

Course IMSc, Chennai, India



January-March 2018

The cellular ansatz:
bijective combinatorics and quadratic algebra

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Chapter 2
Quadratic algebra, Q-tableaux
and planar automata

Ch2a

IMSc, Chennai
January 29, 2018

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"The cellular ansatz"

quadratic algebra Q

Q -tableaux

representation of Q
by combinatorial
operators

$$UD = DU + Id$$

combinatorial objects
on a 2D lattice

bijections

permutations

RSK

pairs of
Young tableaux

Physics

towers placements

$$DE = qED + E + D$$

commutations

rewriting rules

planarization

$$UD = DU + Id$$

commutations

Lemma Every word w with letters U and D can be written in a unique way

$$w = \sum_{i,j \geq 0} c_{ij}(w) D^i U^j$$

normal ordering
in physics

$$UD \rightarrow DU$$

$$UD \rightarrow Id$$

rewriting rules

$$UUDD = UDUD + UD$$

$$= DUUD + 2UD$$

$$= (DU DU + DU) + 2(DU + Id)$$

$$= (DDUU + 2DU) + 2(DU + Id)$$

$$= DDUU + 4DU + 2Id$$

$$UD = DU + Id$$

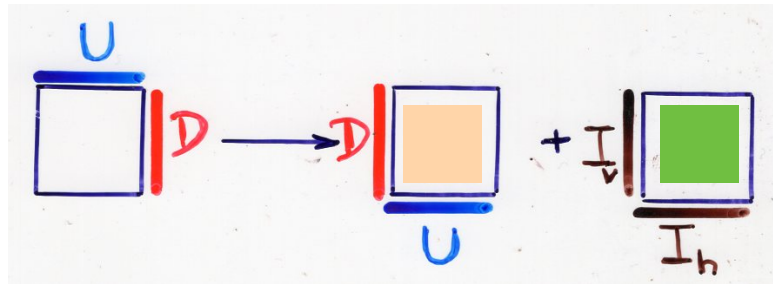
commutations

$$UD \rightarrow DU \quad UD \rightarrow Id$$

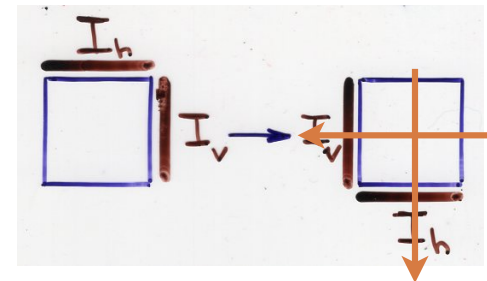
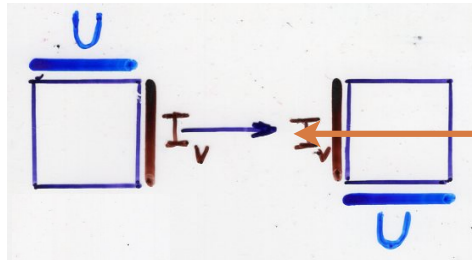
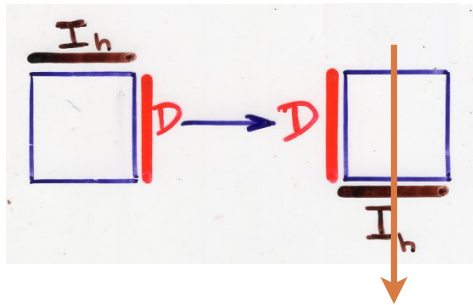
rewriting rules

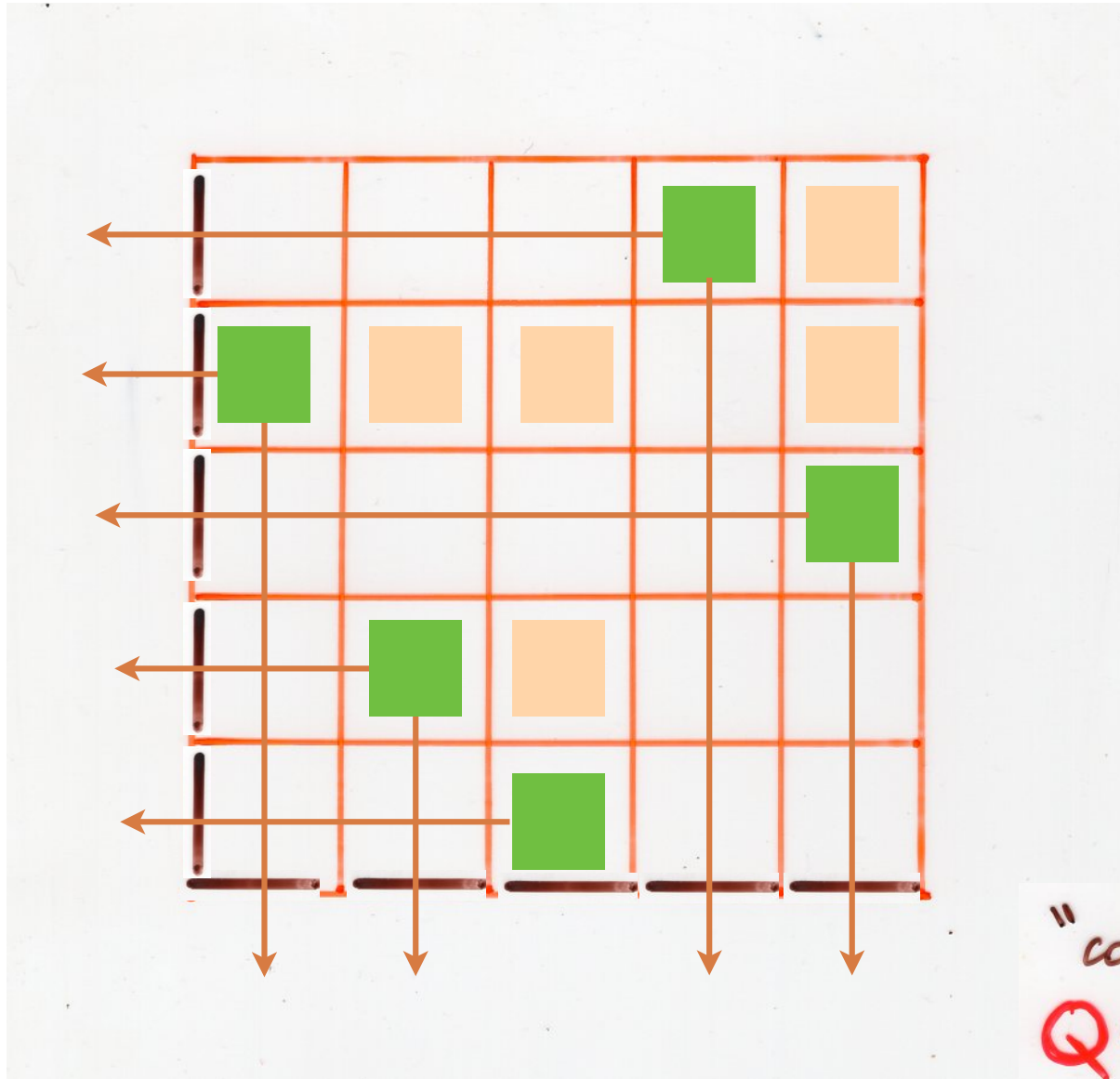
planarization of the rewriting rules

$$UD = qDU + I$$



$$\left\{ \begin{array}{l} UD = DU + I_v I_h \\ U I_v = I_v U \\ I_h D = D I_h \\ I_h I_v = I_v I_h \end{array} \right.$$

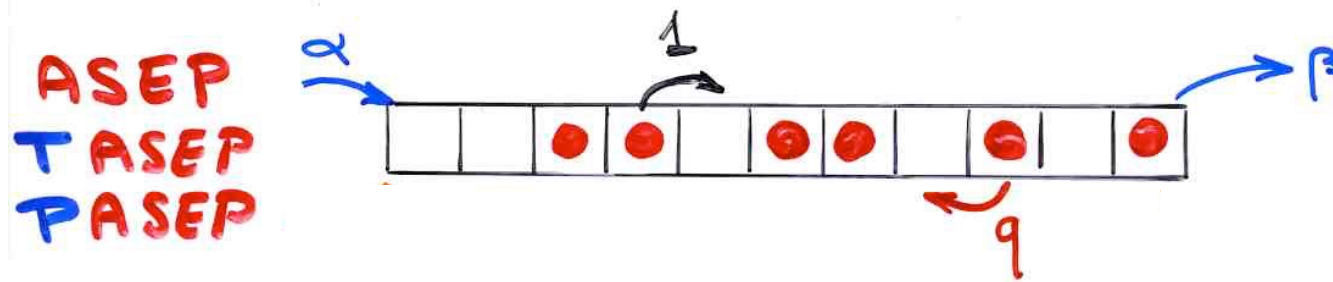




"complete"
Q-tableau

The PASEP

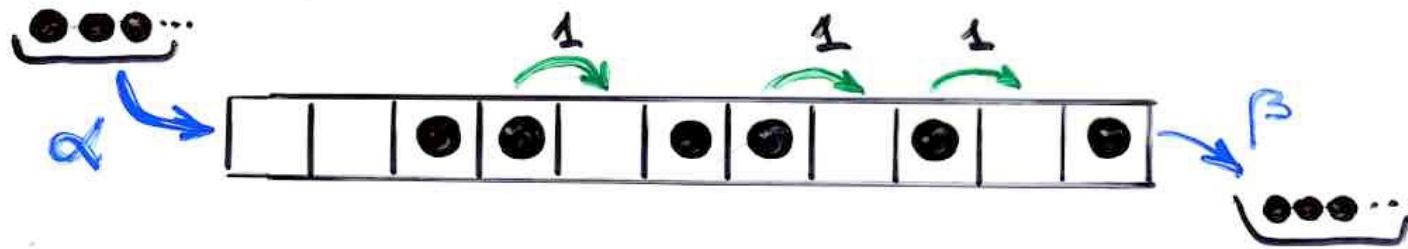
toy model in the physics of
dynamical systems far from equilibrium

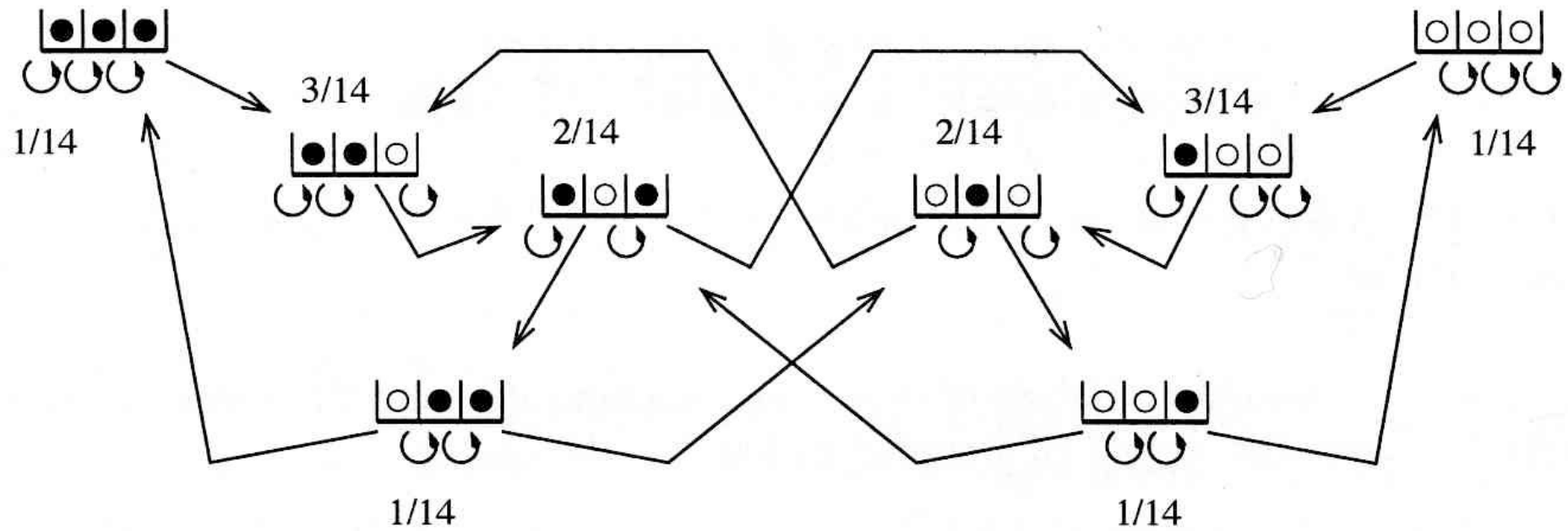


computation of the
"stationary probabilities"

TASEP

"Totally asymmetric exclusion process"





stationary
probabilities

The PASEP algebra

$$DE = qED + E + D$$

The PASEP algebra

$$DE = qED + E + D$$

$$DDE(DE)EDE$$

q

$$DDE(ED)EDE$$

$$DDE(E)EDE$$

$$DDE(D)EDE$$

The PASEP algebra

$$DE = qED + E + D$$

$$w(E, D) = \sum_{\mathbb{T}} q^{k(\mathbb{T})} E^{i(\mathbb{T})} D^{j(\mathbb{T})}$$

word

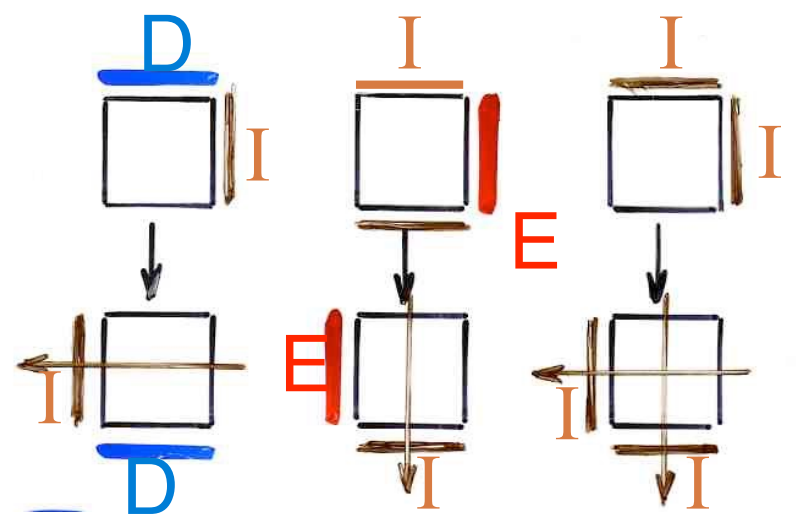
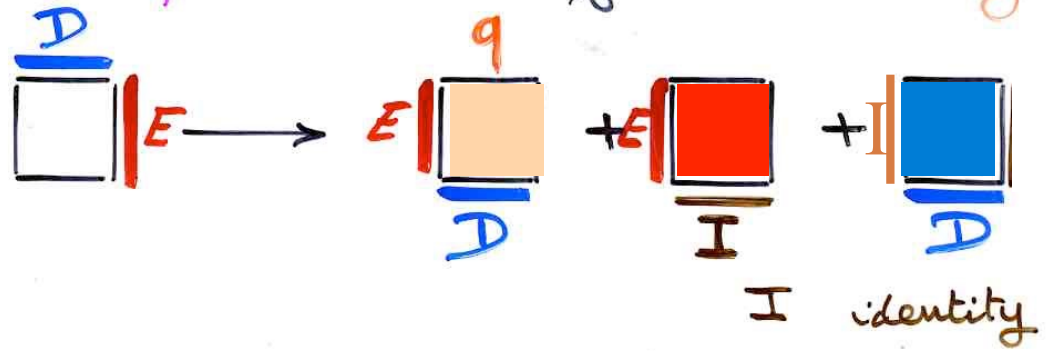
tableau

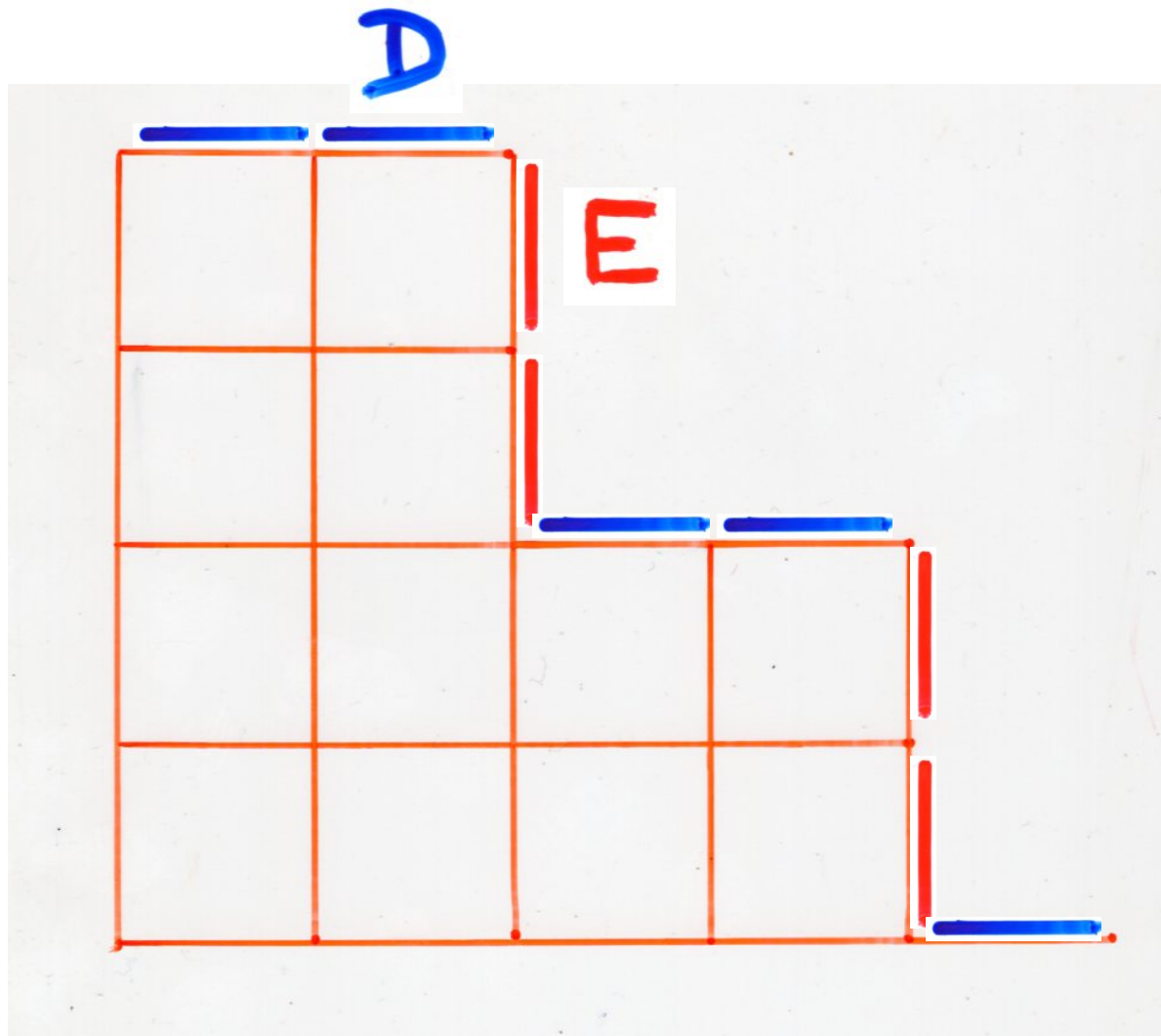
unique

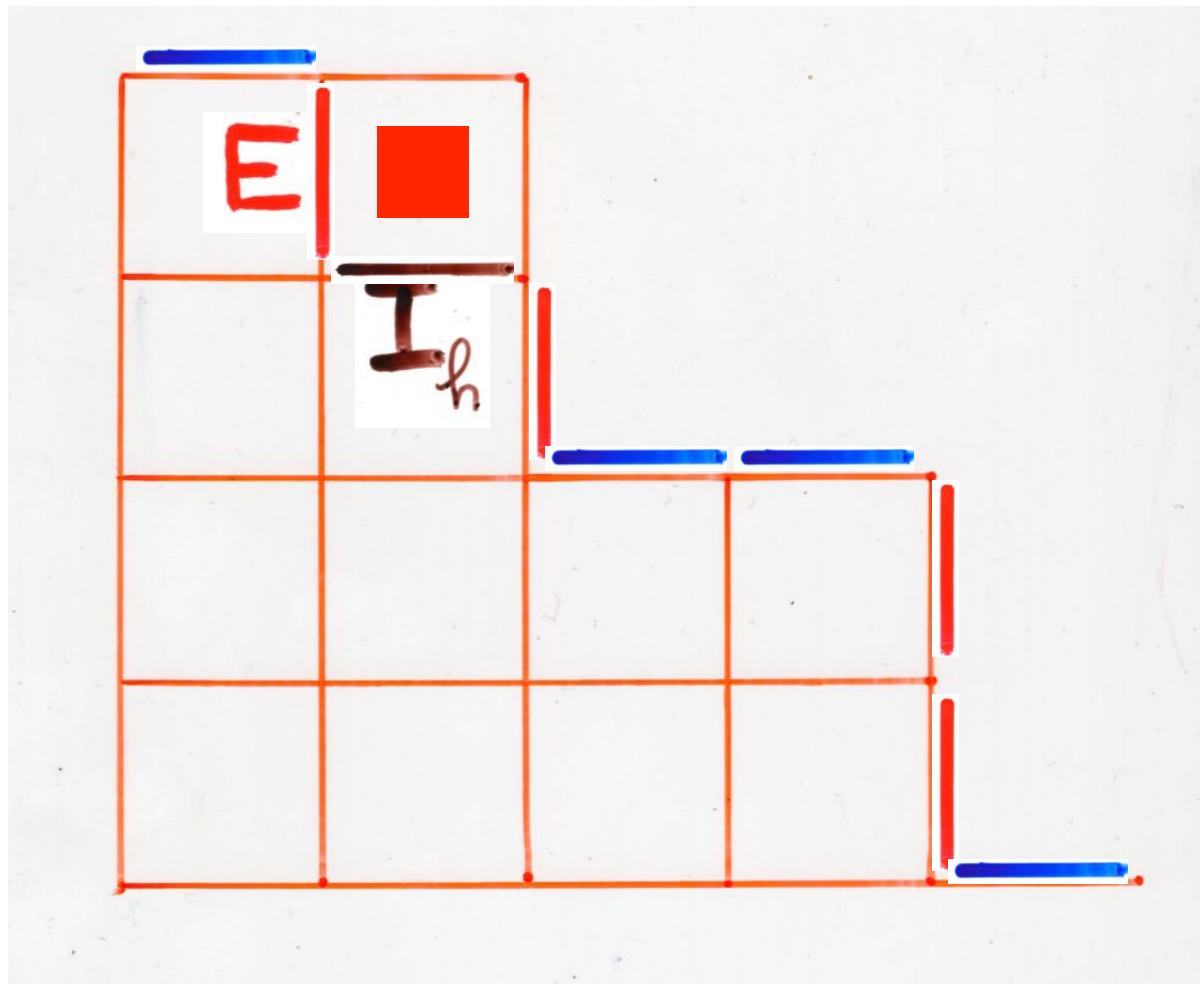
analog of the
normal ordering

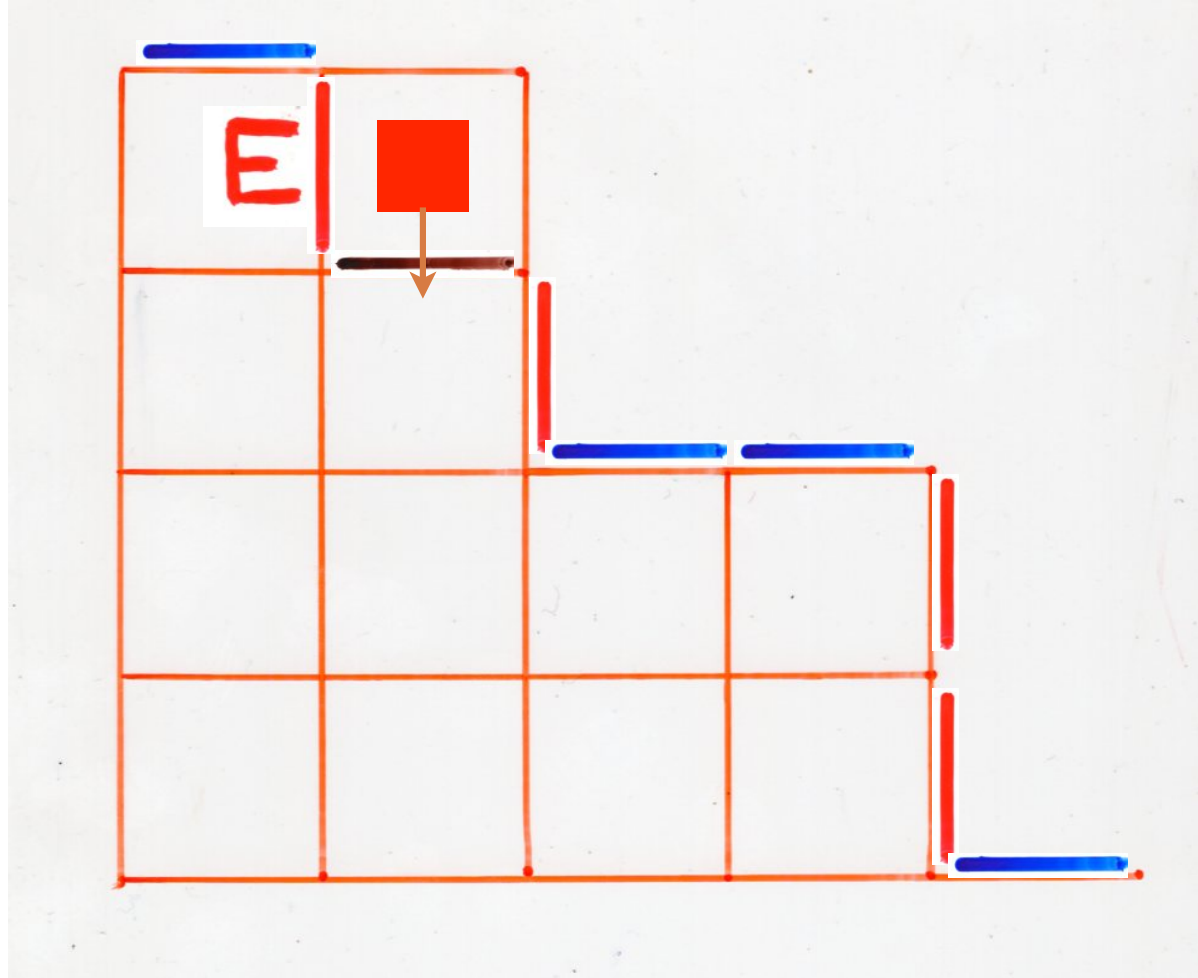
Tableaux for the
PASEP algebra

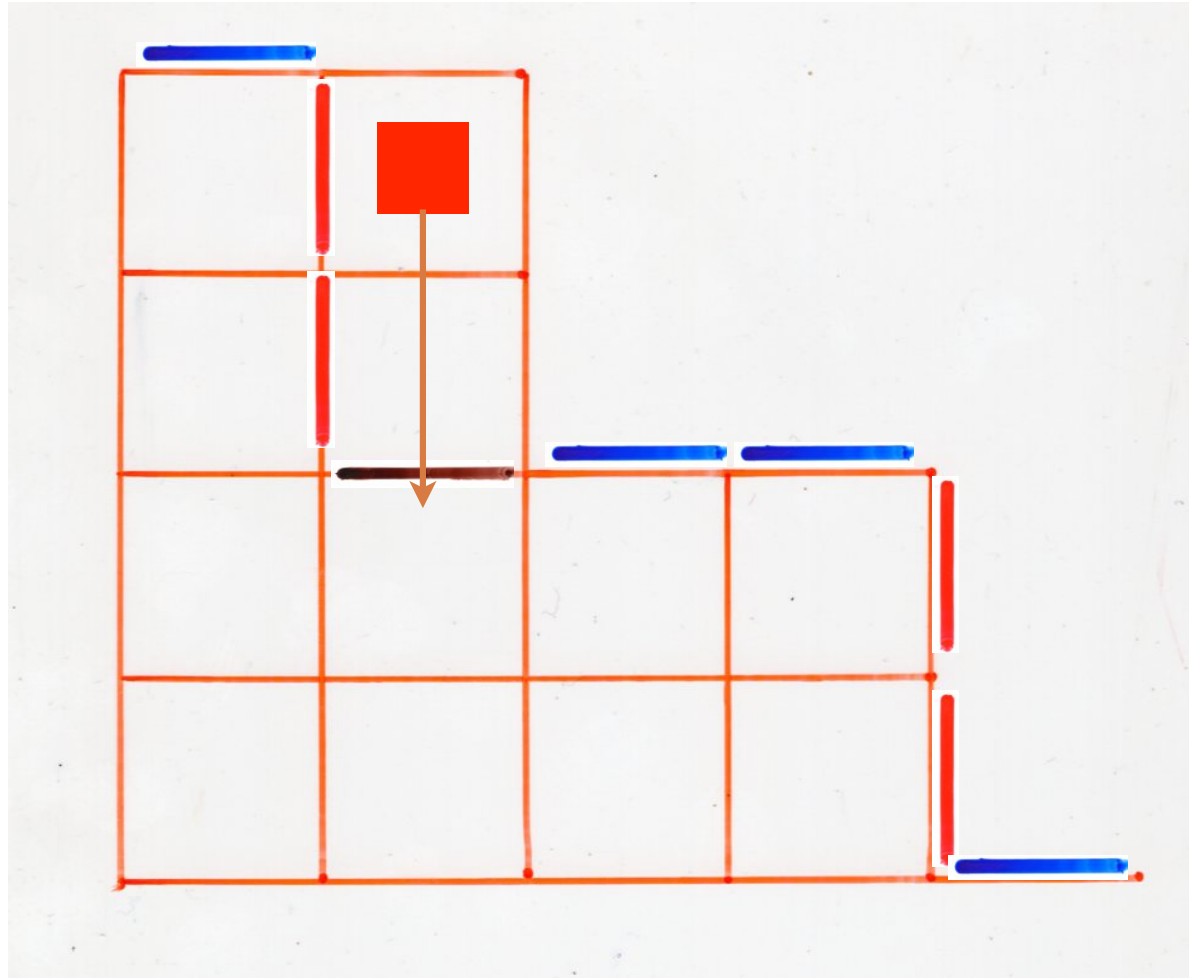
Proof: "planarization" of the rewriting rules

