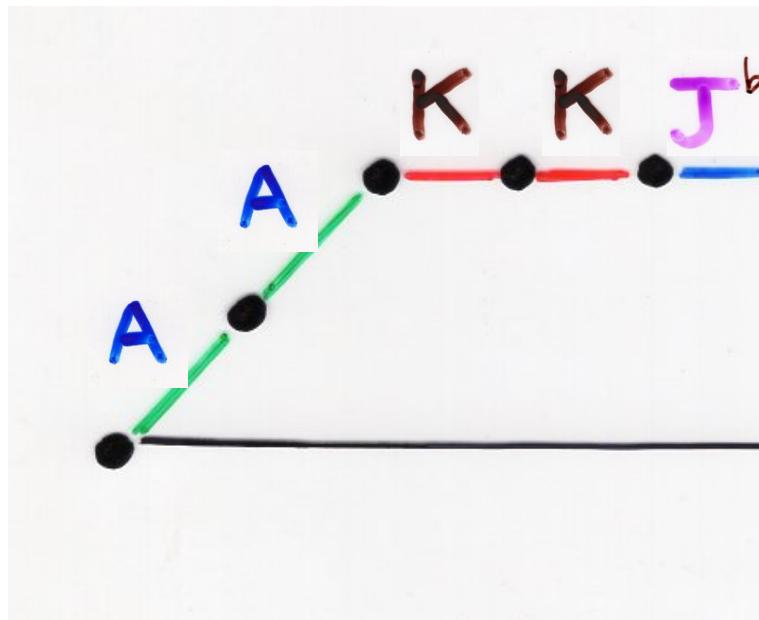
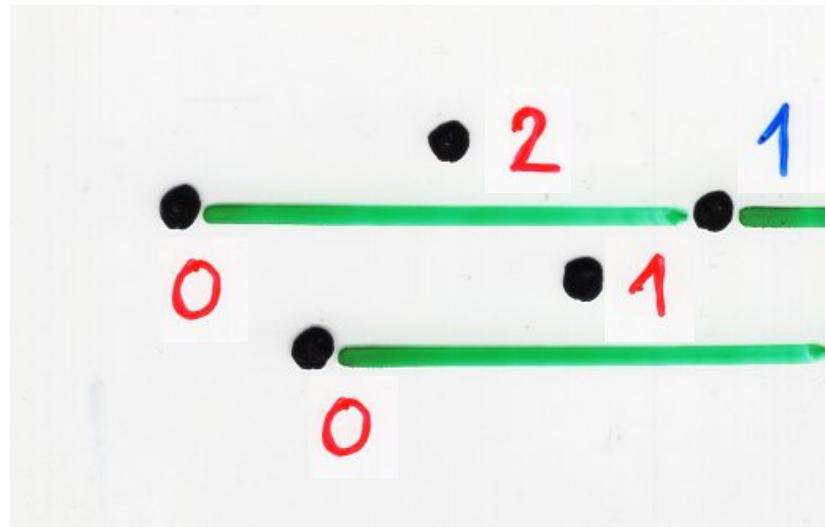


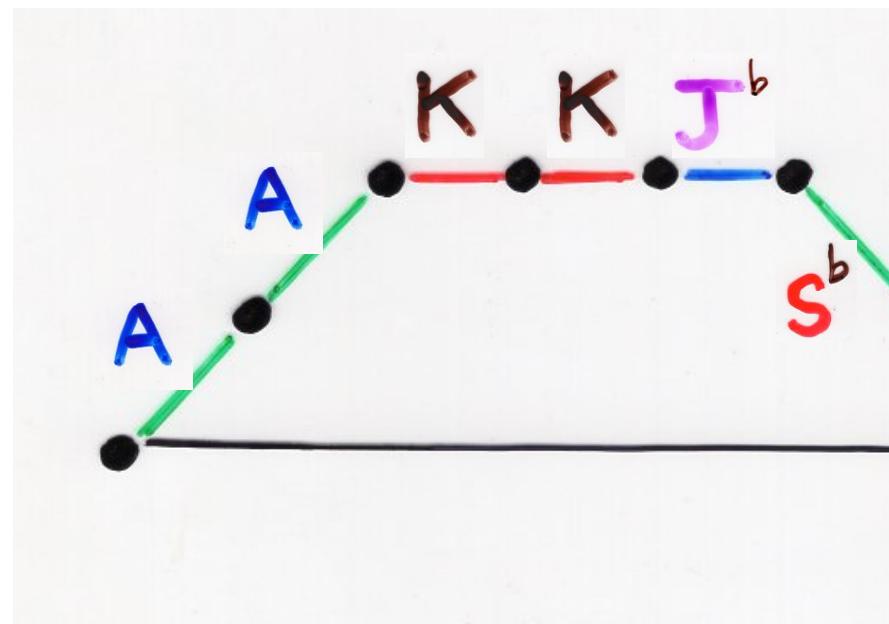
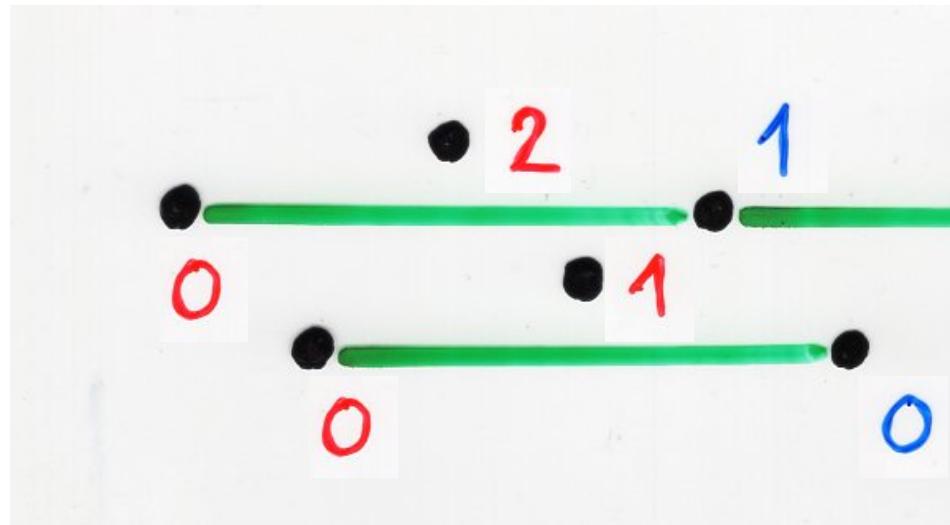


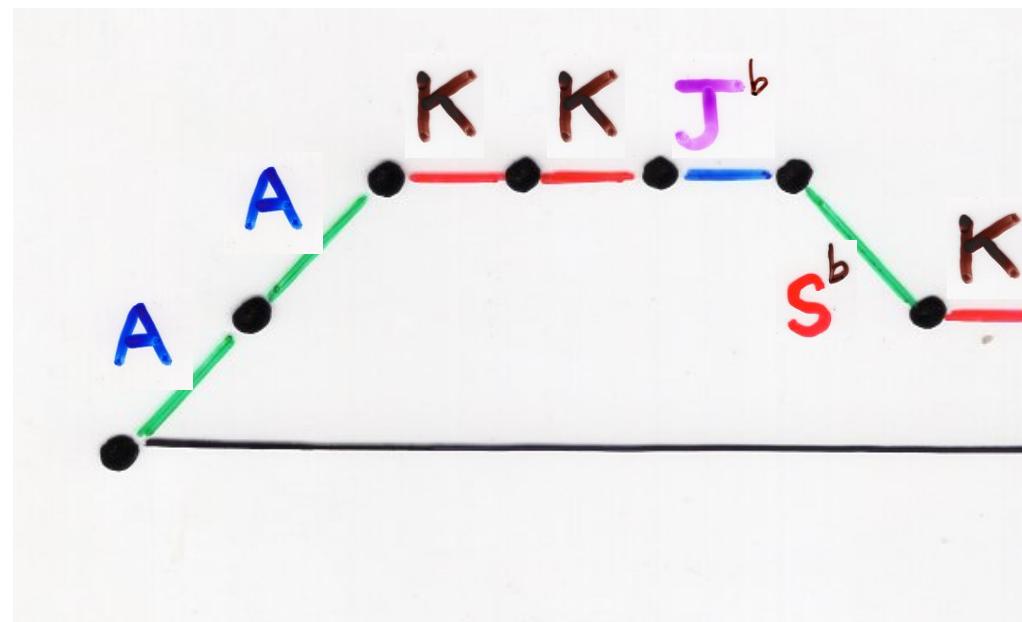
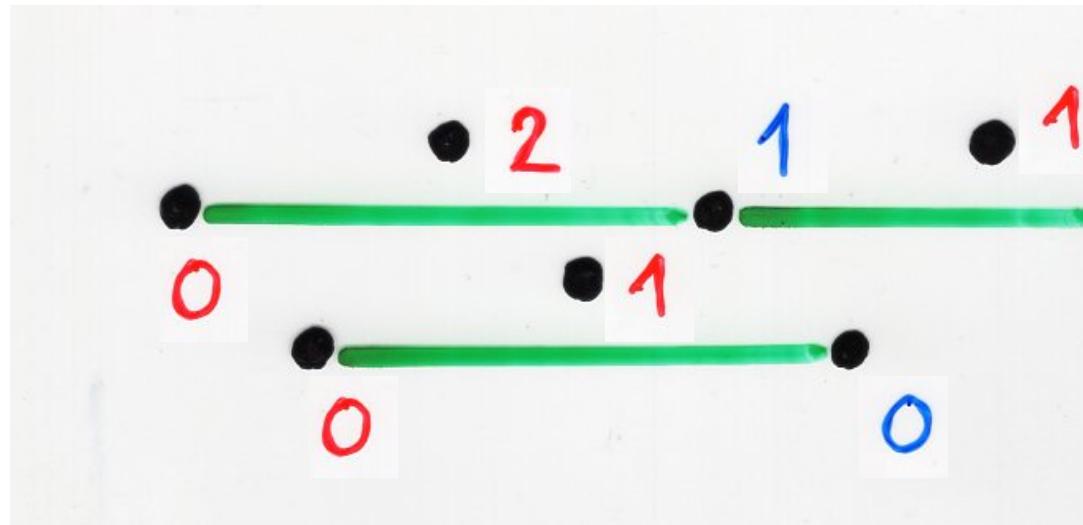


