



Course IMSc, Chennai, India

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Combinatorial theory of orthogonal polynomials  
and continued fractions

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Chapter 5  
Orthogonal polynomials  
and exponential structures

Ch5b

IMSc, Chennai  
February 28, 2019

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Back to Ch 5a

$${}_rF_s \left[ \begin{matrix} a_1, a_2, \dots, a_r \\ b_1, \dots, b_s \end{matrix}; z \right] = \sum_{k=0}^{\infty} \frac{(a_1)_k (a_2)_k \dots (a_r)_k}{k! (b_1)_k \dots (b_s)_k} z^k$$

hypergeometric

Gauss (1812) hypergeometric series

$${}_2F_1 \left[ \begin{matrix} a, b \\ c \end{matrix}; z \right]$$

$${}_3F_2 \left[ \begin{matrix} a, b, -n \\ c, 1+a+b-c-n \end{matrix}; 1 \right] = \frac{(c-a)_n (c-b)_n}{(c)_n (c-a-b)_n}$$

Pfaff-Saalschütz (1797) (1890)

Hermite

$$H_n(x) = (2x)^n {}_2F_0 \left[ \begin{matrix} -n/2, -(n-1)/2 \\ - \\ -1/x^2 \end{matrix} \right]$$

Laguerre

$$L_n^{(\alpha)}(x) = \frac{(\alpha+1)_n}{n!} {}_1F_1 \left( \begin{matrix} -n \\ \alpha+1 \end{matrix} \middle| x \right) \quad (\alpha > -1)$$

Charlier

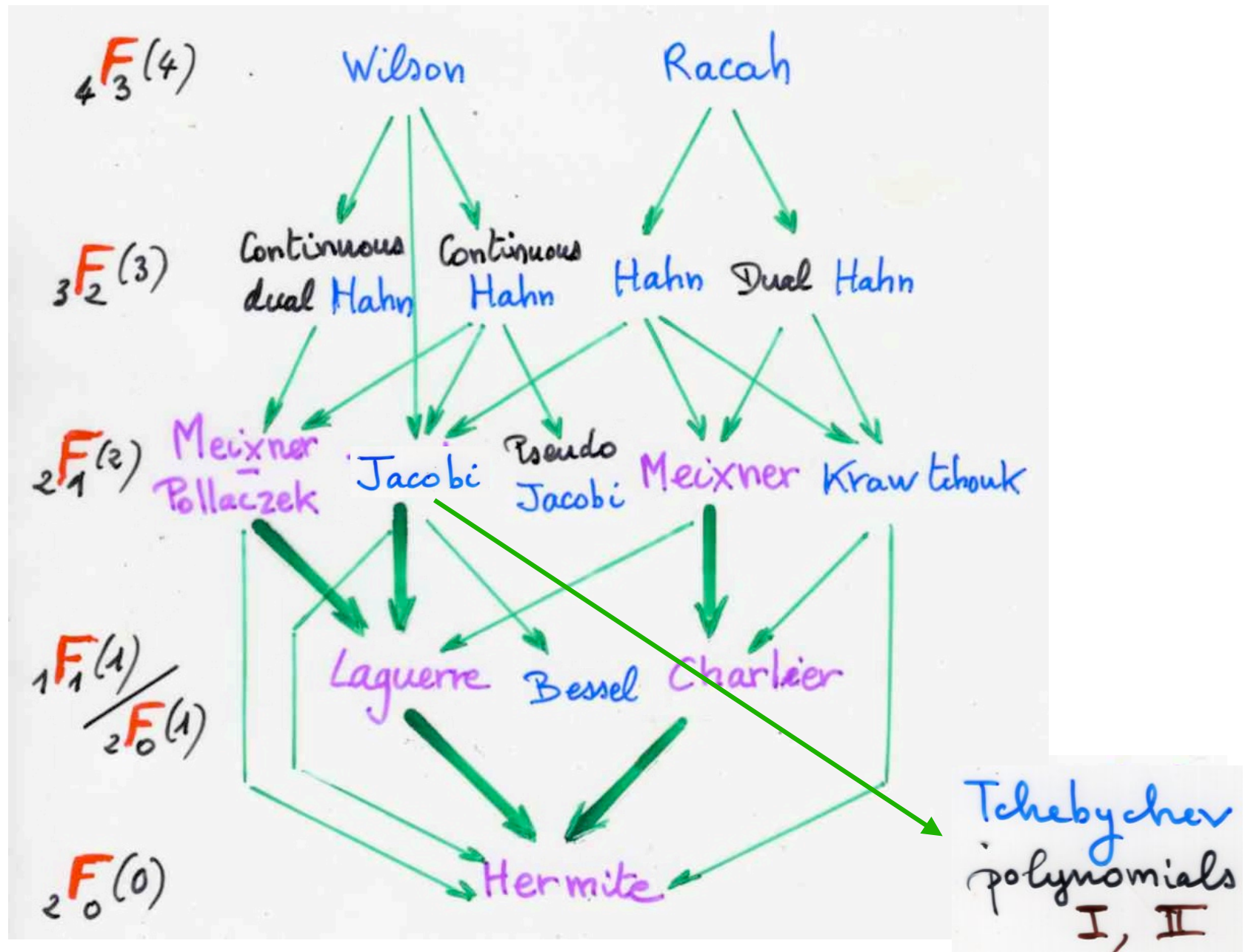
$$C_n^{(a)}(x) = {}_2F_0 \left[ \begin{matrix} -n, -x \\ - \\ a^{-1} \end{matrix} \right]$$

Meixner

Meixner  
Pollaczek

# Askey scheme of hypergeometric orthogonal polynomials

orthogonal Sheffer polynomials



Sheffer polynomials

$$\sum_{n \geq 0} P_n(x) \frac{t^n}{n!} = g(t) \exp(x f(t))$$

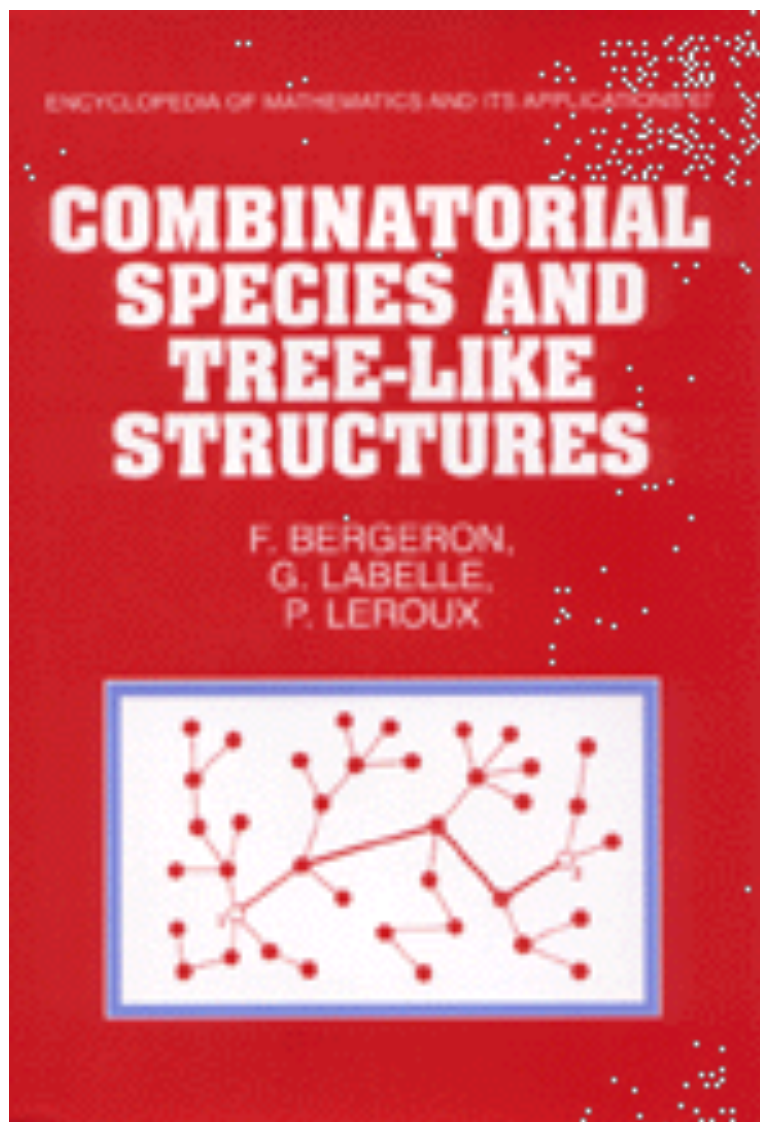
Hermite

Laguerre

Charlier

Meixner

Meixner  
Pollaczek



Combinatorial model  
for exponential generating function

$$f(t) = \sum_{n \geq 0} a_n \frac{t^n}{n!}$$

Species  
(combinatorial)  
structures

UQAM  
Montreal  
Quebec



(combinatorial)  
Hermite polynomials

$$\sum_{n \geq 0} He_n(x) \frac{t^n}{n!} = e^{(xt - \frac{t^2}{2})}$$

"probabilists" Hermite polynomial  $He_n(x)$

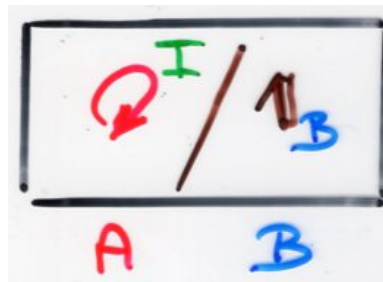
$$\sum_{n \geq 0} \tilde{L}_n^{(\alpha)}(x) \frac{t^n}{n!} = \frac{1}{(1-t)^{\alpha+1}} \exp\left(\frac{-xt}{1-t}\right)$$

$$\sum_{n \geq 0} C_n^{(a)}(x) \frac{t^n}{n!} = e^t (1-t/a)^x$$

$(A, B)$

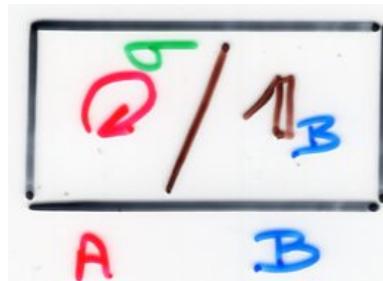
Hermite configurations

$$H[A, B] = I[A] \times \{1_B\}$$



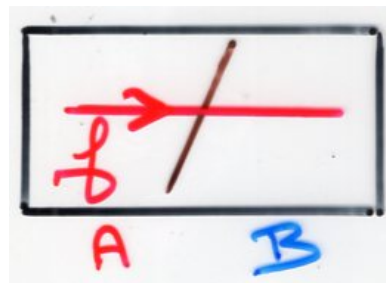
Charlier configurations

$$C[A, B] = S[A] \times \{1_B\}$$



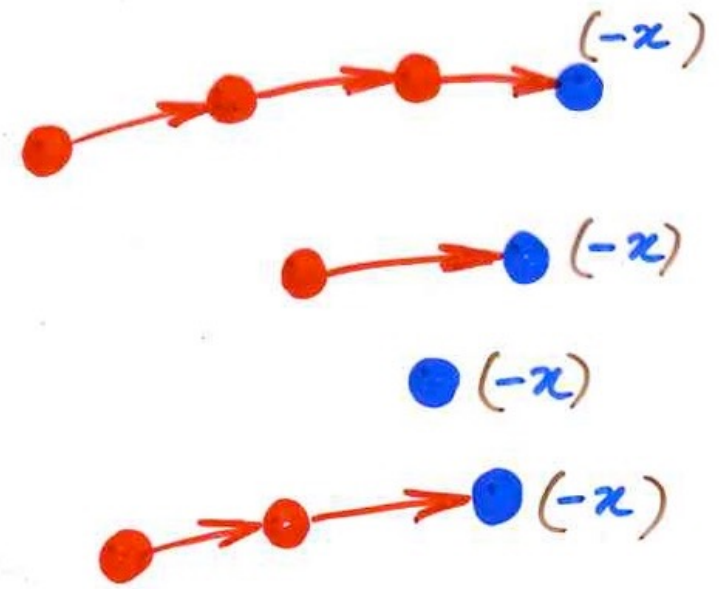
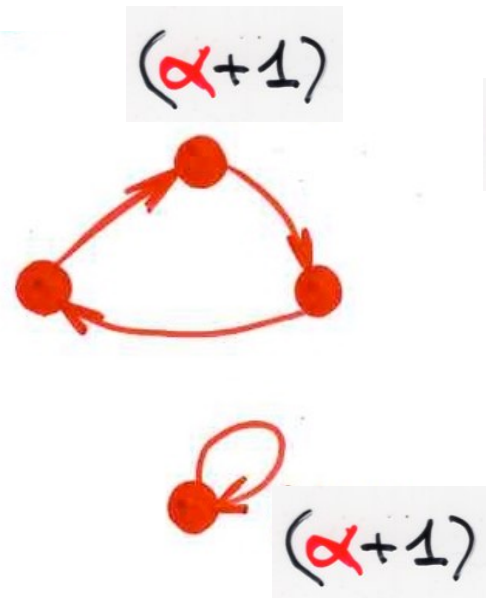
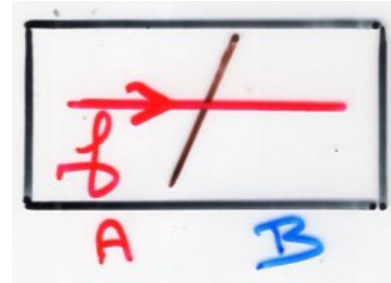
Laguerre configurations

$$L[A, B] = \left\{ \begin{array}{l} \text{injective map } f \\ \text{from } A \text{ to } A+B \end{array} \right\}$$



# Laguerre configurations

$$L[A, B] = \left\{ \text{injective map } f \text{ from } A \text{ to } A+B \right\}$$



About the combinatorial proof  
of Mehler formula

Foata (1978)

some historical remarks

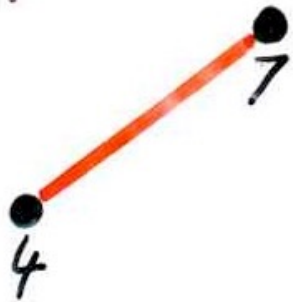
Mehler identity

$$\sum_{n \geq 0} H_n(x) H_n(y) \frac{t^n}{n!}$$

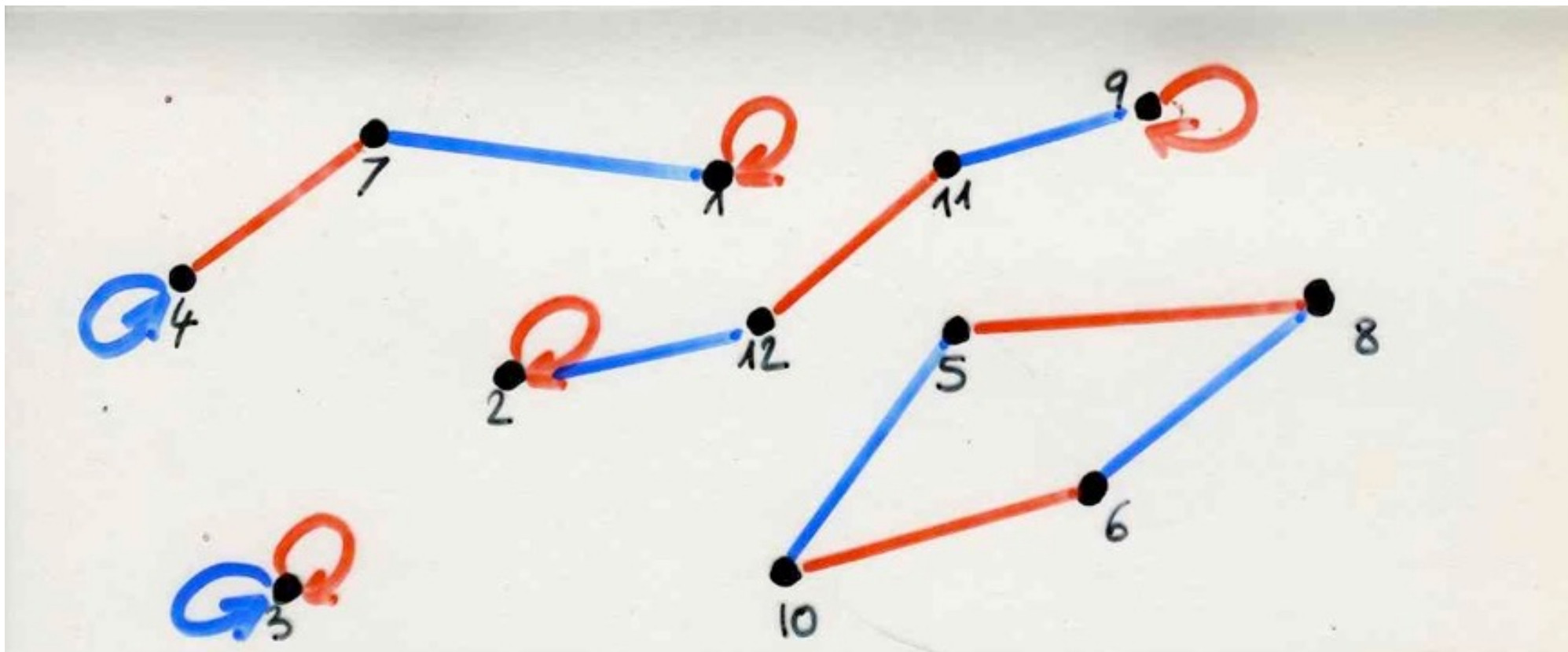
$$= (1 - 4t^2)^{-1/2} \exp \left[ \frac{4xyt - 4(x^2 + y^2)t^2}{1 - 4t^2} \right]$$