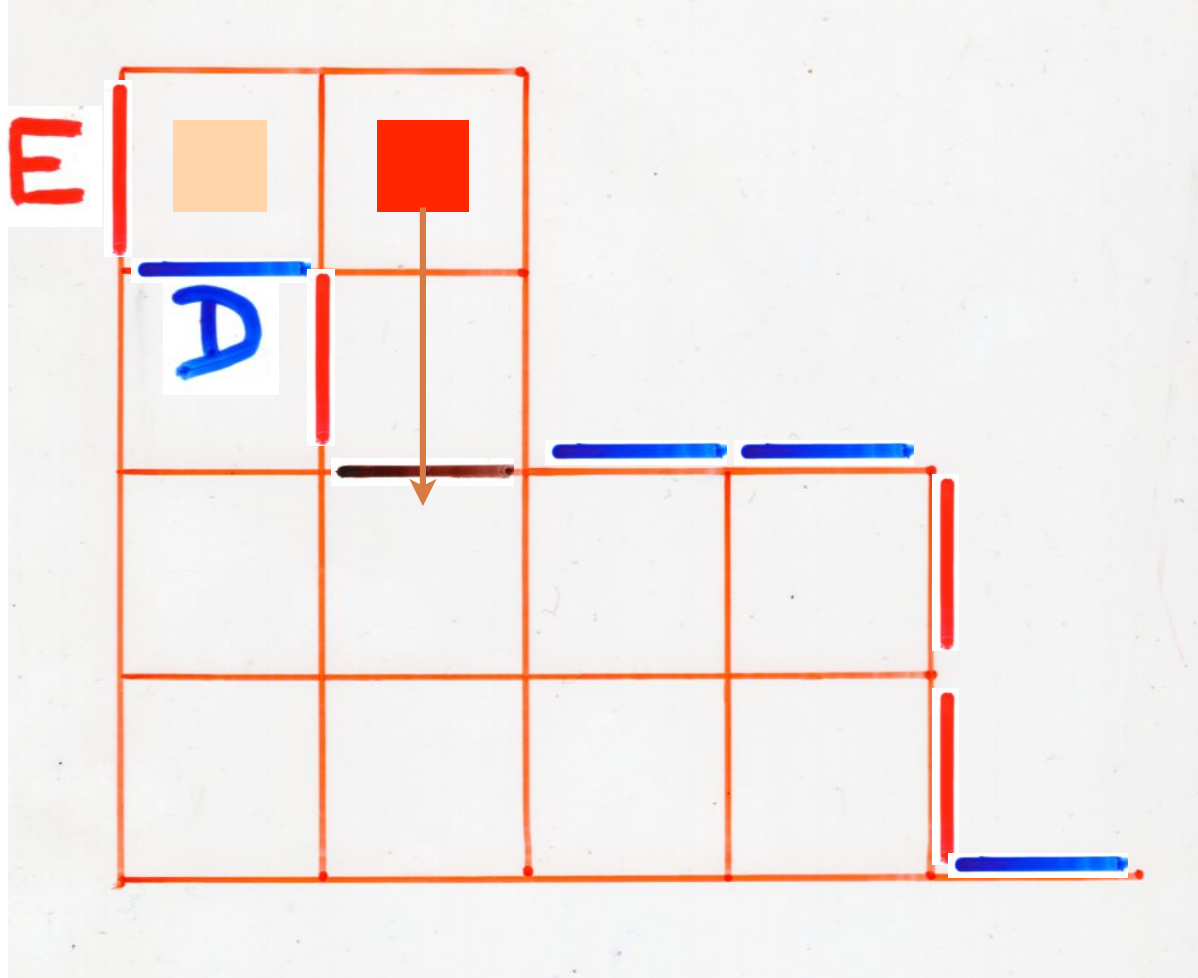


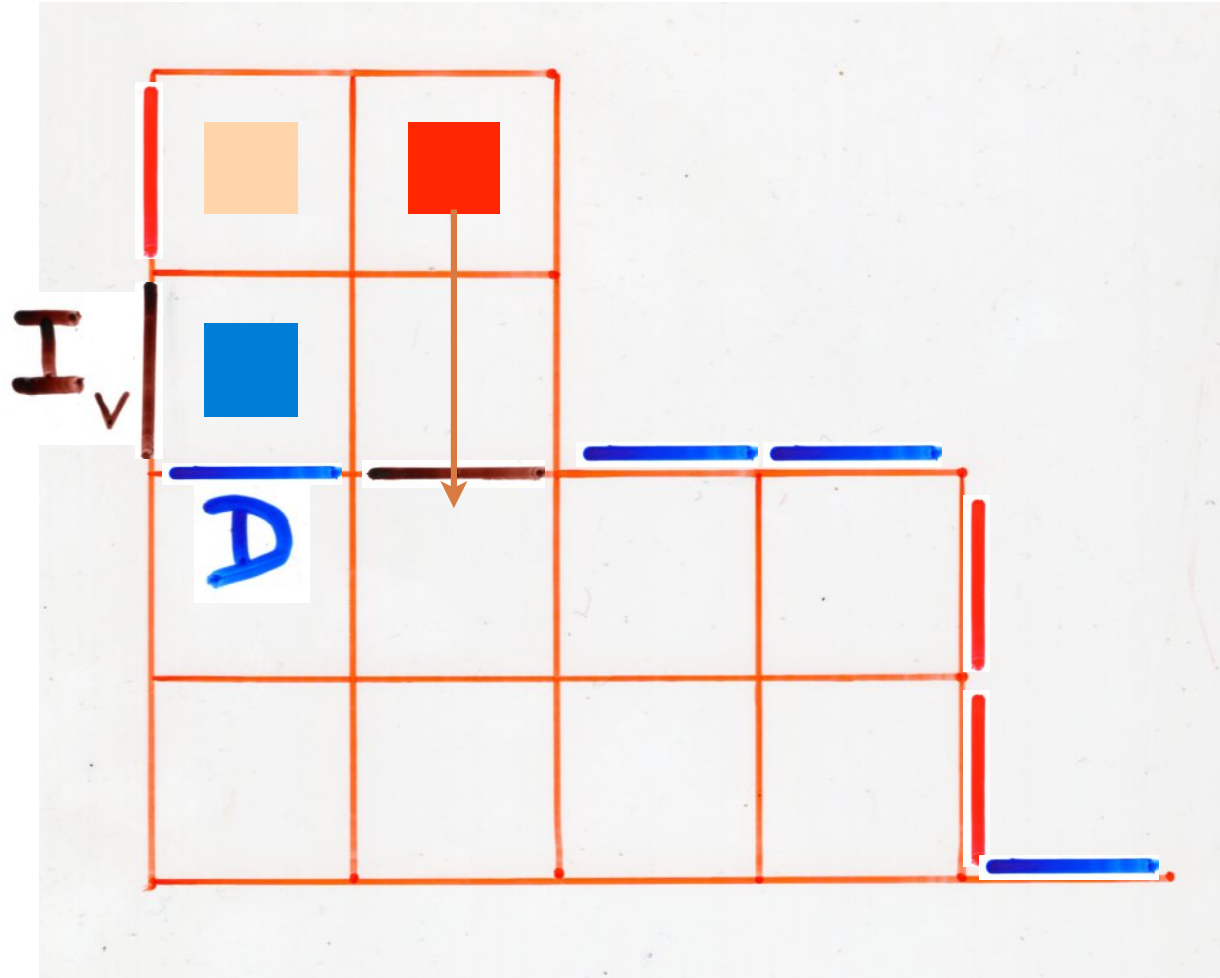


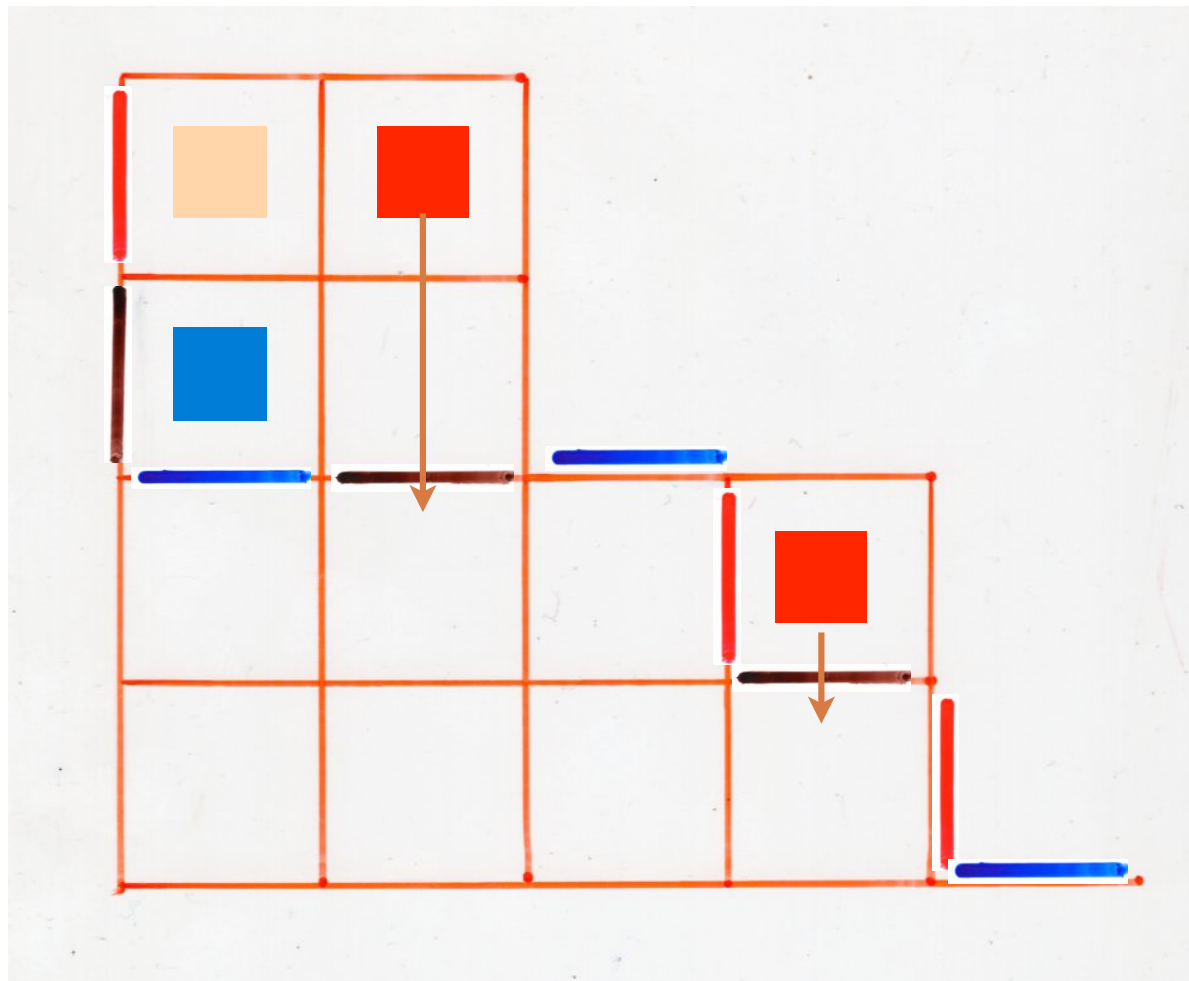


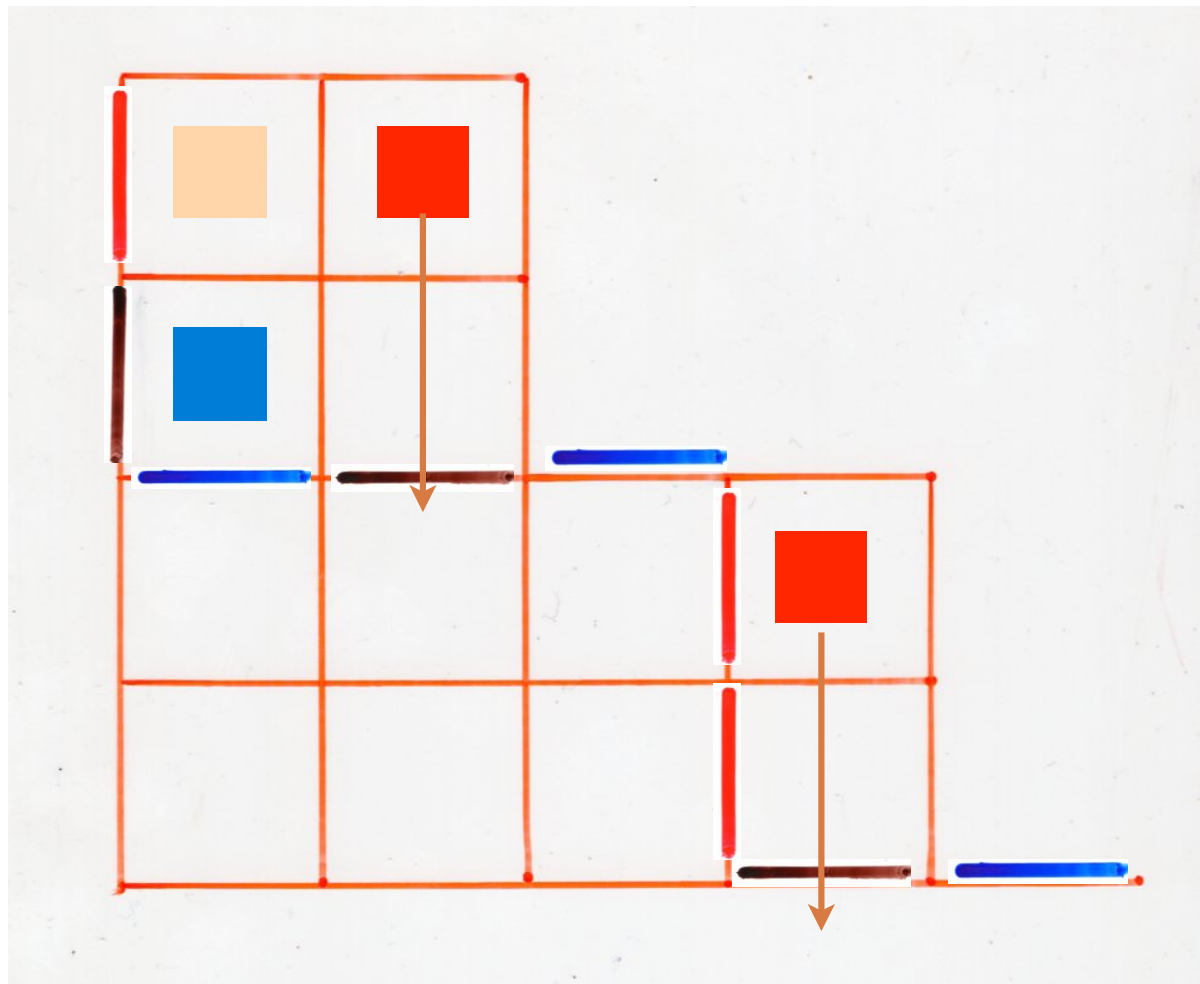


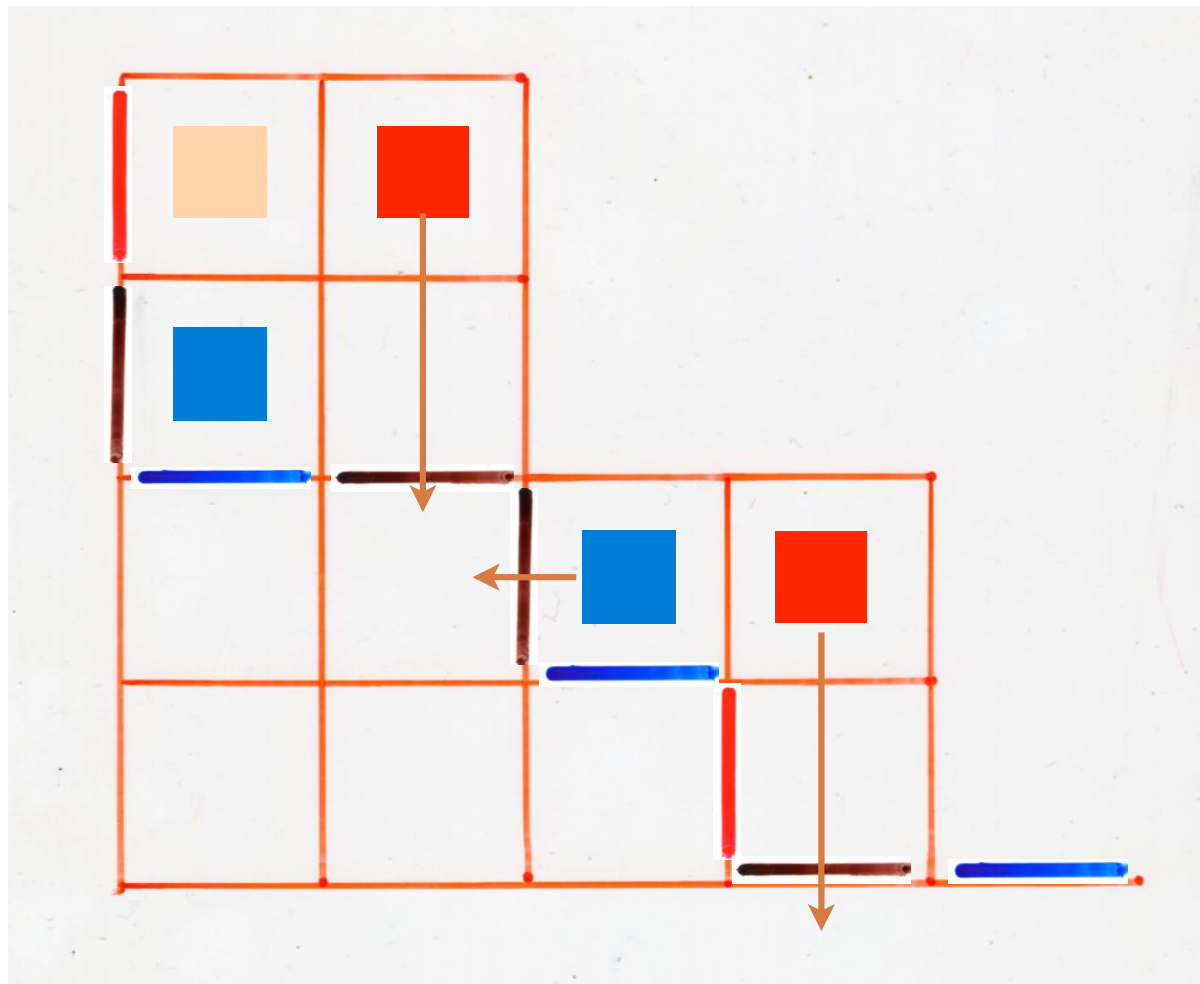


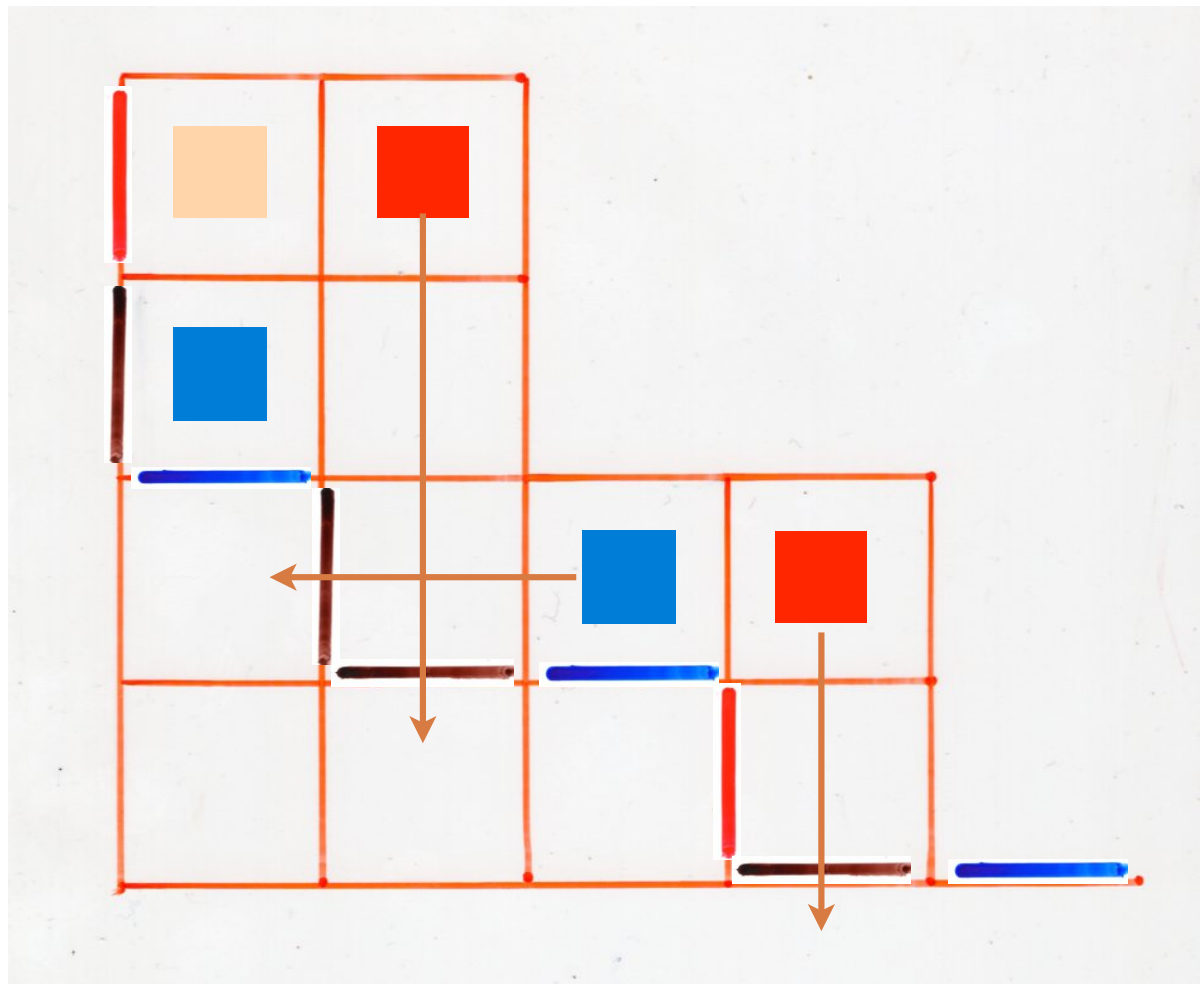


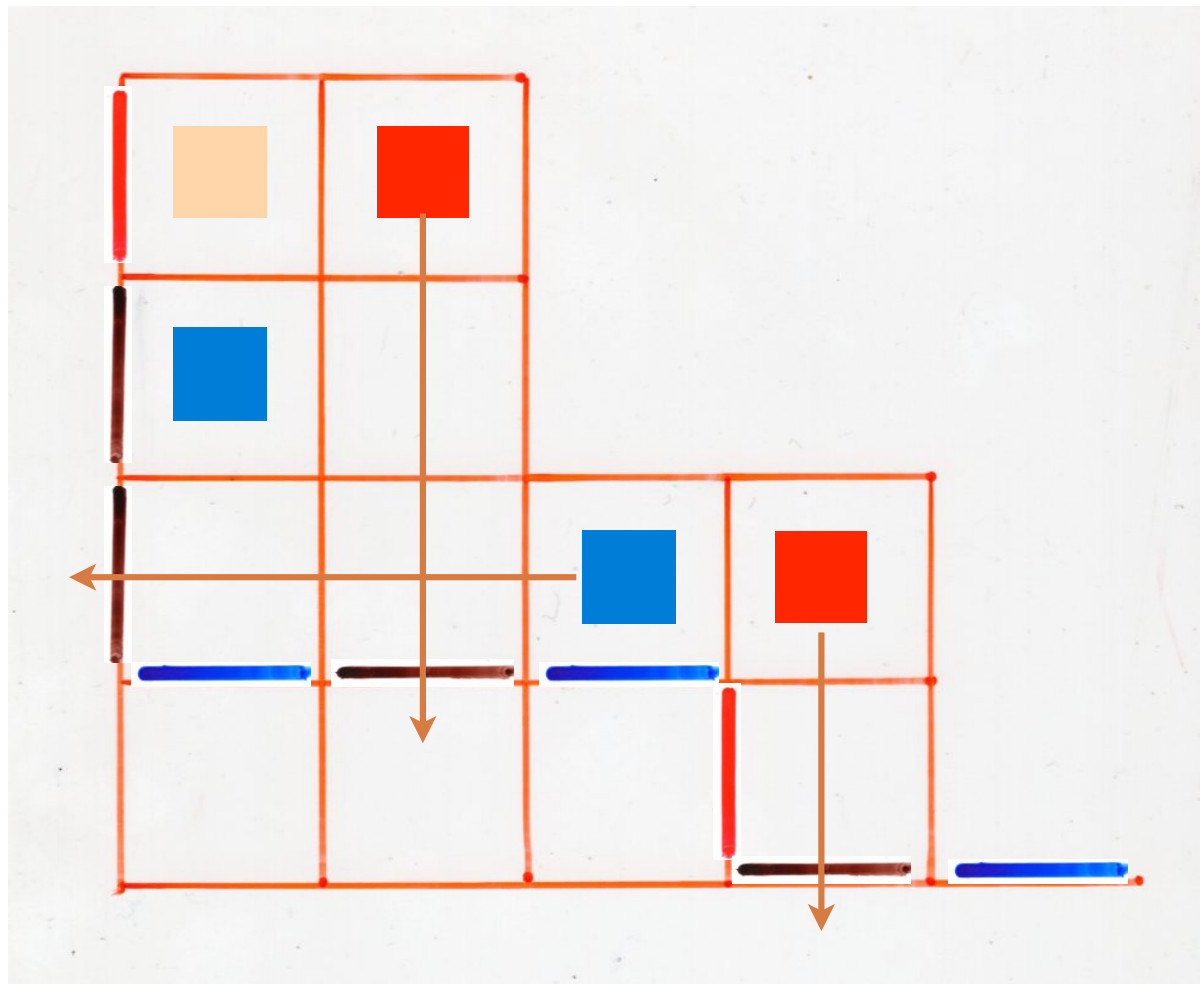


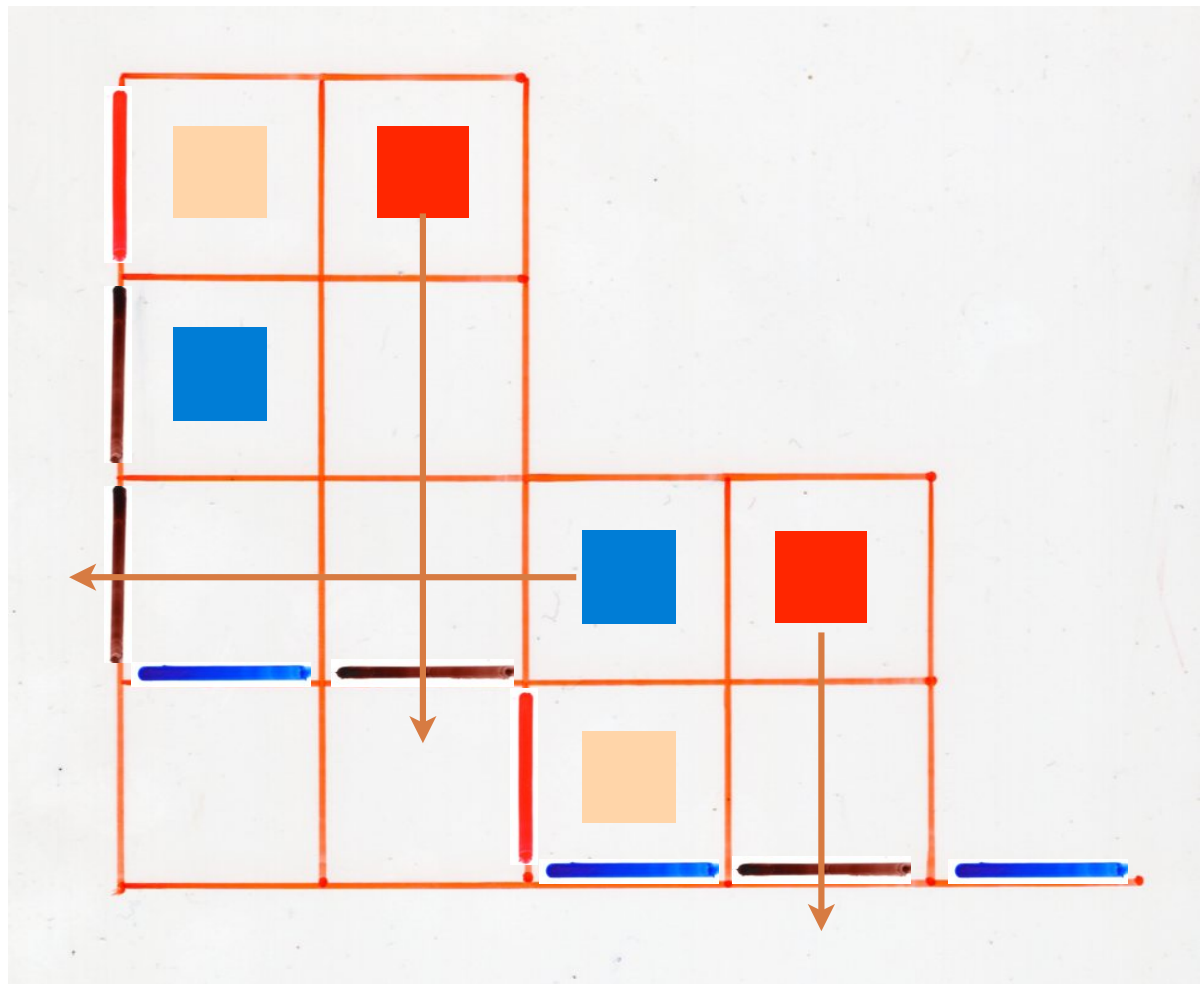


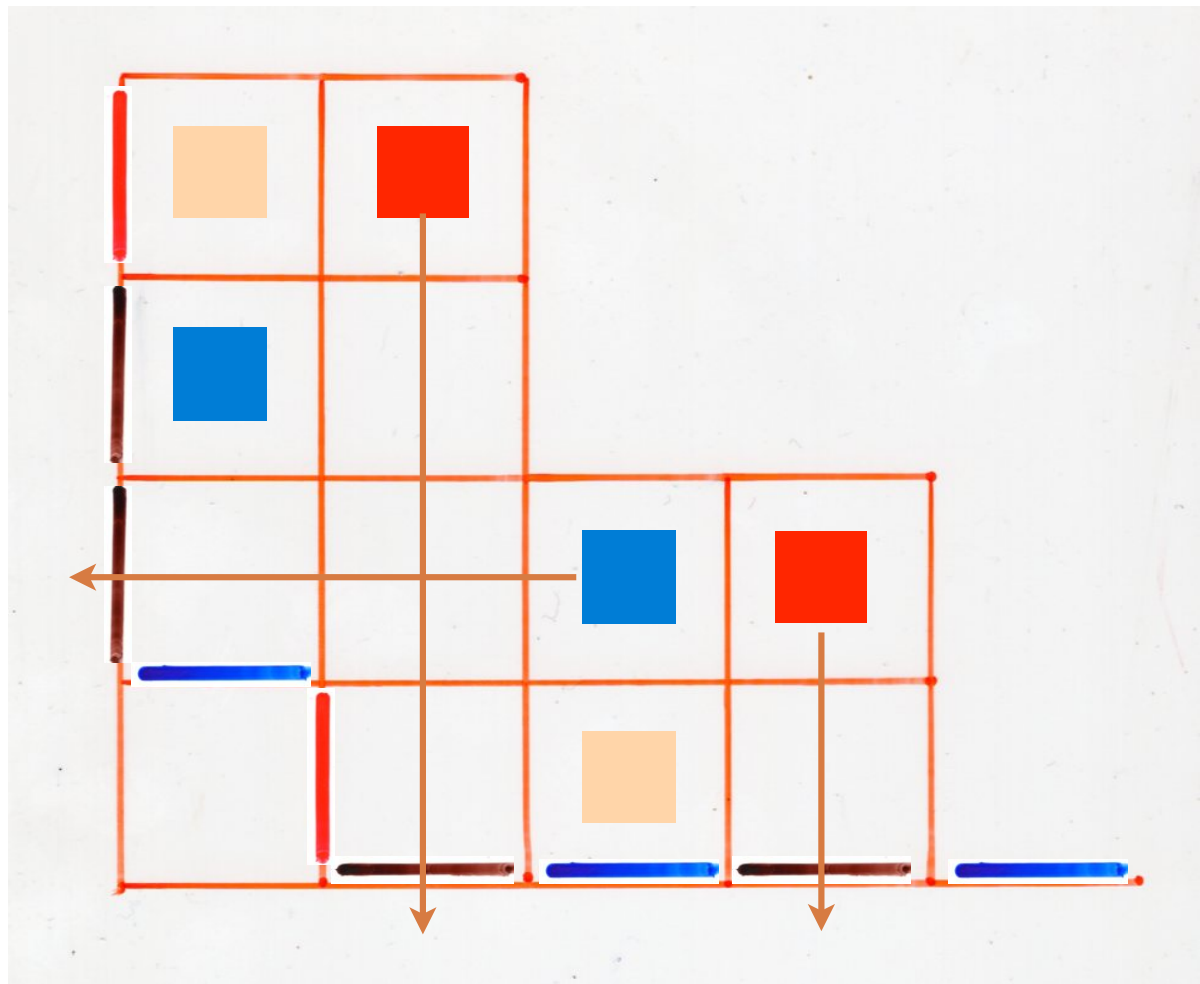


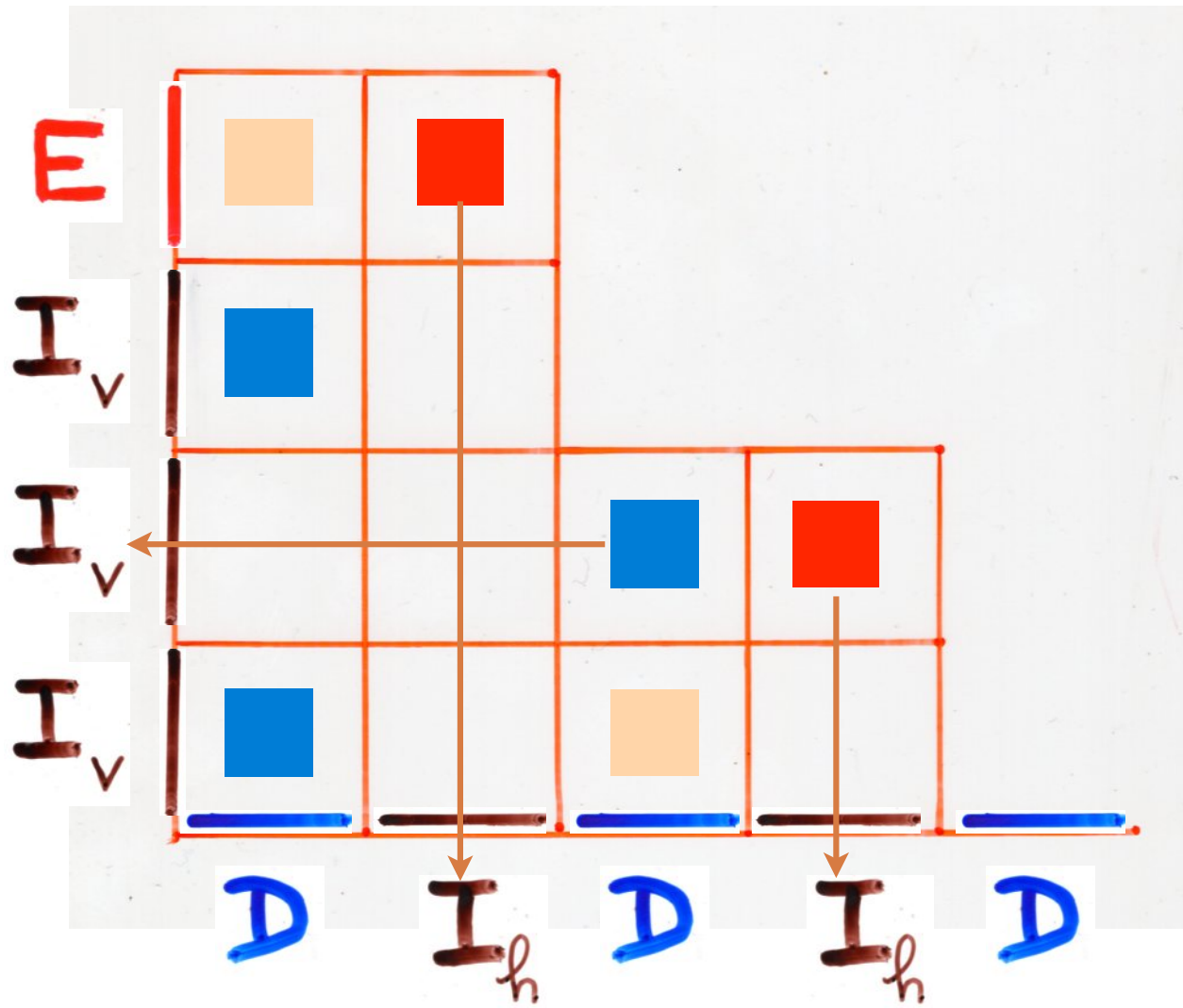












The PASEP algebra

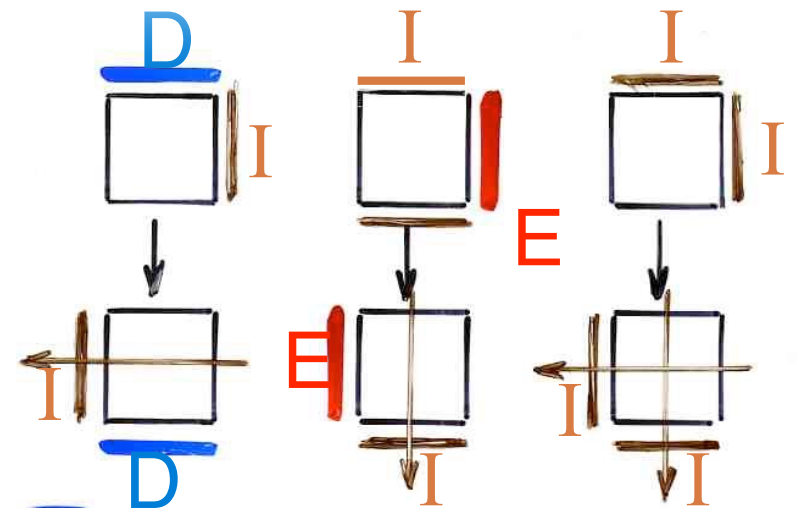
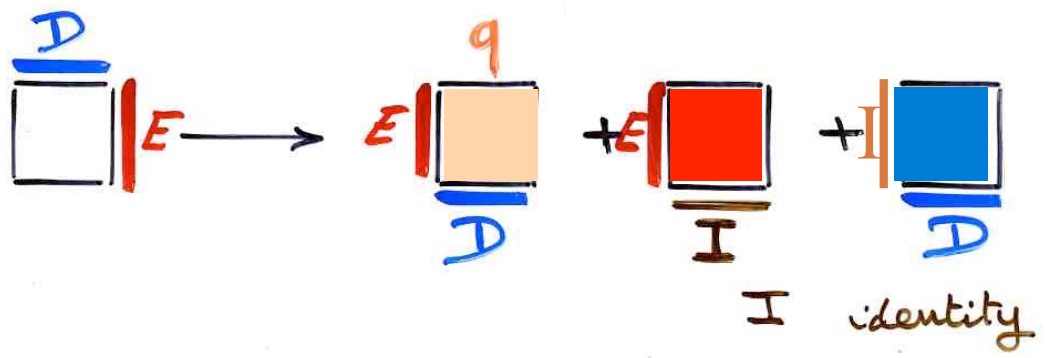
$$DE = qED + E + D$$