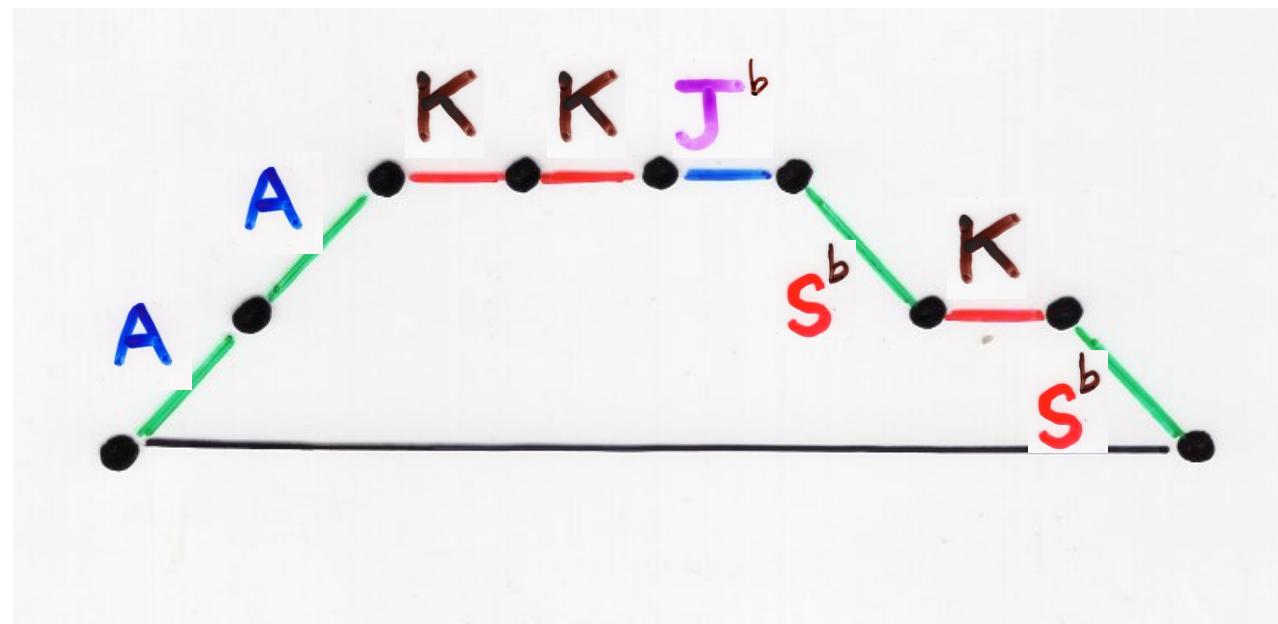
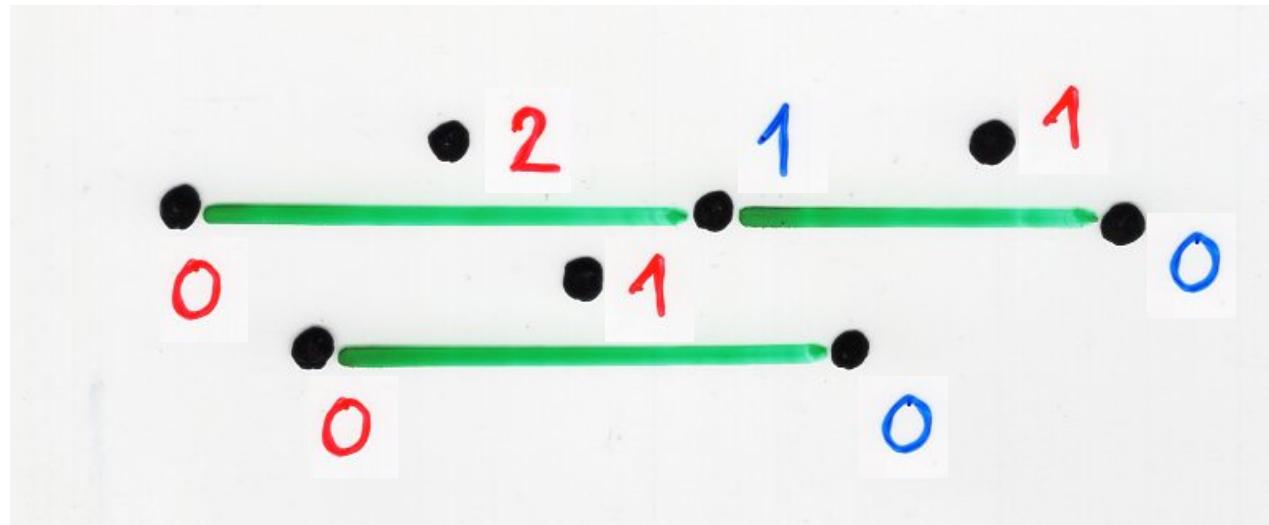


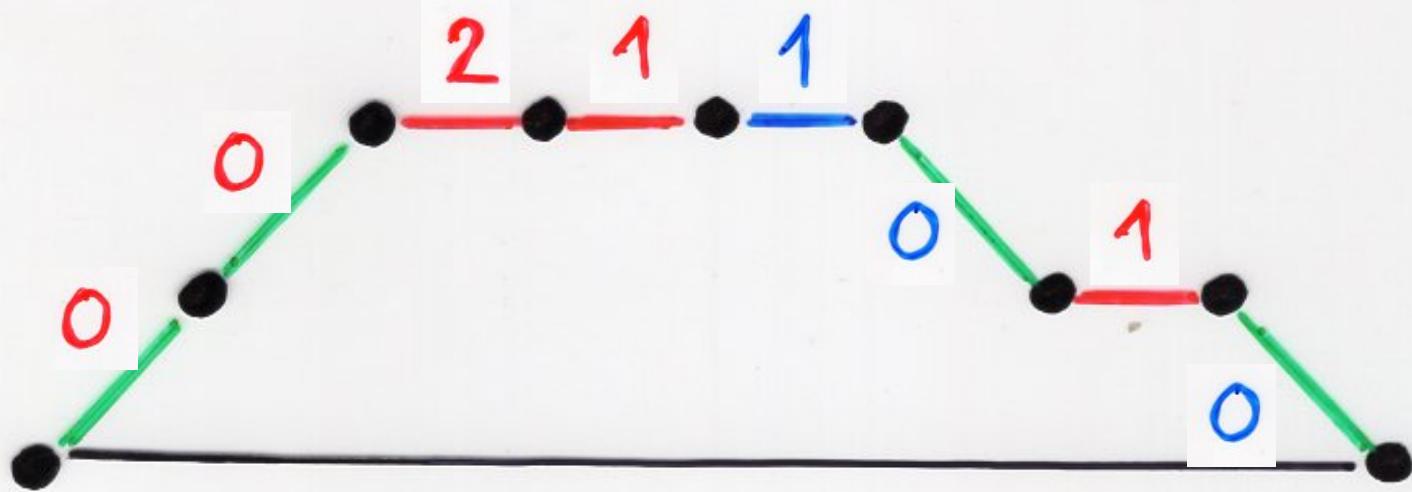


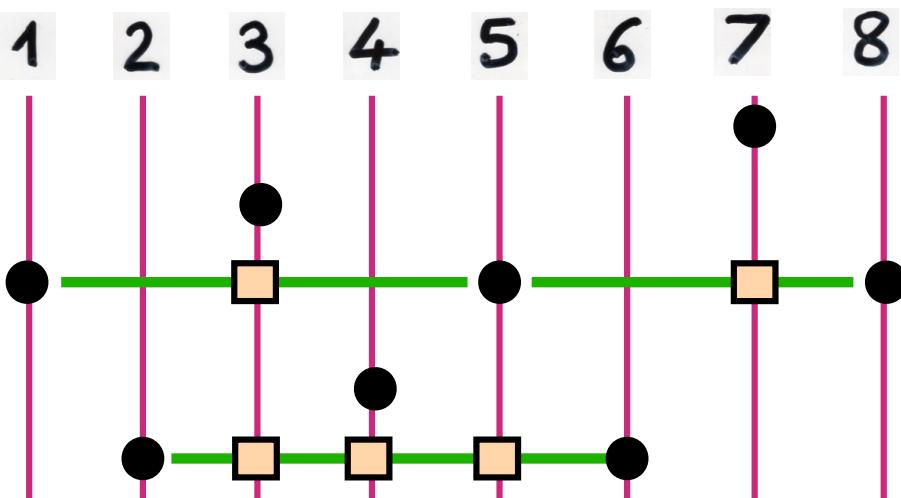






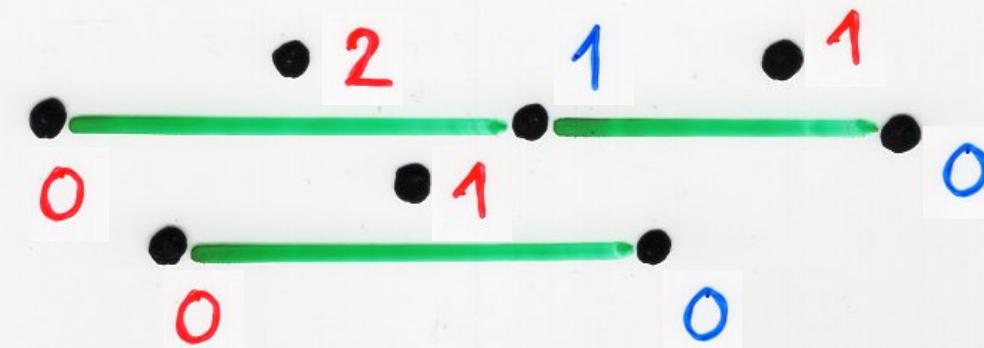




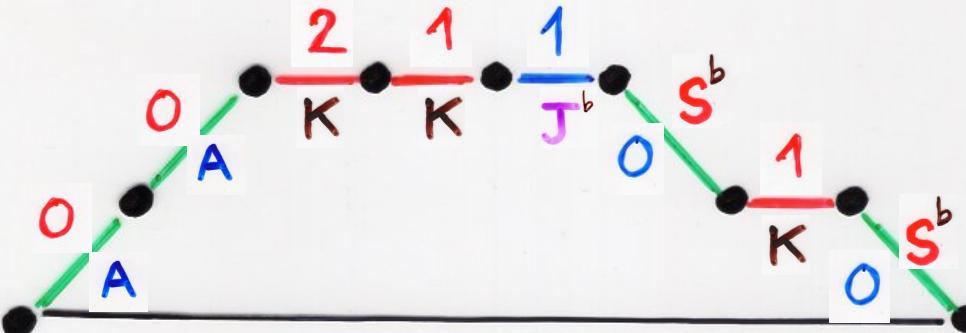


q

E



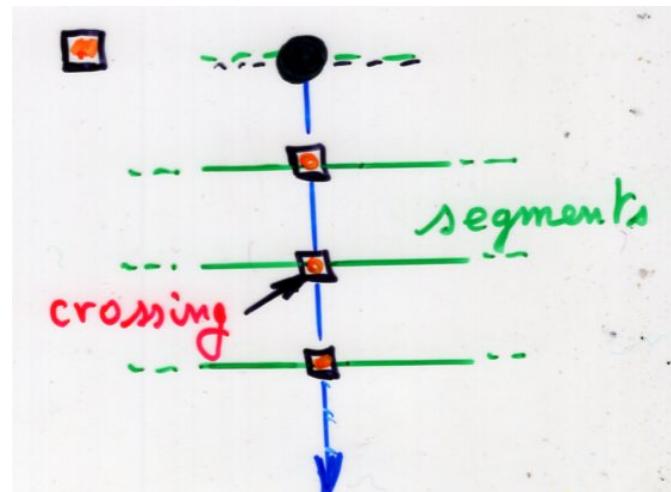
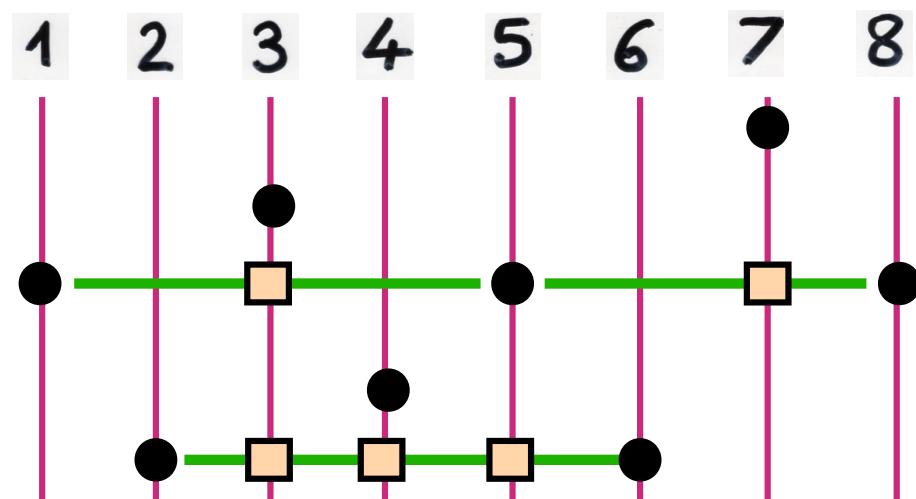
E