"physicists" Hermite polynomial  $H_n(x)$

$$\sum_{n \geq 0} H_n(x) \frac{t^n}{n!} = \exp(2xt - t^2)$$



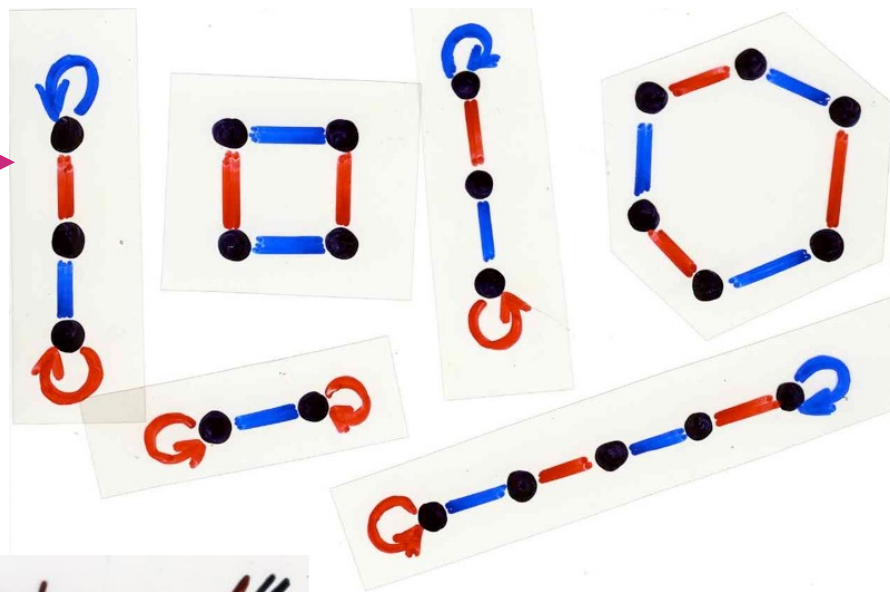
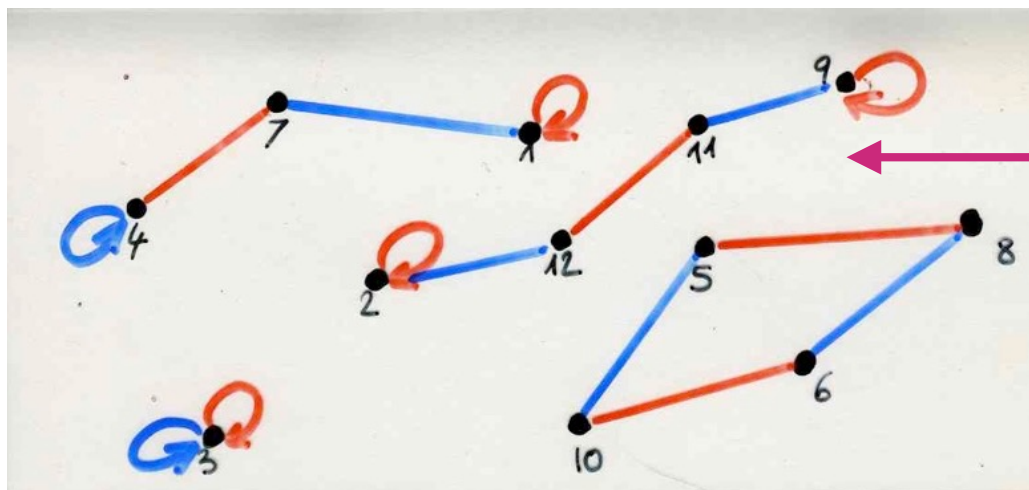
$$\sum_{n \geq 0} H_n(x) \frac{t^n}{n!}$$



$$\sum_{n \geq 0} H_n(x) H_n(y) \frac{t^n}{n!}$$

$$\sum_{n \geq 0} H_n(x) H_n(y) \frac{t^n}{n!}$$

$$= (1 - 4t^2)^{-1/2} \exp \left[ \frac{4xyt - 4(x^2 + y^2)t^2}{1 - 4t^2} \right]$$



Foata (1978)

"composé partitionnel"  
Foata (1974)

A. Joyal (1981)

seminal paper on  
the theory of species



$$\sum_{n \geq 0} H_n(x) H_n(y) \frac{t^n}{n!}$$

$$= (1-t^2)^{-1/2} \exp \left[ \frac{2xyt - (x^2 + y^2)t^2}{2(1-t^2)} \right]$$

$$\exp \left( \begin{array}{c} \text{blue circle} \\ (x) \end{array} + \begin{array}{c} \text{red circle} \\ (-1) \end{array} \right)$$

$$\sum_{n \geq 0} H_n(x) \frac{t^n}{n!} = \exp \left( xt - \frac{t^2}{2} \right)$$

(combinatorial)  
Hermite polynomials

Jackson (1941)

analytic proofs

$$\sum_{n \geq 0} H_n(x) \frac{t^n}{n!} = \exp(2xt - t^2)$$

"physicists" Hermite polynomial  $H_n(x)$

Rodrigues formula

$$H_n(x) = (-1)^n e^{x^2/2} \frac{d^n}{dx^n} e^{-x^2/2}$$

integral form

$$H_n(x) = \frac{(-i)^n e^{x^2/2}}{\sqrt{2\pi}} \int_{-\infty}^{+\infty} e^{-(t^2/2) + itx} t^n dt$$

# analytic proofs

- expanding both sides of the identity into power series
- formulae for summation of power series

$${}_3F_2 \left[ \begin{matrix} a, b, -n \\ c, 1+a+b-c-n \end{matrix}; 1 \right] = \frac{(c-a)_n (c-b)_n}{(c)_n (c-a-b)_n}$$

Pfaff-Saalschütz  
(1797) (1890)

Watson (1933)

Rainville (1960)

bilinear for  
Laguerre polynomials

Erde'lyi (1939)

Hille-Hardy identity

Erde'lyi (1953)  
book

Watson (1933)  
(1st proof)

Szegö (1939)  
book

integral form

Mehler (1866)

Watson (1933)  
(second proof)

Lebedev (1972)  
book

Institut Henri Poincaré (I.H.P.)  
Paris

" Journées sur les méthodes  
en mathématiques "  
2-3 Avril 2003

Foata (2003)

analytic proofs

bijective proofs

# bijective proofs

positivity  
properties

Poisson kernel  
for Hermite polynomials

$$\sum_{n \geq 0} H_n(x) H_n(y) \frac{t^n}{n!}$$

always  $\geq 0$   
for every value  
of  $x$  and  $y$

multilinear extensions

Foata, Garcia (1979)

analytic proofs

Kibble (1945) (Ph.D. thesis)

Stephan (1972)

Louck (1981)

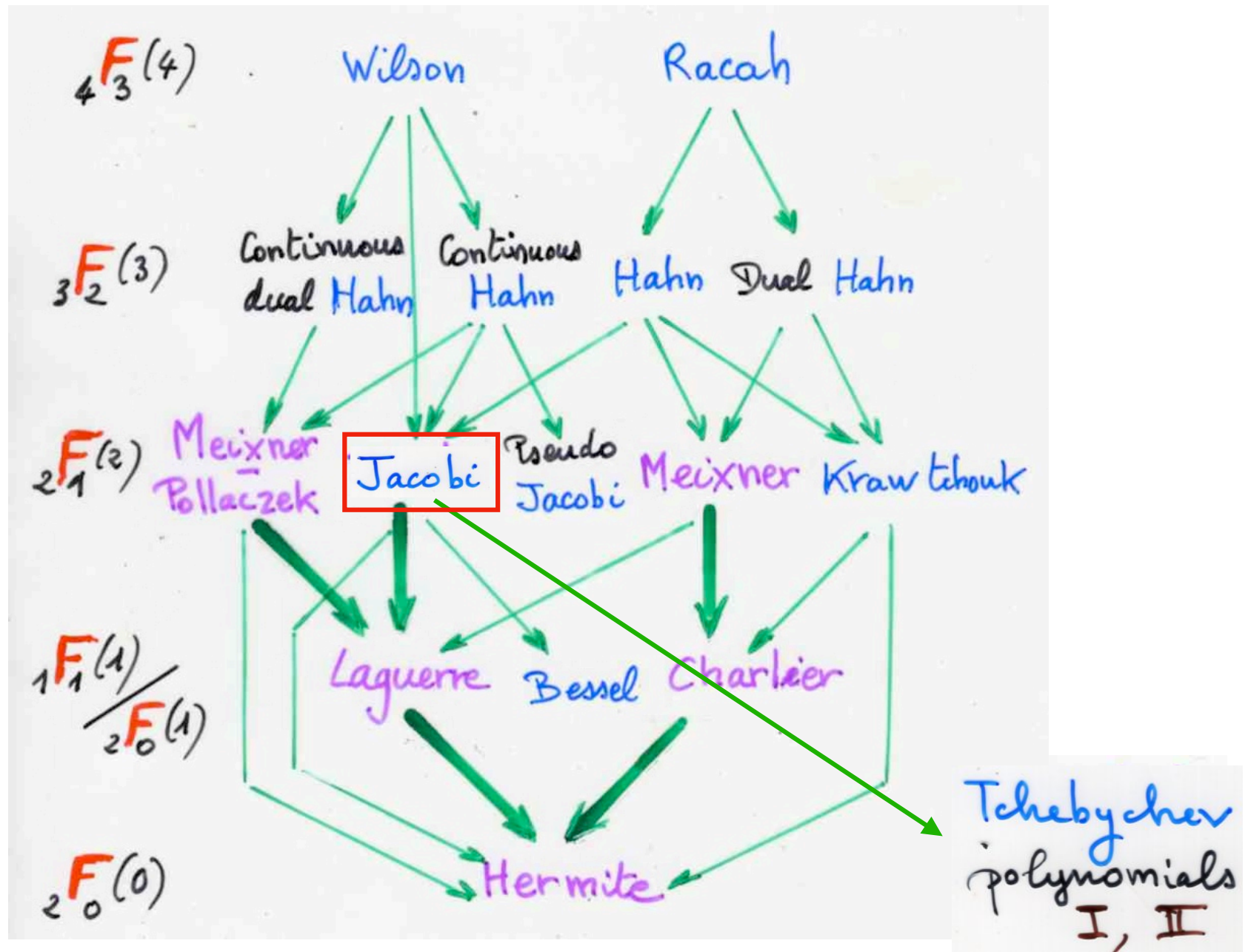
boson operators  
methods

Reminding Jacobi configurations

Foata, Leroux (1983)

# Askey scheme of hypergeometric orthogonal polynomials

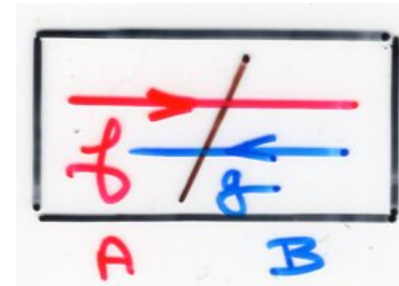
orthogonal Sheffer polynomials





## Jacobi configurations

$$J[A, B] = L[A, B] \times L[B, A]$$

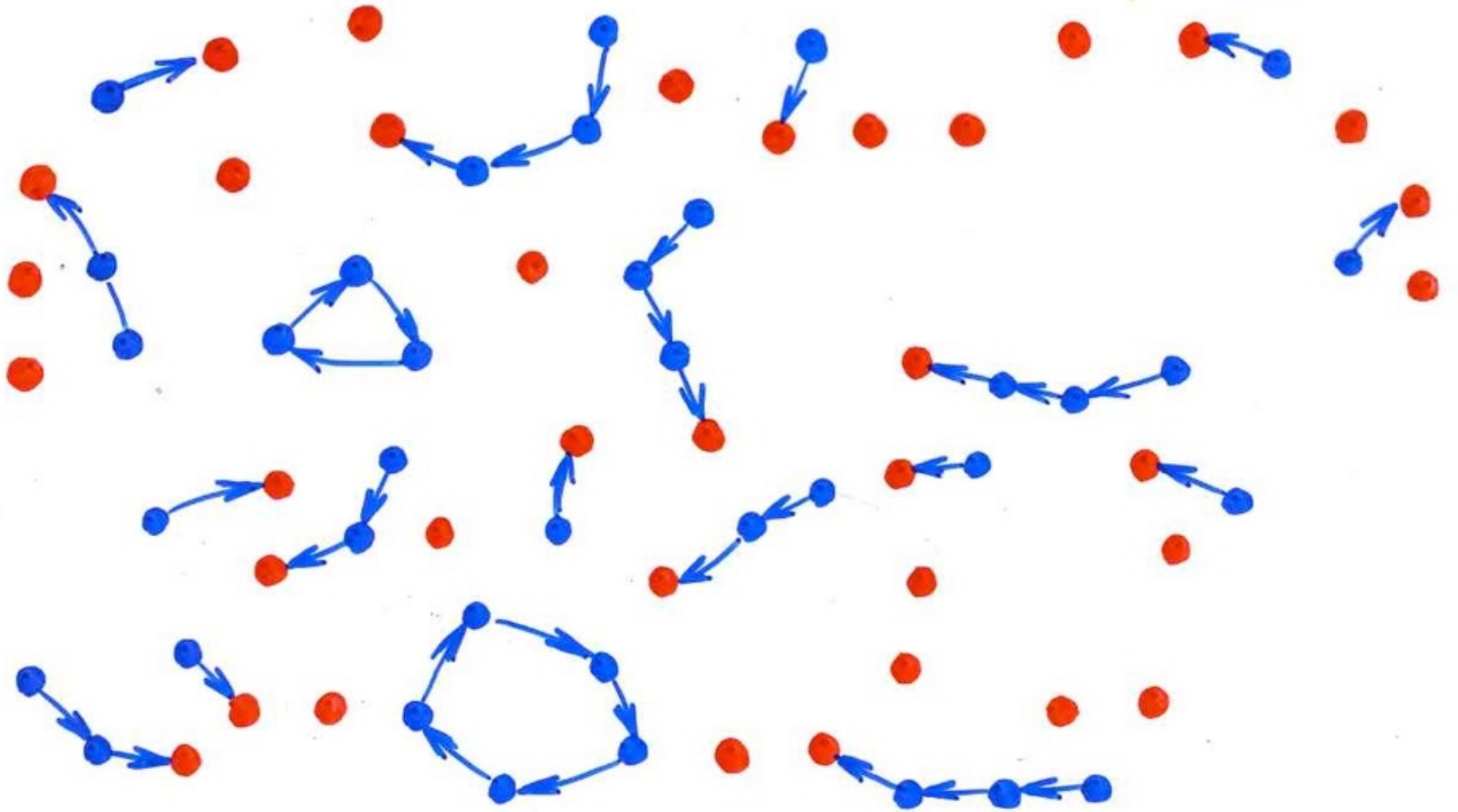


Foata, Leroux (1983)



(A, B)