$$w(E, D) = \sum_T q^{k(T)} E^{i(T)} D^{j(T)}$$

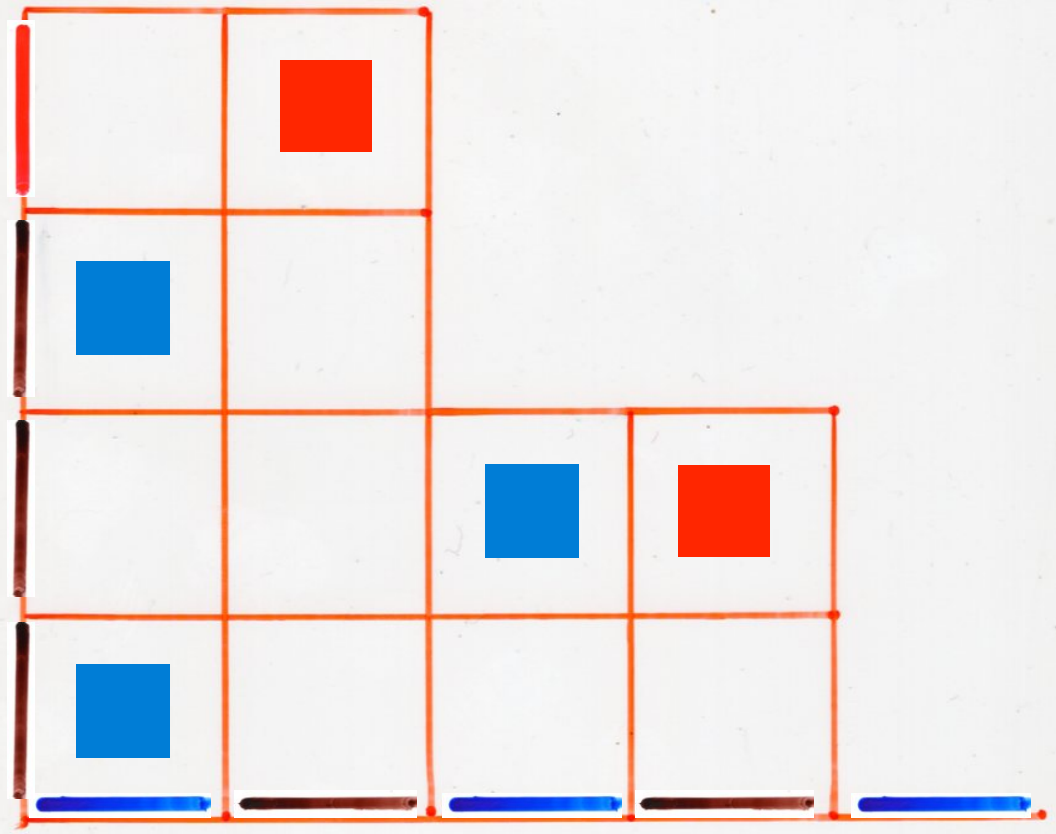
word

tableau

unique



E



D

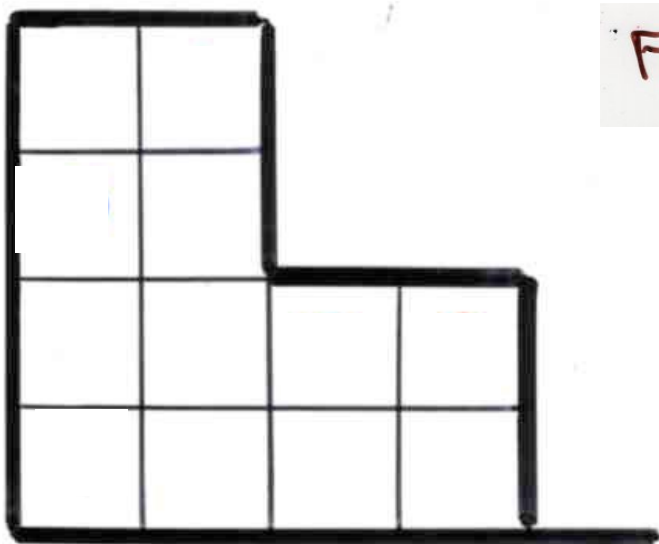
D

D

alternative tableaux

alternative tableau

Definition



Ferrers diagram **F**

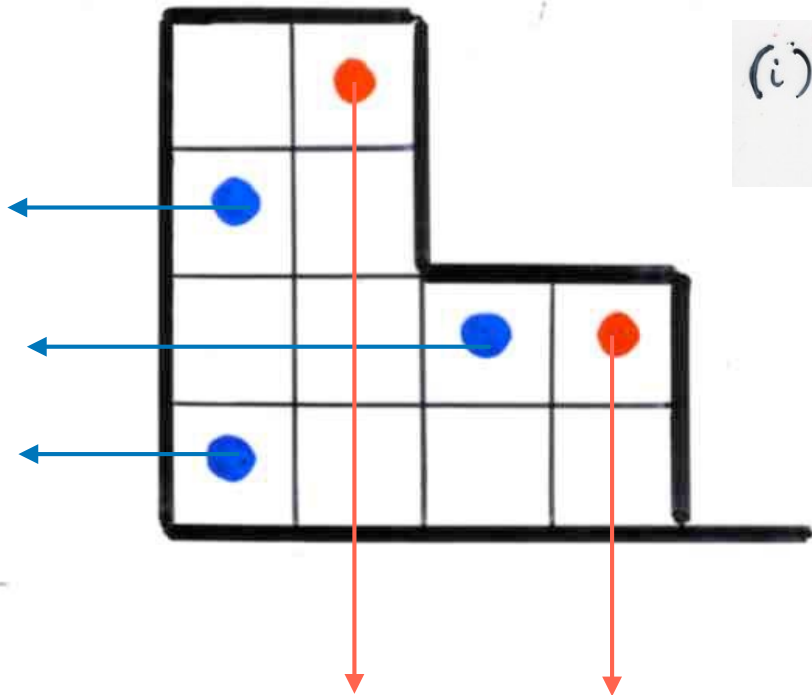
with possibly
empty rows or columns

size of **F**

$$n = (\text{number of rows}) + (\text{number of columns})$$

alternative tableau

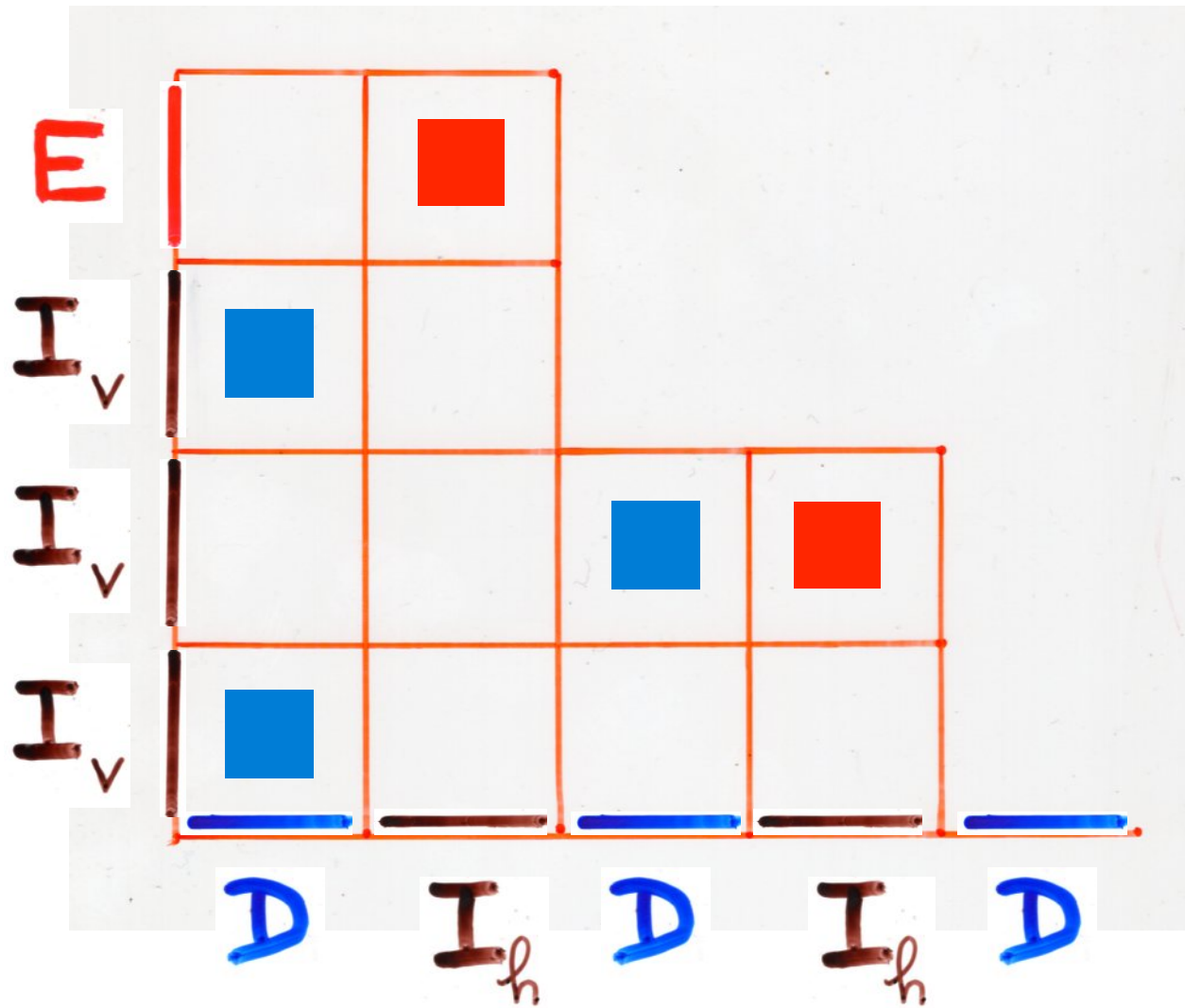
Definition

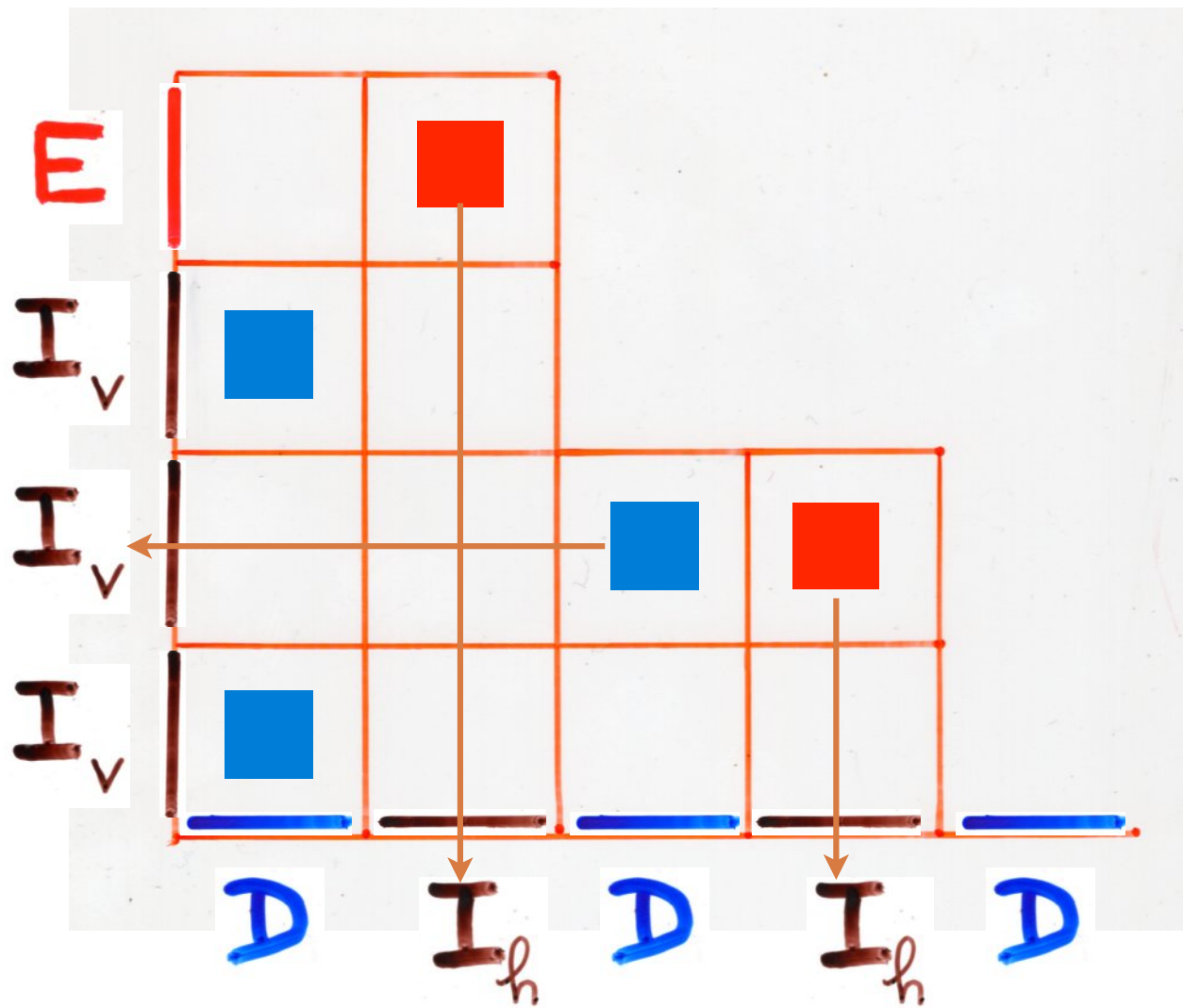


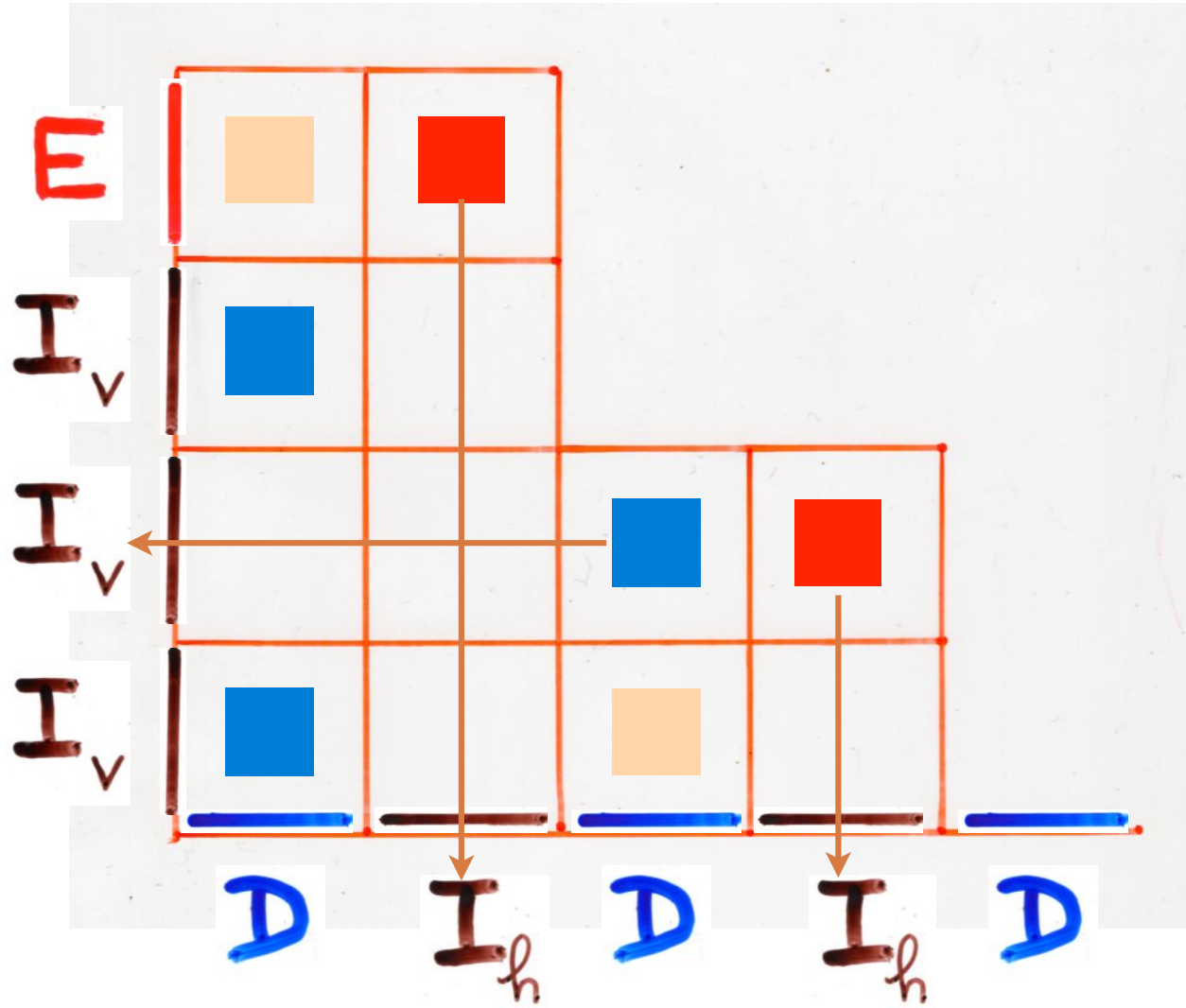
(i) some cells are coloured
red or **blue**