f

## Definition

$\text{cr}(E) = \text{number of } \square$



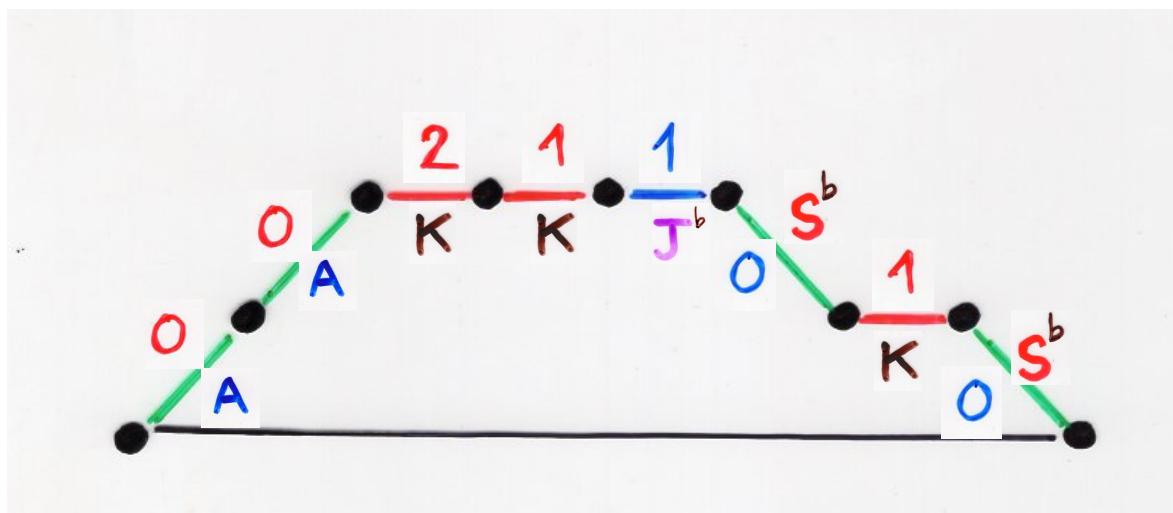
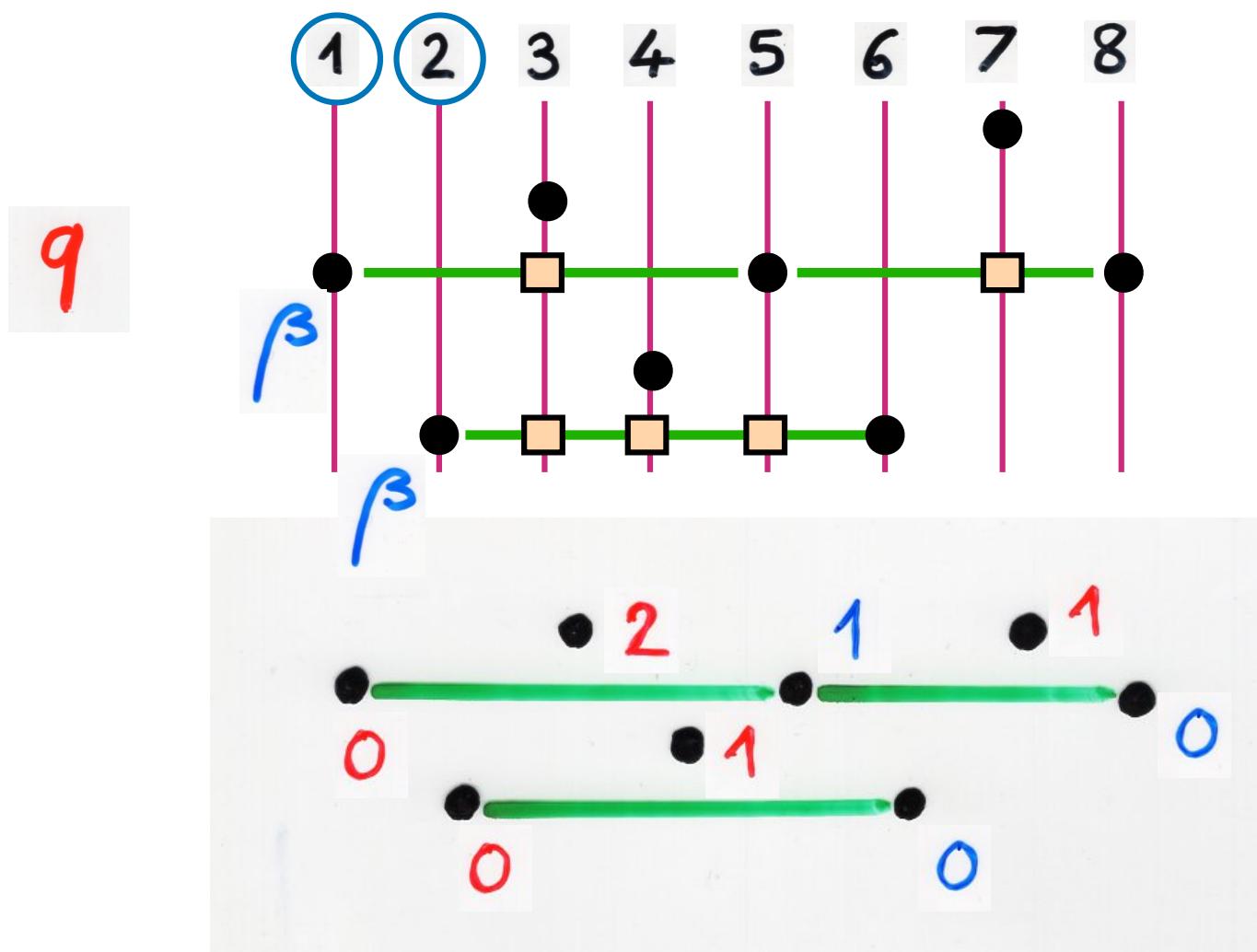
## Definition

$\lambda(E)$  = number of points  
with no ● below  
 $\downarrow$  (operator A) or  $\downarrow$  (operator K)

## Proposition

$$\mu_n^{(\beta)}(q) = \sum_E \beta^{\lambda(E)} q^{\text{cr}(E)}$$

Laguerre heaps  
of segments on  $[1, n]$

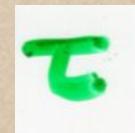


Bijection

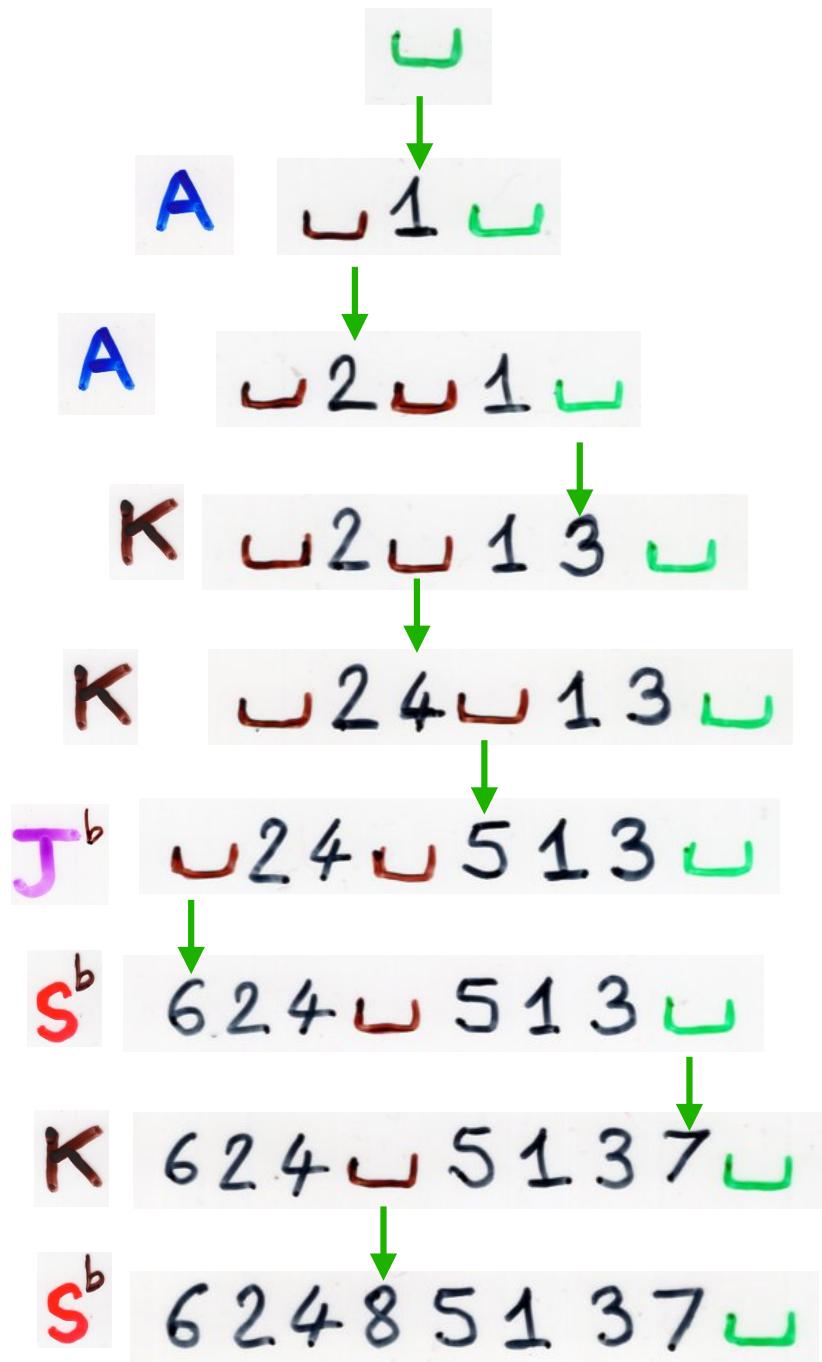
(restricted) Laguerre histories



Permutations  
(word notation)



see Ch3b, p127-129

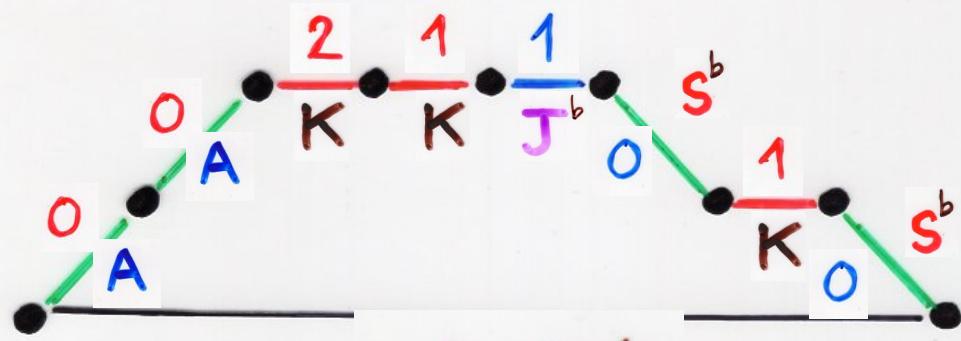


$$A|k\rangle = (k+1)| (k+1)\rangle$$

$$K|k\rangle = (k+1)| k\rangle$$

$$J^b|k\rangle = k | k\rangle$$

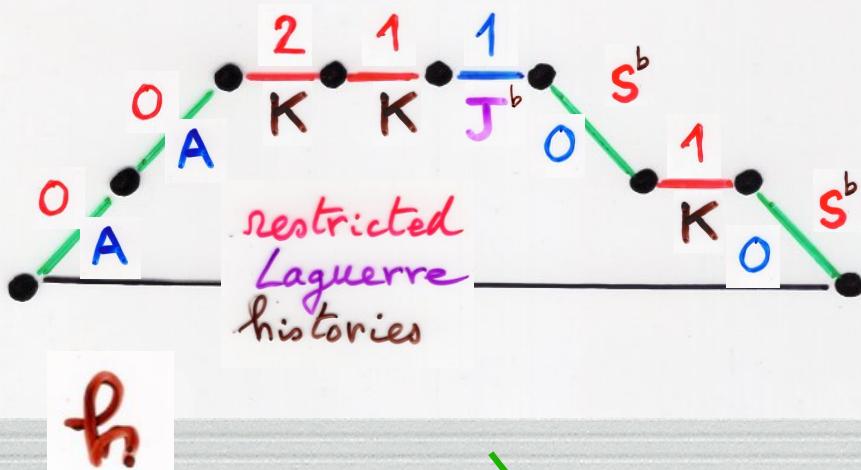
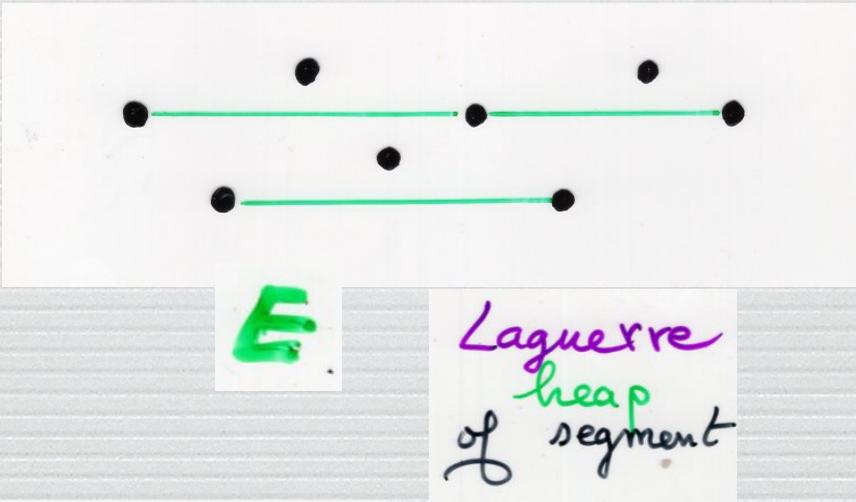
$$S^b|k\rangle = k | (k-1)\rangle$$