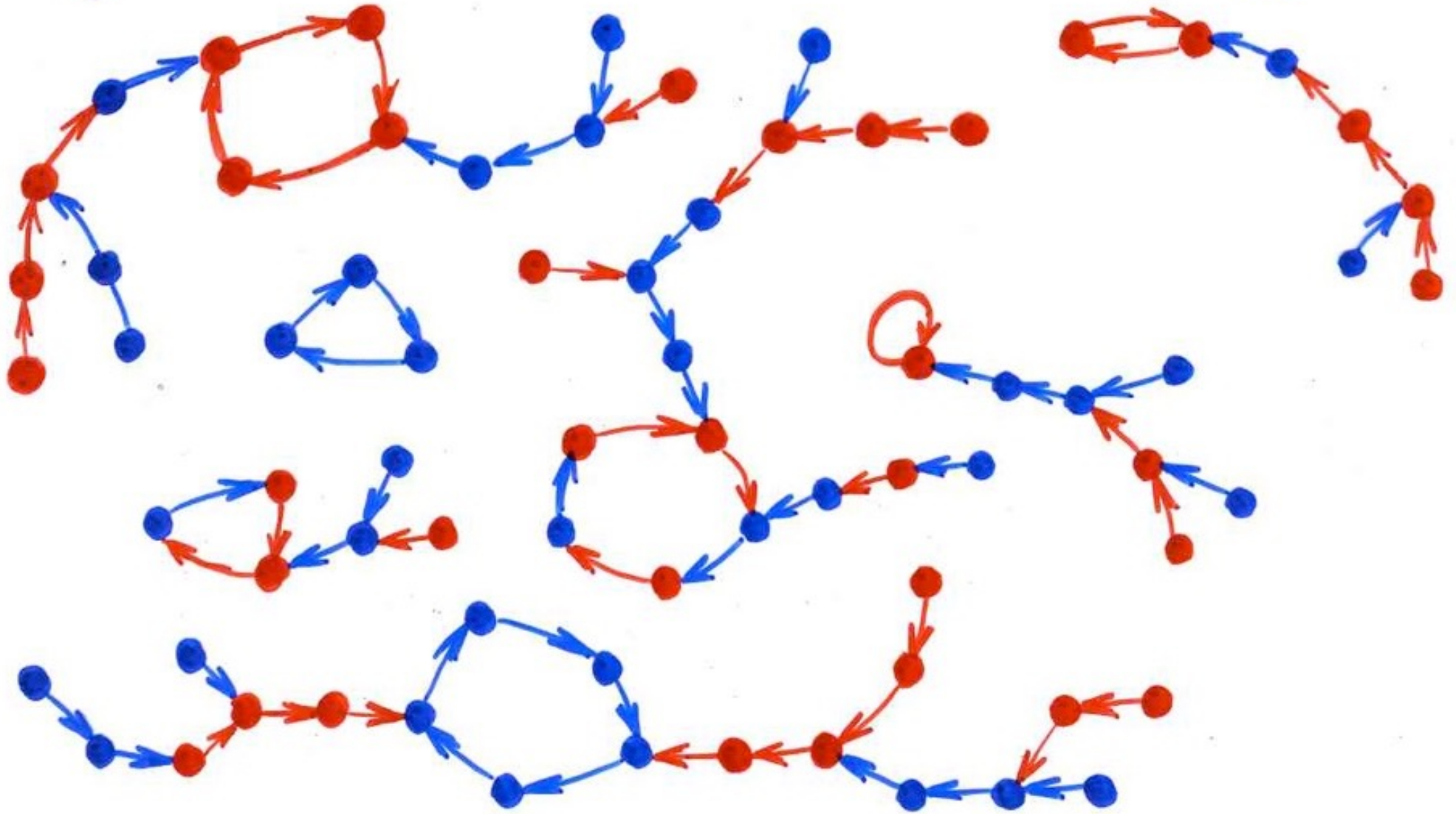
A + B ← B : g



$(A, B)$

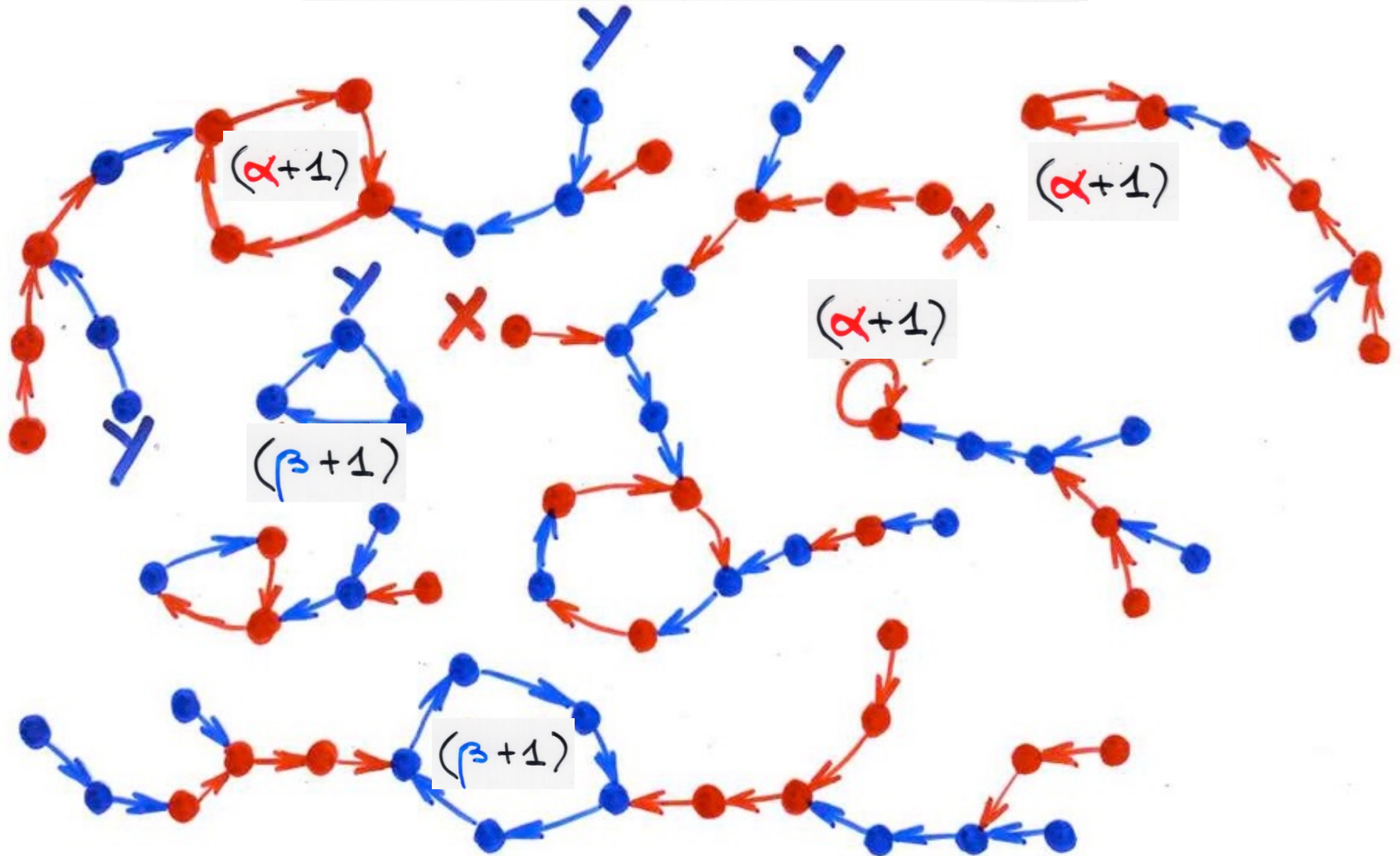
$f : A \rightarrow A + B$

$A + B \leftarrow B : g$



$$(f, g) \in L[A, B]$$

$$w(f, g) = (\alpha + 1)^{\text{cyc}(f)} (\beta + 1)^{\text{cyc}(g)} X^{|A|} Y^{|B|}$$



Proposition

$$|E| = n$$

$(A, B)$

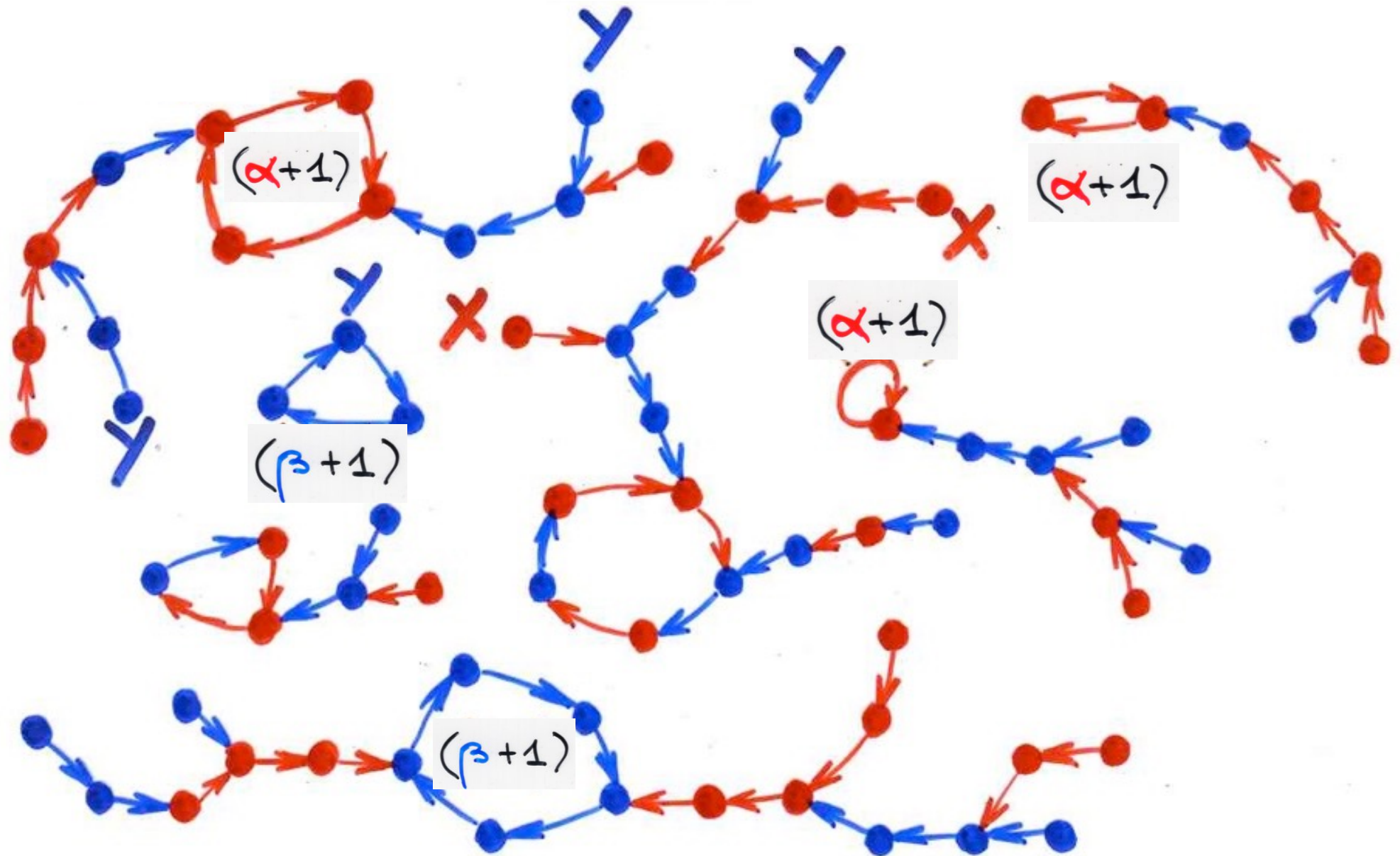
$$\mathcal{P}_n^{(\alpha, \beta)}(X, Y) = \sum_{(f, g) \in \mathcal{J}[A, B] = \mathcal{L}[A, B] \times \mathcal{L}[B, A]} w(f, g)$$

Proposition

$$\mathcal{Q} = [1 - 2(X+Y)t + (X-Y)^2 t^2]^{1/2}$$

$$\sum_{n \geq 0} \mathcal{P}_n^{(\alpha, \beta)}(X, Y) \frac{t^n}{n!} = 2^{\alpha+\beta} \mathcal{Q}^{-1} [1 - (X-Y)t + \mathcal{Q}]^{-\alpha} [1 - (Y-X)t + \mathcal{Q}]^{-\beta}$$

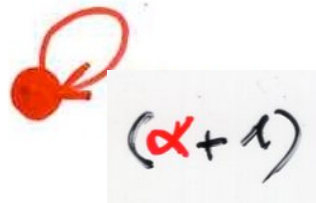
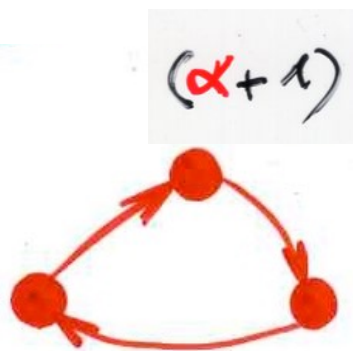
$$\phi_w(t) = \phi_\alpha(t) \phi_\beta(t) \phi_m(t)$$



$$\frac{1}{(1-t)^{(\alpha+1)}}$$

$$= \exp\left(\log \frac{1}{(1-t)^{(\alpha+1)}}\right)$$

$$\exp\left((\alpha+1) \log \frac{1}{(1-t)}\right)$$

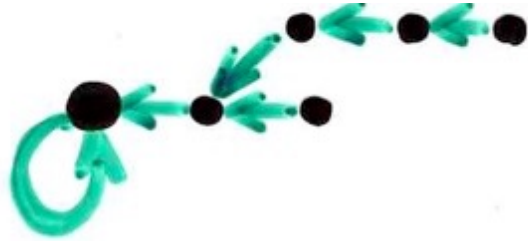




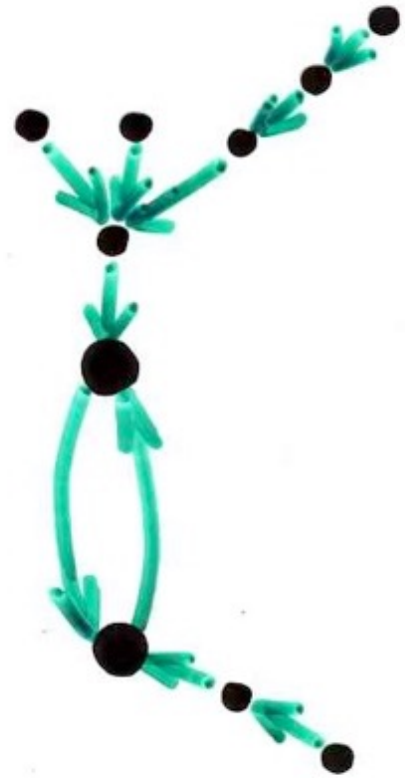
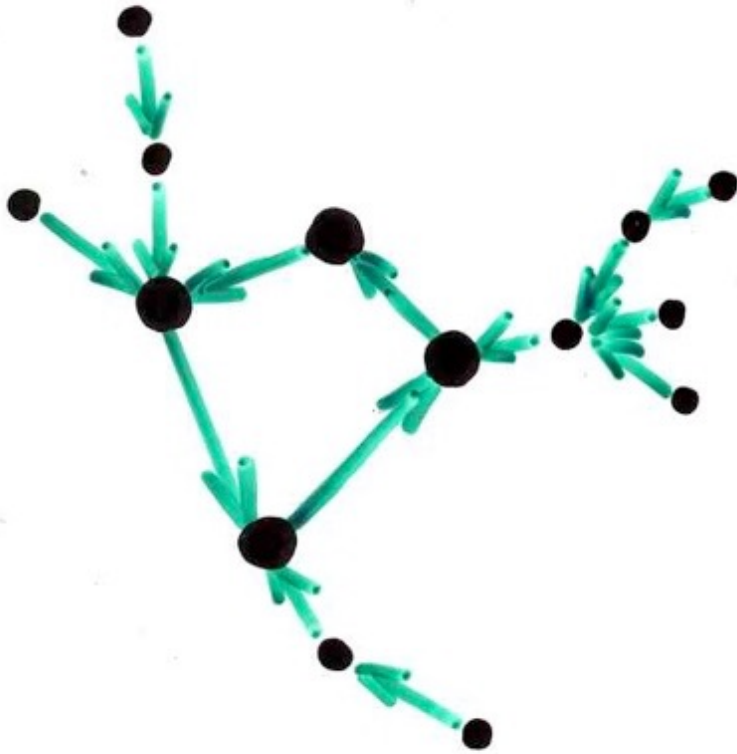
endofunction