(ii) ● no coloured cell at the left
of a **blue** cell
● no coloured cell below
a **red** cell










$$DE = qED + E + D$$

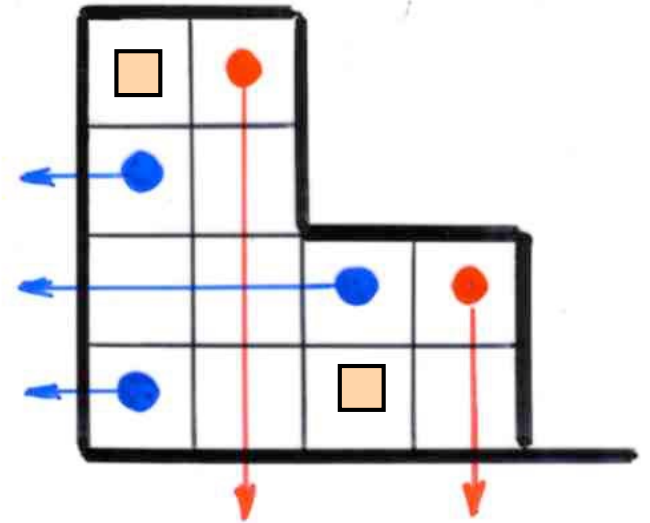
$$w(E, D) = \sum_T q^{k(T)} E^{i(T)} D^{j(T)}$$

word

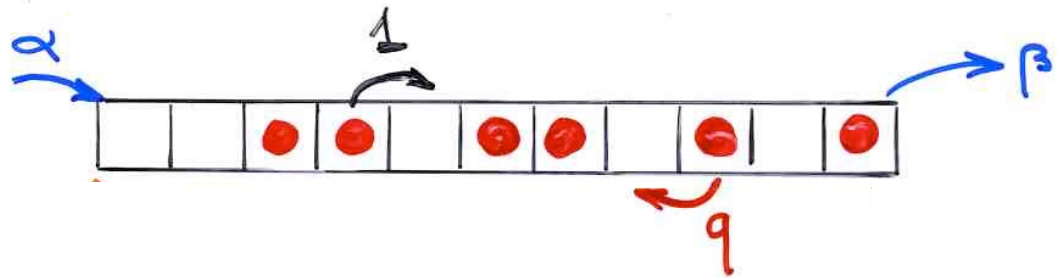
tableau

unique

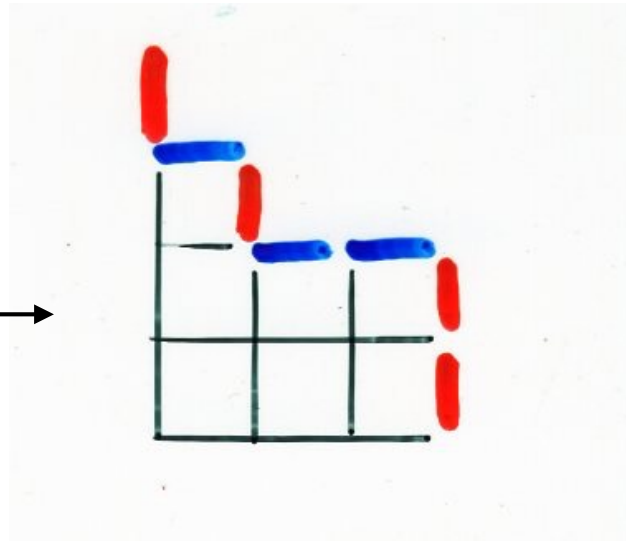
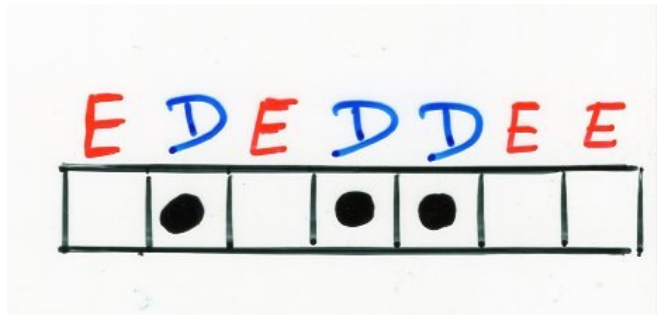
$k(T)$ = nb of cells 
 $i(T)$ = nb of rows without 
 $j(T)$ = nb of columns without 



ASEP
TASEP
PASEP



computation of the
"stationary probabilities"



Def- profile of an alternative tableau
 word $w \in \{E, D\}^*$

Corollary. The stationary probability associated to the state $\tau = (\tau_1, \dots, \tau_n)$

is

$$\text{proba}_{\tau}(q; \alpha, \beta) = \frac{1}{\sum_n} \sum_{\tau} q^{k(\tau) - i(\tau) - j(\tau)}$$

alternative tableaux
 profile τ

- $k(\tau) =$ nb of cells
- $i(\tau) =$ nb of rows without
- $j(\tau) =$ nb of columns without

"The cellular ansatz"

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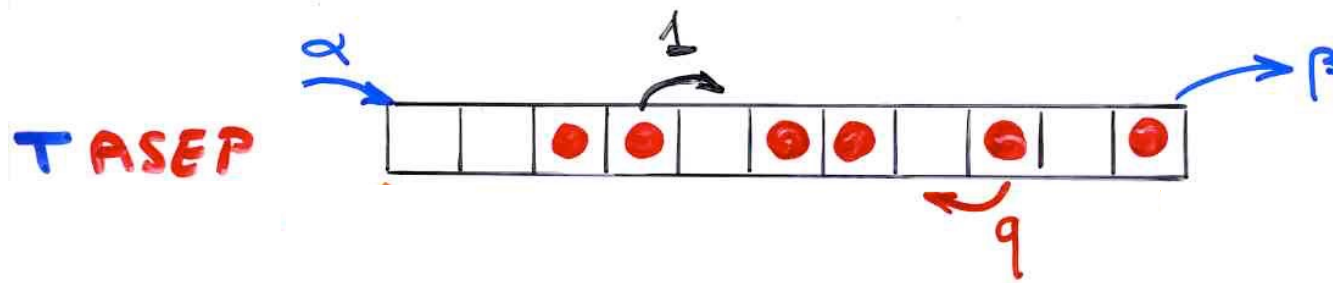
planarization

$$q=0$$

The TASEP algebra

$$DE =$$

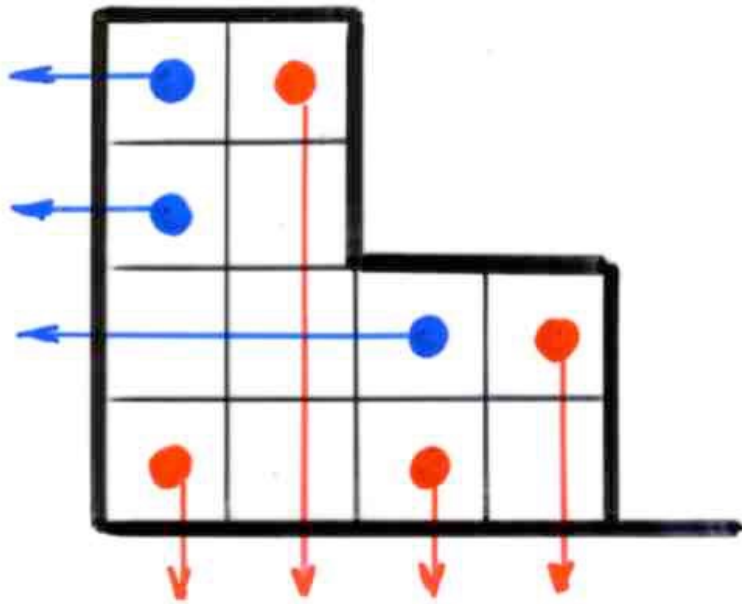
$$E + D$$



Definition Catalan alternative tableau

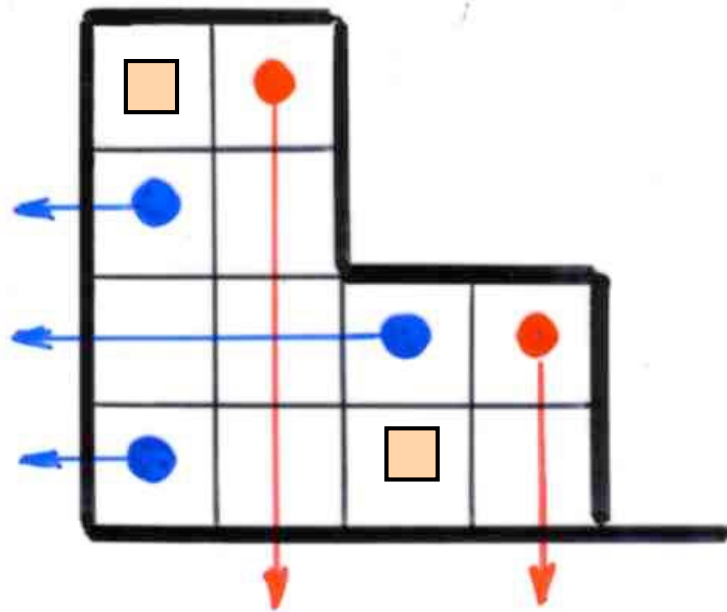
alternative tableau T without cells \square

i.e. every empty cell is below a red cell
or on the left of a blue cell



$$C_n = \frac{1}{(n+1)} \binom{2n}{n}$$

Catalan
numbers



Prop. The number of size n is of alternative tableaux $(n+1)!$

alternating sign matrices (ASM)
and a quadratic algebra

Def- ASM alternating sign matrix

0	1	0	0	0
1	-1	0	1	0
0	1	0	-1	1
0	0	0	1	0
0	0	1	0	0

(i) entries: $0, 1, -1$

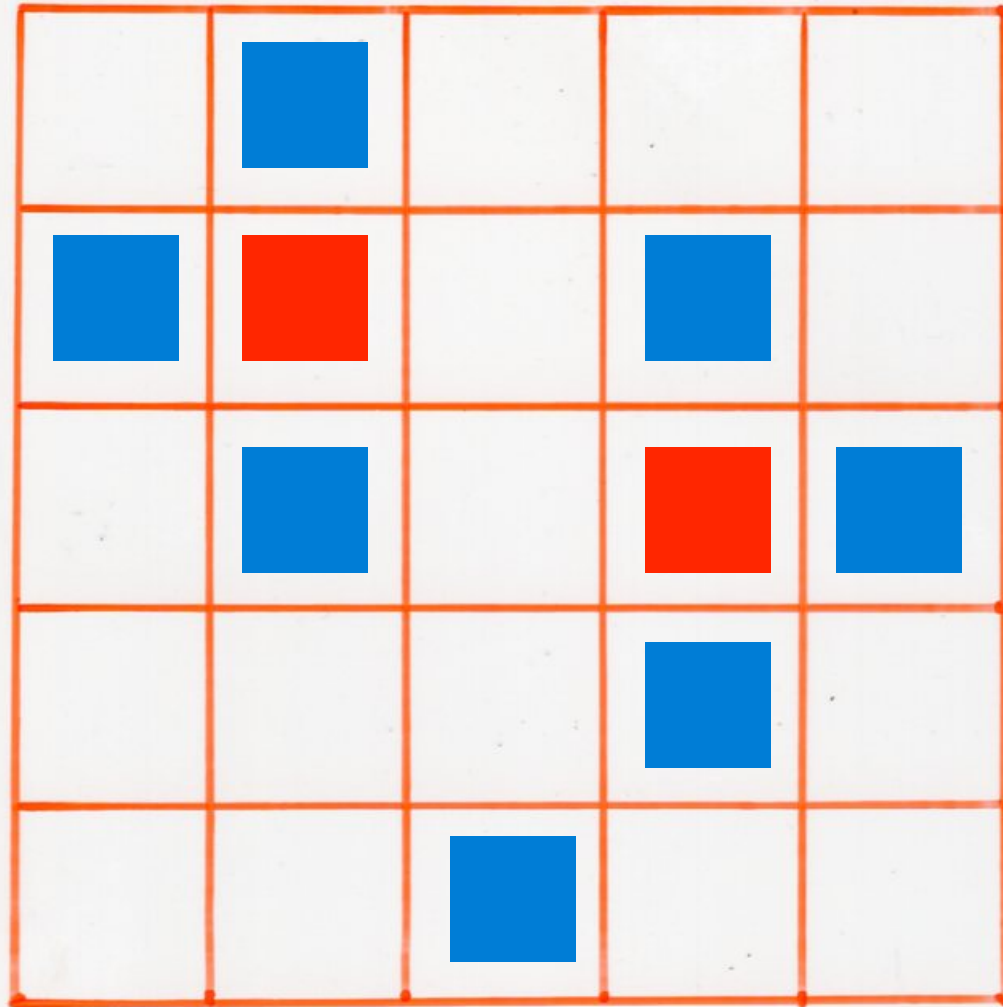
(ii) sum of entries
in each (row
column) = 1

(iii) non-zero entries

alternate in
each } row
column

0	1	0	0	0
1	-1	0	1	0
0	1	0	-1	1
0	0	0	1	0
0	0	1	0	0

0	1	0	0	0
1	-1	0	1	0
0	1	0	-1	1
0	0	0	1	0
0	0	1	0	0



Permutation σ

$$\sigma = \begin{pmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 3 & 5 & 2 & 4 \end{pmatrix}$$

$$\begin{pmatrix} 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}$$

$$\begin{pmatrix} 0 & 1 & 0 \\ 1 & -1 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

+ 6
permutations

1, 2, 7, 42, 429, ...

1, 2, 7, 42, 429, ...

$$\frac{1! \cdot 4!}{n! (n+1)!}$$

?

$$\frac{(3n-2)!}{(n+n-1)!}$$

alternating sign matrix
(ex-) conjecture



A, A', B, B'

commutations

$$\begin{cases} BA = AB + A'B' \\ B'A' = A'B' + AB \end{cases}$$

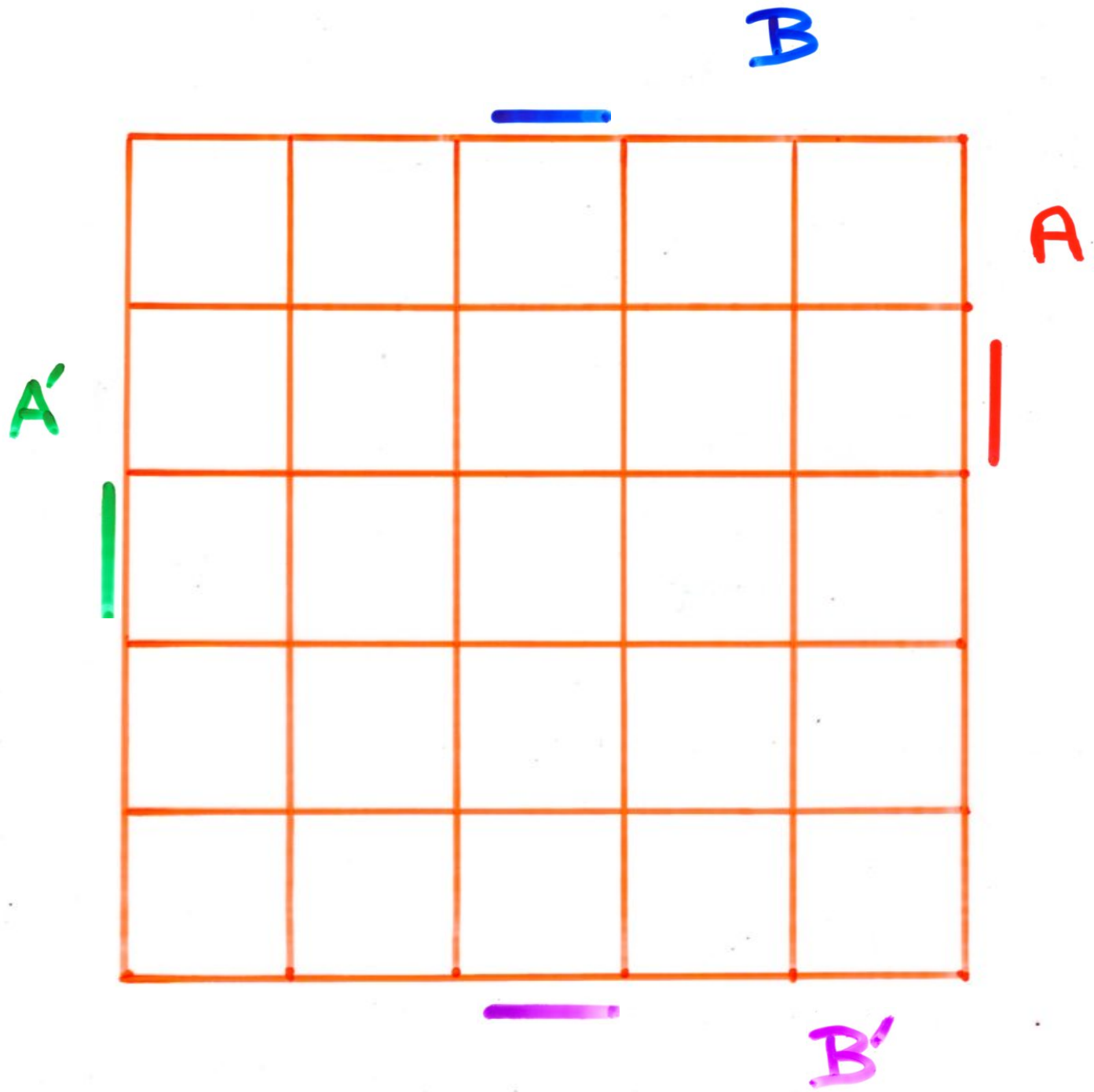
$$\begin{cases} B'A = AB' \\ BA' = A'B \end{cases}$$

Lemma. Any word w (A, A', B, B')
in letters A, A', B, B' ,
can be uniquely written

$$\sum c(u, v; w) \underbrace{u(A, A')}_{\text{word in } A, A'} \underbrace{v(B, B')}_{\text{word in } B, B'}$$

Prop. For $w = B^n A^m$
 $u = A'^n$, $v = B'^n$

$c(u, v; w)$ = the number of
 $n \times n$ ASM (alternating sign matrices)