$f_h$

restricted  
Laguerre  
histories

$$\tau = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 6 & 2 & 4 & 8 & 5 & 1 & 3 & 7 \end{pmatrix}$$

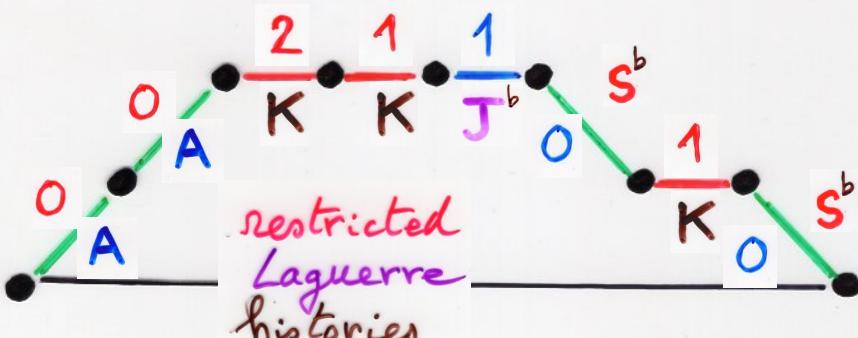


$$\begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 6 & 2 & 7 & 3 & 5 & 1 & 8 & 4 \end{pmatrix}$$

permutation

permutation

$$\tau = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 6 & 2 & 4 & 8 & 5 & 1 & 3 & 7 \end{pmatrix}$$



$\mathfrak{h}$

permutation

$$\tau = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 6 & 2 & 4 & 8 & 5 & 1 & 3 & 7 \end{pmatrix}$$

E

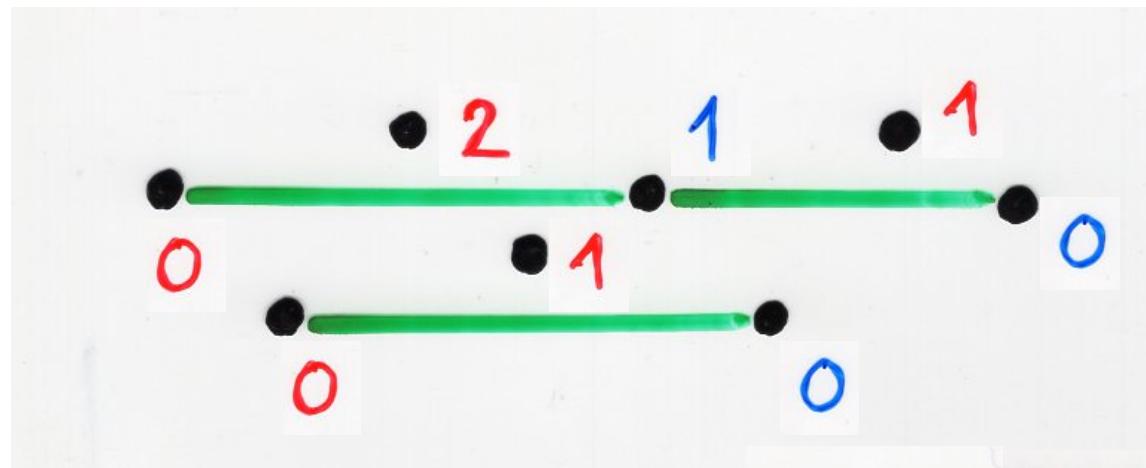
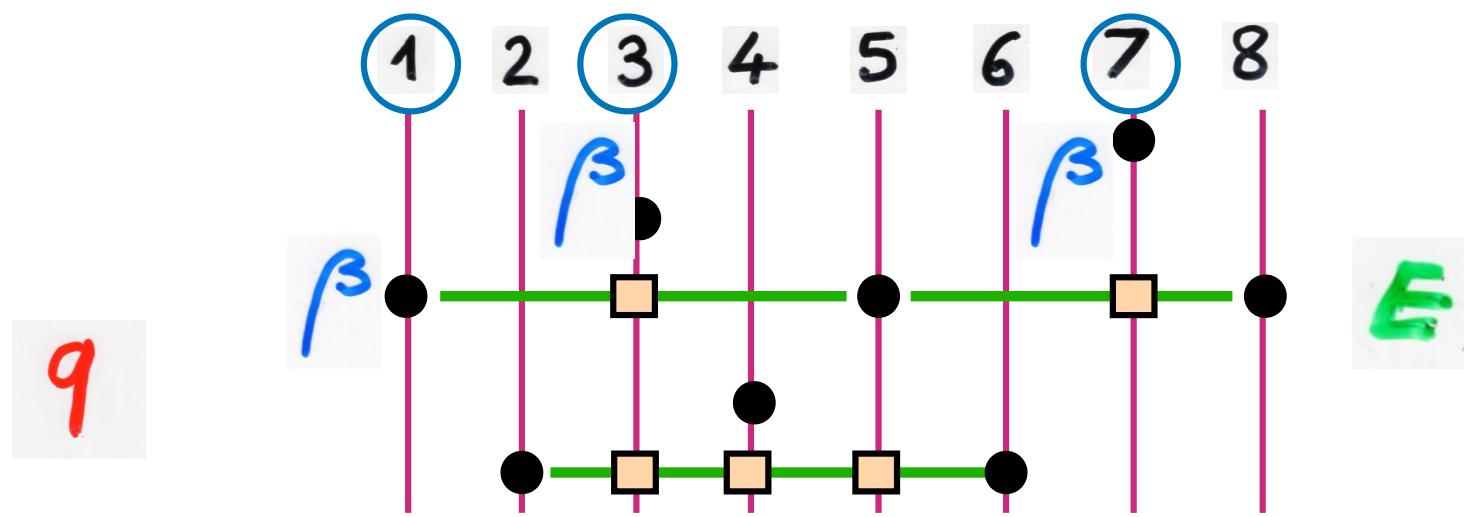
Laguerre  
heap  
of segment

$\tau^{-1}$

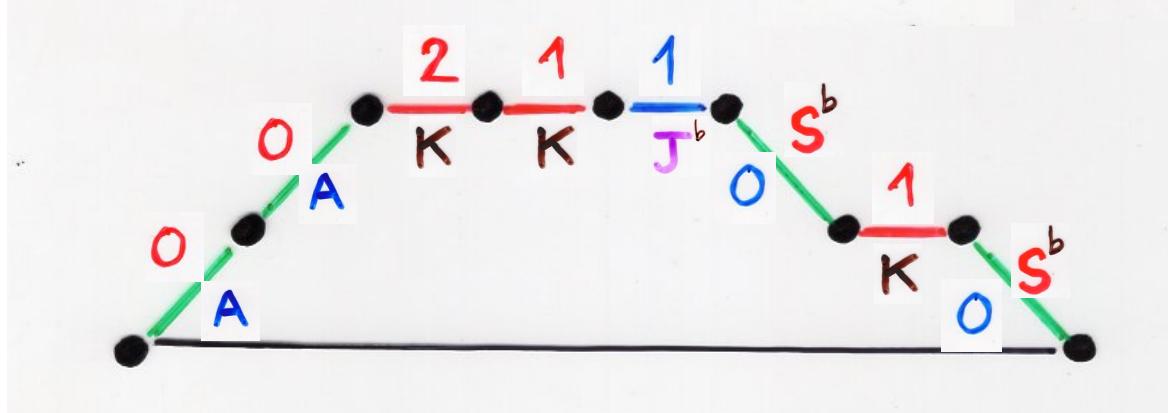
$$\tau^{-1} = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 6 & 2 & 7 & 3 & 5 & 1 & 8 & 4 \end{pmatrix}$$

permutation

$\tau$



$$\tau = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 6 & 2 & 4 & 8 & 5 & 1 & 3 & 7 \end{pmatrix}$$



q-analogue of  
Euler's continued fractions

see Ch3b, p92-96

$$z = 1 - mx + m(m+n)x^2 - m(m+n)(m+2n)x^3 + m(m+n) \\ (m+2n)(m+3n)x^4 - \text{etc.}$$

reperiatur enim iisdem operationibus institutis :

$$z = \frac{1}{1+mx} \\ \frac{1+nx}{1+(m+n)x} \\ \frac{1+2nx}{1+(m+2n)x} \\ \frac{1+3nx}{1+(m+3n)x} \\ \frac{1+4nx}{1+(m+4n)x} \\ \frac{1+5nx}{1+(m+5n)x} \\ \frac{\dots}{1+\text{etc.}}$$

Eadem vero expressio, aliaeque similes facile erui pos-

$$\sum_{n \geq 0} \beta(\beta+1)(\beta+2)\cdots(\beta+n-1) =$$

$$\begin{cases} \gamma_{2k} = k & (k \geq 1) \\ \gamma_{2k+1} = k + \beta & (k \geq 0) \end{cases}$$

$$\begin{cases} b'_k = \gamma_{2k+1} \\ b''_k = \gamma_{2k} \end{cases}$$

$$\begin{cases} a_{k-1} = \gamma_{2k-1} \\ c_k = \gamma_{2k} \end{cases}$$

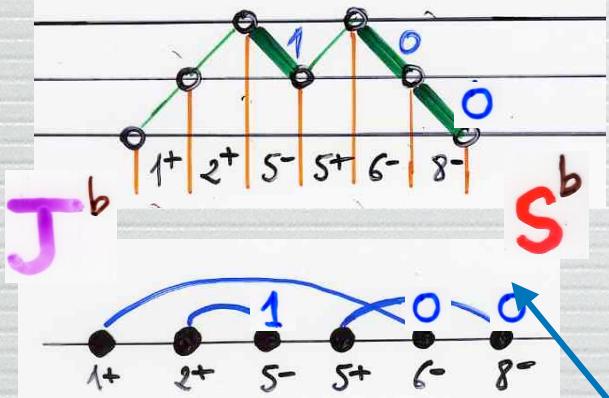
restricted  
Laguerre  
histories

$$\mu_n = \beta(\beta+1)\cdots(\beta+n-1)$$

$$\frac{1}{1-\beta t} = \frac{1}{1-t} \cdot \frac{1}{1-(\beta+1)t} \cdot \frac{1}{1-(\beta+2)t} \cdot \frac{1}{1-(\beta+3)t} \cdots$$

$$\begin{cases} a_k = k + \beta \\ b'_k = k + \beta \\ b''_k = k \\ c_k = k \end{cases} \quad \begin{matrix} (k \geq 0) \\ (k \geq 1) \end{matrix}$$

$$\begin{cases} b_k = 2k + \beta \\ \lambda_k = (k-1+\beta)k \end{cases}$$

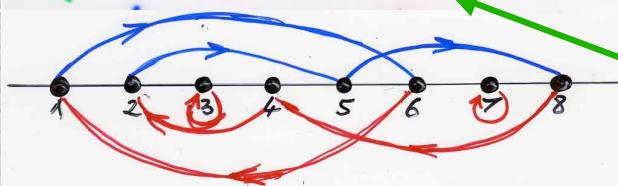


$$\left\{ \begin{array}{l} a_k = k + \beta \\ b'_k = k + \beta \\ b''_k = k \\ c_k = k \end{array} \right.$$