$n^n$

$$\begin{matrix} \Phi \\ E \rightarrow E \end{matrix}$$



species



arborescence  $A = \text{rooted (Cayley) tree}$

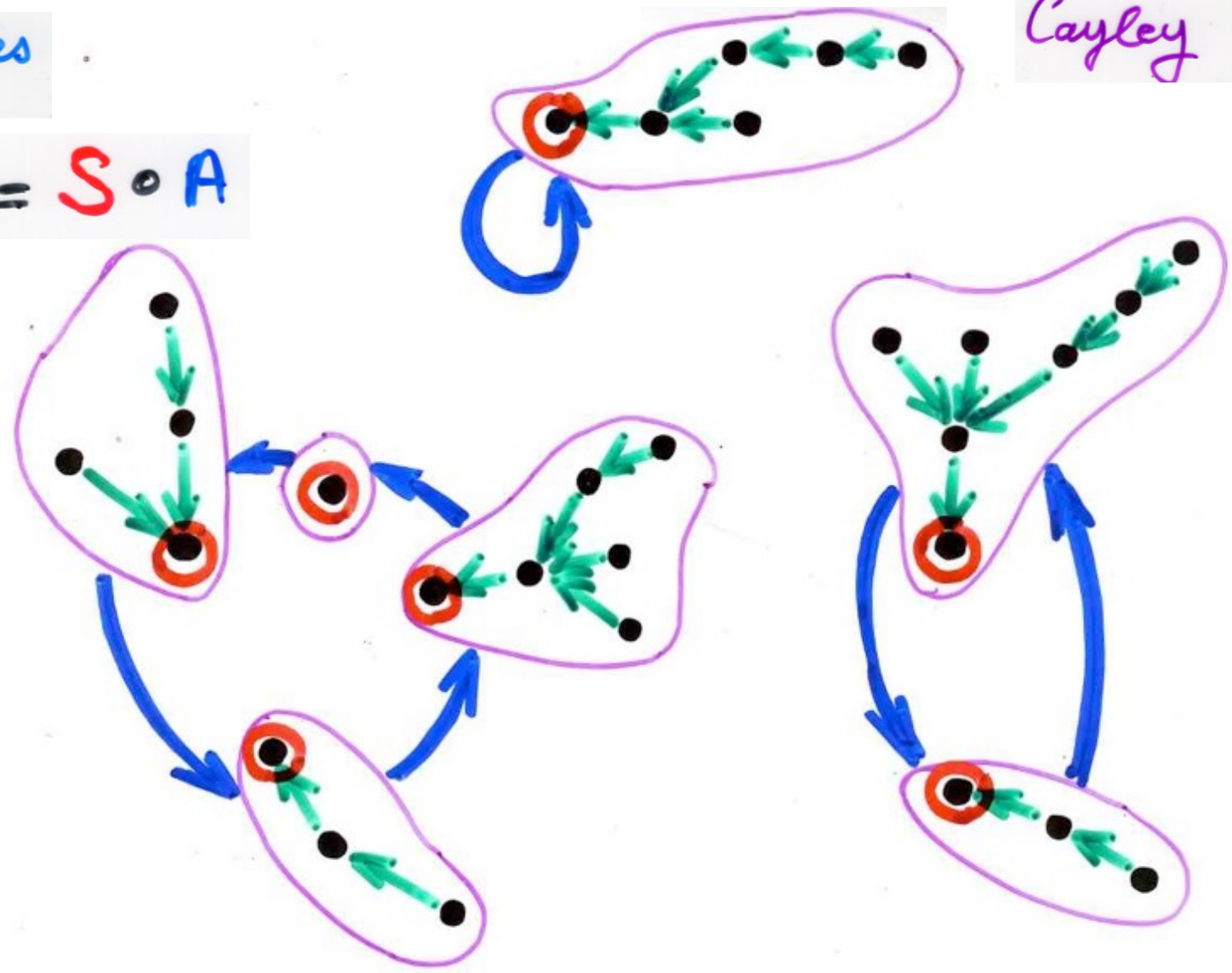
$$A = d^\bullet$$

species

Cayley tree  $d$

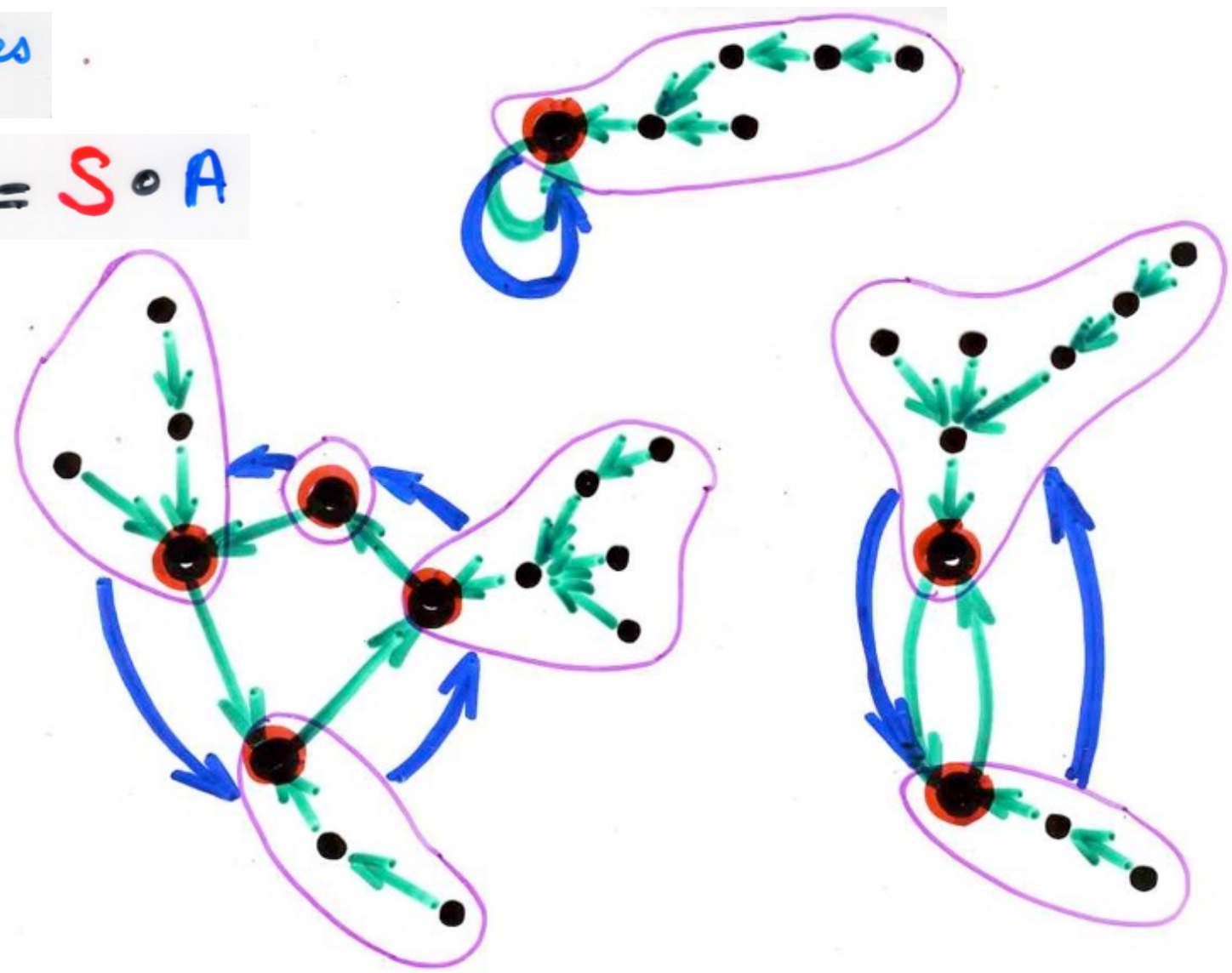
$$\text{End} = S \circ A$$

$$n^{n-2}$$



species

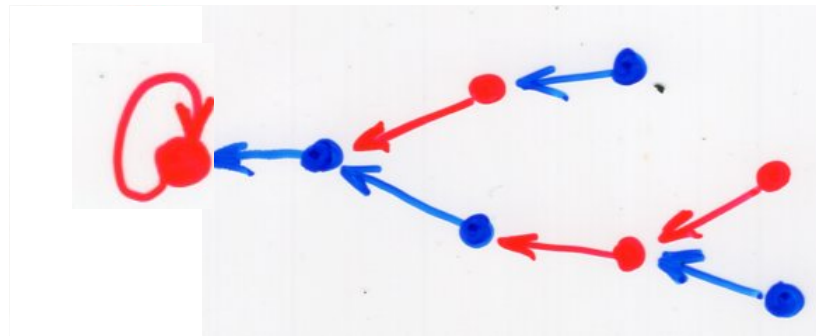
$$\text{End} = S \circ A$$



$C_a(t)$

$C_b(t)$

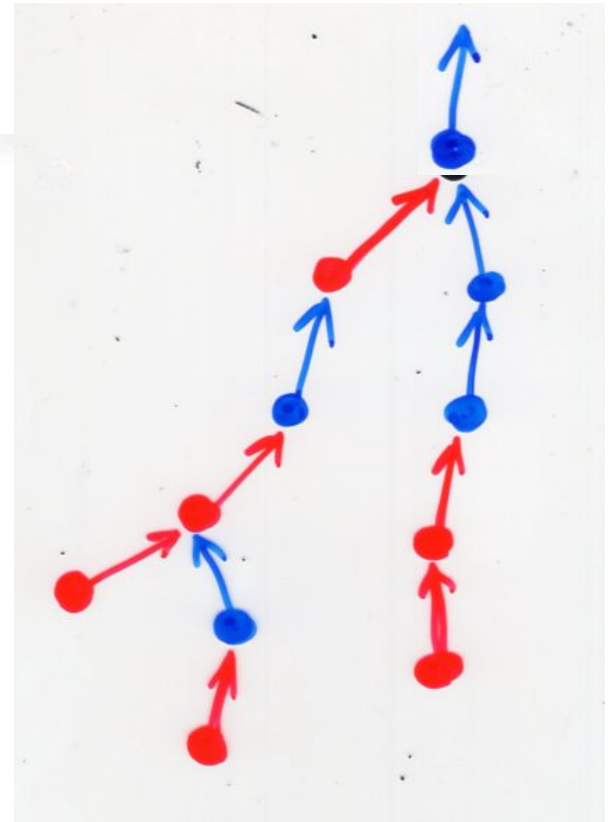
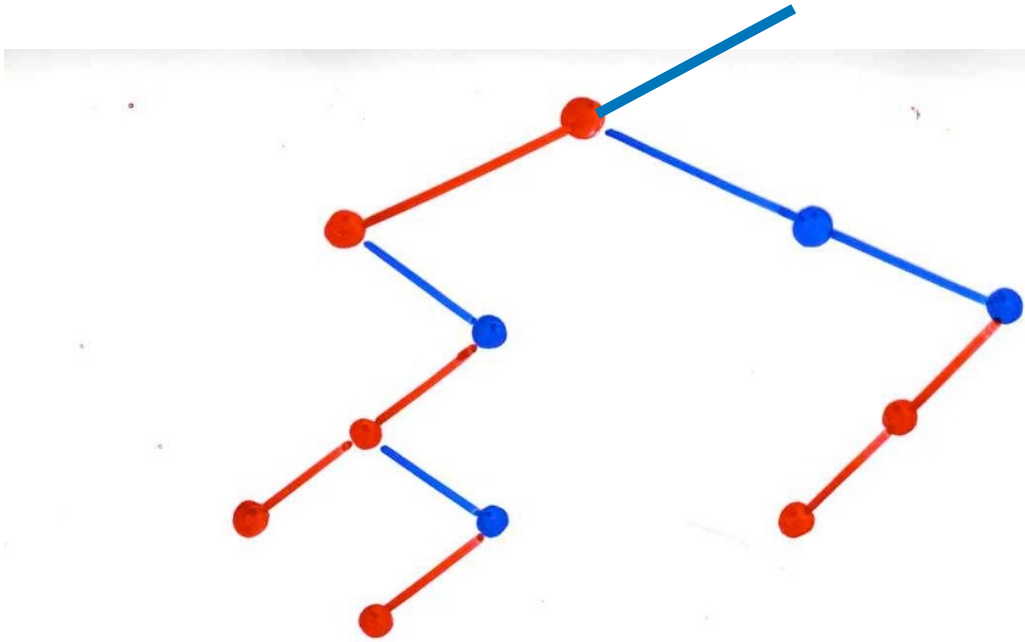
connected Jacobi configuration  
type  $a$  with unique cycle of length 1



$$y = \sum_{n \geq 0} c_n t^n$$

$$= \sum_{n \geq 0} (n! c_n) \frac{t^n}{n!}$$

"labeled" binary tree



## Proposition

$$\sum_{n \geq 0} \mathcal{P}_n^{(\alpha, \beta)}(x, y) \frac{t^n}{n!} = 2^{\alpha + \beta} \mathcal{R}^{-1} [1 - (x - y)t + \mathcal{R}]^{-\alpha} [1 - (y - x)t + \mathcal{R}]^{-\beta}$$

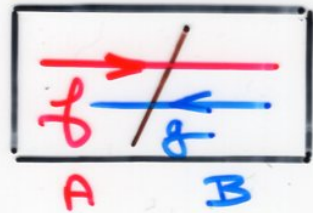
$$\mathcal{R} = [1 - 2(x + y)t + (x - y)^2 t^2]^{1/2}$$

# limit formula

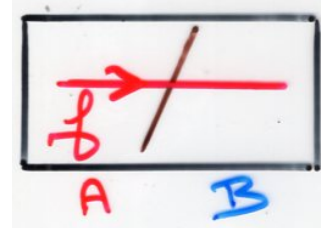
example

$$\lim_{\beta \rightarrow \infty} P_n^{(\alpha, \beta)}(1 - 2x\beta^{-1}) = L_n^{(\alpha)}(x)$$

Jacobi



Laguerre



J. Labelle, Y.N. Yeh (1989)

Meixner Polynomials



Meixner

$$M_n(x; \beta, c) = (\beta)_n {}_2F_1 \left[ \begin{matrix} -n, -x \\ \beta \end{matrix}; 1 - \frac{1}{c} \right]$$

$$\sum_{i+j=n} \binom{n}{i, j} (-x)_i (\beta+i)_j (c^{-1}-1)^i$$

$$M_n(x; \beta, c) = (\beta+x)_n {}_2F_1 \left[ \begin{matrix} -n, -x \\ 1-\beta-n-x \end{matrix}; c^{-1} \right]$$

$$\sum_{i+j=n} \binom{n}{i, j} (-x)_i (\beta+x)_j c^{-i}$$

$$\sum_{n=0}^{\infty} M_n(x; \beta, c) \frac{t^n}{n!} = \left(1 - \frac{t}{c}\right)^x (1-t)^{-x-\beta}$$

# Meixner configurations

Foata, J. Labelle (1983)

endofunction  $\Psi: E \rightarrow E$

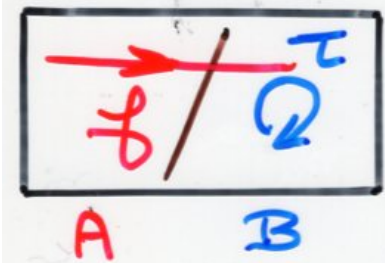
$(A, B)$

•  $f = \Psi|_A$  injective map

•  $\tau = \Psi|_B$  permutation

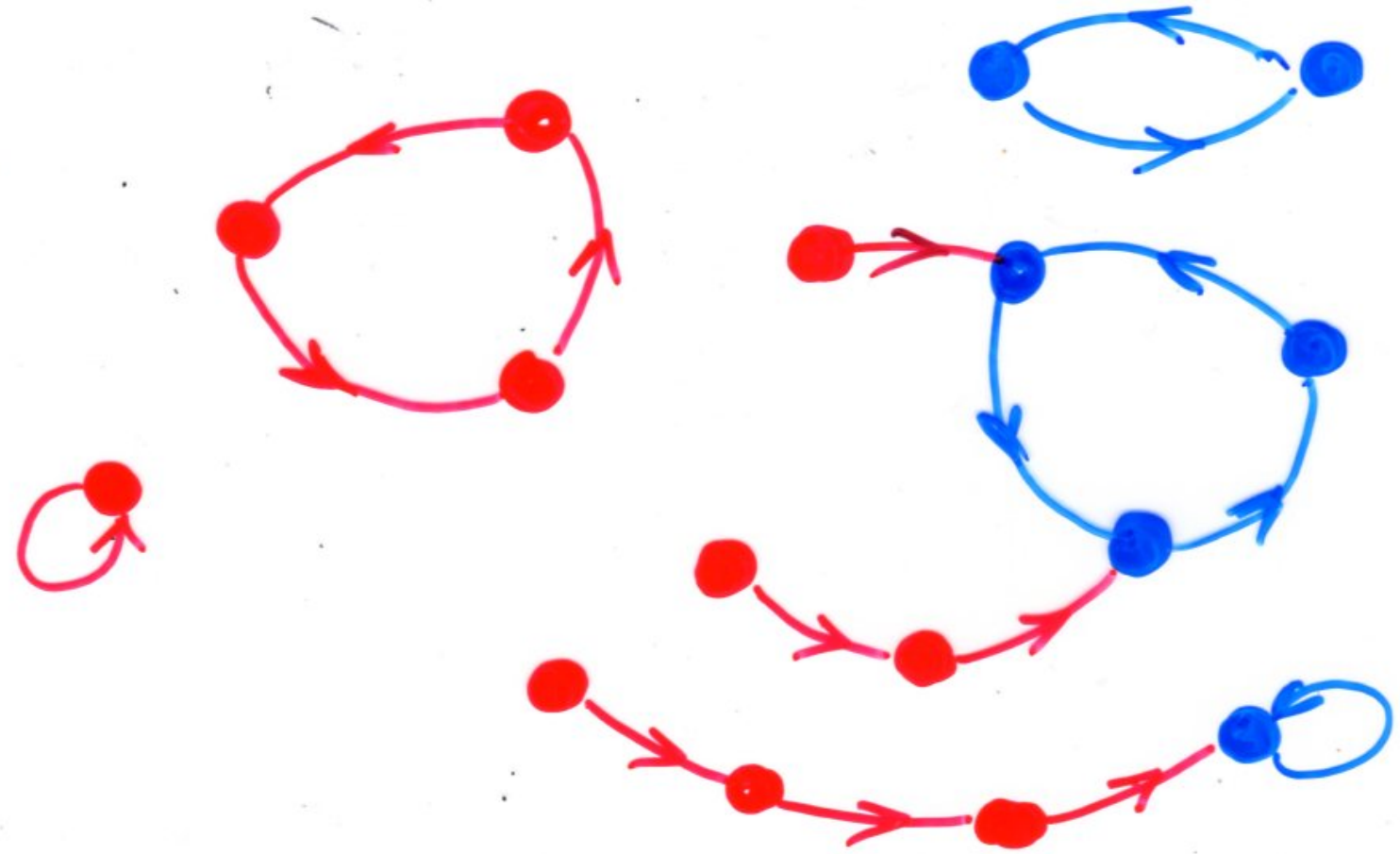
Meixner configurations

$$M[A, B] = L[A, B] \times S[B]$$

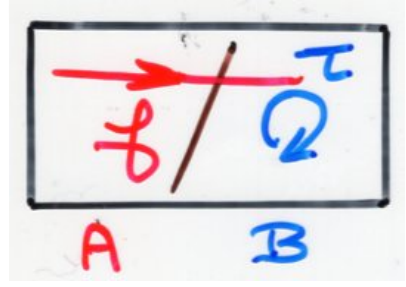


$(A, B)$

Meixner configurations

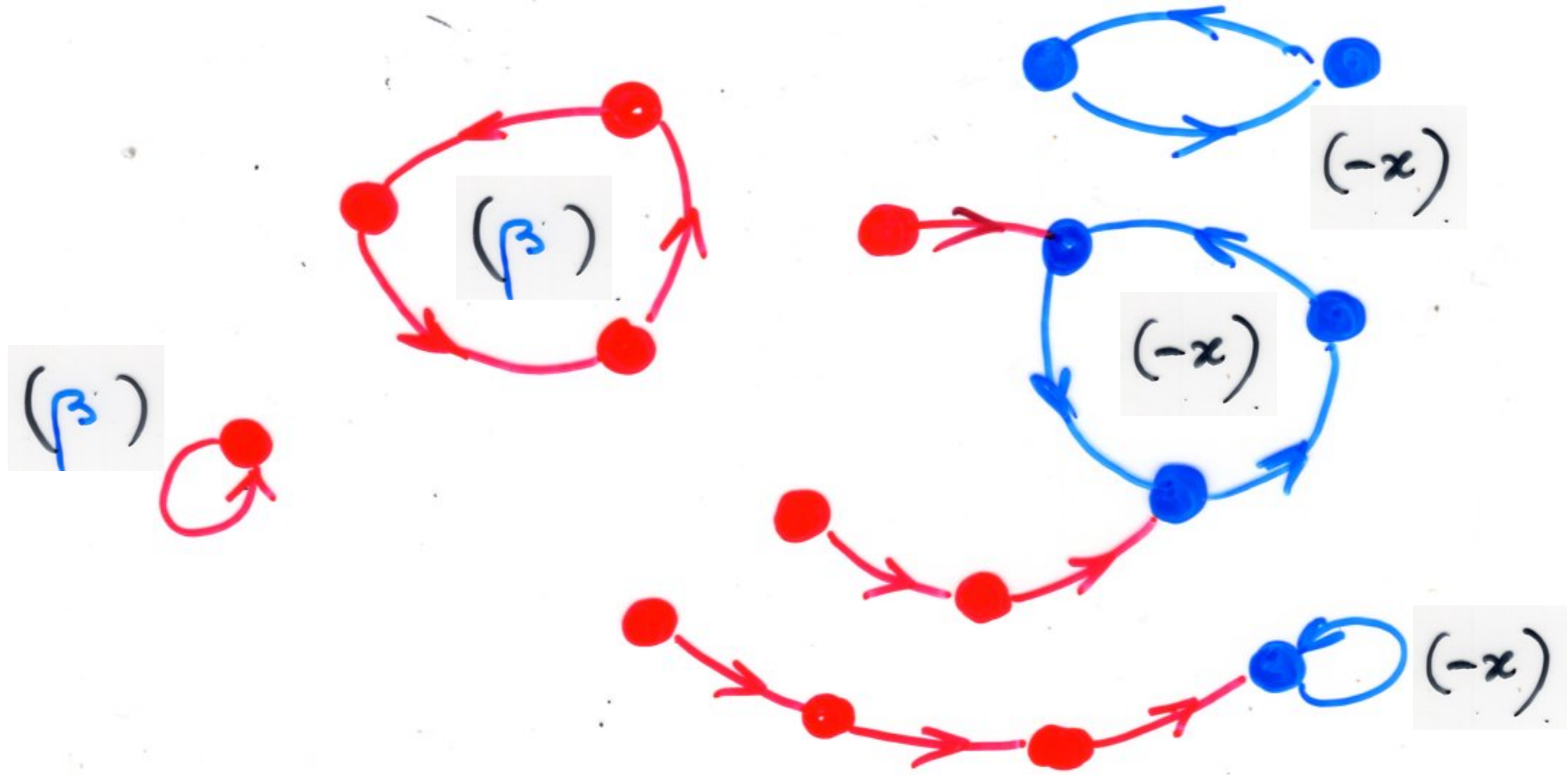


$$M[A, B] = L[A, B] \times S[B]$$

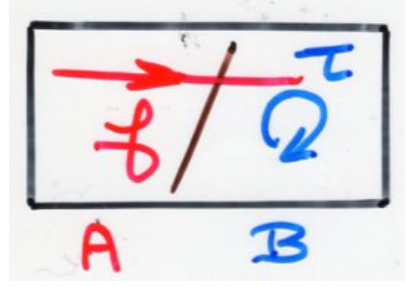


$$w(\tau, \tau) = \beta^{\text{cyc}(\tau)} (-x)^{\text{cyc}(\tau)} (c^{-1}-1)^{|B|}$$