B

B

B

B

B

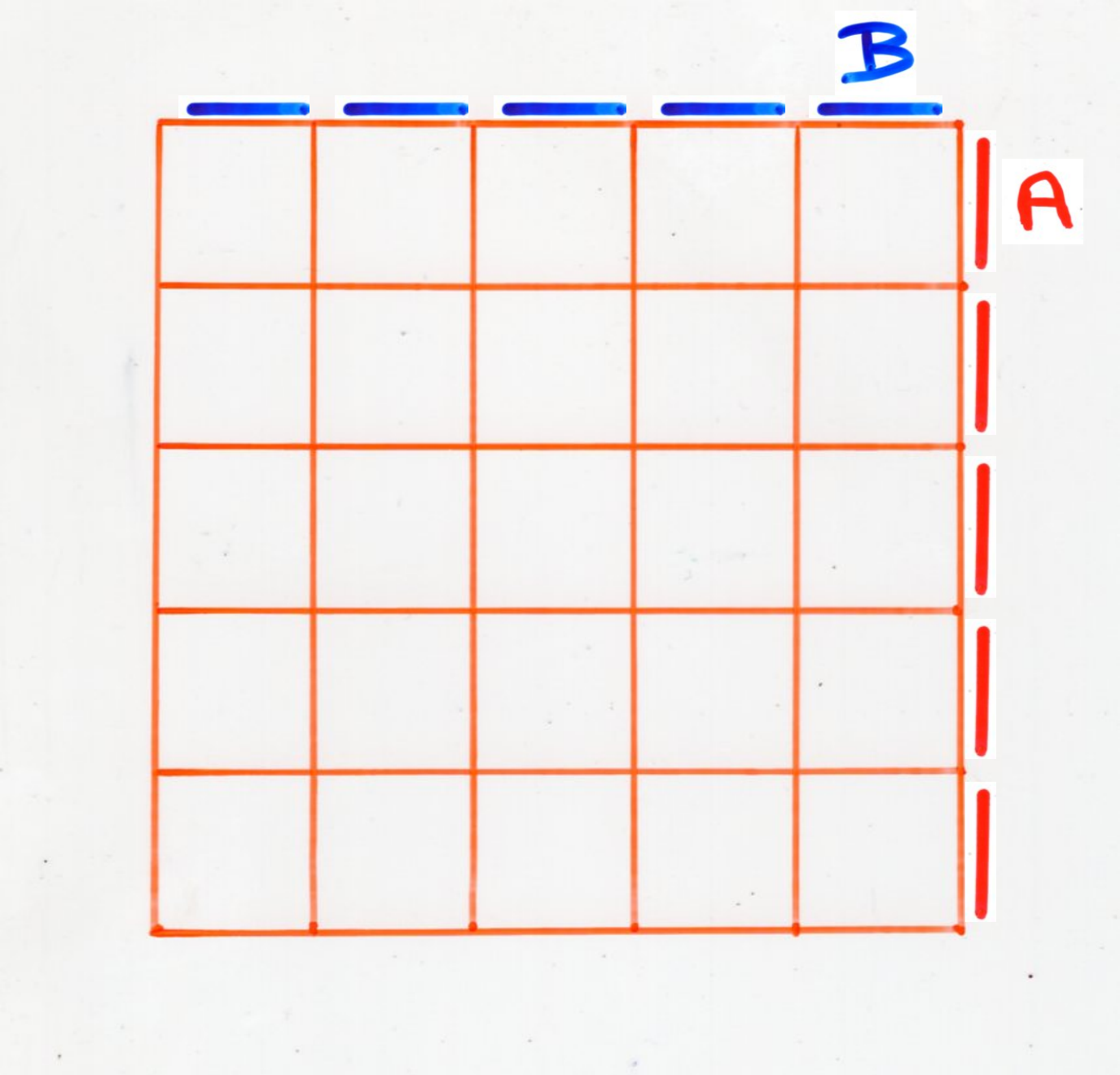
A

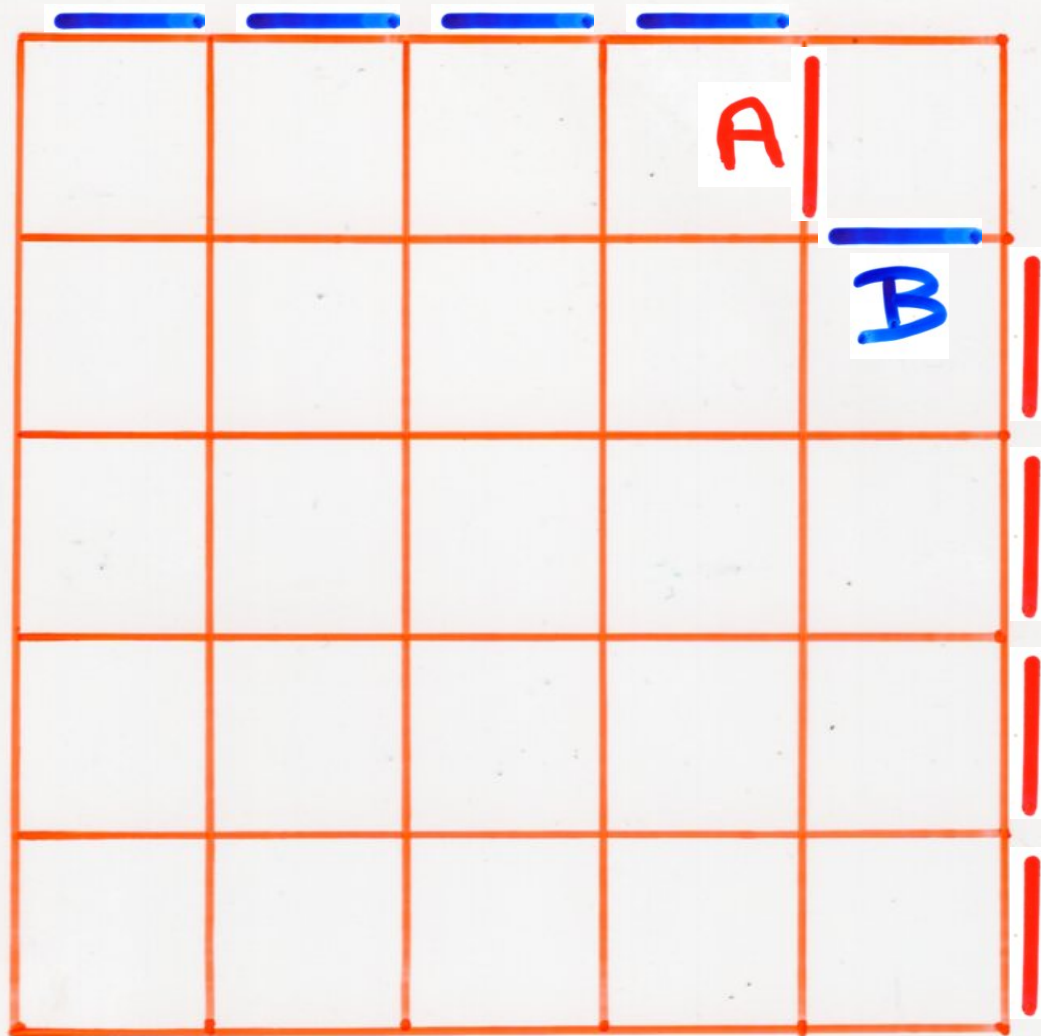
A

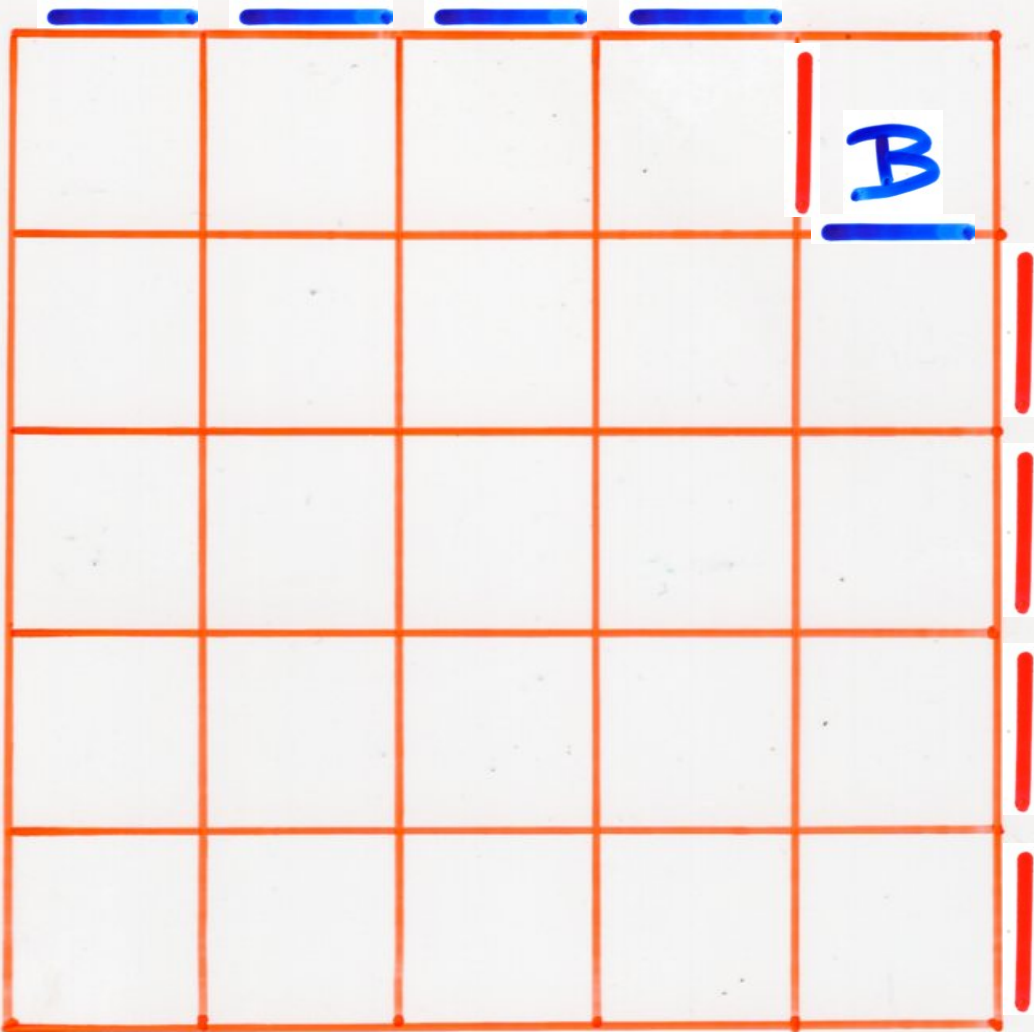
A

A

A

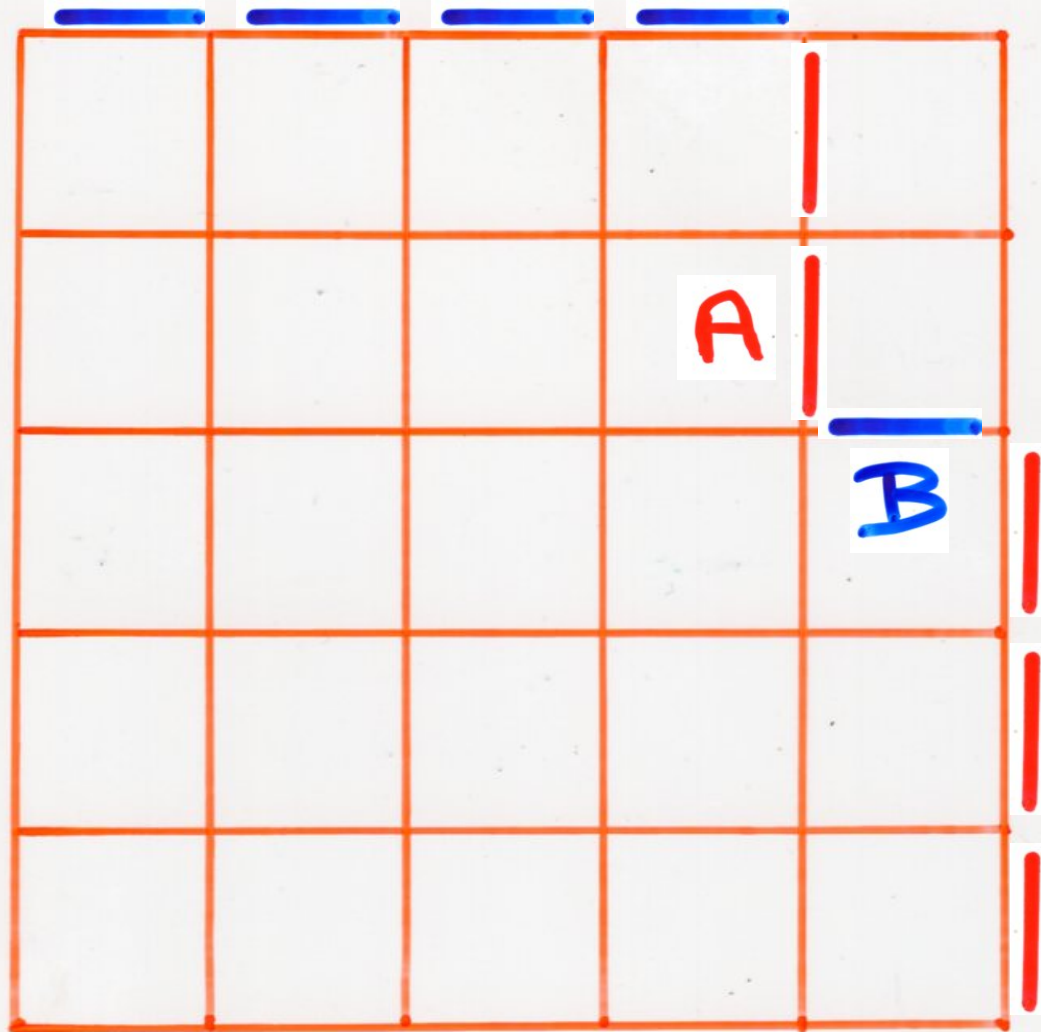


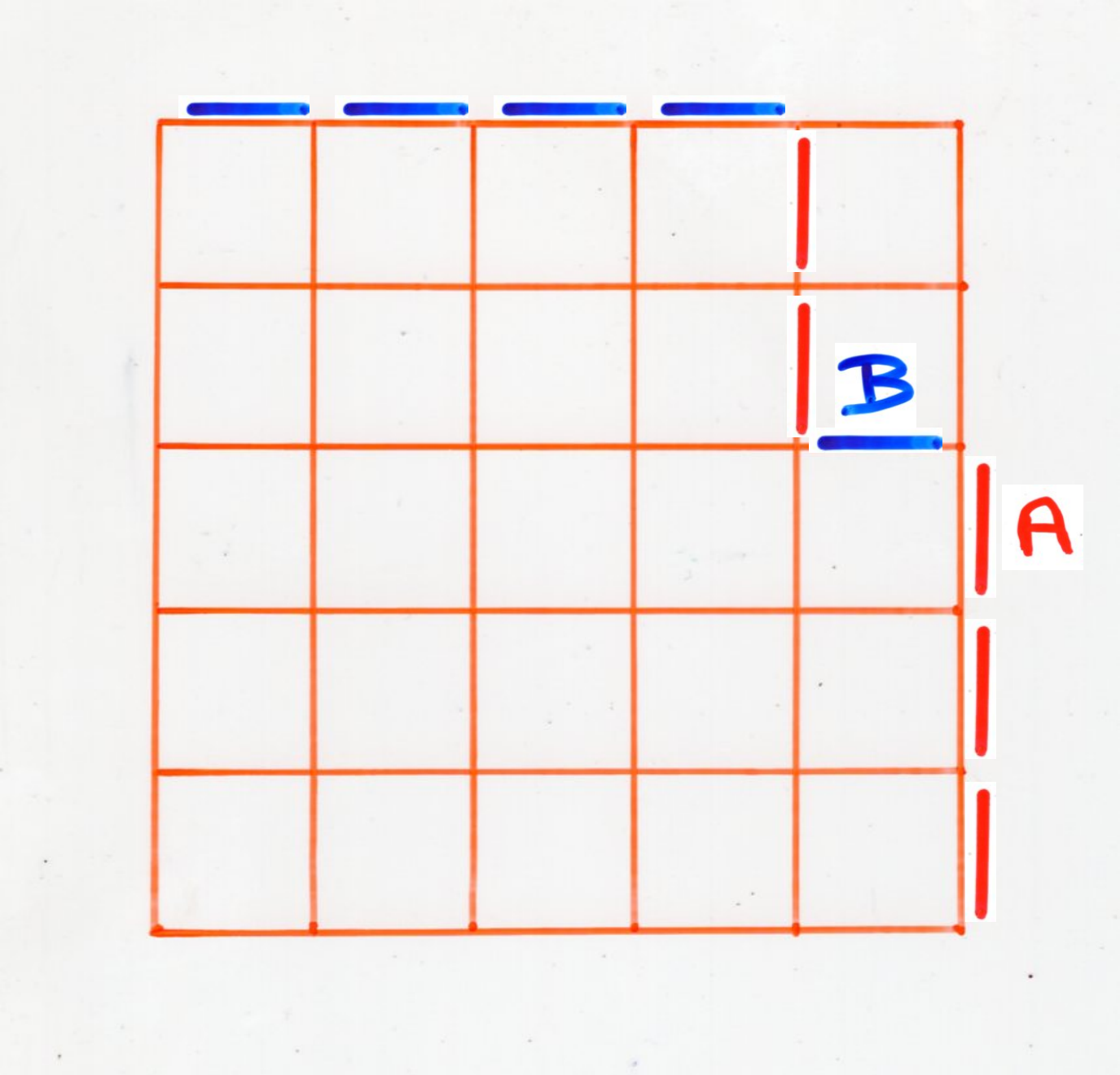


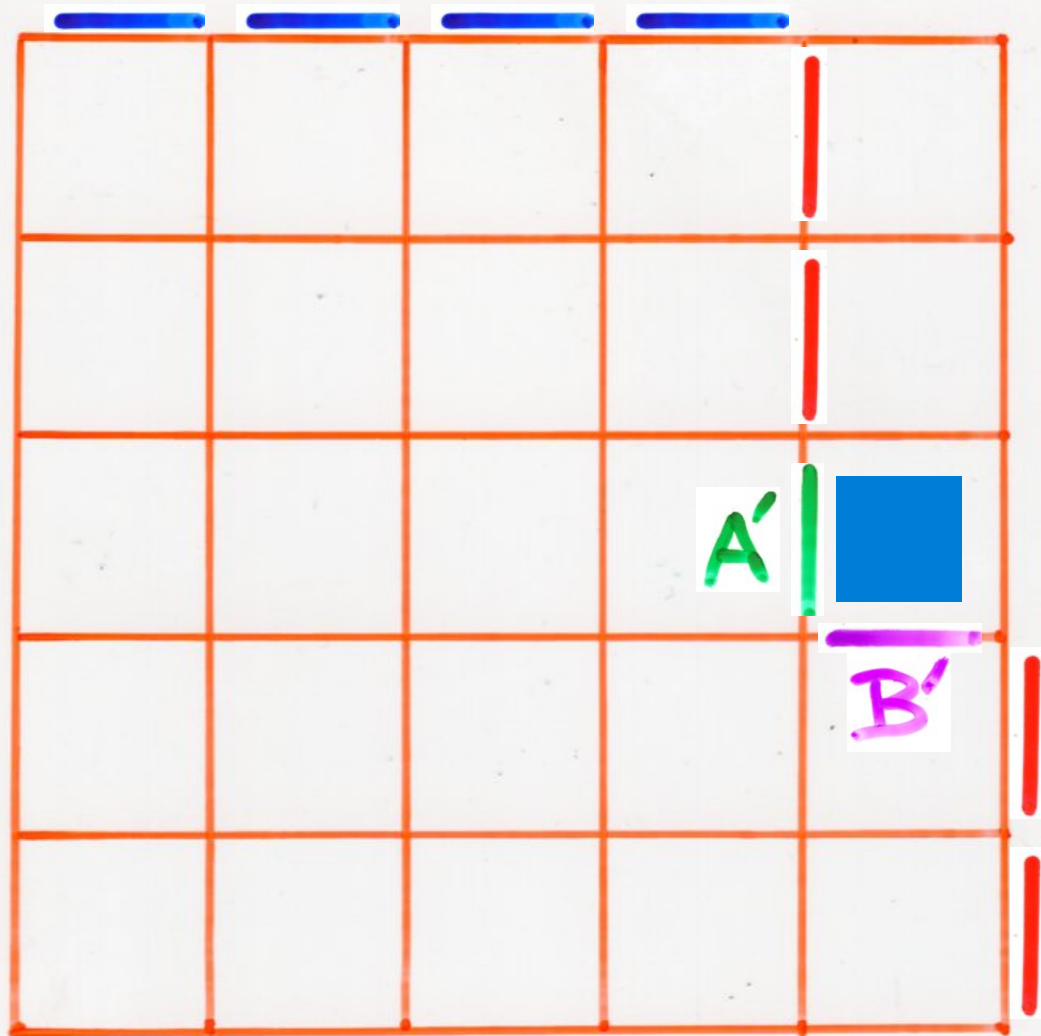


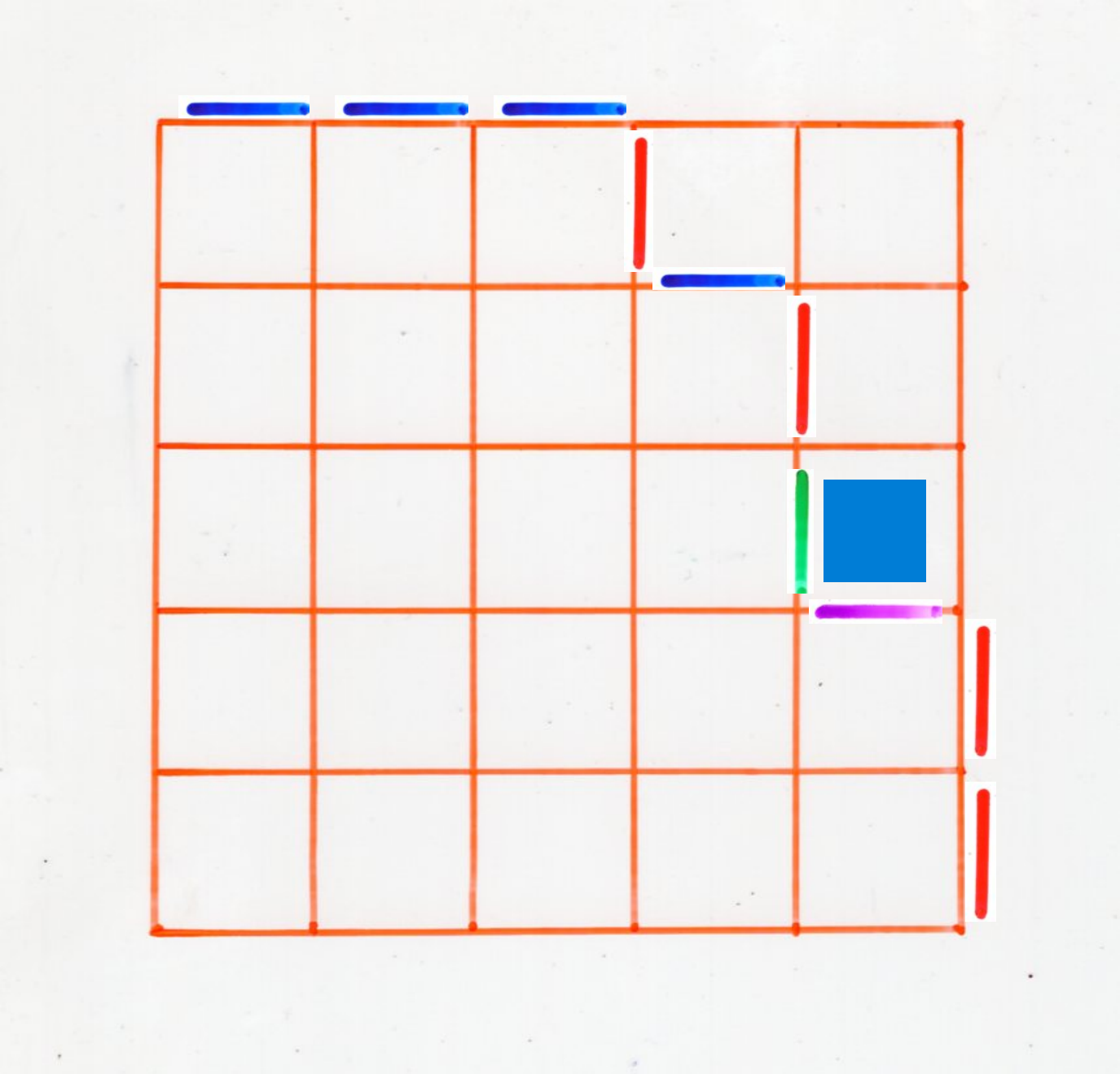
B

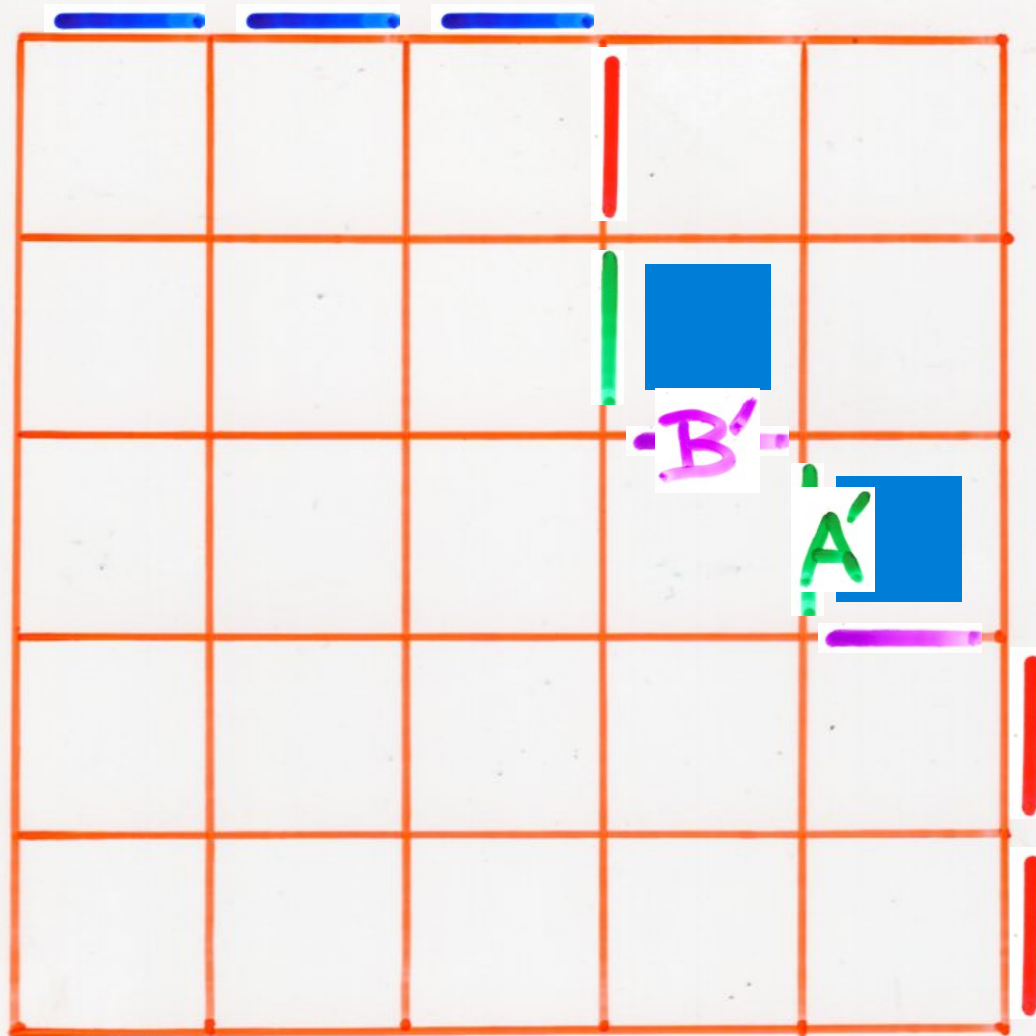
A

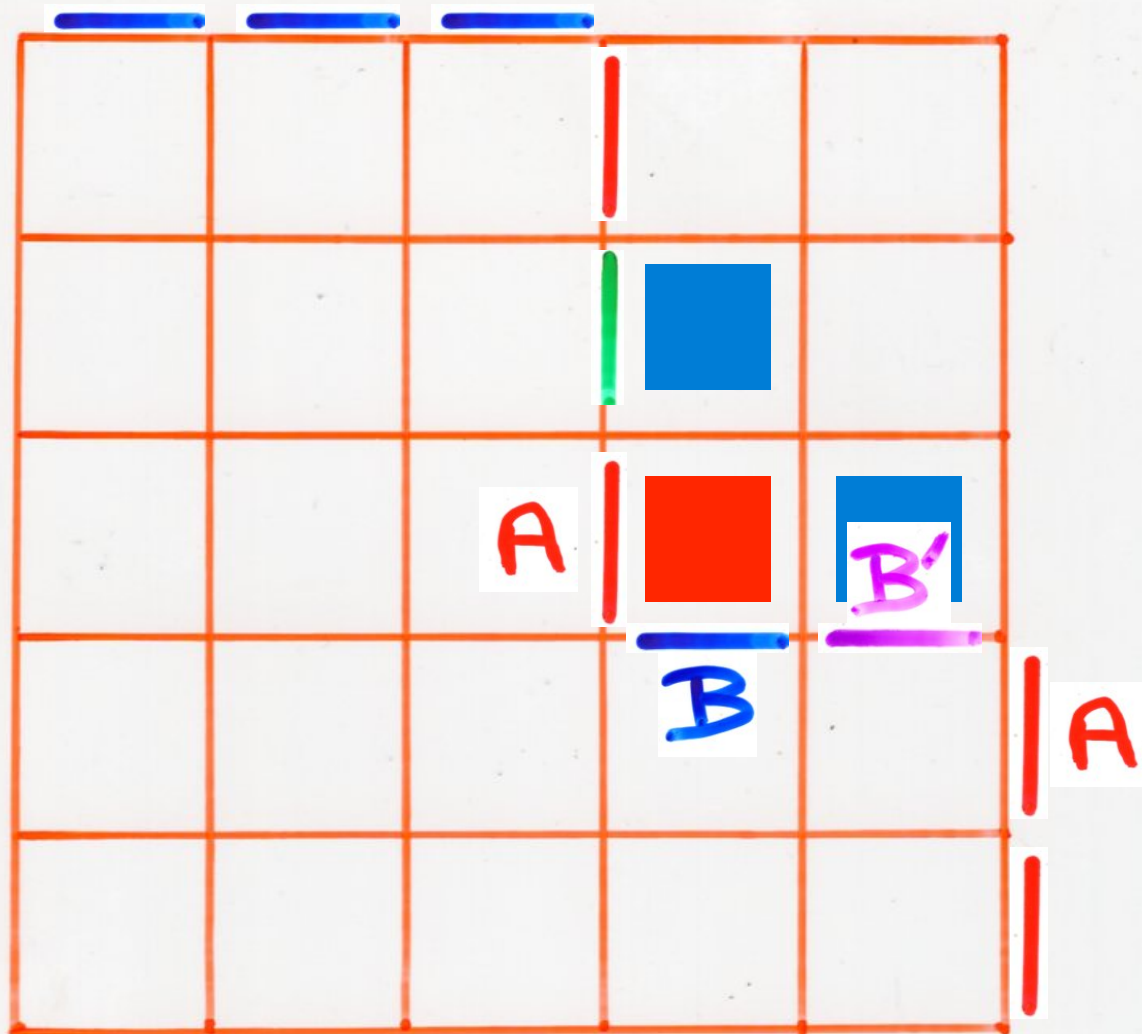


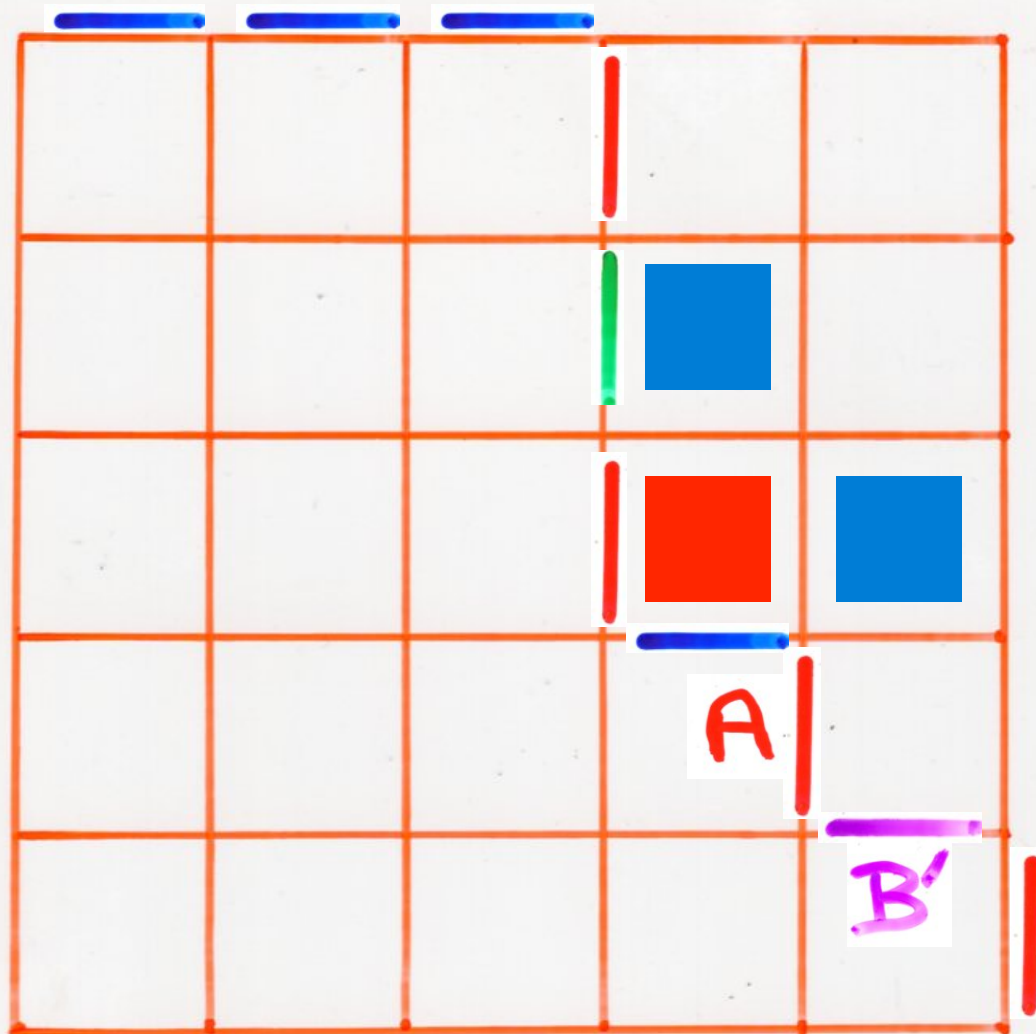


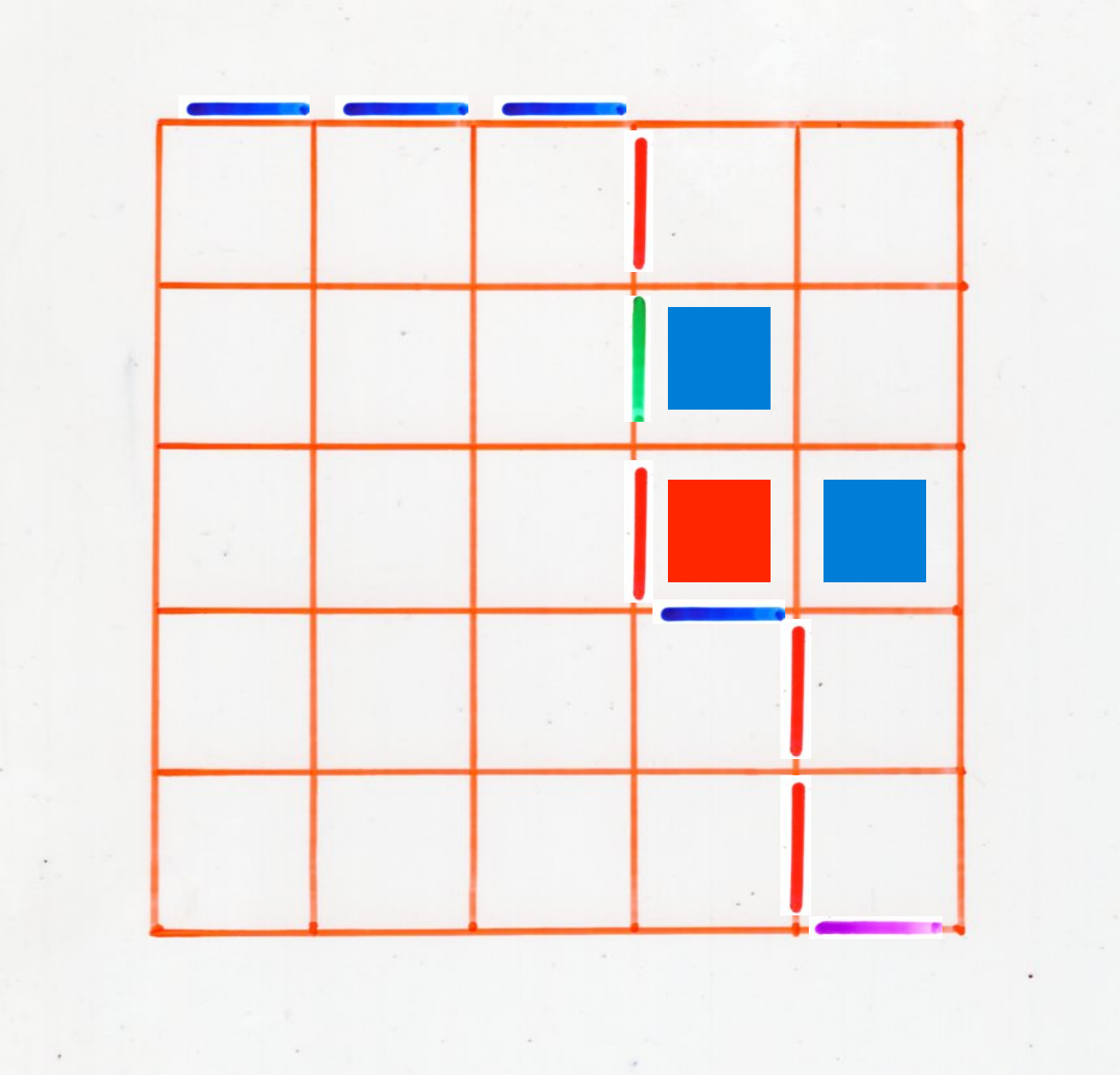


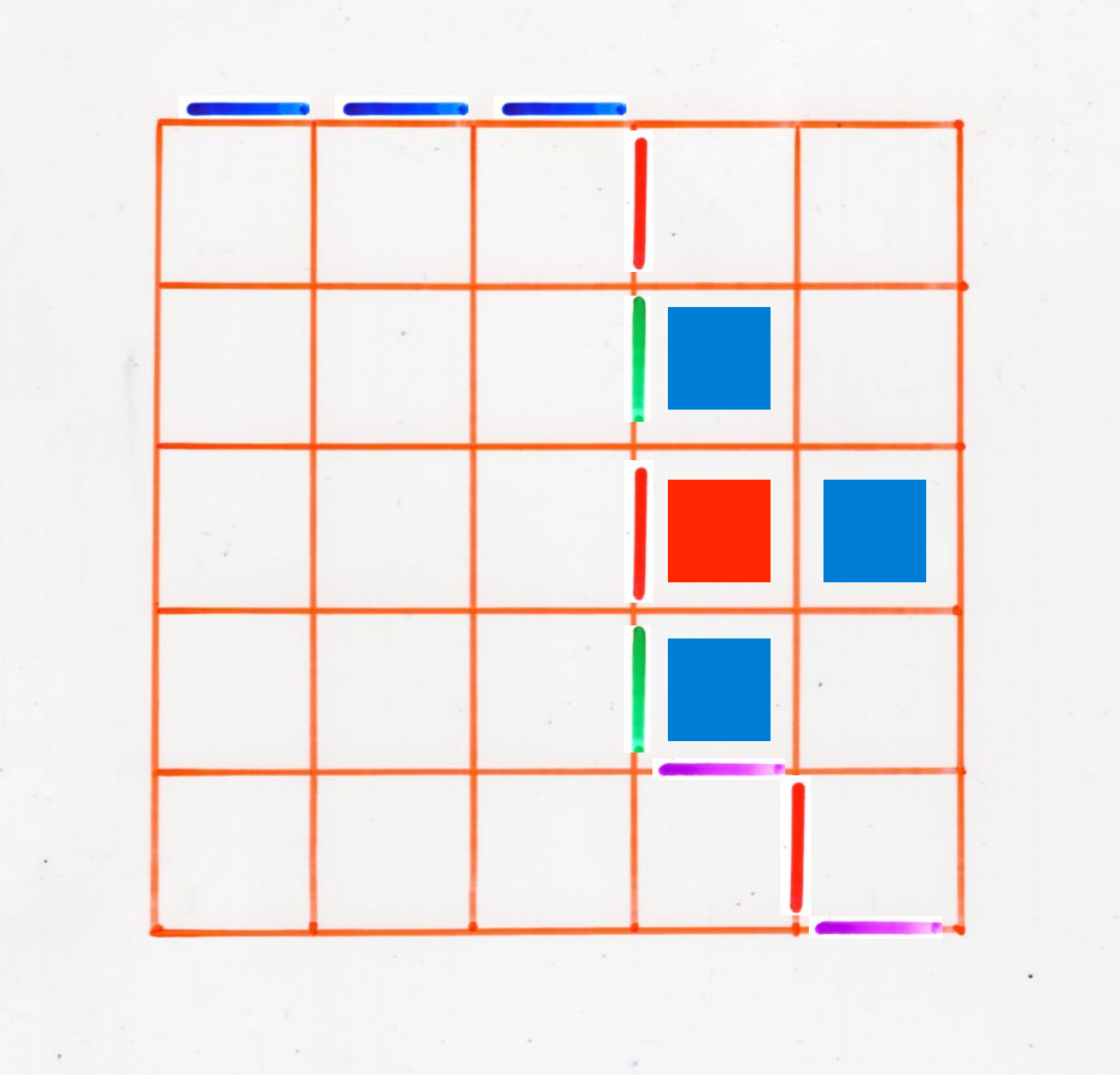


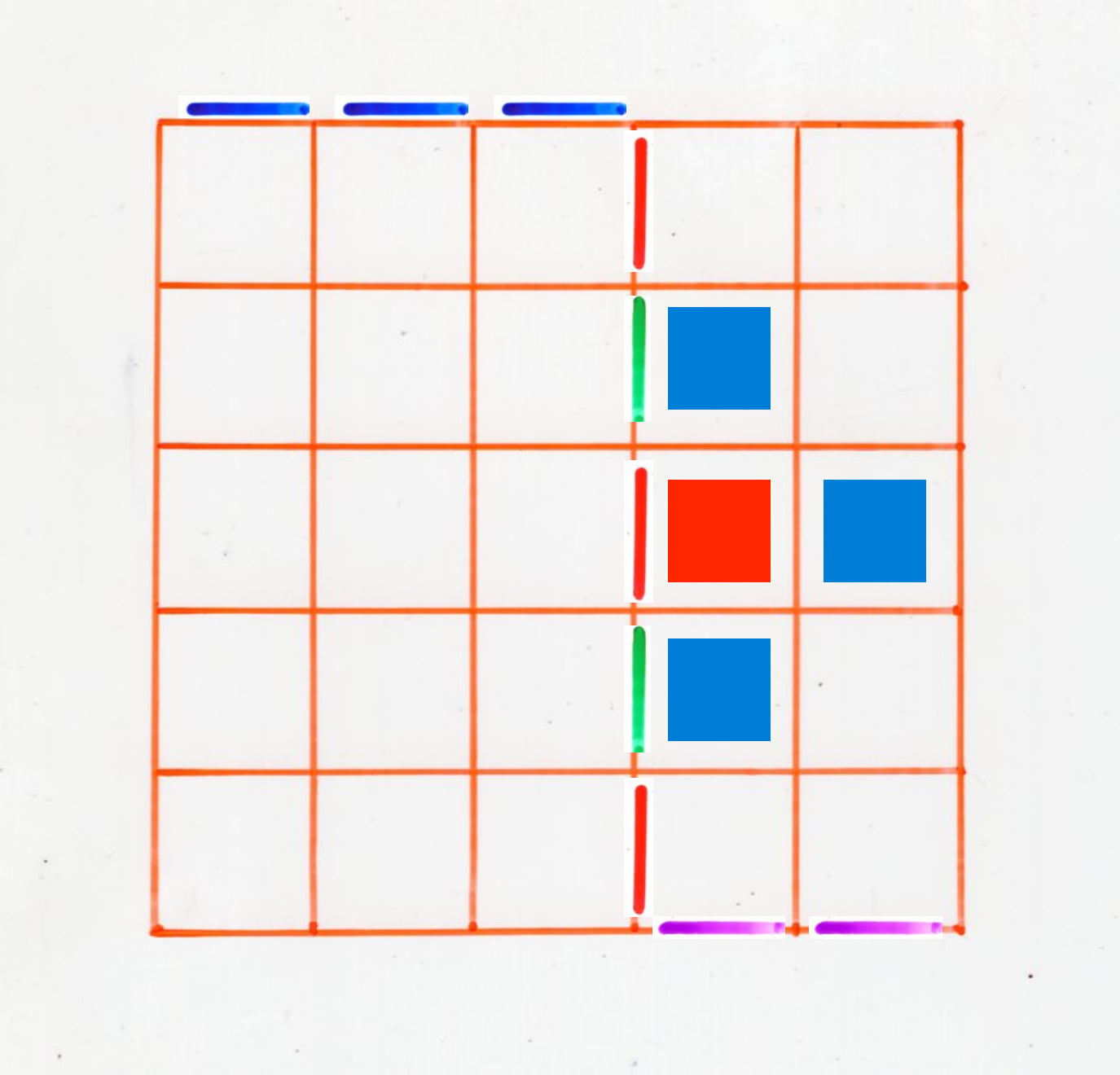


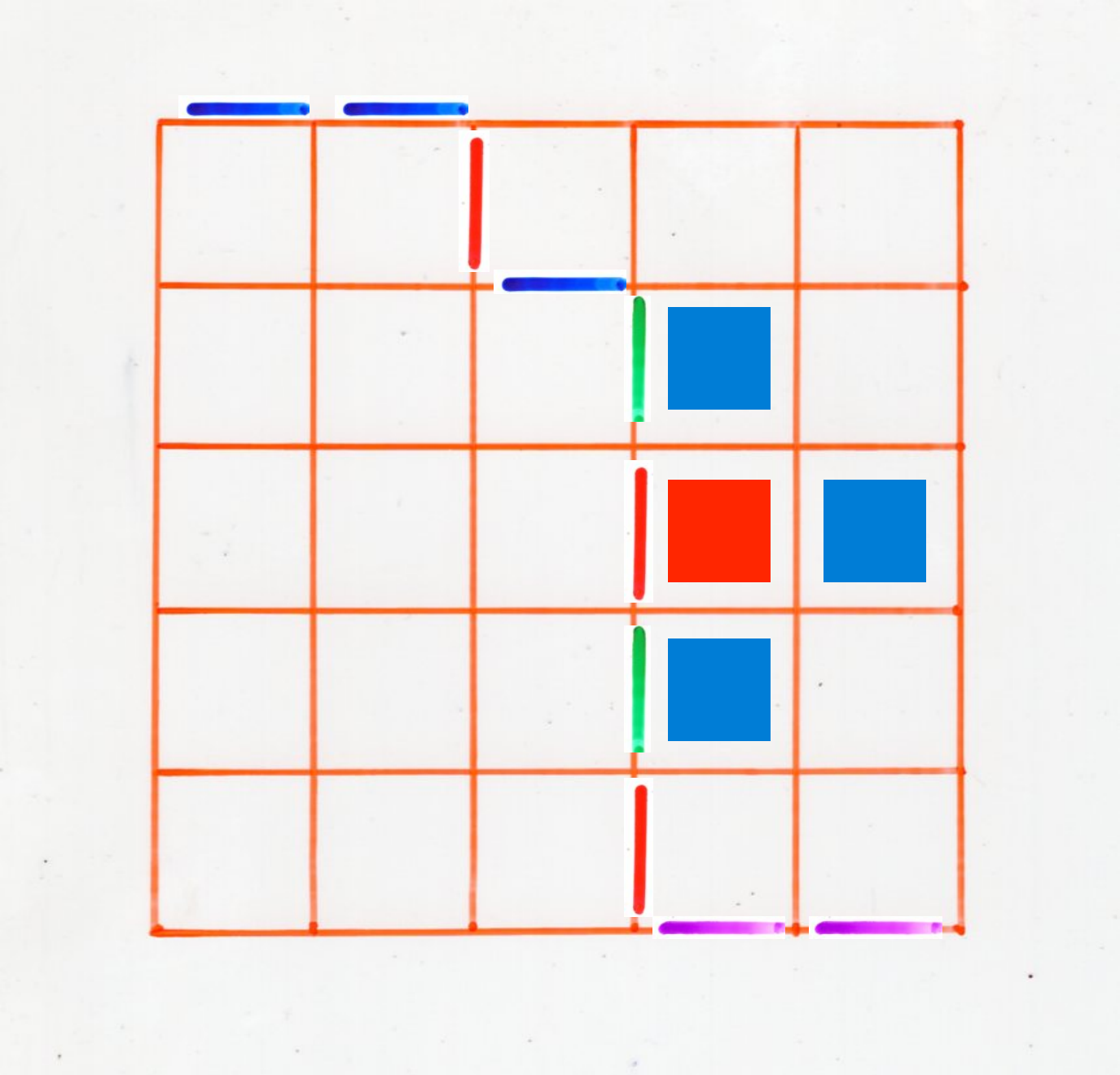




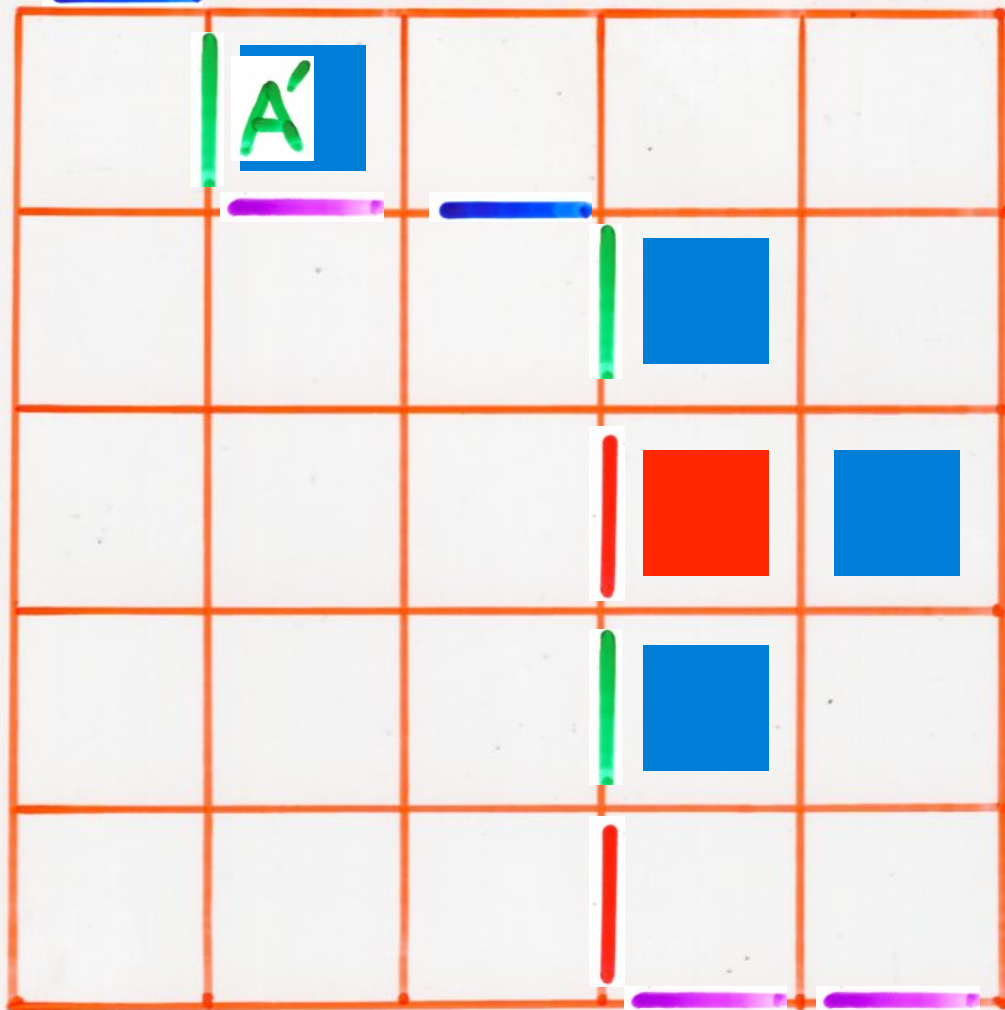


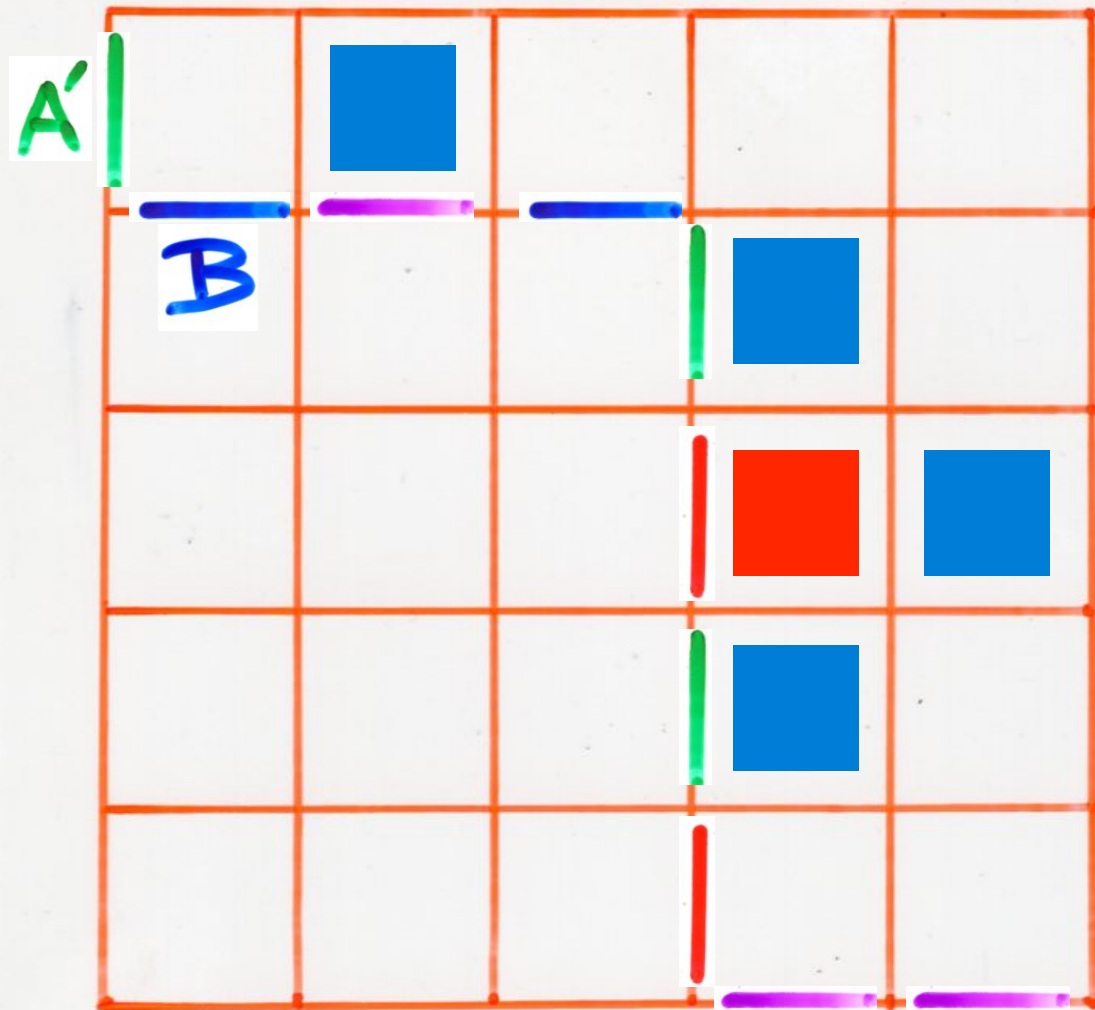


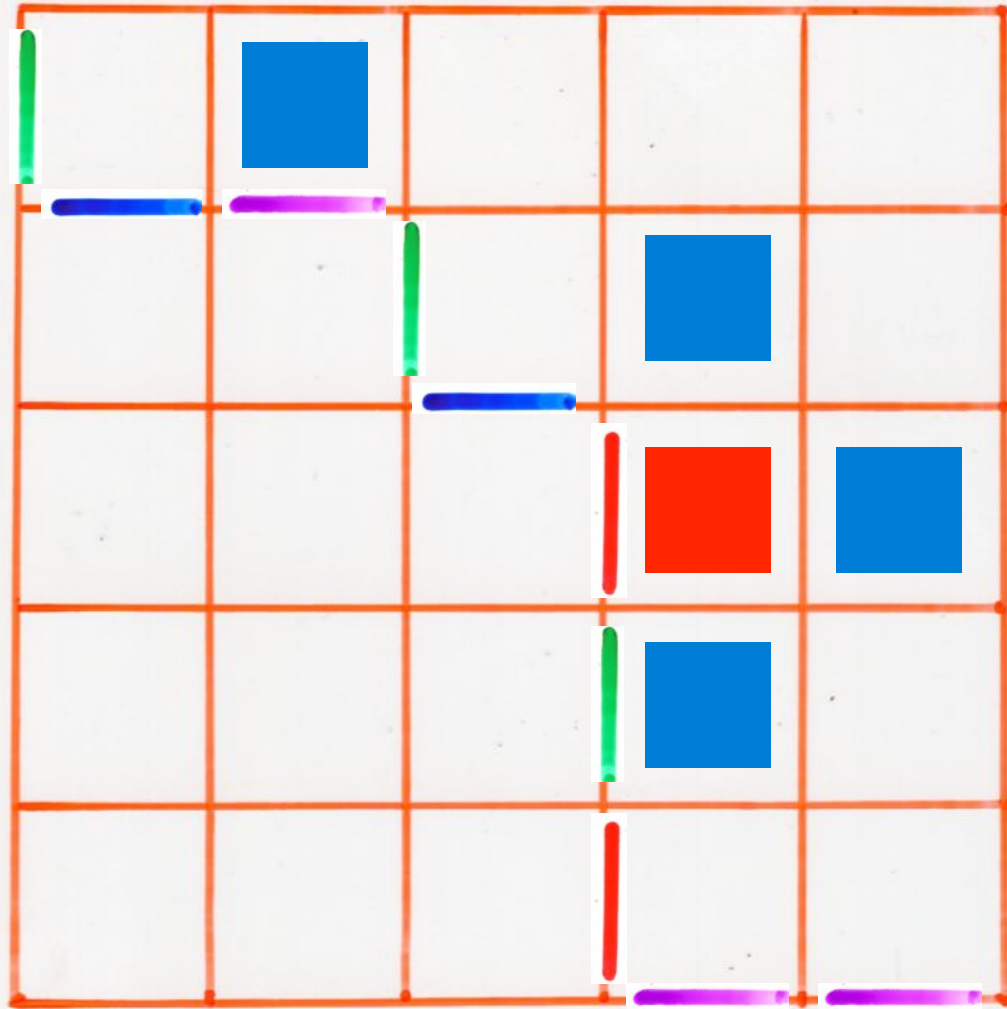


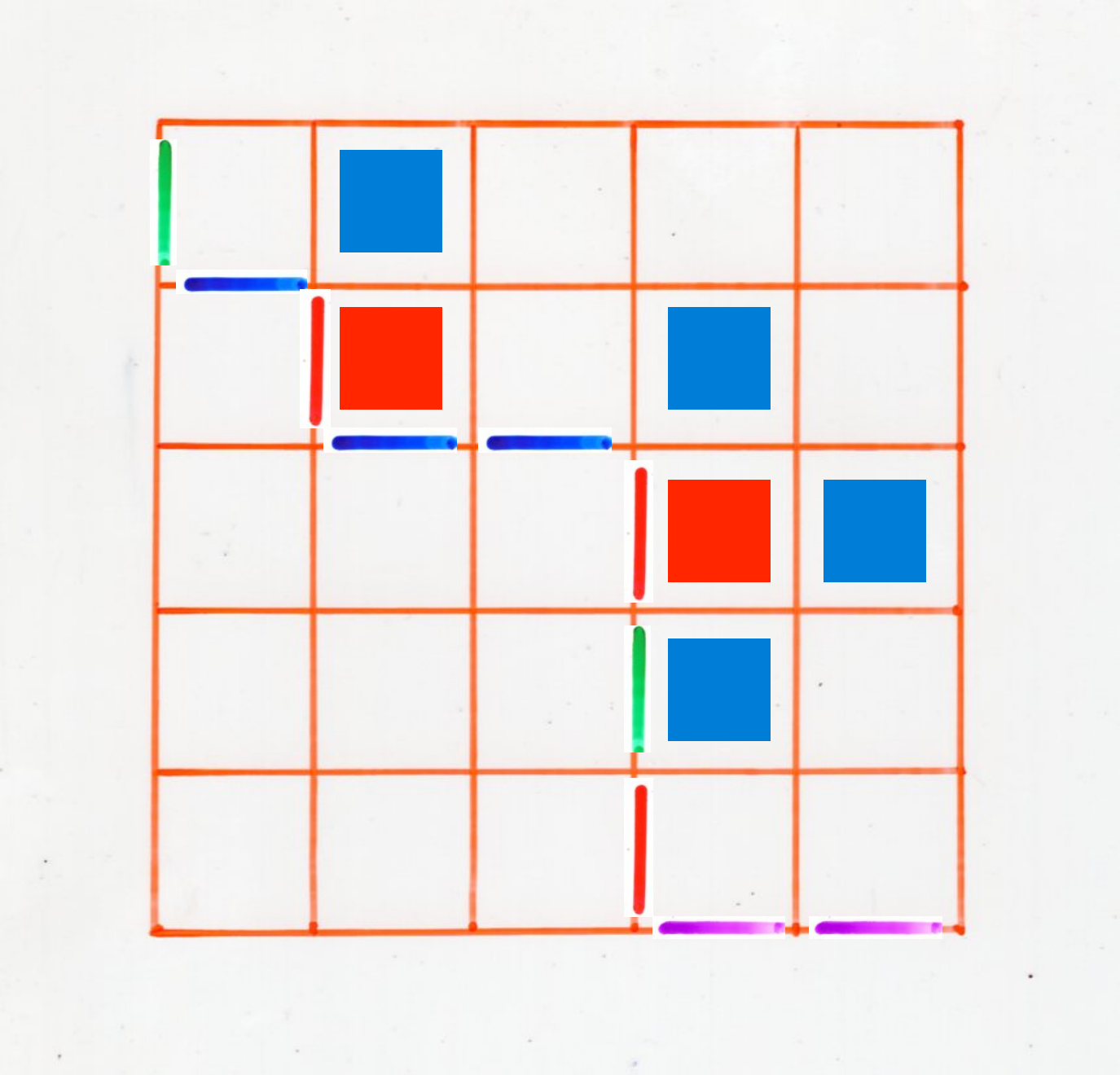


B