A K lr-min

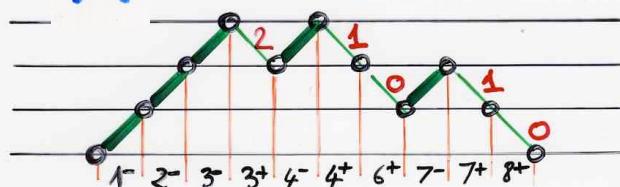
$$\sigma = (1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8)_{(6 \ 5 \ 3 \ 2 \ 8 \ 1 \ 7 \ 4)}$$

permutation cycle notation

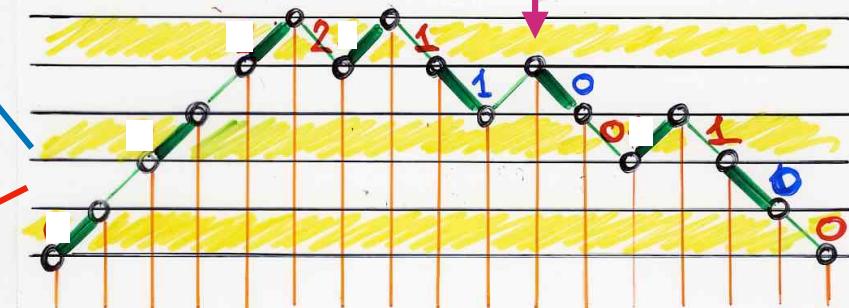
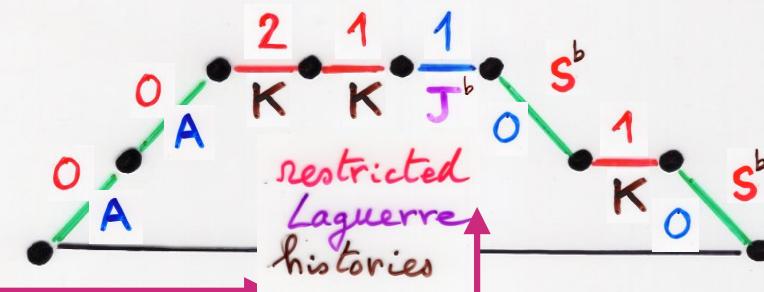


$$1 \ 2 \ 3 \ 2 \ 1 \ 0 \ 1 \ 0$$

A      K



A K lr-min



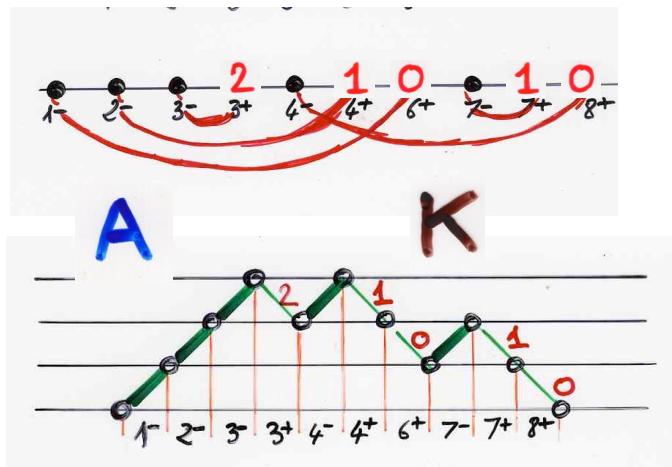
$$\sum_{n \geq 0}$$

$$\mu_n^{(\beta)}(q)$$

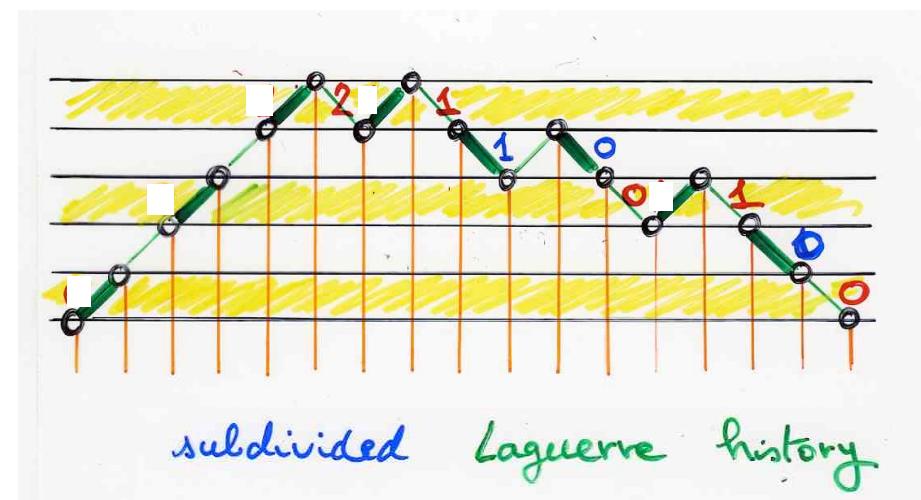
$$= \frac{1}{1 - \beta t} \cdot \frac{1 - 1t}{1 - (\beta+1)t} \cdot \frac{1 - 2t}{1 - (\beta+2)t} \cdots$$

$$\left\{ \begin{array}{l} \gamma_{2k} = [k]_q \\ \gamma_{2k+1} = [k; \beta]_q \end{array} \right.$$

A K lr-min



$$[k; \beta]_q = (\beta + q + q^2 + \dots + q^{k-1})$$

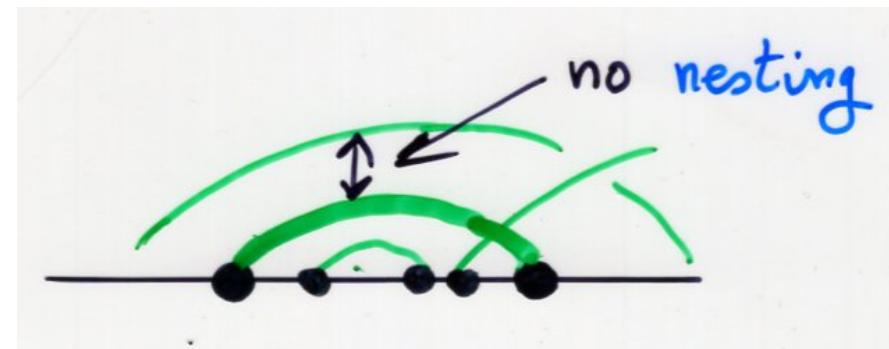
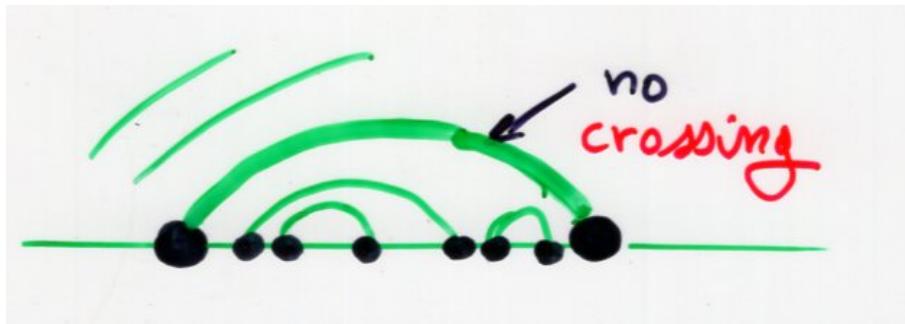


$$[\beta; \beta]_q = (\beta + q + q^2 + \dots + q^{k-1})$$

$\beta$

interpretation:

first (resp. last) choice



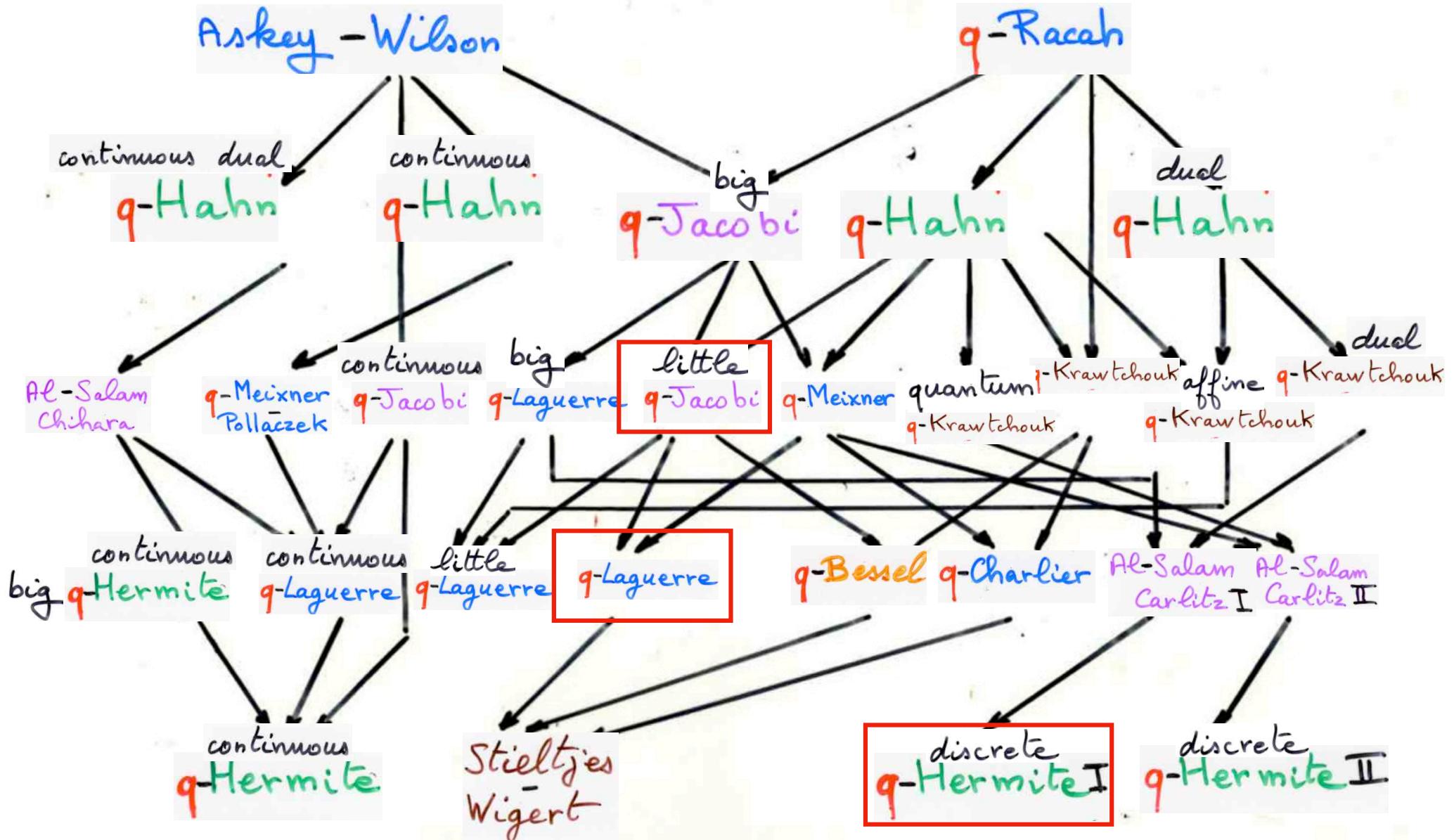
q-Laguerre II

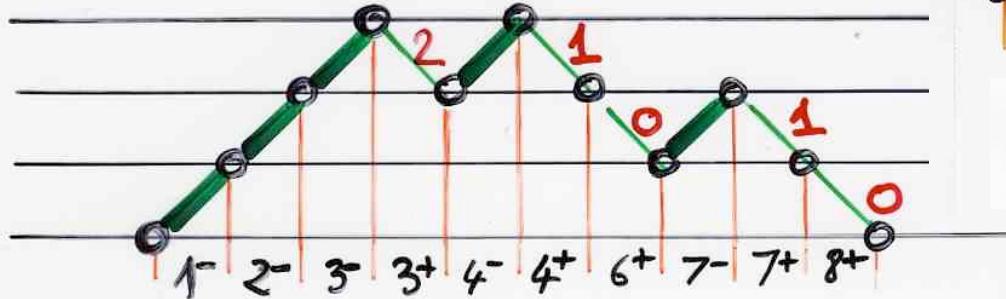
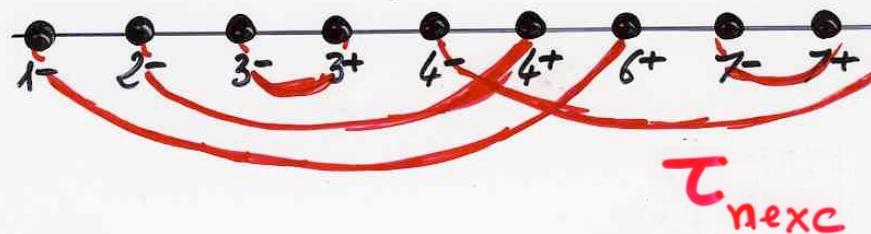
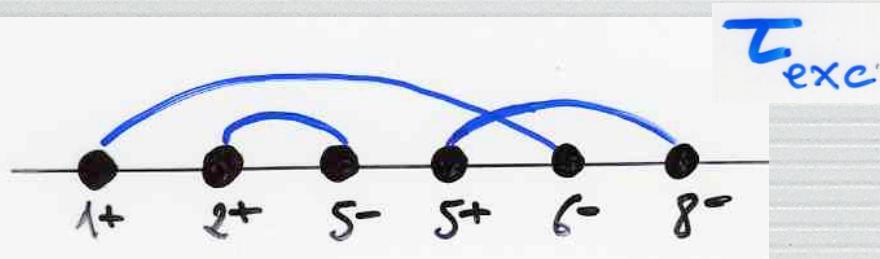
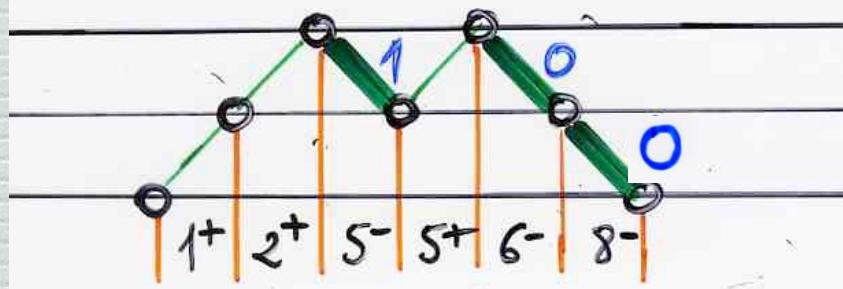
discrete