$$(c^{-1}-1)$$

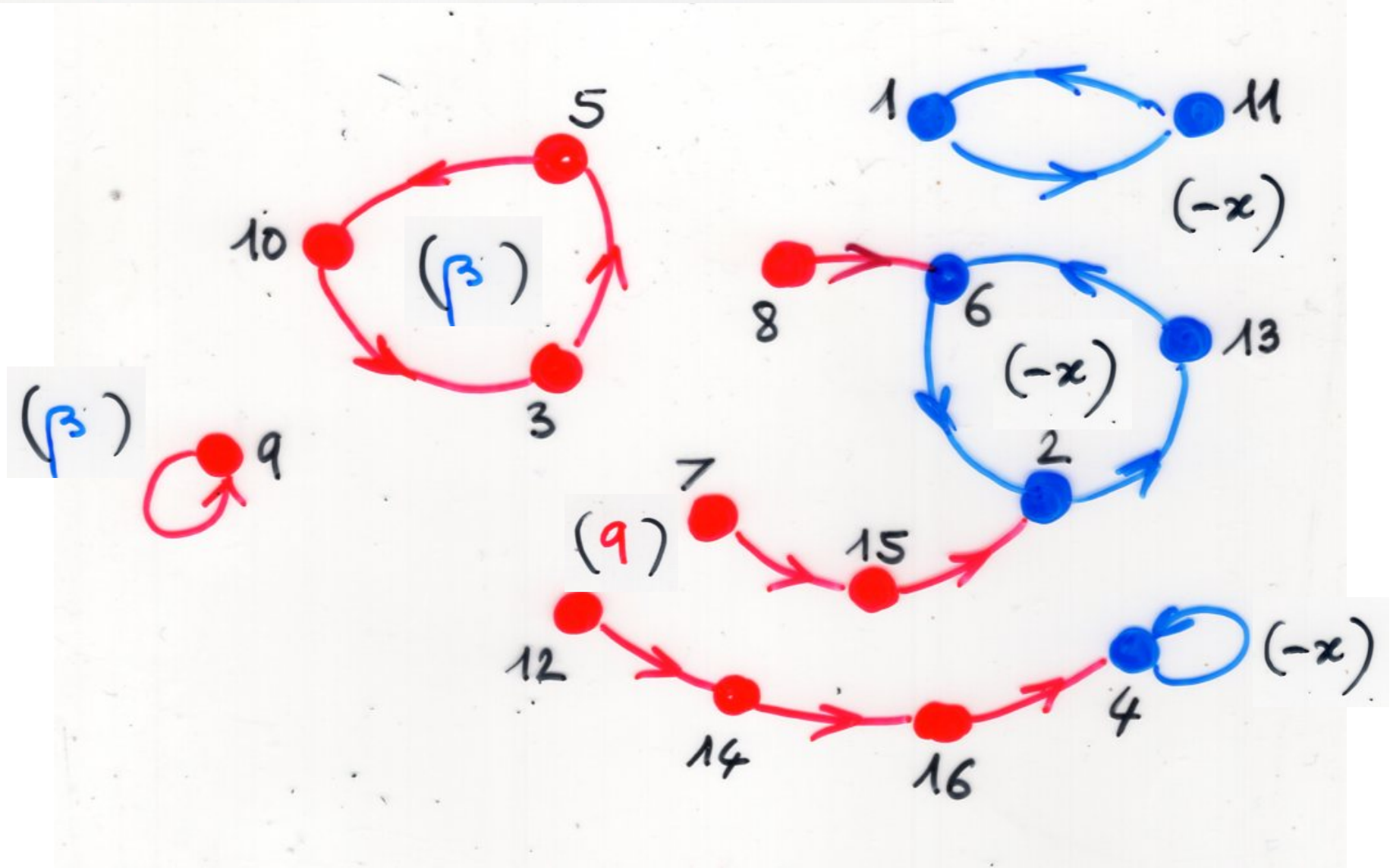


$$M[A, B] = L[A, B] \times S[B]$$



$$w(f, \tau) = \beta^{\text{cyc}(f)} (-x)^{\text{cyc}(\tau)} (c^{-1} - 1)^{|B|}$$

$$(c^{-1} - 1)$$



$$w(f, \tau) = \beta^{\text{cyc}(f)} (-x)^{\text{cyc}(\tau)} (c^{-1} - 1)^{|B|}$$

Proposition

$$M_n(x; \beta, c) = \sum_{(f, \tau) \in M[A, B]} w(f, \tau)$$

$$\sum_{n=0}^{\infty} M_n(x; \beta, c) \frac{t^n}{n!} = \left(1 - \frac{t}{c}\right)^x (1-t)^{-x-\beta}$$

$$\frac{\gamma t}{1-t}$$

exponential generating function  
for non empty lists (=paths)  
with weight  $\gamma$

$$\left[1 - \gamma \frac{t}{1-t}\right]^{-z}$$

exp. g.f.: permutations (non empty lists)  
weight  $\gamma$

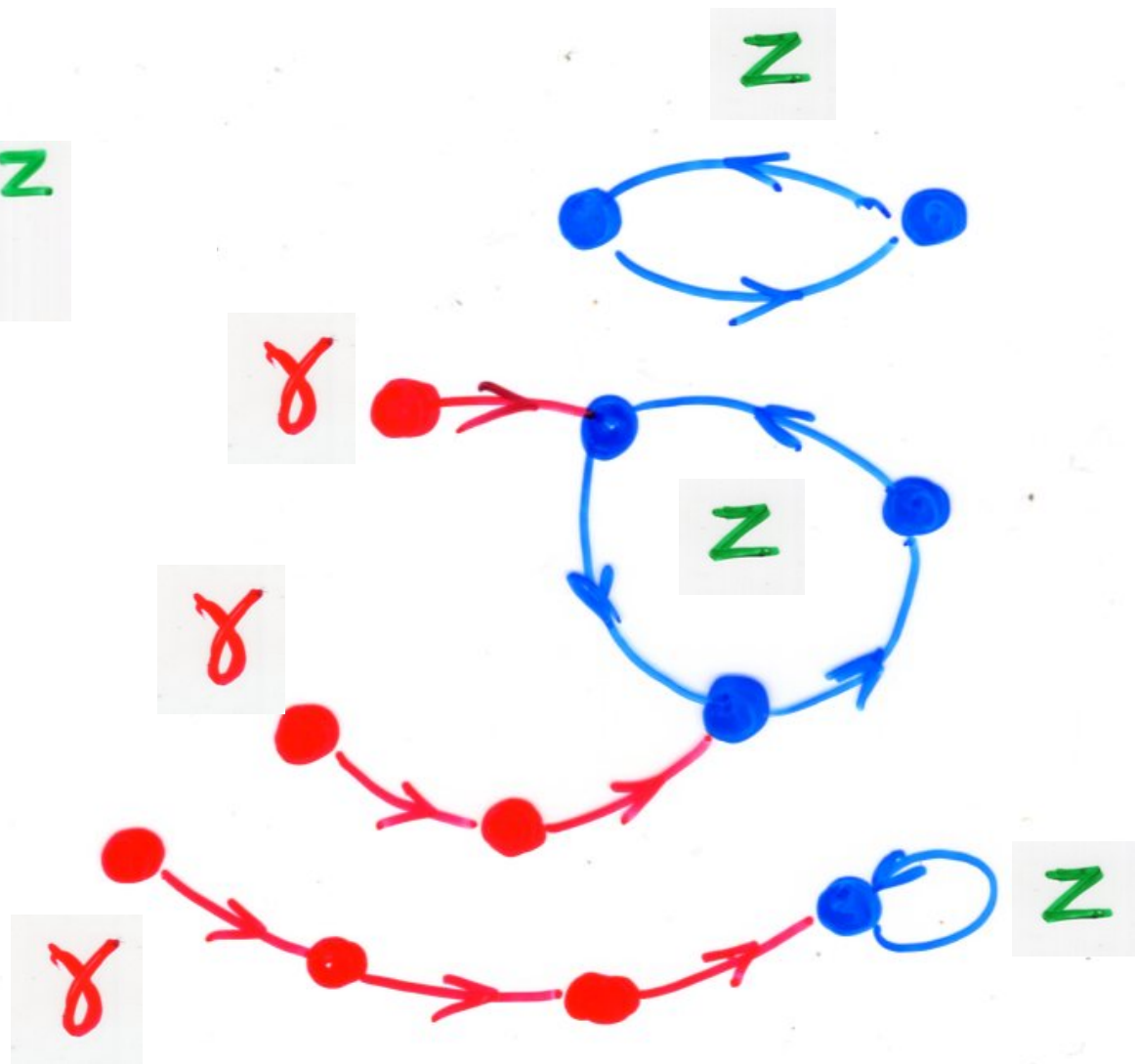
species

$$S = L^1$$

weight  $z$  for each cycle of the permutation



$$\left[1 - \gamma \frac{t}{1-t}\right]^{-z}$$



exp. g.f. Meixner configurations

$$\left(\frac{1}{1-t}\right)^{\beta} \left[1 - \frac{\gamma t}{1-t}\right]^{-z}$$

$$(1-t)^{-\beta+z} (1-(1+\gamma)t)^{-z}$$

$$z = -x \quad \gamma = (c^{-1} - 1)$$

$$\sum_{n=0}^{\infty} M_n(x; \beta, c) \frac{t^n}{n!} = \left(1 - \frac{t}{c}\right)^x (1-t)^{-x-\beta}$$



Meixner polynomials

Limit formula

limit formula

$$\lim_{c \rightarrow 1} M_n \left( \frac{cx}{c-1}; \beta, c \right) = L_n^{(\beta-1)}(x)$$

$$M_n \left( \frac{cx}{c-1}; \beta, c \right)$$

$$\sum_{(f, \tau) \in M[A, B]} w \left( \beta, \frac{-cx}{c-1}, c^{-1} - 1 \right)$$

$$w \left( \beta, \frac{-cx}{c-1}, c^{-1} - 1 \right)$$

$$= \beta^{\text{cyc}(f)} (-x)^{\text{cyc}(\tau)} (c^{-1} - 1)^{|B| - \text{cyc}(\tau)}$$

when  
 $c \rightarrow 1$

only Meixner configurations with  
 $|B| = \text{cyc}(\tau)$  will "survive"  
(i.e. give a non-zero contribution)

$(f, \tau)$  with  $|B| = \text{cyc}(\tau)$   
is isomorphic to a Laguerre configuration