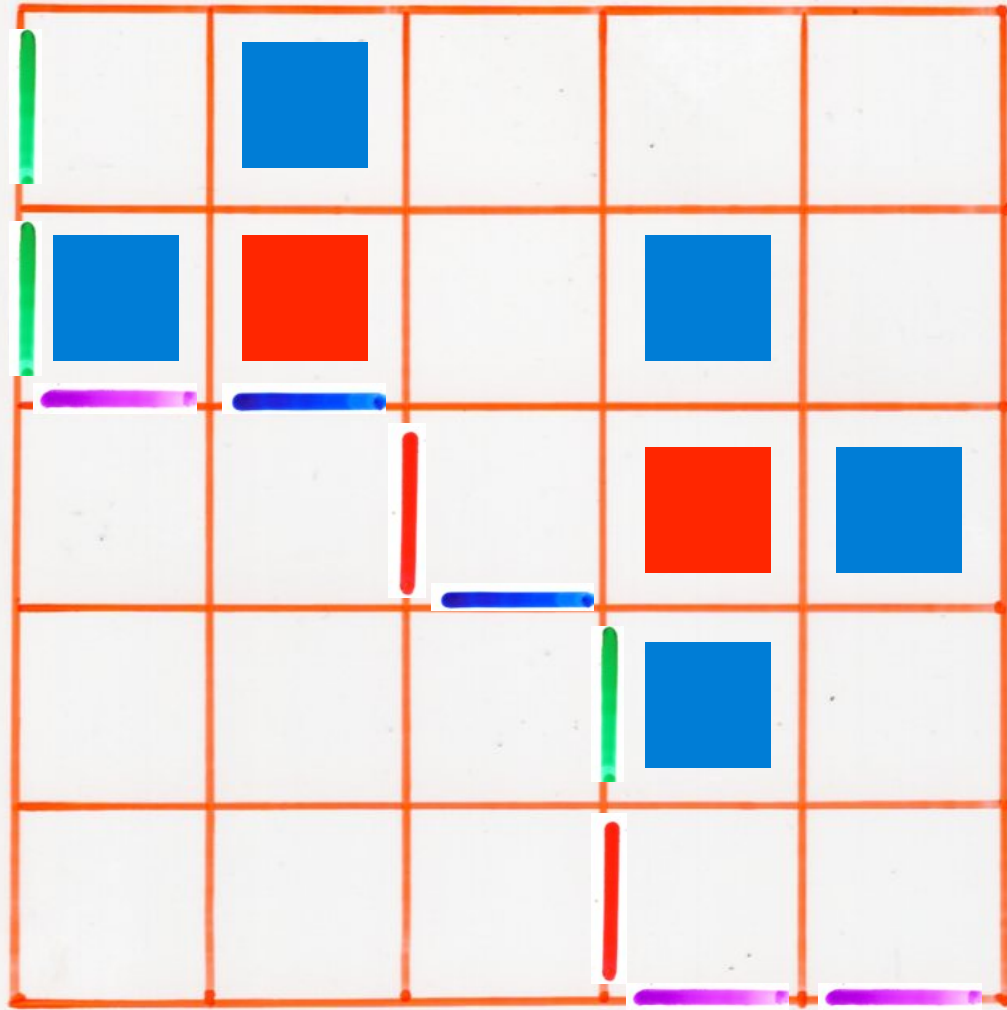


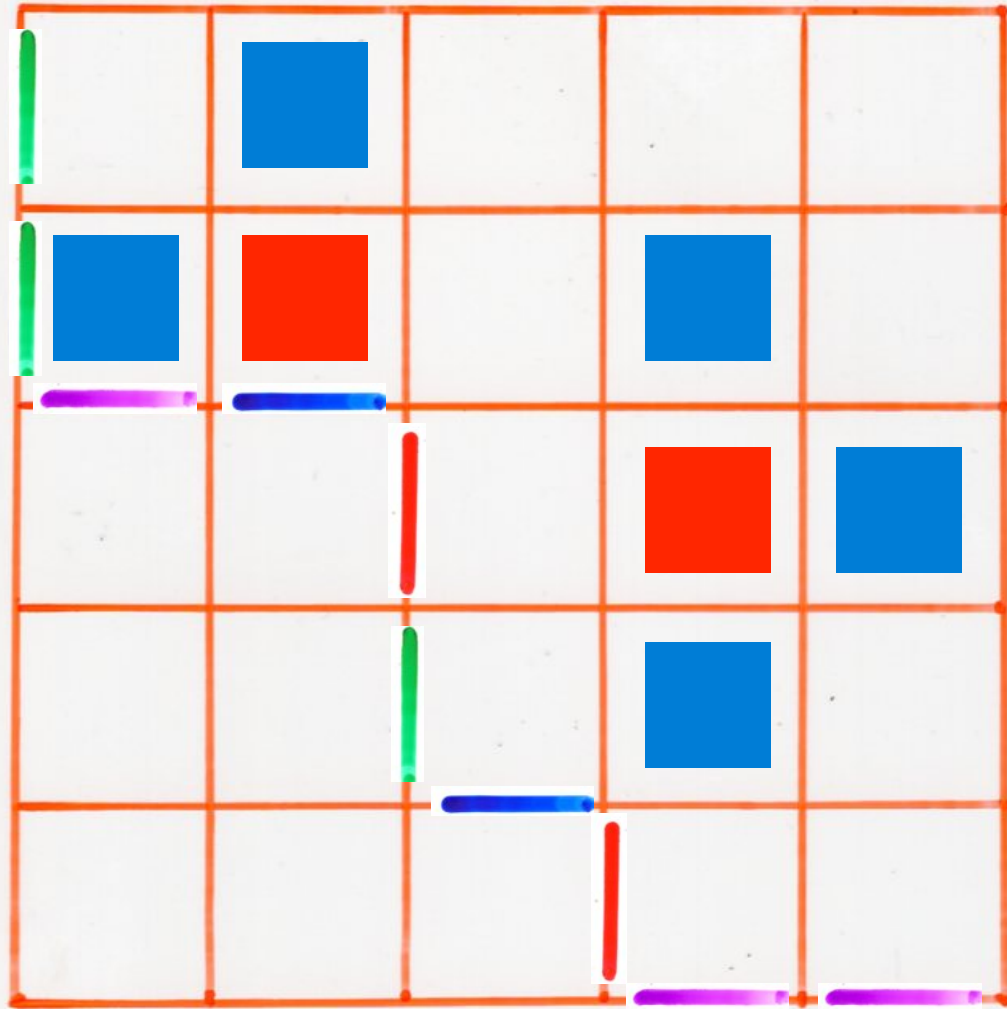


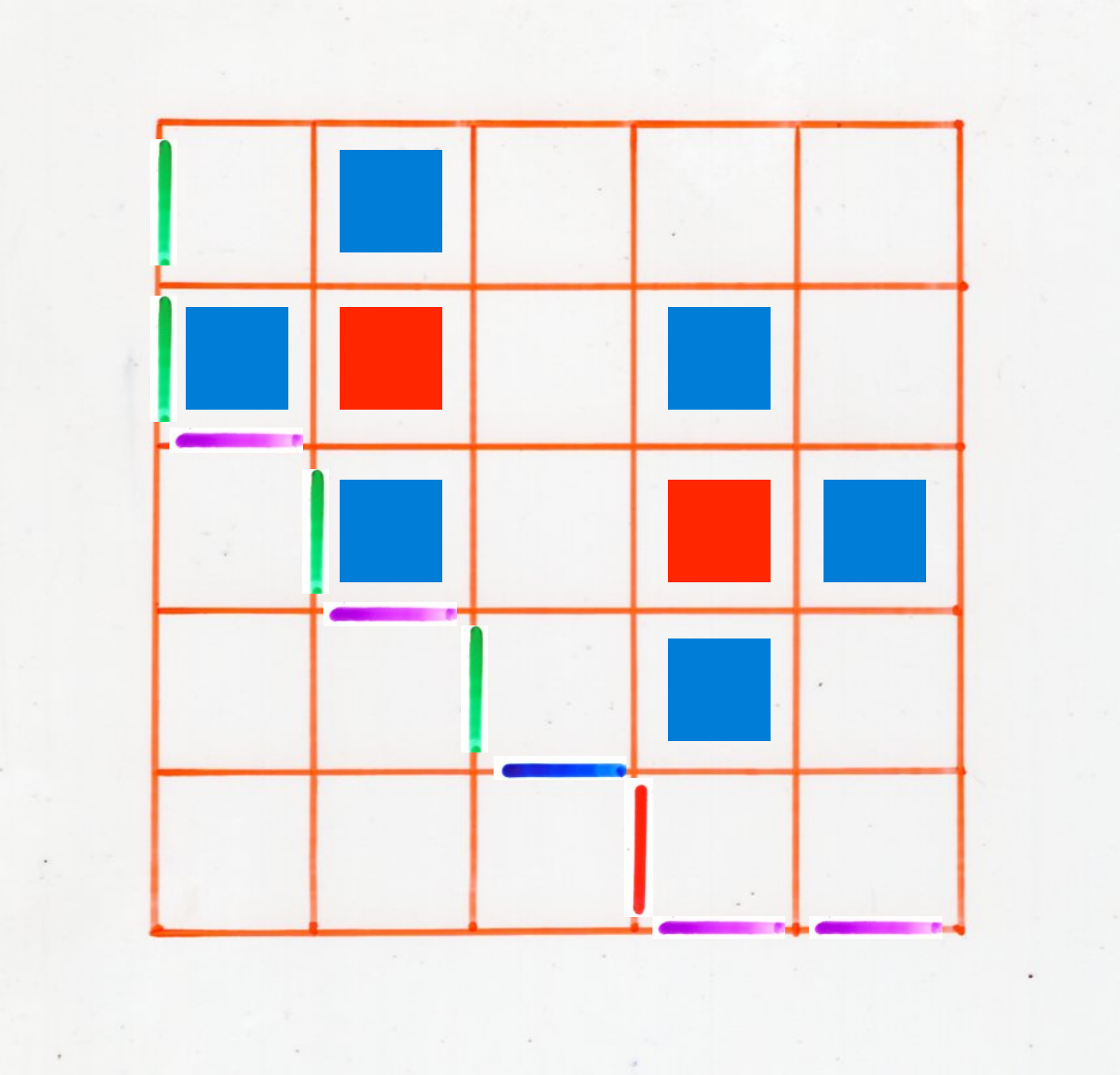


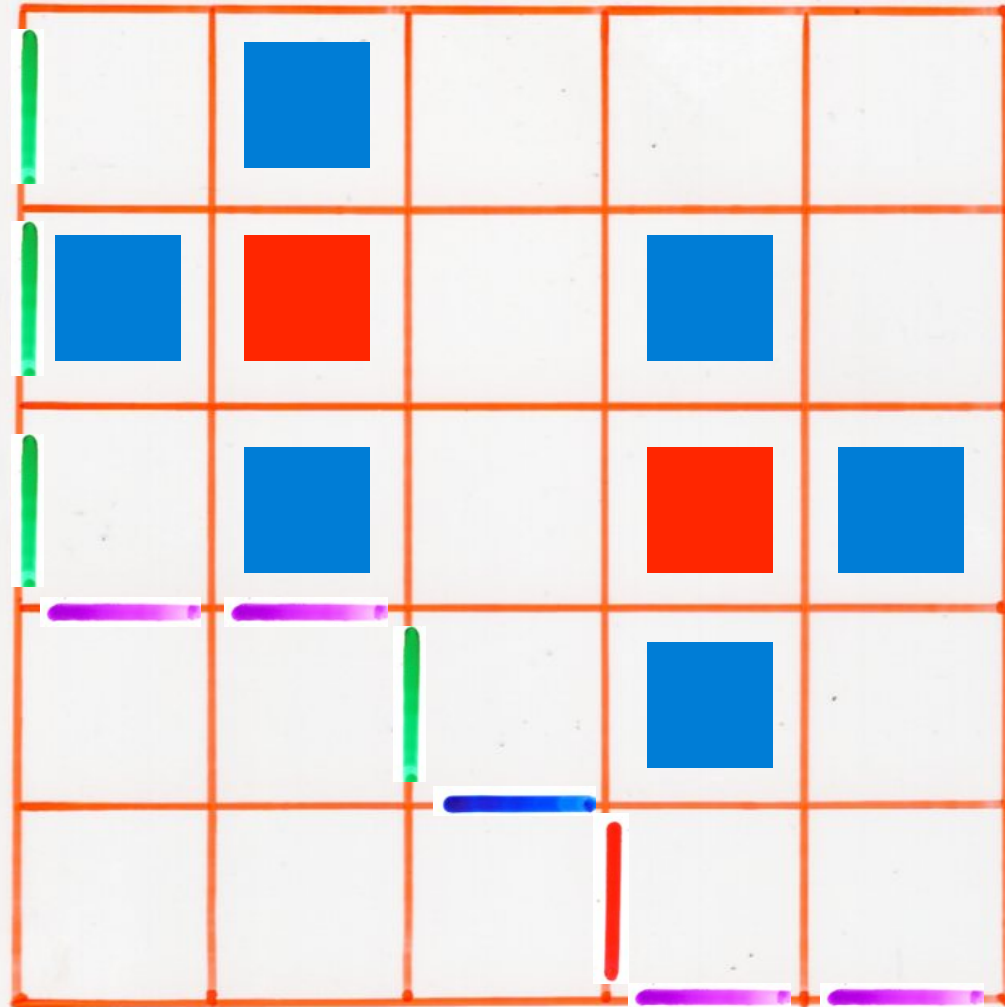


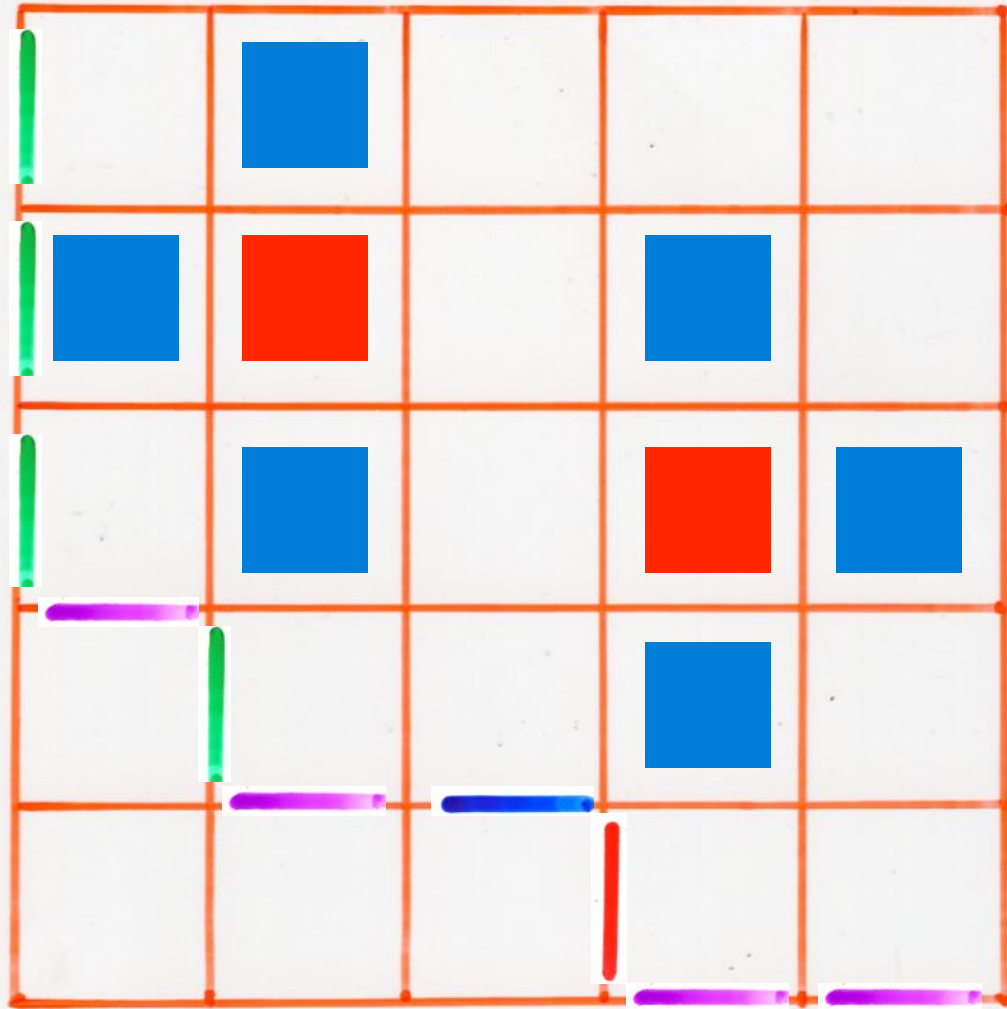


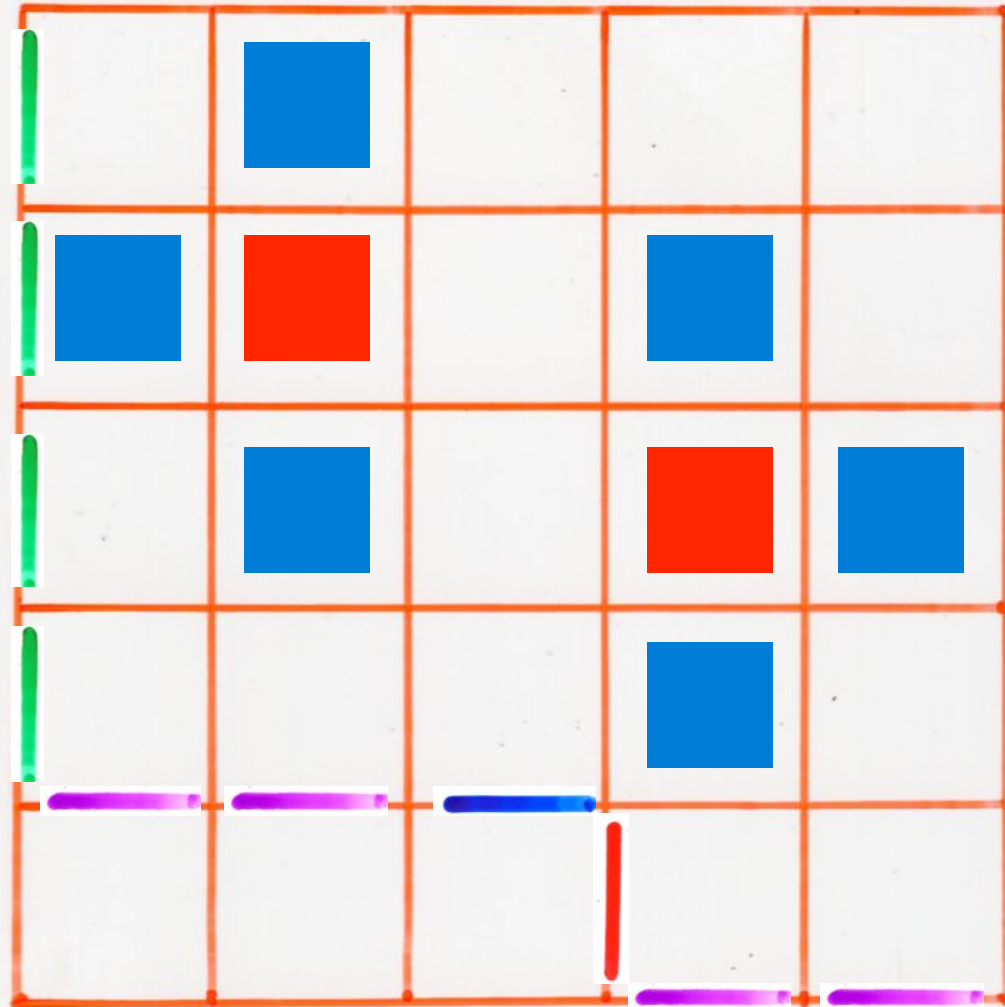


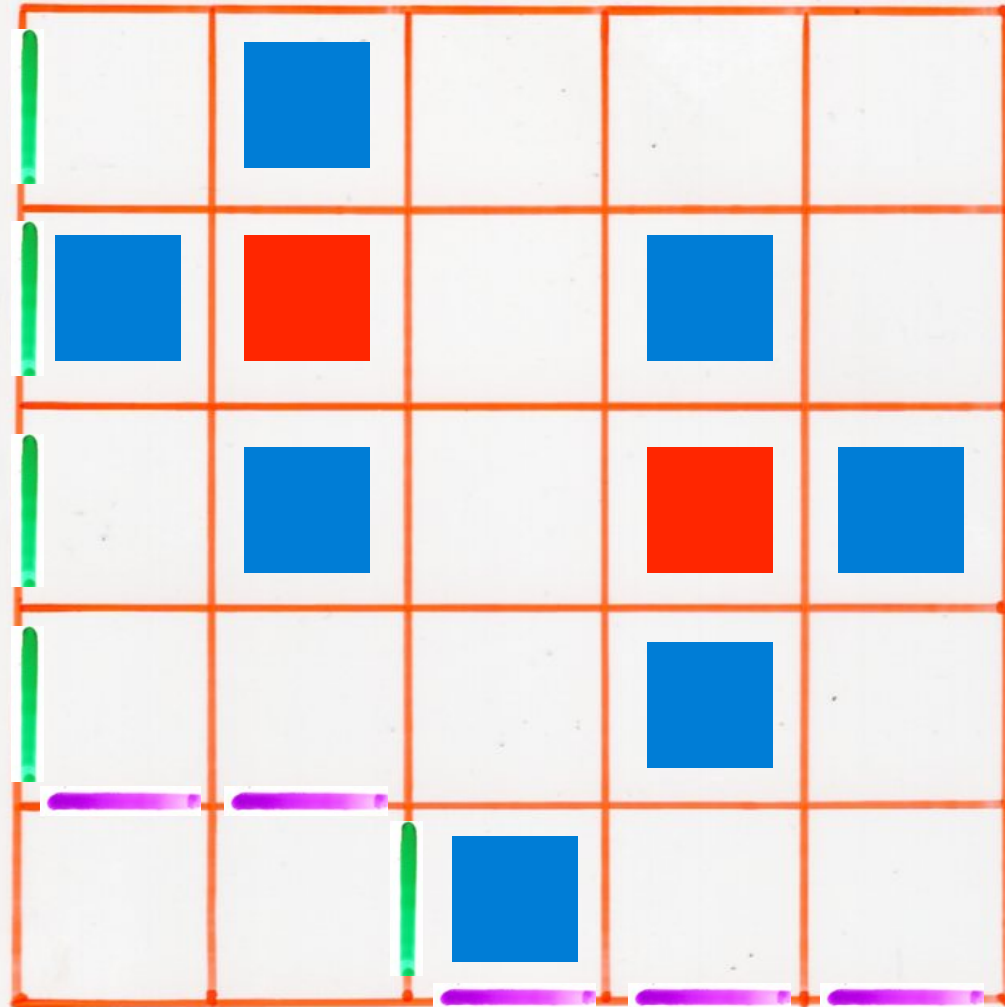


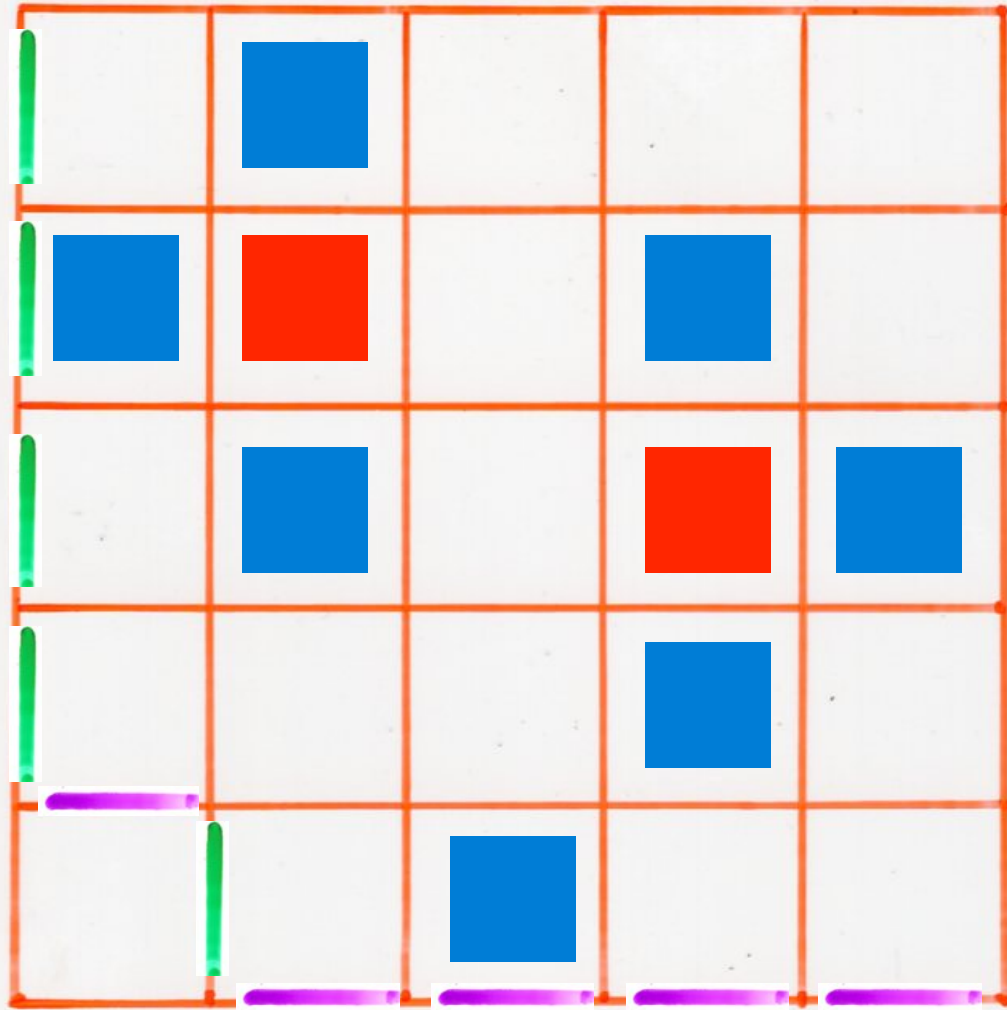


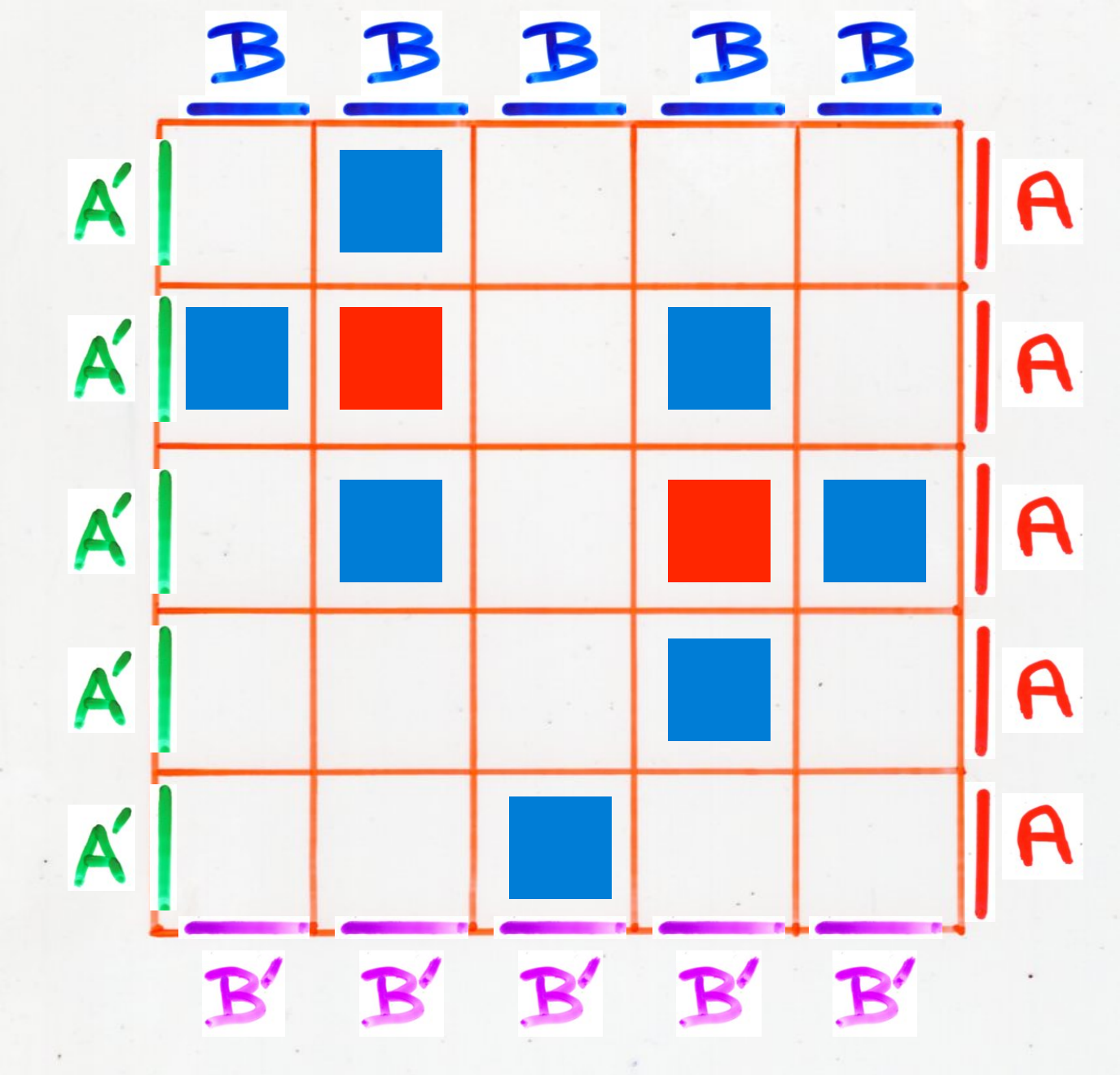












Prop. For $w = B^n A^m$
 $u = A'^n$, $v = B'^n$

$C(u, v; w)$ = the number of
 $n \times n$ ASM (alternating sign matrices)

complete Q-tableaux

quadratic algebra

Q

generators

$$\mathcal{B} = \{B_j\}_{j \in J}$$
$$\mathcal{A} = \{A_i\}_{i \in I}$$

for every $i \in I$
 $j \in J$

$$\mathcal{A} \cap \mathcal{B} = \emptyset$$

commutations

$$B_j A_i = \sum_{k,l} c_{ij}^{kl} A_k B_l$$

Lemma In Q every word $w \in (A \cup B)^*$ can be written in a unique way