$q$ -Laguerre  $\underline{\underline{}}$

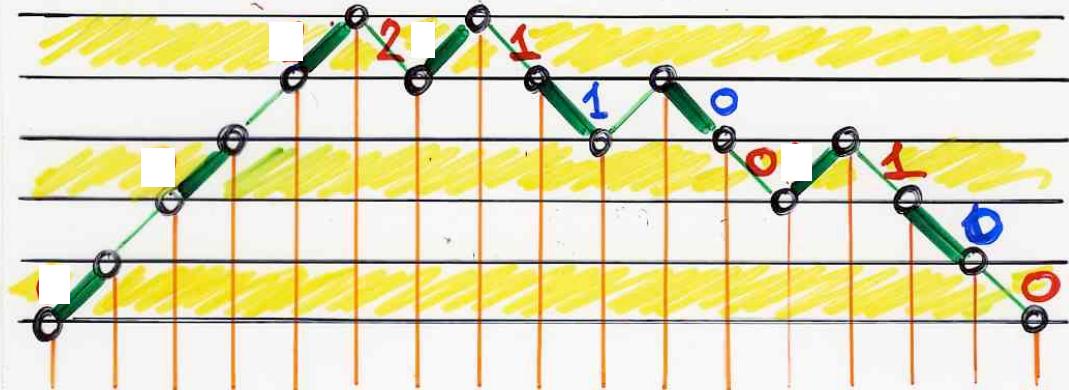
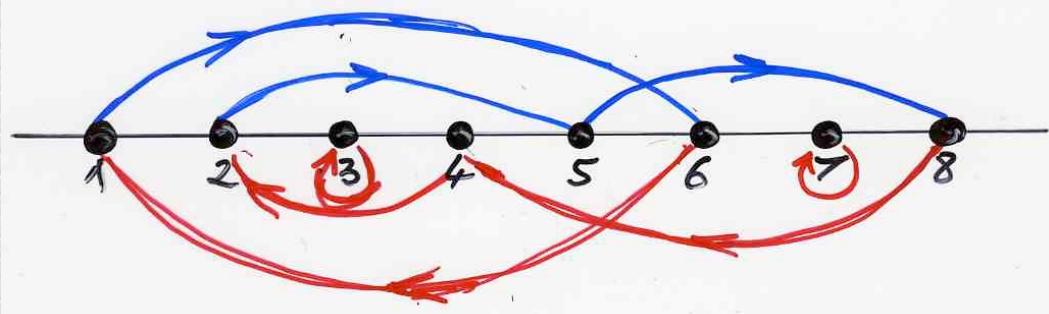
$$\begin{cases} b_k = q^k ([k]_q + [k+1]_q) \\ \lambda_k = q^{2k-1} [k]_q \times [k]_q \end{cases}$$

scheme  
of  
basic hypergeometric  
orthogonal polynomials





$$\sigma = (1 \ 2 \ 3 \ 4 \ 5 \ 6 \ 7 \ 8) \\ (6 \ 5 \ 3 \ 2 \ 8 \ 1 \ 7 \ 4)$$



H subdivided Laguerre history

## Proposition

$$\text{Inv}(\sigma) = \text{exc}(\sigma) + \text{Inv}(\tau_{\text{exc}}) + \text{Inv}(\tau_{\text{nex}})$$

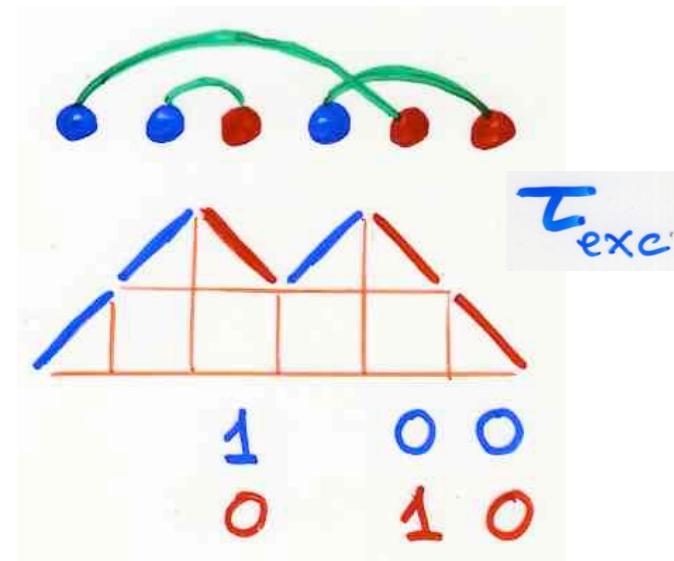
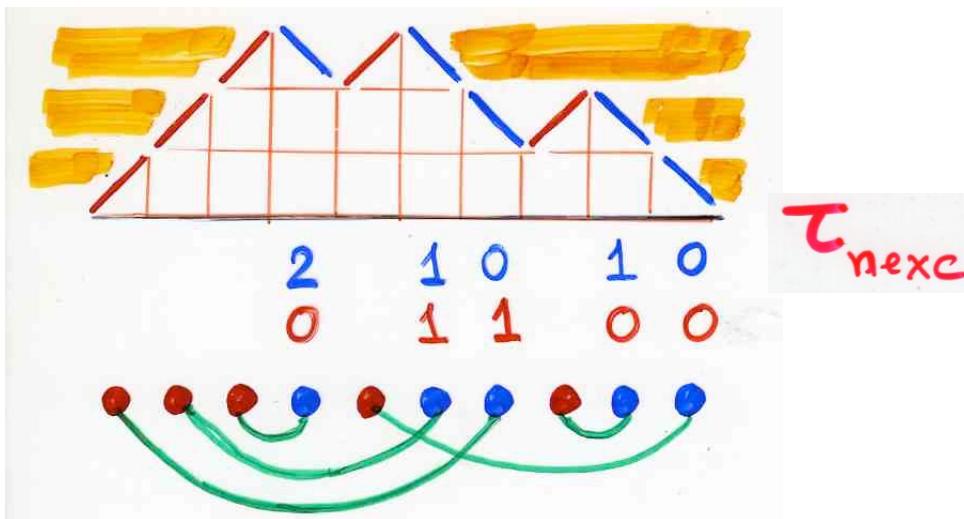
$$\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 6 & 5 & 3 & 2 & 8 & 1 & 7 & 4 \end{pmatrix}$$

Inv      5 4 2 1 3      1       $\rightarrow 16$

$$\text{Inv}(\sigma) = \text{exc}(\sigma) + \text{Inv}(\tau_{\text{exc}}) + \text{Inv}(\tau_{\text{nex}})$$

$$3 \quad \quad \quad 1+2 \quad \quad \quad 2+8 \rightarrow 16$$

$$\text{Inv}(\tau) = \text{cr}(\tau) + 2 \text{ nest}(\tau)$$



discrete

$q$ -Laguerre II

$$\left\{ \begin{array}{l} b_k = q^k \left( [k]_q + [k+1]_q \right) \\ \lambda_k = q^{2k-1} [k]_q \times [k]_q \end{array} \right.$$

Proposition

$$\mu_n(q) = [n!]_q$$

Heine continued fraction  
(J-fraction)

Biane (1993)

$$\begin{cases} b_k = [k]_q + [k+1; \beta]_q \\ \lambda_k = [k; \beta]_q [k]_q \end{cases}$$

q-Laguerre I

q-Laguerre II

$$\begin{cases} b_k^{(\beta)}(q) = q^k ([k+1; \beta]_q + [k]_q) \\ \lambda_k^{(\beta)}(q) = q^{2k-1} [k]_q [k; \beta]_q \end{cases}$$

Proposition

$$\mu_n^{(\beta)}(q) = [n; \beta!]_q$$

$$= [1; \beta]_q [2; \beta]_q \cdots [n; \beta]_q$$

## $q$ -Hermite II (discrete I)

$$\lambda_k = q^{k-1} [k]_q$$

moments

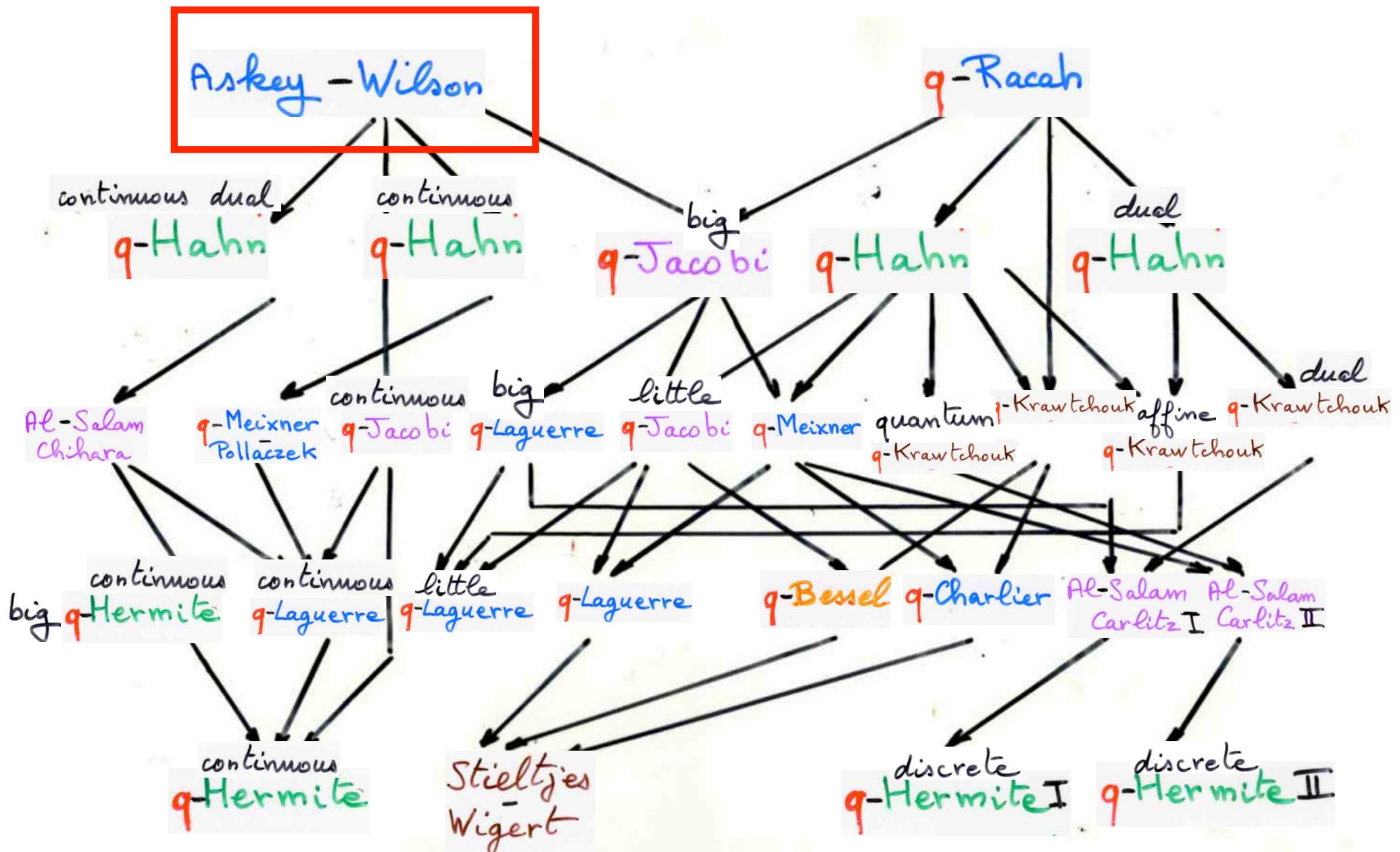
### Proposition

$$\mu_{2n}^{\text{II}}(q) = [1]_q \cdot [3]_q \cdots [2n-1]_q$$

The power of bijective proof:

The Askey-Wilson integral

scheme  
of  
basic hypergeometric  
orthogonal polynomials



## Askey-Wilson polynomials

$$P_n(x) = P_n(x; a, b, c, d; q)$$

$$P_n(x) = a^{-n} (ab, ac, ad; q)_n \sum_{k=0}^n \frac{(q^{-n}, q^{n-1}abcd, ae^{i\theta}, ae^{-i\theta}; q)_k}{(ab, ac, ad, q; q)_k}$$

$$(a_1, a_2, \dots, a_s; q)_n = \prod_{r=1}^s \prod_{k=0}^{n-1} (1 - a_r q^k)$$

${}_4\phi_3$  basic hypergeometric function

## Askey-Wilson polynomials

$$\int_0^{\pi} P_n(\cos \theta, a, b, c, d, q) P_m(\cos \theta, a, b, c, d, q) W(\cos \theta, a, b, c, d, q) d\theta = 0 \quad n \neq m$$

$$W(\cos \theta, a, b, c, d | q) =$$

$$\frac{(e^{2i\theta})_\infty (e^{-2i\theta})_\infty}{(ae^{i\theta})_\infty (ae^{-i\theta})_\infty (be^{i\theta})_\infty (be^{-i\theta})_\infty (ce^{i\theta})_\infty (ce^{-i\theta})_\infty (de^{i\theta})_\infty (de^{-i\theta})_\infty}$$

$$(a)_\infty = \prod_{i \geq 0} (1 - aq^i)$$

# The Askey-Wilson integral

$$\frac{(q)_\infty}{2\pi} \int_0^\pi w(\cos\theta, a, b, c, d | q) d\theta =$$

$$\frac{(abcd)_\infty}{(ab)_\infty (ac)_\infty (ad)_\infty (bc)_\infty (bd)_\infty (cd)_\infty}$$

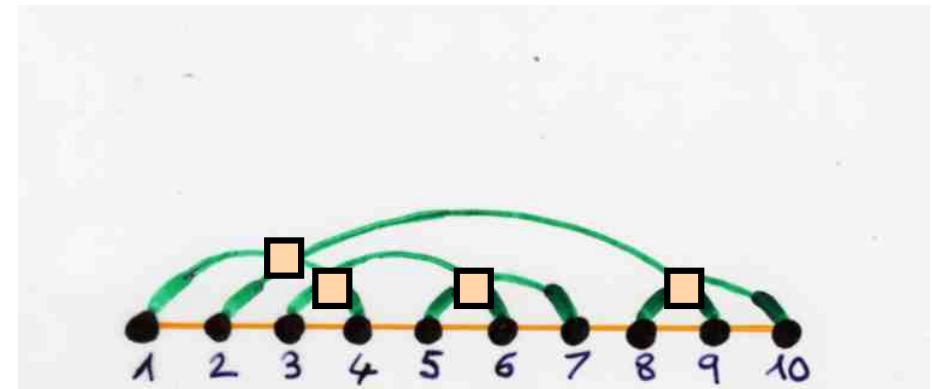
integral of the product  
of 4  $q$ -Hermite polynomials

*q*-analogue  
of Hermite polynomials

$$H_k(x; q)$$

$$H_{k+1}(x) = x H_k(x) - k H_{k-1}(x)$$

$$[k]_q = 1 + q + q^2 + \dots + q^{k-1}$$



$$(a)_\infty = \prod_{i \geq 0} (1 - aq^i)$$

$$\frac{(q)_\infty}{2\pi} \int_0^\pi H_k(\cos\theta | q) H_\ell(\cos\theta | q) (e^{2i\theta})_\infty (e^{-2i\theta})_\infty = (q)_k \delta_{k\ell}$$

$$H_n(x; q) = \sum_{\alpha} (-1)^{d(\alpha)} x^{n-2d(\alpha)} q^{s(\alpha)}$$

matching of  $[1, n]$

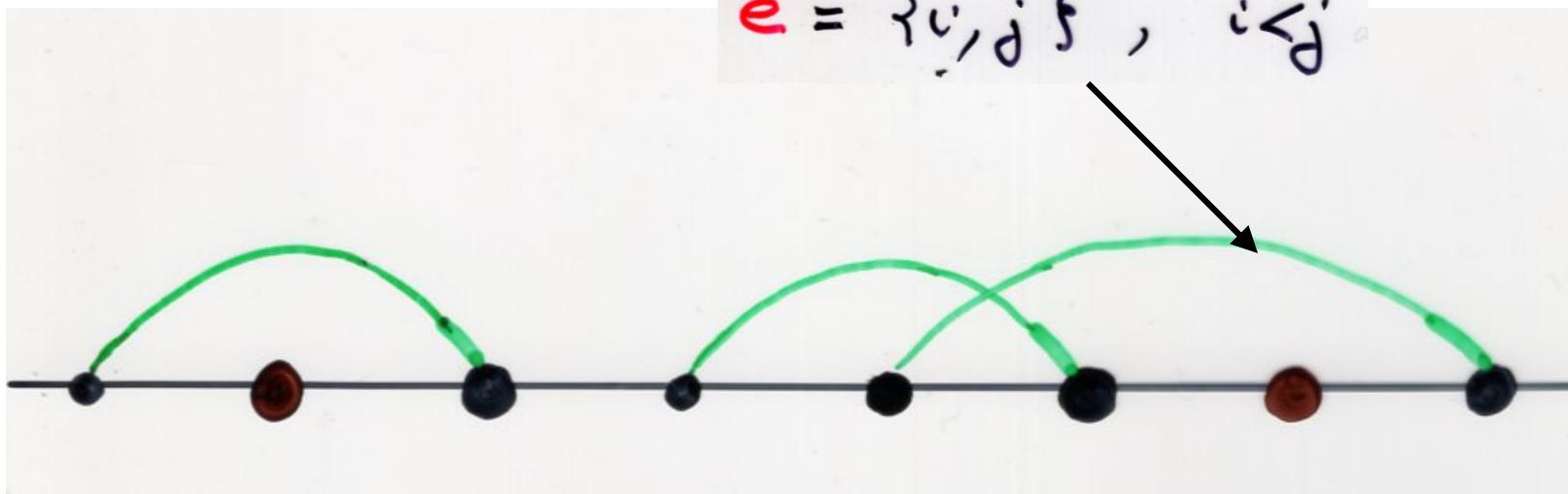
$$\Lambda(\sigma) = \sum_e s(e)$$

edges      (cycles length 2)

$q$ -Hermite I  
(continuous)

$$\lambda_k = [k]_q$$

$$e = \{i, j\}, \quad i < j$$



$$s(e) = \left\{ \begin{array}{l} \text{number of indices } k, \\ i < k < j, \quad \sigma(k) < j \end{array} \right\}$$

# The Askey-Wilson integral

integral of the product  
of 4  $q$ -Hermite polynomials

Ismail, Stanton, X.V. (1987)

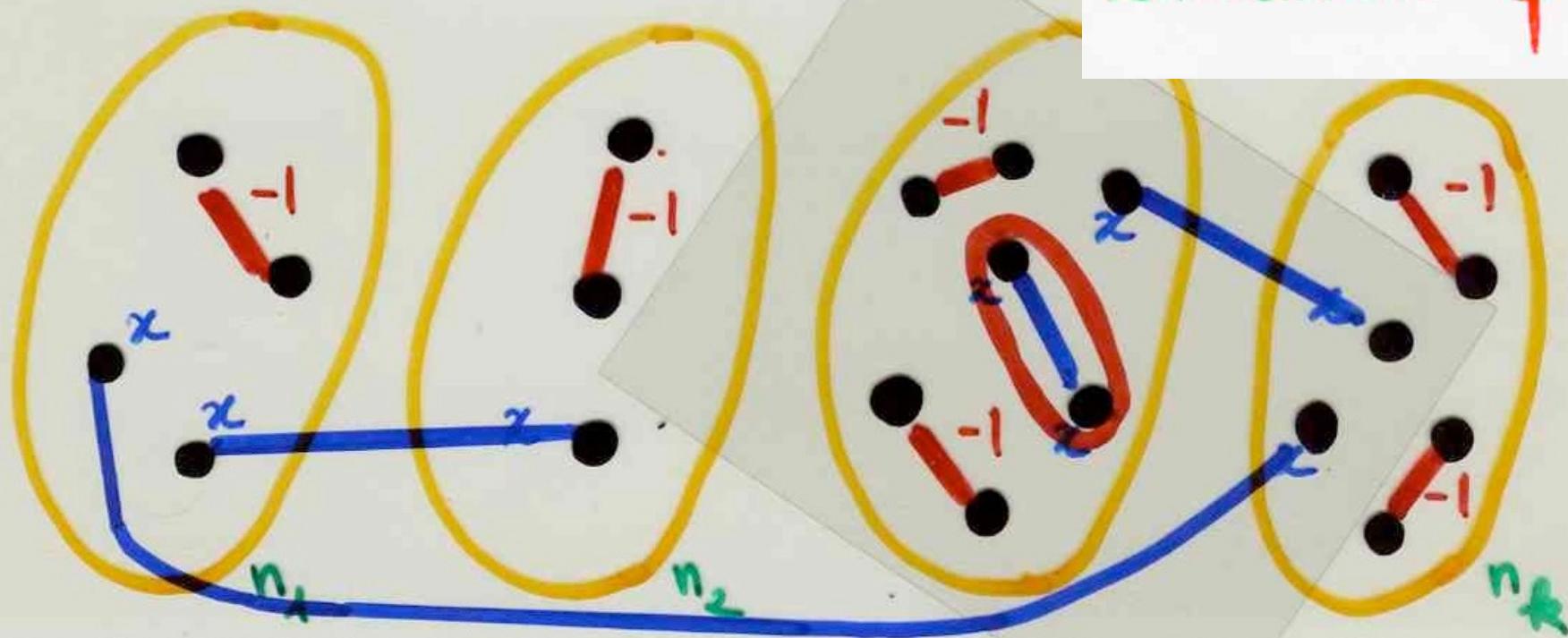
$$\int_{-\infty}^{+\infty} H_{n_1}(x; q) H_{n_2}(x; q) H_{n_3}(x; q) H_{n_4}(x; q) V(x; q) dx$$

$$V(\cos \theta; q) = \frac{(q)_\infty}{2\pi} (e^{2i\theta})_\infty (e^{-2i\theta})_\infty$$

de Sainte-Catherine, X.V. (1985)

$$f(H_{n_1}(x) H_{n_2}(x) \cdots H_{n_k}(x)) =$$

Involution  $\varphi$



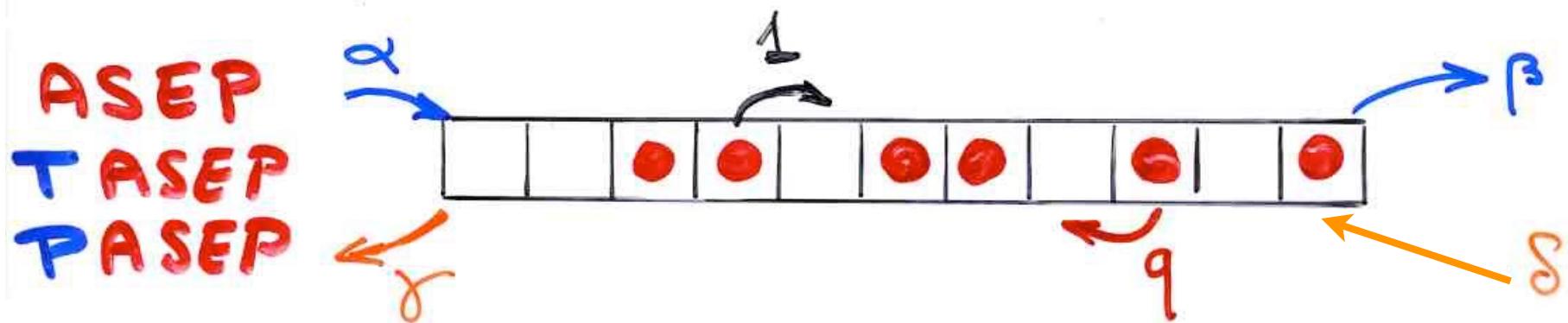
$\varphi$       dimer of  $\alpha_i$        $\rightarrow$       internal edge of  $\alpha$