$$w(f) = \beta^{\text{cyc}(f)} (-x)^{|B|}$$

$$L_n^{(\beta-1)}(x) = \sum_{f \in L[A, B]} w(f)$$

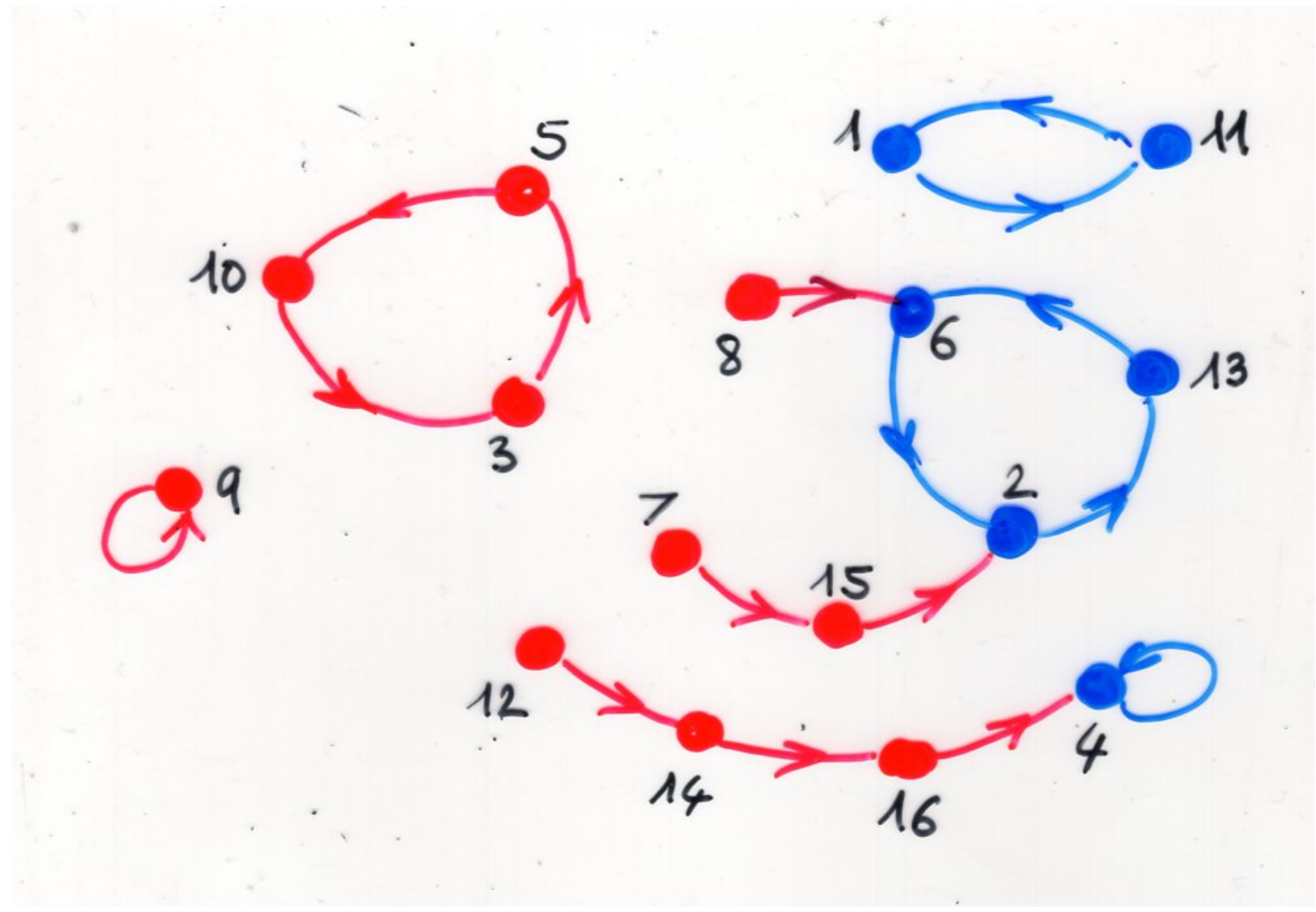


Meixner polynomials

Interpretation with colored permutations

Meixner configuration  $\longrightarrow$

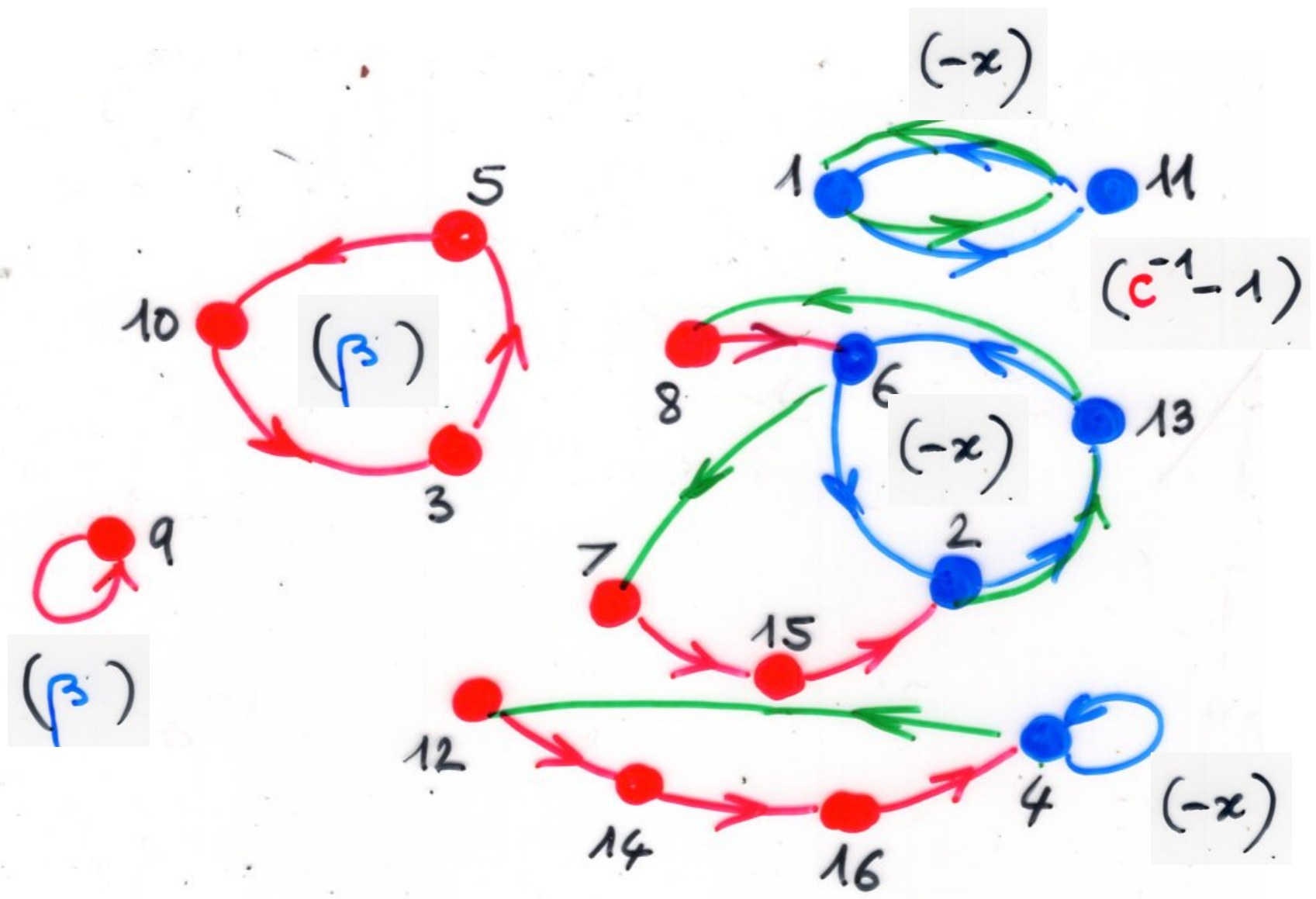
colored permutation





Meixner configuration  $\longrightarrow$

colored permutation



Meixner configuration

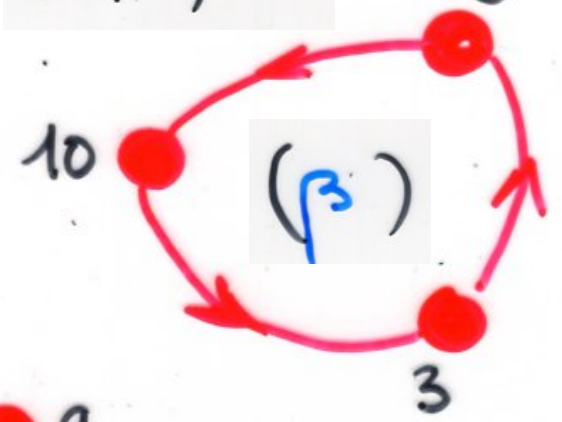


colored permutation

$2^n n!$

$(1, 11)$   $(-x)$

$(3, 5, 10)$



$(\beta)$



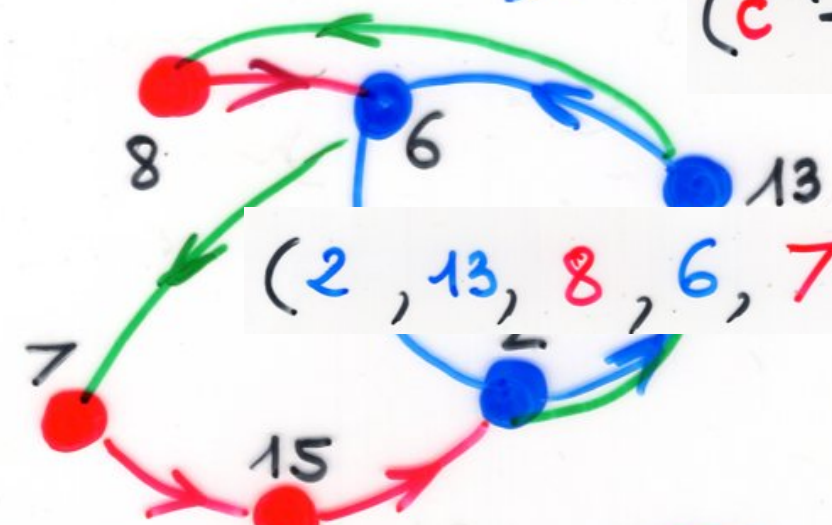
$(c^{-1} - 1)$

$(9)$



$(\beta)$

$(2, 13, 8, 6, 7, 15)$



$(-x)$



$(4, 12, 14, 16)$

$(-x)$

colored permutation

(1, 11)

(3, 5, 10)

(2, 13, 8, 6, 7, 15)

(9)

(4, 12, 14, 16)

$$W(\sigma_c) = \beta^{\text{cyc}(\sigma_c)} (-x)^{\text{cyc}(\sigma_c)} (c^{-1} - 1)^{|B|}$$

$\text{cyc}(\sigma_c)$  = number red cycles of  $\sigma_c$   
 $\text{cyc}(\sigma_c)$  = number of non red of  $\sigma_c$

Proposition

$$M_n(x; \beta, c) = \sum_{\sigma_c \in \mathcal{G}_n^c} W(\sigma_c)$$

Meixner polynomials

A third interpretation

Kreweras polynomials

"partially underlined"  
permutations

$i, \underline{i}$

$1 \leq i \leq n$

2-colored  
permutation

$\sigma_c$  or  $\underline{\sigma}$

$w(\sigma_c)$

Meixner configuration

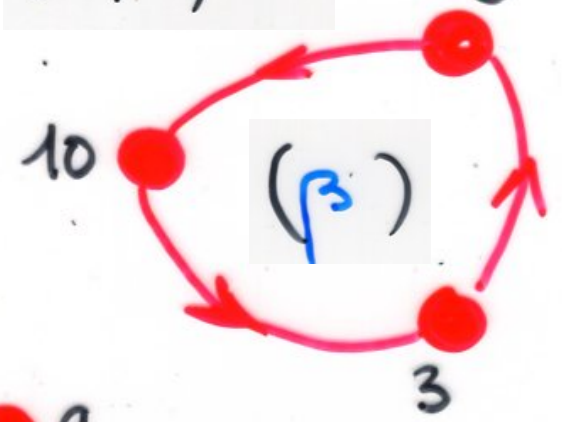


colored permutation

$2^n n!$

$(1, 11)$   $(-x)$

$(3, 5, 10)$



$(\beta)$



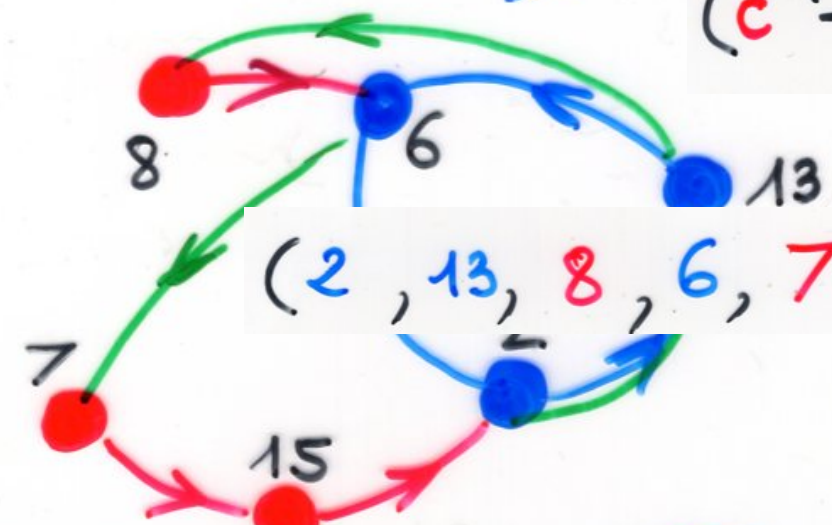
$(c^{-1} - 1)$

$(9)$



$(\beta)$

$(2, 13, 8, 6, 7, 15)$



$(-x)$



$(4, 12, 14, 16)$

$(-x)$

total order on  $E = A \cup B$

any  $j \in B$  is  $<$  any  $i \in A$

(4, 12, 14, 16)

(2, 13, 8, 6, 7, 15)

(1, 11)

(9)

(3, 5, 10)



$\sigma = 4, 12, 14, 16, 2, 13, 8, 6, 7, 15, 1, 11, 9, 3, 5, 10$

$$w(\sigma) = \beta^{\text{lr}(\sigma)} (-2)^{\text{lr}(\sigma)} (c^{-1} - 1)^{|B|}$$

$$\underline{\sigma} = 4, 12, 14, 16, 2, 13, 8, 6, 7, 15, 1, 11, 9, 3, 5, 10$$

$$w(\underline{\sigma}) = \beta^{\text{lr}(\underline{\sigma})} (-2)^{\text{lr}(\underline{\sigma})} (c^{-1} - 1)^{|B|}$$

$\text{lr}(\underline{\sigma}_c)$  red left-to-right  
minimum elements

$\text{lr}(\underline{\sigma}_c)$  blue left-to-right  
minimum elements



9 = 4, 12, 14, 16, 2, 13, 8, 6, 7, 15, 1, 11, 9, 3, 5, 10

(4, 12, 14, 16)

(2, 13, 8, 6, 7, 15)

(1, 11)

(9)

(3, 5, 10)

$$\beta = 1, c = \frac{1}{2}$$

Kreweras polynomials

$$K_n(x) = \sum_{\sigma \in \underline{S}_n} (-x)^{\text{lr}(\sigma)} = M_n(x; 1, \frac{1}{2})$$

$$\underline{\sigma} = 4, 12, 14, 16, 2, 13, 8, 6, 7, 15, 1, 11, 9, 3, 5, 10$$

$\text{lr}(\sigma_c)$  blue left-to-right  
minimum elements

$$\beta = 1, c = \frac{1}{2}$$

$$\begin{cases} \tilde{b}_k = 3k+1 \\ \tilde{\lambda}_k = 2k^2 \end{cases}$$

$$\mu_n = \sum_{\sigma \in \mathcal{G}_n} 2^{d(\sigma)}$$

= number of ordered partitions of  $\{1, 2, \dots, n\}$

exercise direct proof by constructing a bijection between ordered partitions and some histories associated to weighted colored Motzkin paths with weight  $\tilde{b}_k = 3k+1, \tilde{\lambda}_k = 2k^2$

$$c = \frac{1}{2}$$

$$\begin{cases} \tilde{b}_k = 3k + \beta \\ \tilde{\lambda}_k = 2k(k + \beta - 1) \end{cases}$$

parameter  $\beta$ : number of blocks?

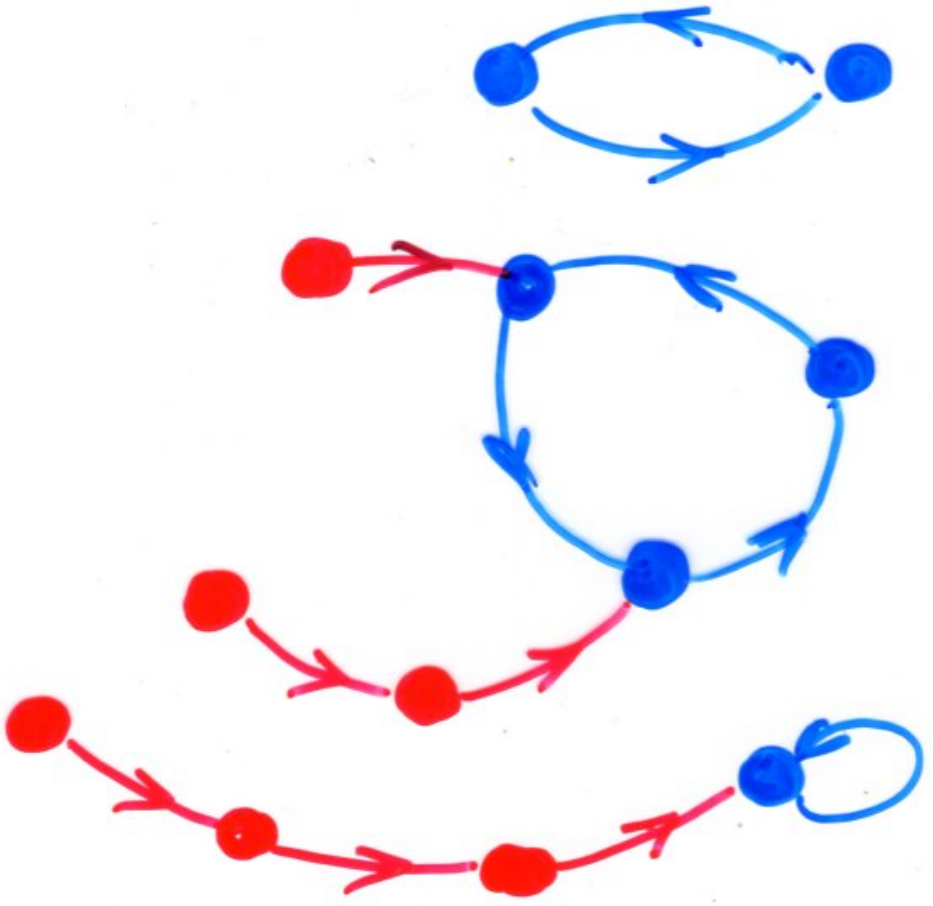
Octopus

F. Bergeron (1990)

species

$$S \circ L^1$$

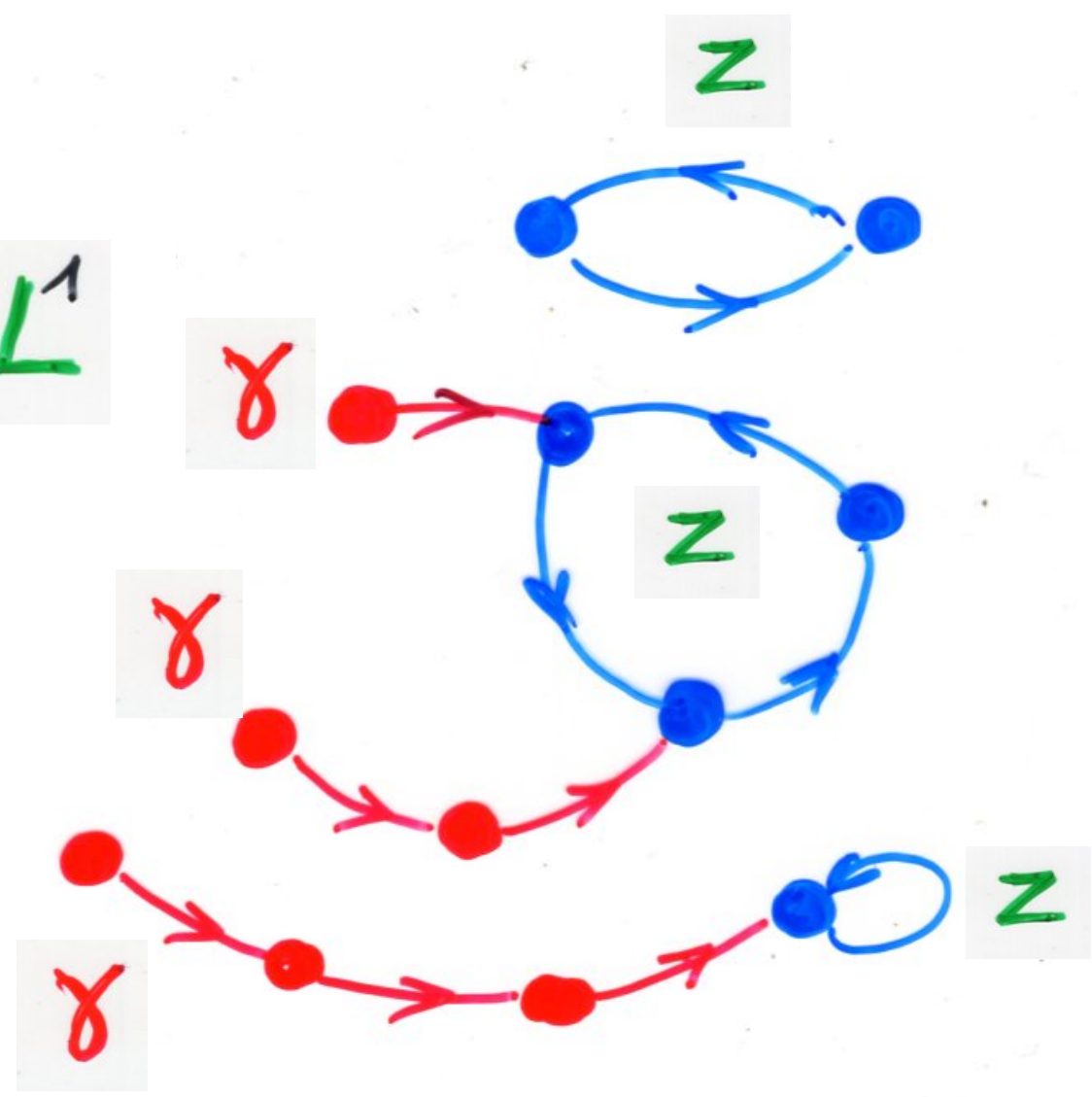
chain



species

$$S = L^1$$

$$\left[ 1 - \gamma \frac{t}{1-t} \right]^{-z}$$



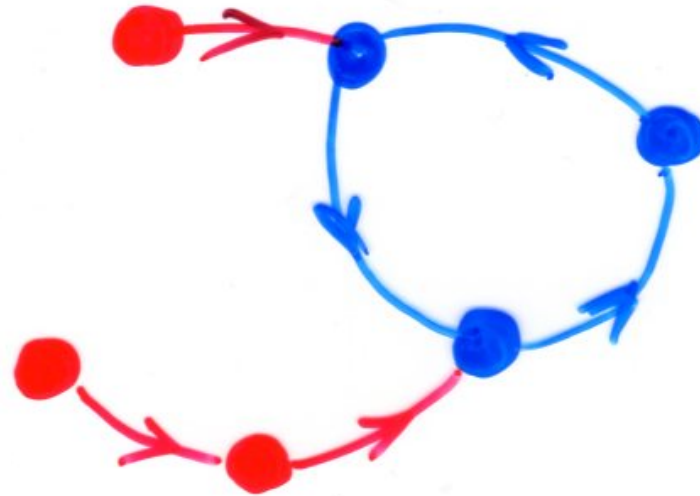
species

0

octopus

$$-\log \left[ 1 - \frac{t}{1-t} \right]$$

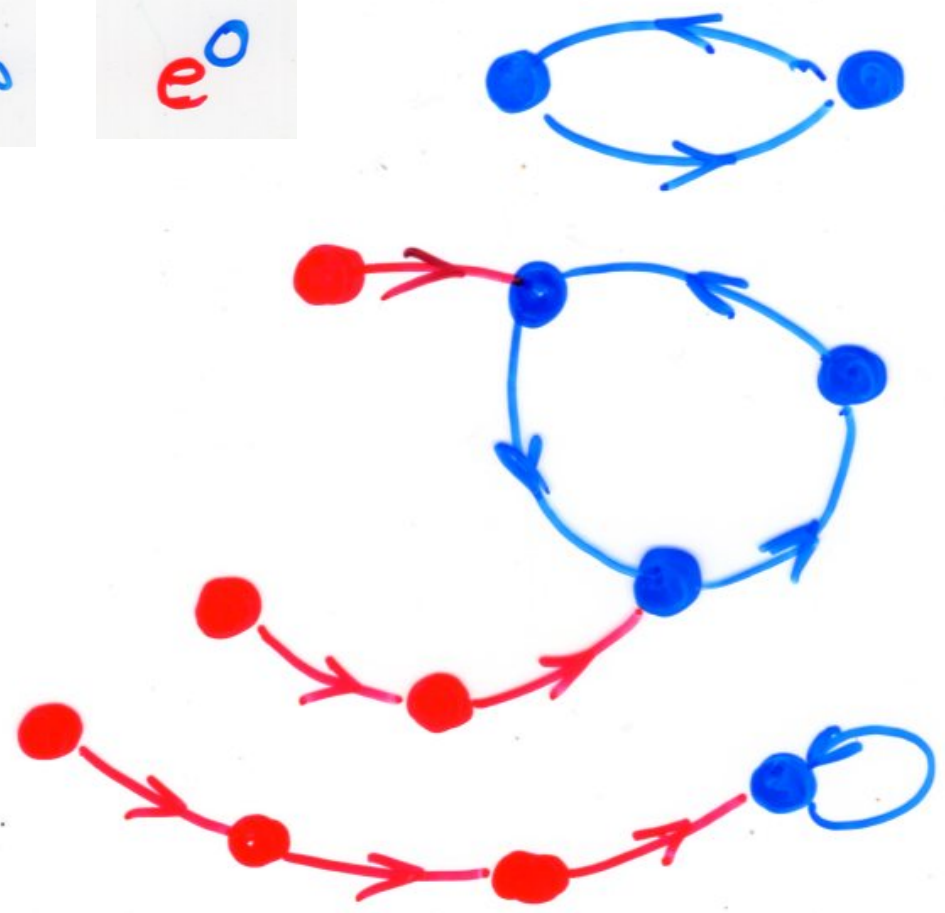
$$\log = \ln$$



"assemblée" of octopus

$e^0$

$S^0 \cup L^1$





$$\{c_i\}_{i \geq 1}$$

$$\{\tau_i\}_{i \geq 1}$$

$C(\Omega)$  cycle of  $\Omega$   
 $\Omega$  octopus

$$w(\Omega) = c_k \prod_{j \in C(\Omega)} \tau_{l(j)}$$

$$k = |C(\Omega)|$$

$l(j) = \text{length of } T(j)$

tentacle fixed on  $j$

exp. generating function:

$$O_w(t) = \sum_{n \geq 1} \sum_{\Omega \in \mathcal{O}[n]} w(\Omega) \frac{t^n}{n!}$$

$$= \sum_{k \geq 1} \frac{c_k}{k} \left( \sum_{i \geq 1} \tau_i t^i \right)^k$$

# Interpretation of Gegenbauer polynomials

Gegenbauer polynomials

ultraspherical polynomials

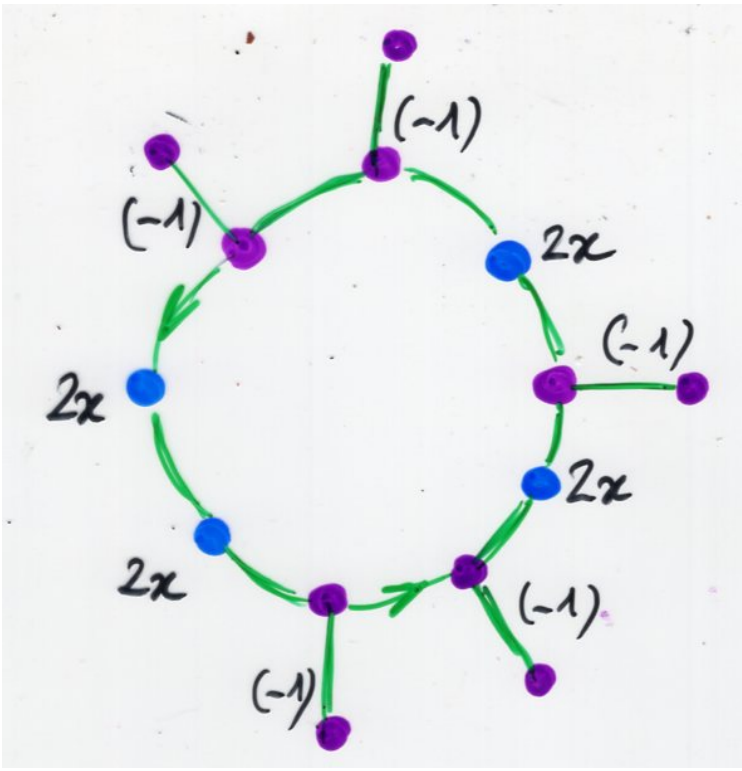
$$C_n^{(\lambda)}(x)$$

$$\sum_{n \geq 0} C_n^{(\lambda)}(x) t^n = (1 - 2xt + t^2)^{-\lambda}$$

Gegenbauer octopus

tentacle length  $\leq 2$   
weight  $\lambda (2x)^a (-1)^b$

$\begin{cases} a = \text{number of} \\ b = \text{''} \end{cases}$  tentacles length 1  
" " length 2



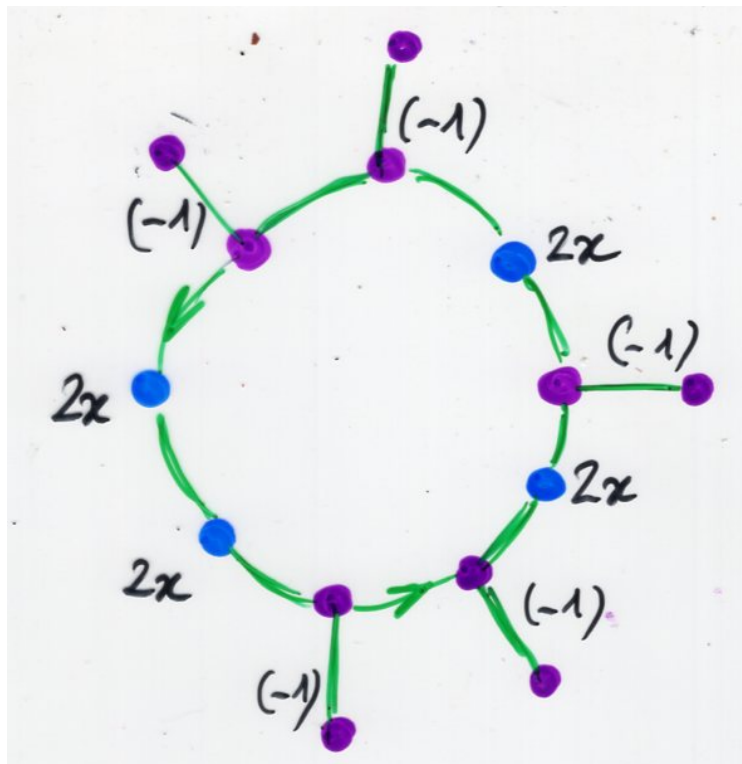
assemblée  
of  
octopus  $\mathcal{O}$

Tchebychev II

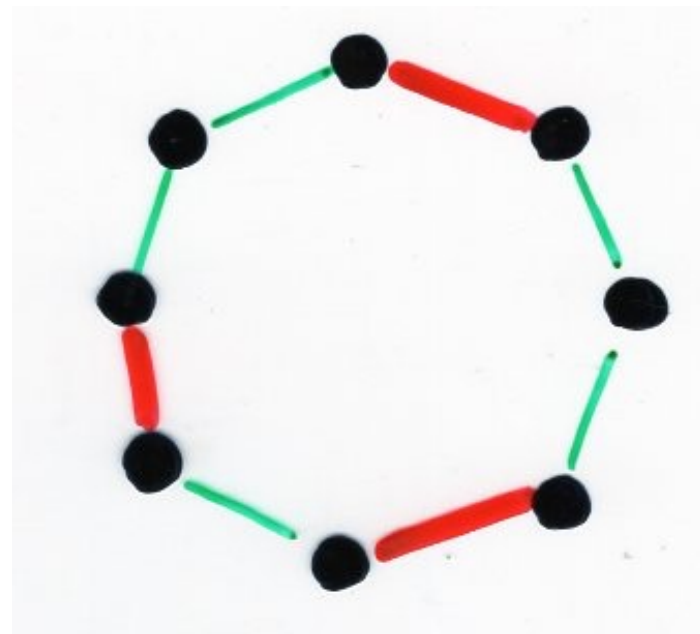
$$U_n(x) = C_n^{(1)}(x)$$

Gegenbauer octopus

$$\sum_{n \geq 0} U_n(x) t^n = \frac{1}{1 - 2xt + t^2}$$



$$T_n(x) = \frac{1}{2} C_n(2x)$$



# Interpretation of Meixner-Pollaczek polynomials

Meixner-  
Pollaczek

$$\sum_{n \geq 0} P_n(x; \eta, \delta) \frac{t^n}{n!} = \left[ (1 + \delta t)^2 + t^2 \right]^{-\eta/2} \exp \left[ x \arctan \left( \frac{t}{1 + \delta t} \right) \right]$$

$$\delta \in \mathbb{R}, \eta > 0$$

$$\left[ (1 + \delta t)^2 + t^2 \right]^{-\eta/2} \exp \left[ x \arctan \left( \frac{t}{1 + \delta t} \right) \right]$$

(i) Gegenbauer octopus

$$\text{weight } \left(-\frac{\eta}{2}\right) (2\delta)^a \left(- (1 + \delta^2)\right)^b$$

(ii) octopus with cycle odd length  
weight  $x (-1)^k \left(-\frac{\eta}{2}\right)^{n-2k-1}$

$$2k+1$$

$n$  number of vertices of  $\Omega$



Pairs of permutations

Pair of permutations

$$T[A, B] = S[A] \times S[B]$$

$$(\sigma, \tau) \in T[A, B]$$

J. Labelle, Y.N. Yeh (1989)

$$w(\sigma, \tau) = u^{\text{cyc}(\sigma)} v^{\text{cyc}(\tau)} r^{|A|} s^{|B|}$$

$$T(t) = \sum_{n \geq 0} T_n \frac{t^n}{n!}$$

$$T(t) = (1 - rt)^{-u} (1 - st)^{-v}$$

$$T_n(r, s; u, v)$$

$$T_n = \sum_{i+j=n} \binom{n}{i} (u)_i (v)_j r^i s^j$$

$$T[A, B] = S[A] \times S[B]$$

$$w(\sigma, \tau) = u^{\text{cyc}(\sigma)} v^{\text{cyc}(\tau)} r^{|A|} s^{|B|}$$

$$T_n(r, s; u, v)$$

$$T_n(e^{-1}, 1; -x, \beta + x) = M_n(x; \beta, e)$$

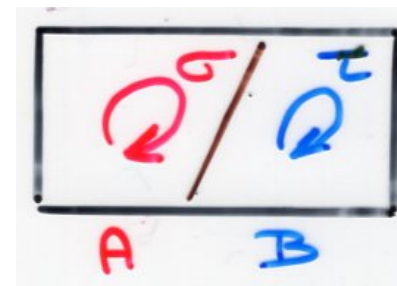
# Interpretation of Meixner-Pollaczek polynomials

Meixner-  
Pollaczek

$$\sum_{n \geq 0} P_n(x; \eta, \delta) \frac{t^n}{n!} = \left[ (1 + \delta t)^2 + t^2 \right]^{-\eta/2} \exp \left[ x \arctan \left( \frac{t}{1 + \delta t} \right) \right]$$

$$\delta \in \mathbb{R}, \eta > 0$$

$$T[A, B] = S[A] \times S[B]$$



$$\sum_{n \geq 0} \mathcal{P}_n^\lambda(x; \varphi) t^n = \frac{(1 - te^{i\varphi})^{-\lambda - ix}}{(1 - te^{i\varphi})^{\lambda + ix}}$$

$$n! \mathcal{P}_n^\lambda(x; \varphi) = (2\lambda)_n e^{in\varphi} {}_2F_1 \left[ \begin{matrix} -n, \lambda + ix \\ 2\lambda \end{matrix}; 1 - e^{-2i\varphi} \right]$$

$$T_n(r, s; u, v)$$

$$n! \mathcal{P}_n^\lambda(x; \varphi) = T_n(e^{i\varphi}, e^{-i\varphi}; \lambda - ix, \lambda + ix)$$

$$= T_n(2i \sin \varphi, e^{i\varphi}; \lambda + ix, 2\lambda)$$

# Interpretation of Krawtchouk polynomials

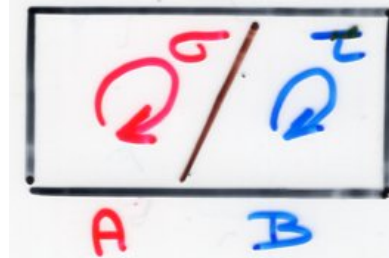
Krawtchouk polynomials

$$K_n(x; p, N) = {}_2F_1 \left[ \begin{matrix} -n, -x \\ -N \end{matrix}; \frac{1}{p} \right]$$

for  $0 \leq n \leq N$ ,  $0 < p < 1$

Krawtchouk configurations

$$K[A, B] = S[A] \times S[B]$$



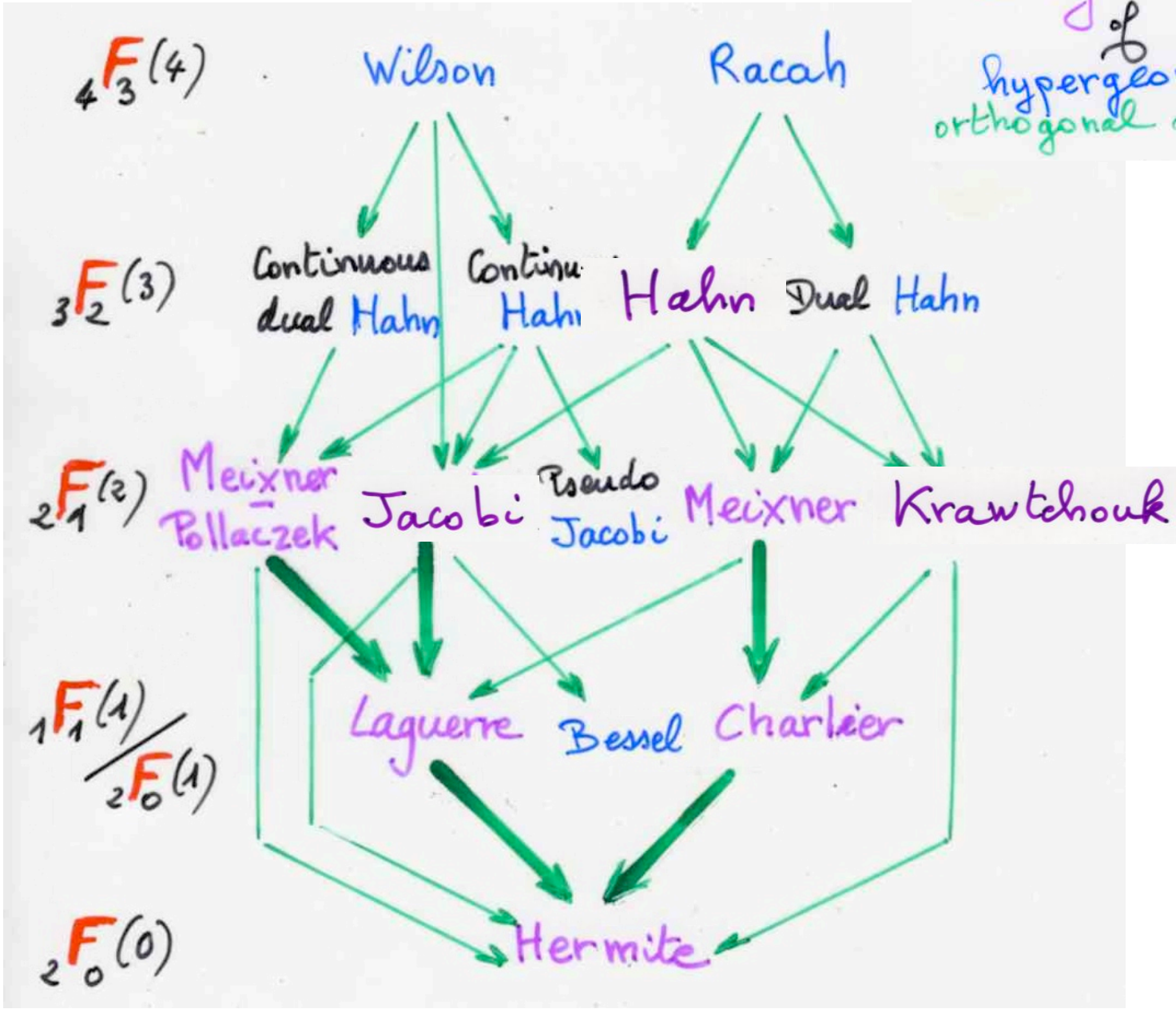
$$T_n(-qp^{-1}, 1; -x, x-N) = (-N)_n K_n(x; p; N)$$

$$T_n(r, s; u, v)$$



# Interpretation of Hahn polynomials

Askey scheme  
of  
hypergeometric  
orthogonal polynomials

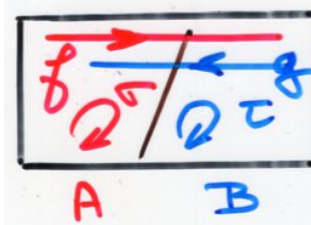


Hahn

$$Q_n(x; \alpha, \beta, N) = \quad 0 \leq n \leq N$$

$${}_3F_2 \left[ \begin{matrix} -n, n + \alpha + \beta + 1, -x \\ \alpha + 1, -N \end{matrix} ; 1 \right]$$