$$w = \sum_{\substack{u \in A^* \\ v \in B^*}} c(u, v; w) uv$$

The monomials

$$\{uv, u \in A^*, v \in B^*\}$$

form a basis of the algebra

$$Q = \mathbb{C} \langle A \cup B \rangle / \mathcal{J}$$

non-commutative polynomials
with variables $A \cup B$

$$(A \cup B)$$

\mathcal{J} ideal generated by the commutation relations

This polynomial can be obtained by successive rewriting rules from w

$$B_j A_i \rightarrow \sum c_{ij}^{kl} A_k B_l$$

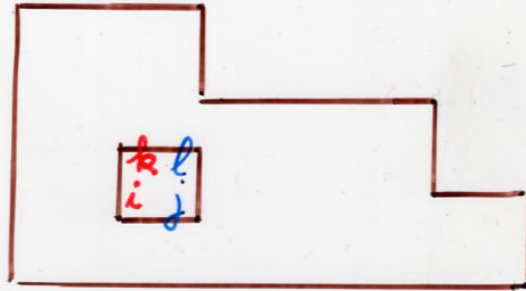
until there is no more such occurrence

Lemma This polynomial is independent of the order of rewritings

Definition

complete Q -tableau

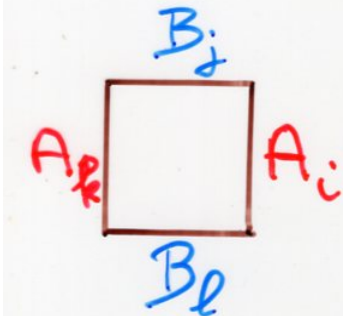
Ferrers diagram F
where each cell is
labeled by the set
 R of rewriting rules
with "compatibility" condition