PASEP

and

orthogonal polynomials

toy model in the *physics* of  
dynamical systems far from equilibrium



computation of the  
"stationary probabilities"

seminal paper

"matrix ansatz"

Derrida, Evans, Hakim, Pasquier (1993)

$D, E$  matrices  
(may be  $\infty$ )

{

$$DE = qED + E + D$$

$$\langle w | (\alpha E - \gamma D) = \langle w |$$

$$(\beta D - \delta E) | v \rangle = | v \rangle$$

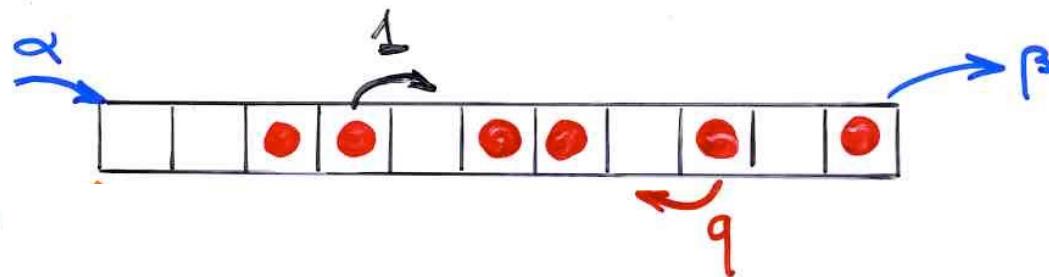
column vector  $v$   
row vector  $w$

# PASEP with 3 parameters

$$\gamma = \delta = 0$$

$$q, \alpha, \beta$$

PASEP



}

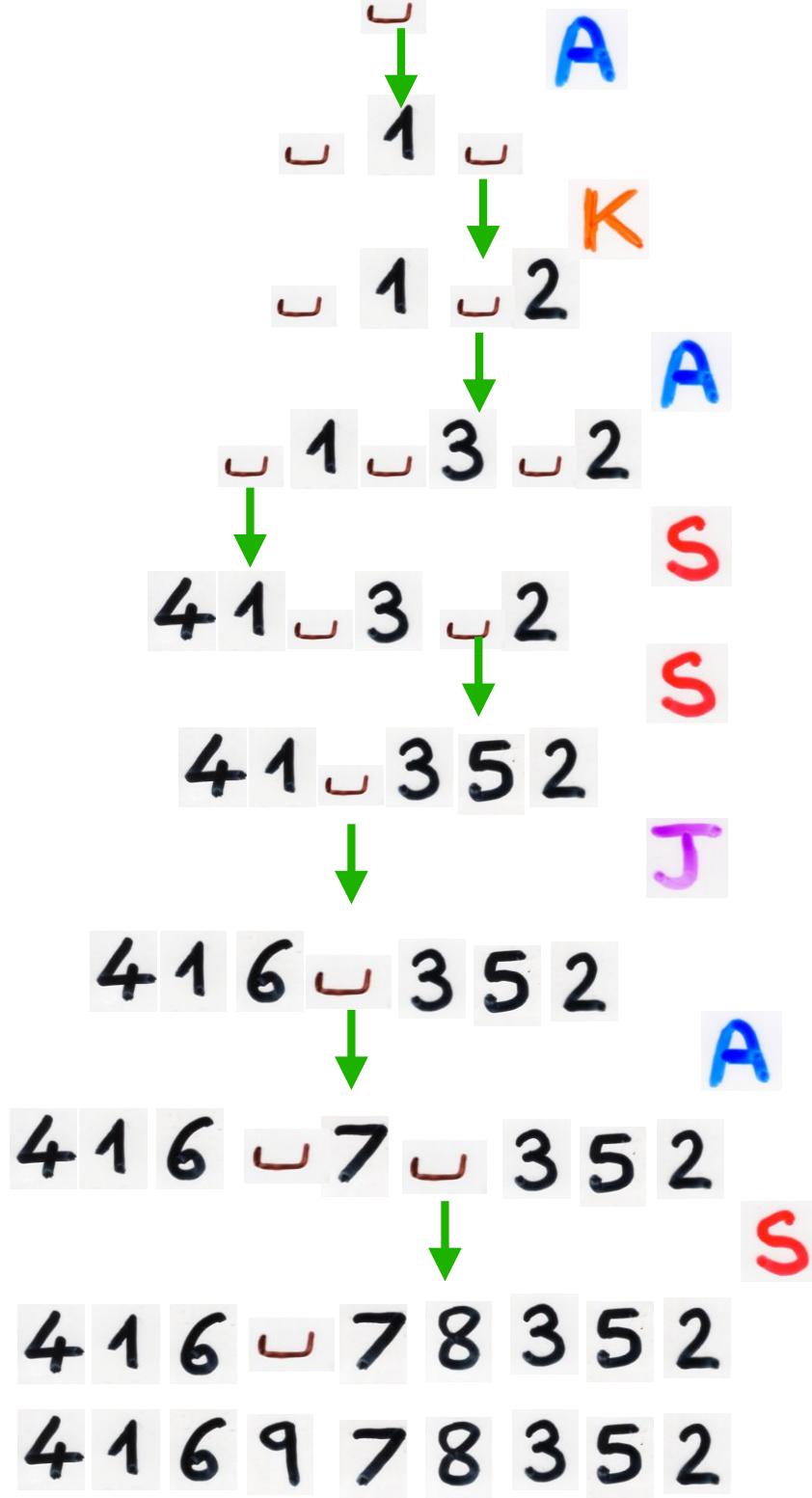
$$\mathcal{D}E = qED + E + D$$

$$\mathcal{D}|V\rangle = \bar{\beta}|V\rangle$$

$$\langle W|E = \bar{\alpha} \langle W|$$

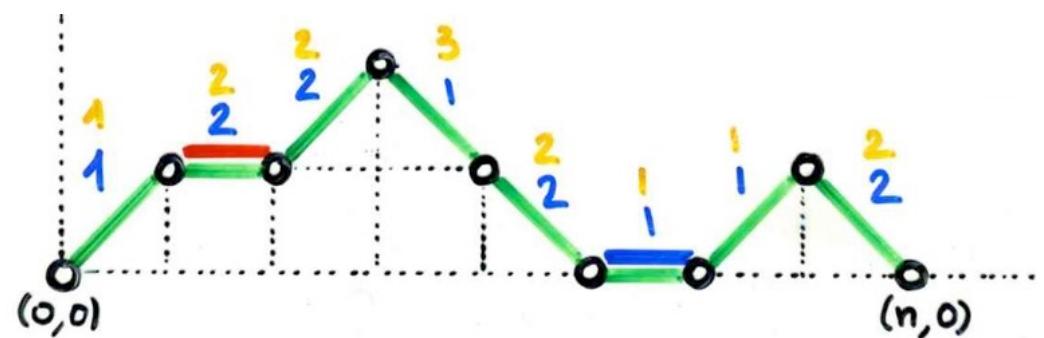
$$\bar{\beta} = \frac{1}{\beta}$$

$$\bar{\alpha} = \frac{1}{\alpha}$$



$$D = A + K$$
$$E = S + J$$

$$DE = -ED + E + D$$



# Laguene histories

PASEP with 3 parameters

"continuous version"

$Z_n$  partition function

= moments of  $q$ -Laguerre I

$$\begin{cases} b_k = [k]_q + [k+1]_q \\ \lambda_k = [k]_q \times [k]_q \end{cases}$$

$q, \alpha, \beta$

→ Uchiyama, Sasamoto, Wadati (2003)  
 $\alpha, \beta, \gamma, \delta, q$

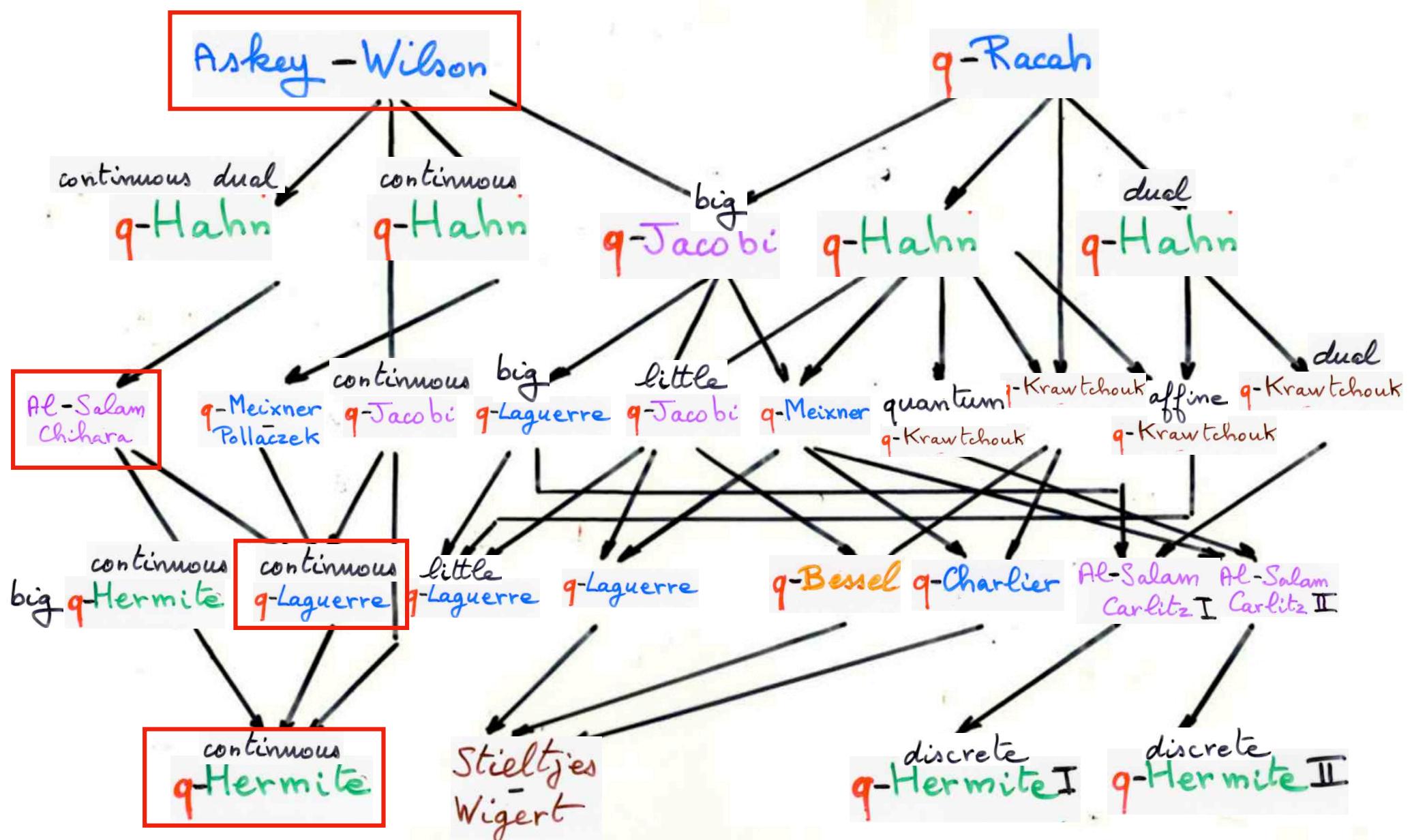
Askey-Wilson polynomials

$Z_n$  partition function

S. Corteel, L. Williams (2009)

staircase tableaux

scheme  
of  
basic hypergeometric  
orthogonal polynomials





# Epilogue

Part I, II, III, IV      of      ABjC

Thursday 14, March