Hahn configurations



$$Q[A, B] = L[A, B] \times S[A] \times L[B, A] \times S[B]$$

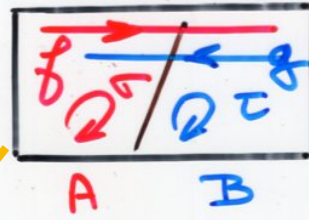
$$w(f, \sigma, g, \tau) = (\alpha+1)^{\text{cyc}(f)} (\beta+1)^{\text{cyc}(g)} (x-N)^{\text{cyc}(\sigma)} (-x)^{\text{cyc}(\tau)} (-1)^{|B|}$$

$$(\alpha+1)_n (-N)_n Q_n(x; \alpha, \beta, N) =$$

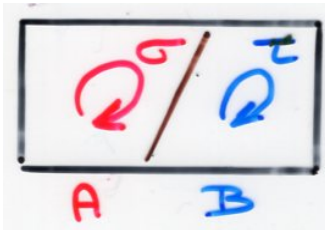
$$\sum_{(f, \sigma, g, \tau) \in Q[A, B]} w(f, \sigma, g, \tau)$$

$$Q[A, B] = L[A, B] \times S[A] \times L[B, A] \times S[B]$$

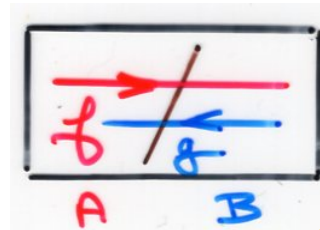
Hahn



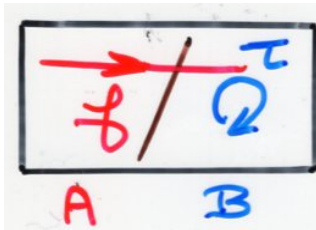
Meixner  
Pollaczek



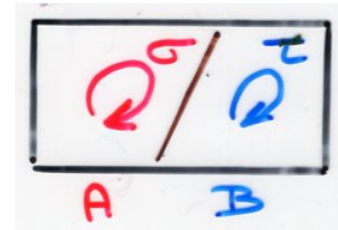
Jacobi



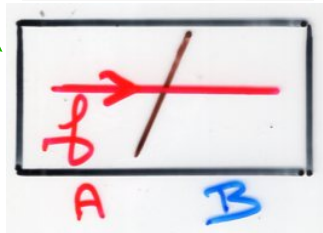
Meixner



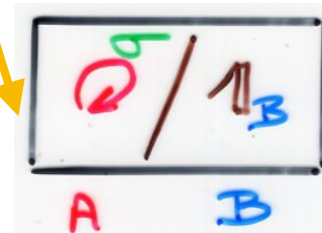
Krawtchouk



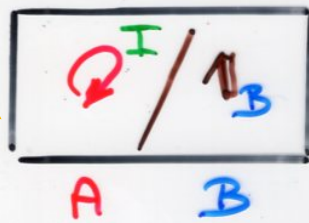
Laguerre



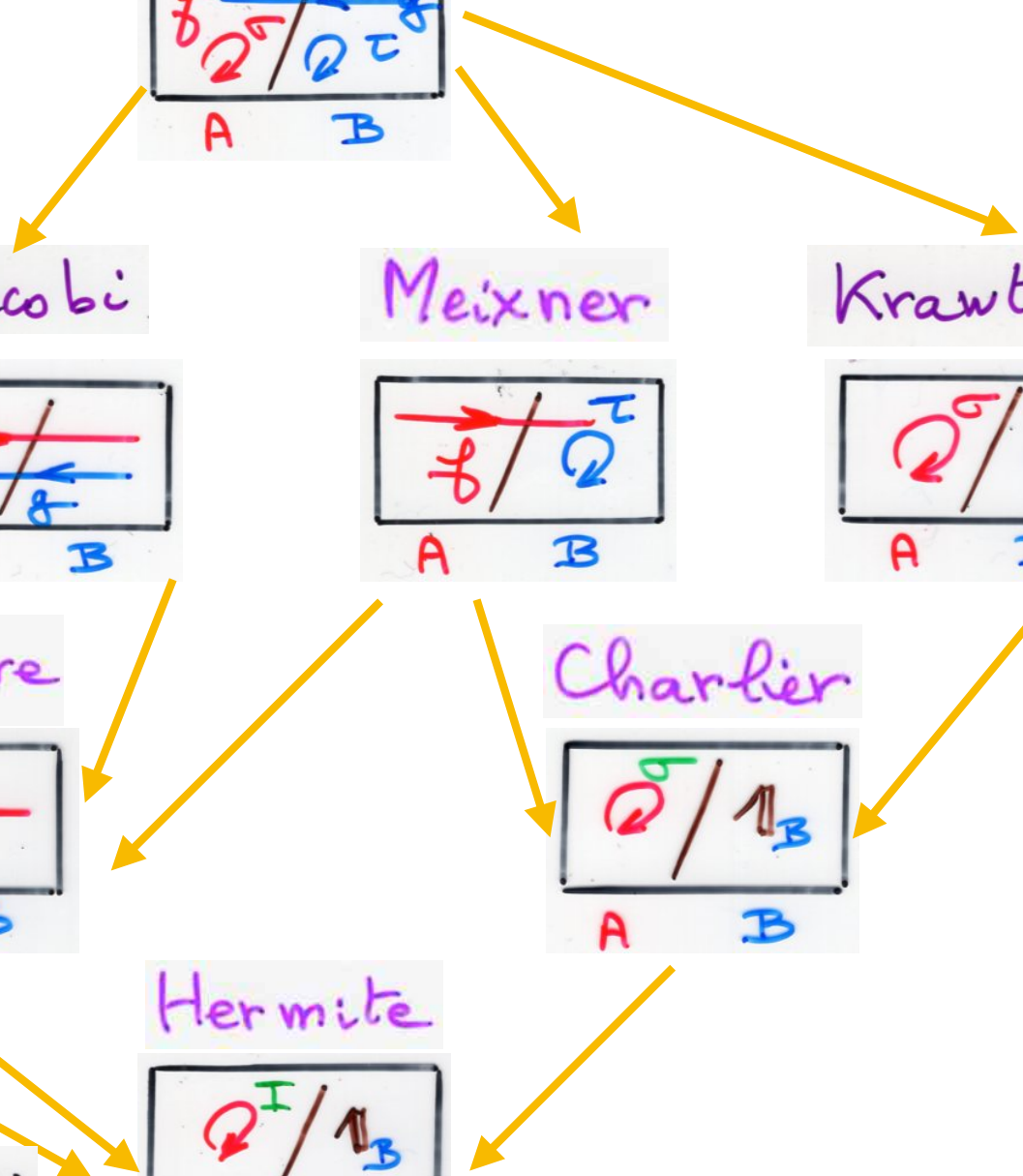
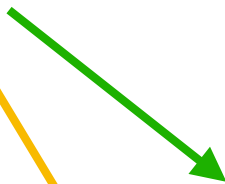
Charlier



Hermite



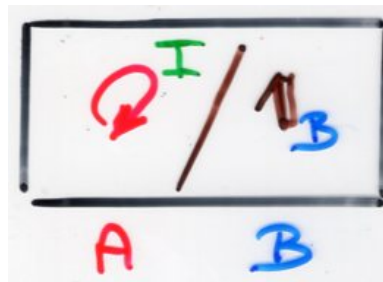
J. Labelle, Y.N. Yeh (1989)  
(1983)



$(A, B)$

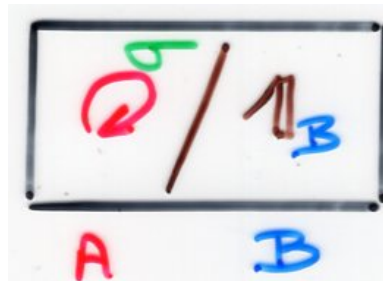
Hermite configurations

$$H[A, B] = I[A] \times \{1_B\}$$



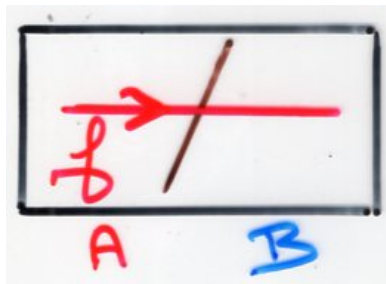
Charlier configurations

$$C[A, B] = S[A] \times \{1_B\}$$



Laguerre configurations

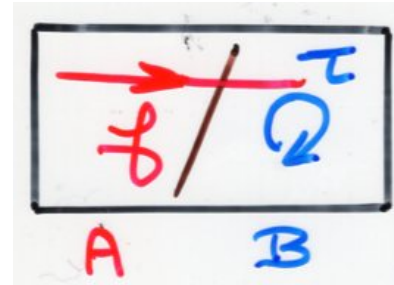
$$L[A, B] = \left\{ \begin{array}{l} \text{injective map } f \\ \text{from } A \text{ to } A+B \end{array} \right\}$$



$(A, B)$

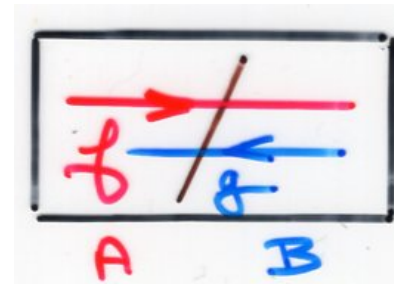
Meixner configurations

$$M[A, B] = L[A, B] \times S[B]$$



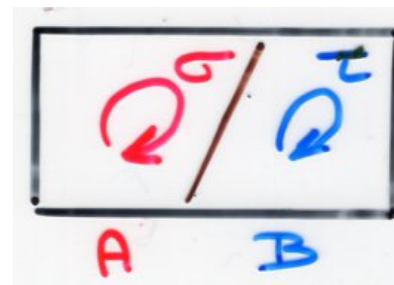
Jacobi configurations

$$J[A, B] = L[A, B] \times L[B, A]$$



Krawtchouk configurations

$$K[A, B] = S[A] \times S[B]$$

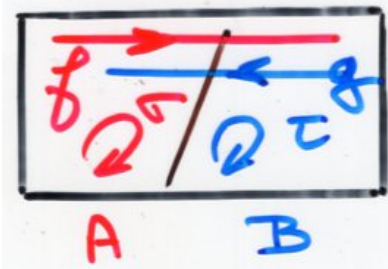


# Hahn configurations

$$Q[A, B] = L[A, B] \times S[A] \times L[B, A] \times S[B]$$

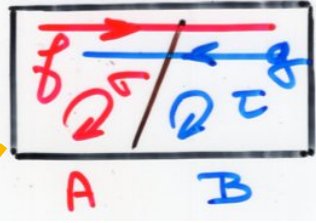
$$= M[A, B] \times M[B, A]$$

$$= J[A, B] \times K[A, B]$$

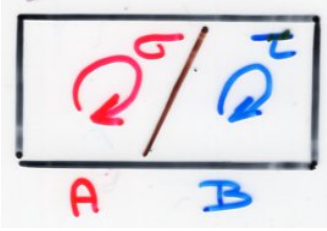




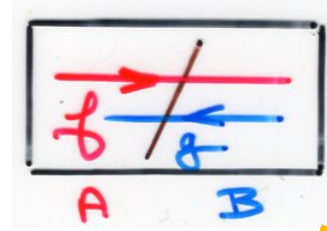
Hahn



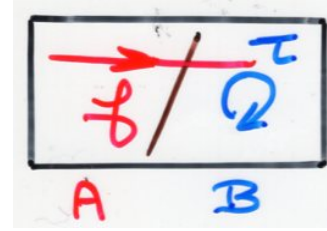
Meixner  
Pollaczek



Jacobi



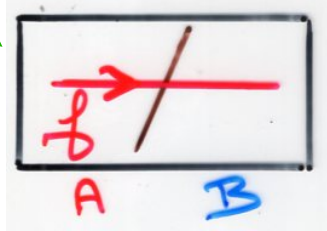
Meixner



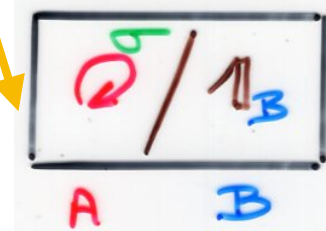
Krawtchouk



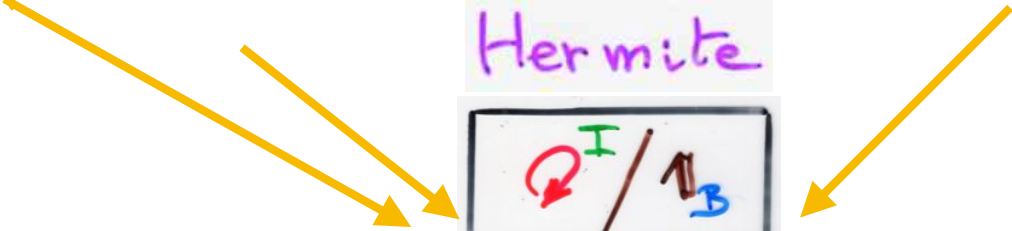
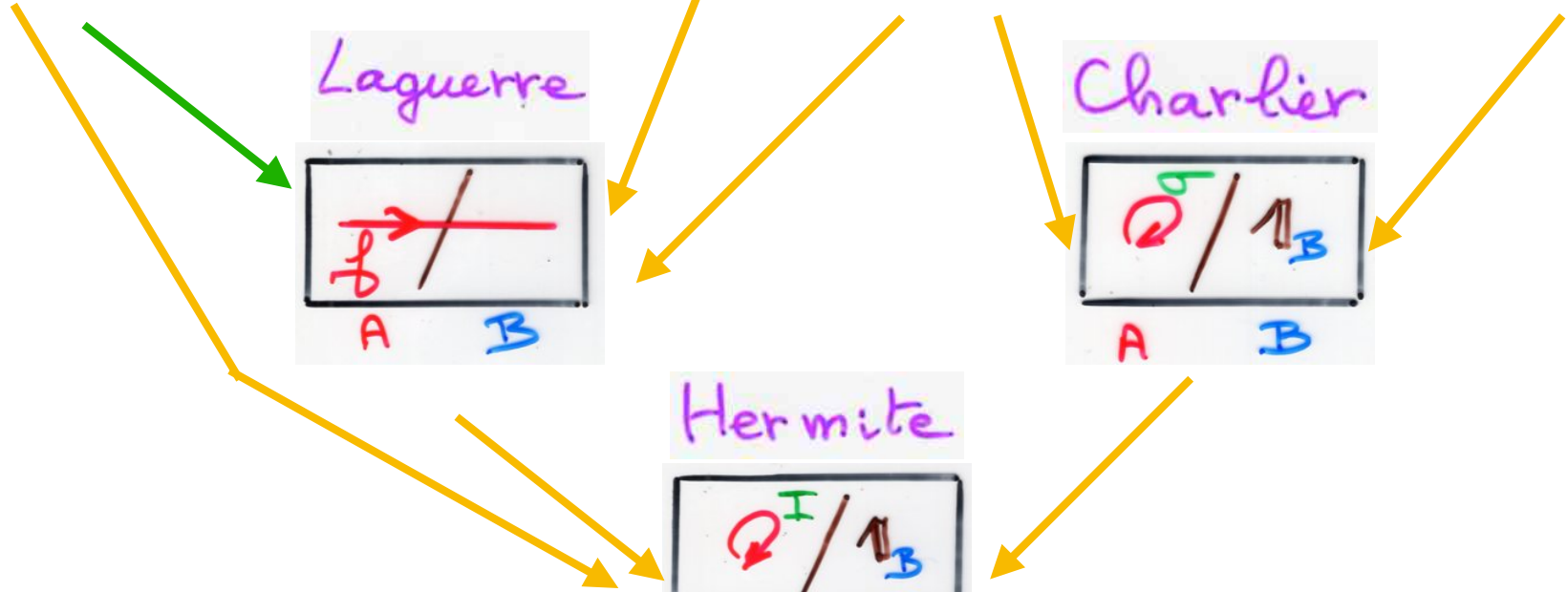
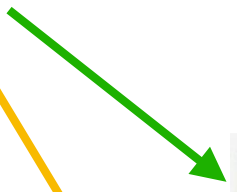
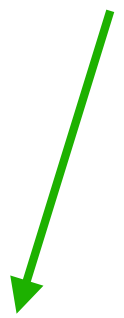
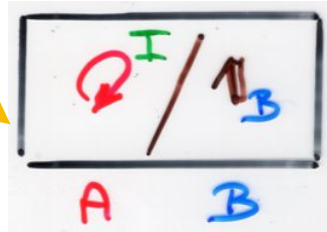
Laguerre



Charlier



Hermite



Sheffer polynomials

(Ch 5c)

## Sheffer polynomials

$$\sum_{n \geq 0} P_n(x) \frac{t^n}{n!} = g(t) \exp(x f(t))$$

binomial type  
polynomials

$$\sum_{n \geq 0} P_n(x) \frac{t^n}{n!} = \quad \exp(x f(t))$$

delta operator  $Q$

$$Q = \sum_{k \geq 0} \frac{a_k}{k!} D^k$$

$$D x^n = n x^{(n-1)}$$

Rota  
umbral calculus

binomial type  
polynomials

$$Q(B_n) = B_{n-1}$$

$$\sum_{n \geq 0} B_n(x) \frac{t^n}{n!} = \exp(x q^{\leftarrow}(t))$$

$$t = q(u)$$

$$u = q^{\leftarrow}(t)$$

# Sheffer polynomials

$S, Q$

delta operators

$$S = \lambda(D)$$

$$b_0 \neq 0$$

$$Q = q(D)$$

$$a_1 \neq 0$$

$$\lambda(t) = \sum_{k \geq 0} b_k \frac{t^k}{k!}$$

$$q(t) = \sum_{k \geq 1} a_k \frac{t^k}{k!}$$

$\{P_n(x)\}_{n \geq 0}$

Sheffer polynomials

$$B_n = S(P_n)$$

binomial type polynomials

# Sheffer polynomials

$$\sum_{n \geq 0} P_n(x) \frac{t^n}{n!} = g(t) \exp(x f(t))$$

$$\sum_{n \geq 0} P_n(x) \frac{t^n}{n!} = \frac{1}{\psi(q^{\leftarrow n}(t))} \exp(x q^{\leftarrow n}(t))$$

$$\sum_{n \geq 0} Q_n(x) \frac{t^n}{n!} = \psi(t) \exp(x q(t))$$

# Inverse polynomials

$$x^n = \sum_{i=0}^n q_{n,i} P_i(x)$$

See Ch 1d

$$Q_n(x) = \sum_{i=0}^n q_{n,i} x^i$$

inverse  
sequence

$\{Q_n(x)\}_{n \geq 0}$

combinatorial interpretation  
of the operator  $\mathcal{Q}$  and  $\mathcal{S}$   
for the 5 classes of Sheffer  
orthogonal polynomials with:

Laguerre histories

$\left\{ \begin{array}{l} \text{restricted} \rightarrow \mathcal{S} \\ \text{large} \rightarrow \mathcal{Q} \end{array} \right.$

orthogonal  
polynomial

duality



moments  
 $\mu_n$