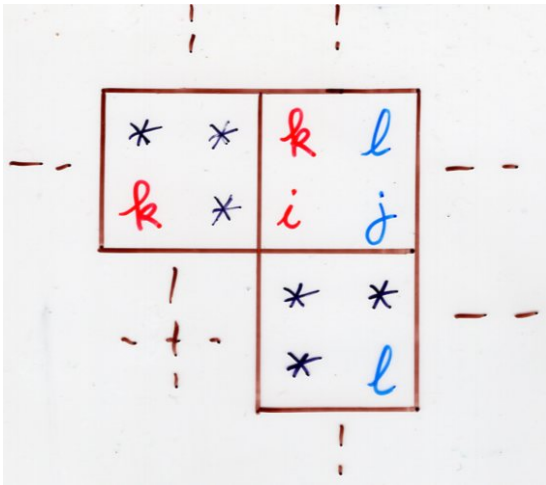


$$R = \left\{ \begin{array}{|c|c|} \hline k & l \\ \hline i & j \\ \hline \end{array}, i, k \in I, j, l \in J \right\}$$

$$B_j A_i \rightarrow c_{ij}^{kl} A_k B_l$$

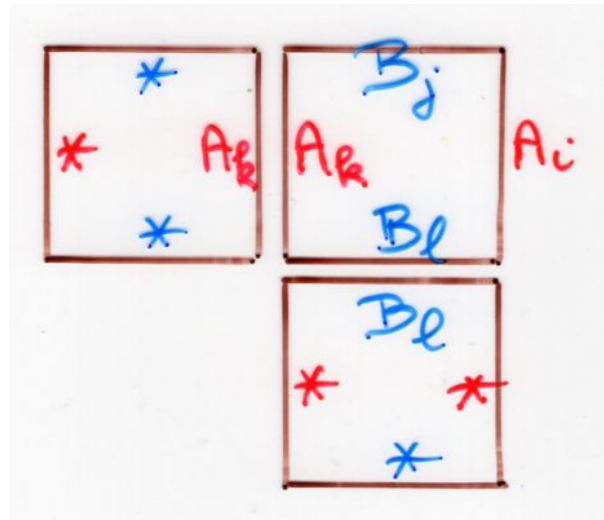
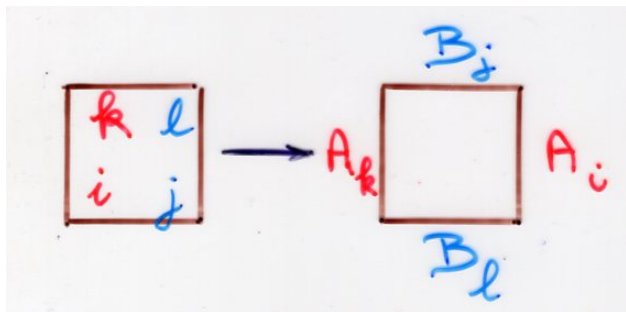
or





$$B_j A_i \rightarrow c_{ij}^{kl} A_k B_l$$

edge labeling of a complete Q-tableau



Definition

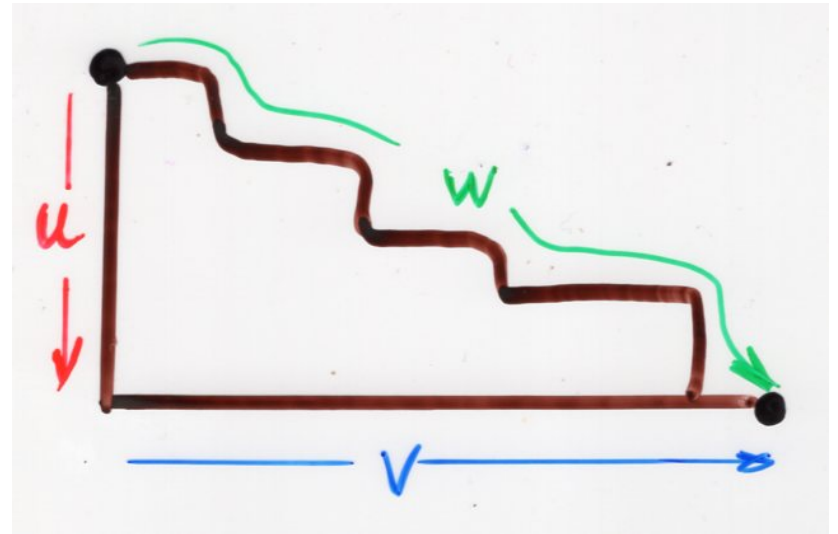
weight of a complete Q -tableau T

$$\text{wgt}(T) = \prod_{\substack{\text{cells} \\ \text{of } F}} c_{ij}^{kl} \in \mathbb{K}[X]$$

Definition For T complete Q -tableau

$$\text{uwb}(T) = w \in (A \cup B)^*$$

$$\text{lwb}(T) = uv, \quad u \in A^*, v \in B^*$$



is the **word** obtained by reading the **labels** of the **cells** on the **NE** (resp. **SW**) **border** of F going from the **NW** corner to the **SE** corner

Lemma In Q every word $w \in (A \cup B)^*$ can be written in a unique way

$$w = \sum_{\substack{u \in A^* \\ v \in B^*}} c(u, v; w) uv$$

Proposition For any words $w \in (A \cup B)^*$, $u \in A^*$, $v \in B^*$

$$c(u, v; w) = \sum_{\mathbf{T}} \text{wgt}(\mathbf{T})$$

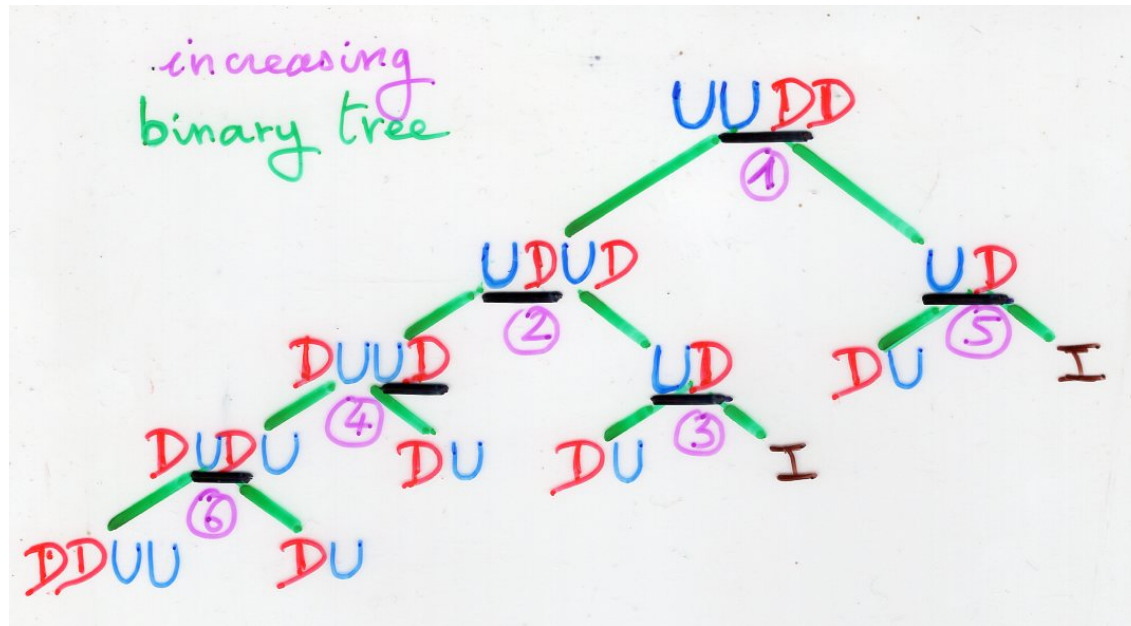
complete Q -tableau

$$uwb(\mathbf{T}) = w$$

$$lwb(\mathbf{T}) = uv$$

proof of the proposition
about «normal ordering»
for the quadratic algebra Q

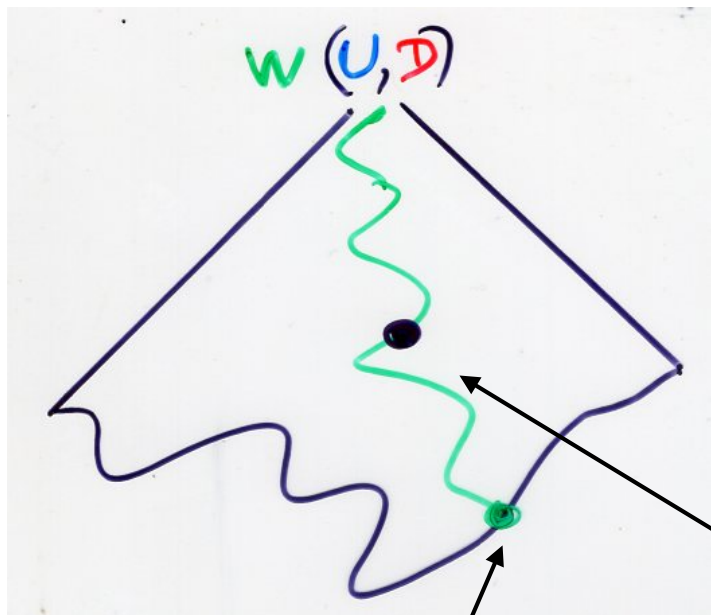
Ch 1c, p115-117



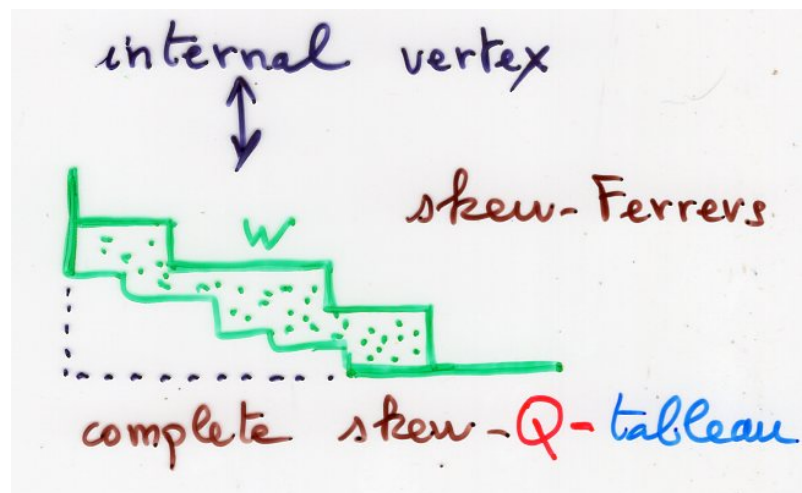
binary tree T
associated
to a possible
rewriting process

$$U^2 D^2 = D^2 U^2 + 4DU + 2I$$

this polynomial is independent
of the order of the substitutions



binary tree T
 associated
 to a possible
 rewriting process



leaves of T

bijection
 \longleftrightarrow

complete
 Q -tableaux
 shape λ

$$\lambda = F(w)$$

A possible calculus:

after $(n-1)$ steps, starting from $w = w$

$$w = \sum_{\substack{\omega \\ \text{word} \in (A \cup B)^*}} c(\omega, w) \omega$$

step n: choice of a word ω with a factor $B_j A_i$

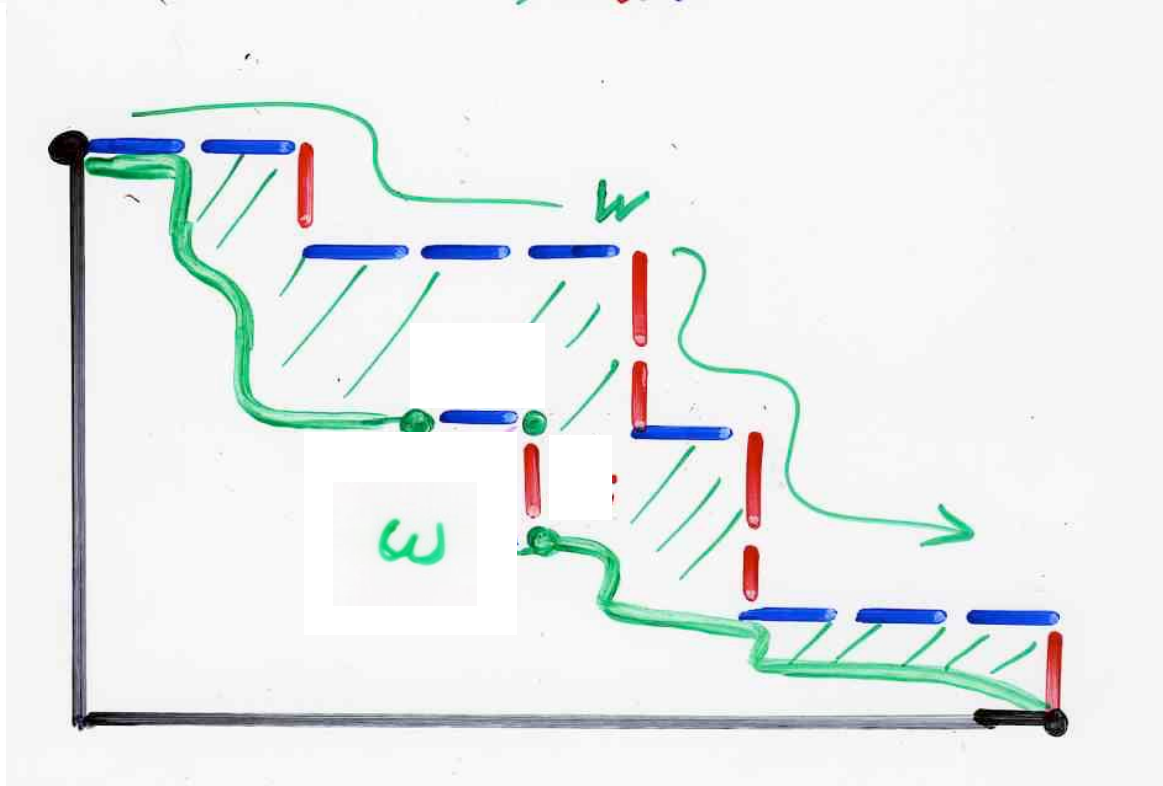
rewriting:

$$w = \omega' B_j A_i \omega''$$



$$\sum_{k,l} c_{ij}^{kl} \omega' A_k B_l \omega''$$

complete skew Q-tableau
between ω and w



we associate a tree

A_{n-1}

- vertices are certain complete skew Q -tableaux T with $uwb(T) = w$
- the root is w (as an "empty" Q -tableau between w and w)
- internal vertices (no son) are labeled $1, 2, \dots, (n-1)$
- A_{n-1} is an increasing tree (label of a vertex $<$ labels of its son)

step n

$$A_{n-1} \longrightarrow A_n$$

- choice of a leaf T

T is a skew Q -tableau between ω and w

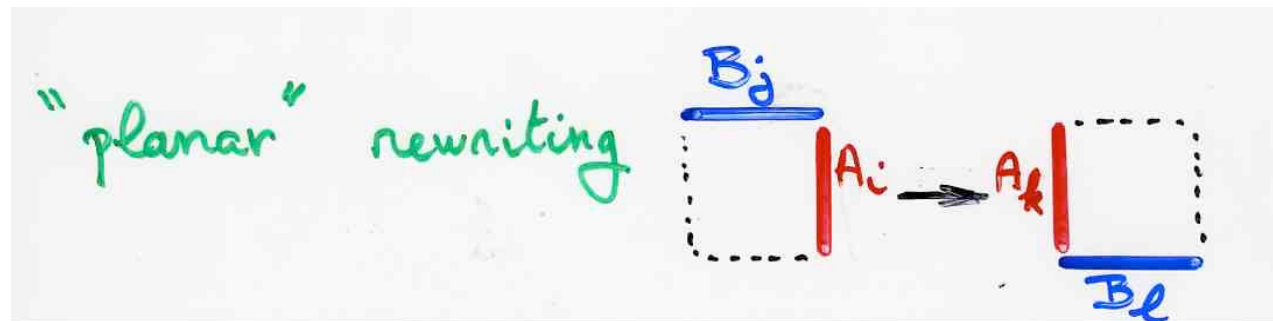
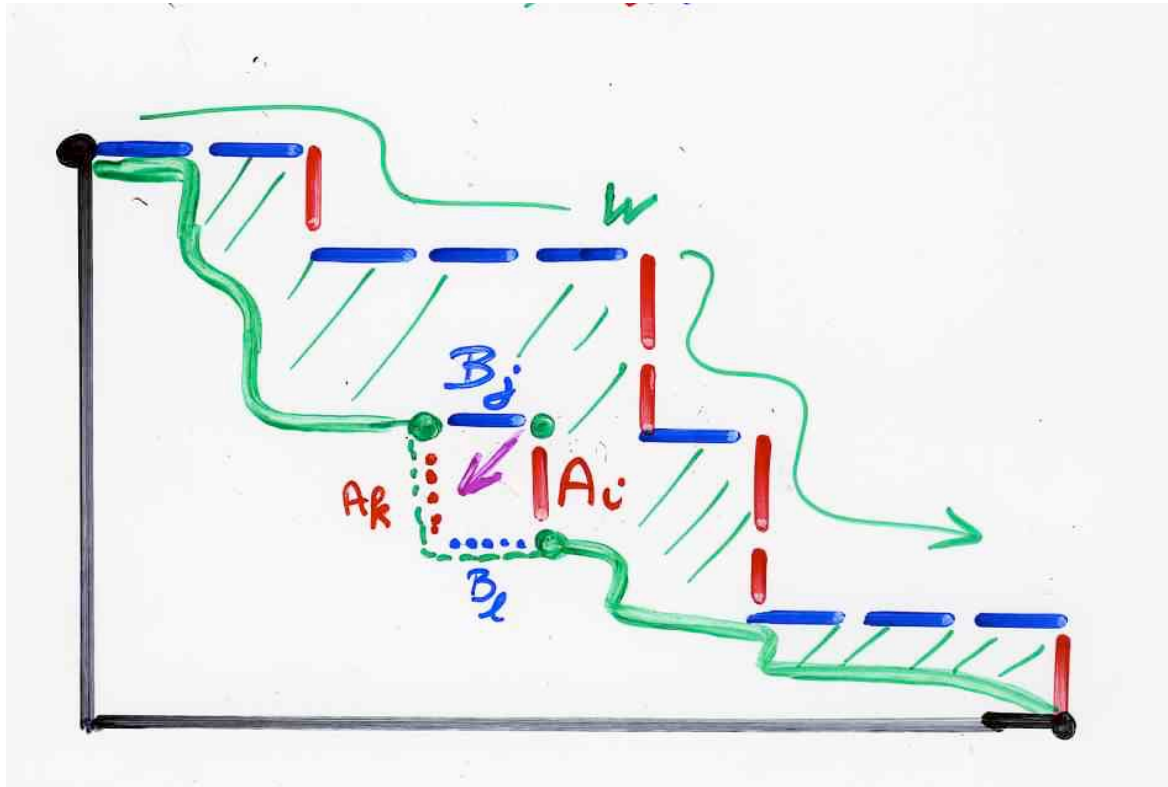
- choice of a factorization

$$\omega = \omega' B_j A_i \omega''$$

- label the vertex T by n

- the sons of T are the skew Q -tableaux of the form:

complete skew Q -tableau
between w and w



A_n is an increasing tree

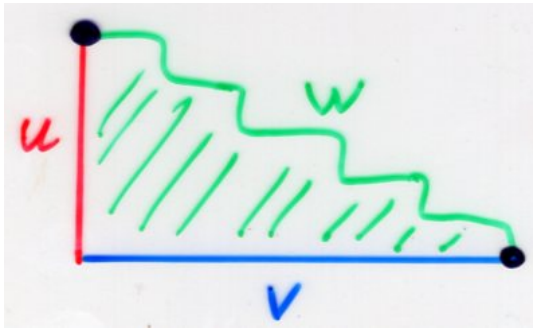
by recurrence :

$$w = \sum_{\substack{\text{leaves } T \\ \text{of } A_n}} \text{wgt}(T) \cdot \text{lwb}(T)$$

lower word border

end of calculus at $n = N$

all leaves of A_N are of the form:



$$u \in \mathcal{A}^*, v \in \mathcal{B}^*$$

(and thus $N = |F(w)|$ is the common height of the leaves of A_N)

and

$$w = \sum_{\substack{T \\ \text{leaves of } A_N}} \text{wgt}(T) \text{lwb}(T)$$

Lemma

complete (straight) Q -tableaux T with
 $uwb(T) = w$ are in bijection
with the leaves of A_N

□

end of the proof

Lemma In Q every word $w \in (A \cup B)^*$ can be written in a unique way

$$w = \sum_{\substack{u \in A^* \\ v \in B^*}} c(u, v; w) uv$$

Proposition For any words $w \in (A \cup B)^*$, $u \in A^*$, $v \in B^*$

$$c(u, v; w) = \sum_{\mathbf{T}} \text{wgt}(\mathbf{T})$$

complete Q -tableau

$$uwb(\mathbf{T}) = w$$

$$lwb(\mathbf{T}) = uv$$

Q-tableau:
definition

L set of "labels"

$$\varphi: \left\{ \begin{array}{|c|c|} \hline k & l \\ \hline i & j \\ \hline \end{array} \right\} = R \rightarrow L$$

set of
rewriting rules

$$B_j A_i \rightarrow C_{ij}^{kl} A_k B_l$$

such that:

$$\text{if } \begin{pmatrix} k & l \\ i & j \end{pmatrix} \neq \begin{pmatrix} k' & l' \\ i' & j' \end{pmatrix} \text{ and } \varphi \begin{pmatrix} k & l \\ i & j \end{pmatrix} = \varphi \begin{pmatrix} k' & l' \\ i' & j' \end{pmatrix}$$

$$\text{then } (i, j) \neq (i', j')$$



φ satisfies $(*)$:

Ch 1c, p53

$(*)$ if $\varphi(\alpha \rightarrow \beta) = \varphi(\alpha' \rightarrow \beta')$
then $\alpha \neq \alpha'$

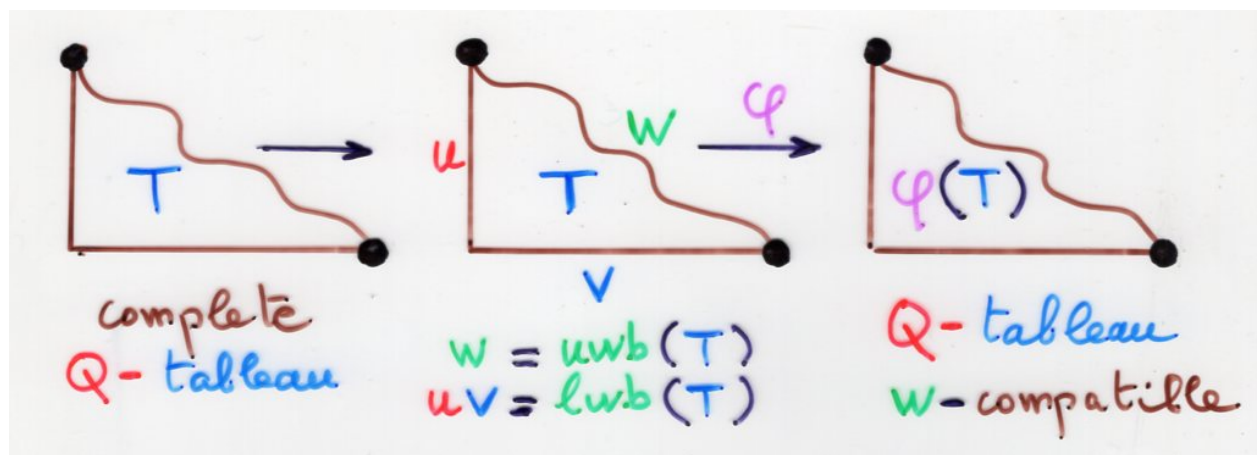
i.e. in a a single commutation equation

$$\alpha = \beta_1 + \dots + \beta_r$$

all elements $\varphi(\alpha \rightarrow \beta_i) \in L$ are \neq
set of labels

Definition Q -tableau

is the "image" by φ satisfying (*) of a complete Q -tableau



Proposition for $w \in (d \cup \beta)^*$ fixed

$\left\{ \begin{array}{l} \text{set of } Q\text{-tableaux} \\ w\text{-compatible} \end{array} \right\} \xleftrightarrow{\varphi} \left\{ \begin{array}{l} \text{set of complete } Q\text{-tableaux } T \\ \text{with } uwb(T) = w \end{array} \right\}$

are in bijection by φ

Lemma In Q every word $w \in (A \cup B)^*$ can be written in a unique way

$$w = \sum_{\substack{u \in A^* \\ v \in B^*}} c(u, v; w) uv$$

$$c(u, v; w) = \sum_{\mathbf{T}} \text{wgt}(\mathbf{T})$$

complete Q -tableau
 $uwb(\mathbf{T}) = w$
 $lwb(\mathbf{T}) = uv$

Proposition for $w \in (A \cup B)^*$ fixed

{ set of Q -tableaux } $\xleftrightarrow{\varphi}$ { set of complete Q -tableaux \mathbf{T} }
 w -compatible with $uwb(\mathbf{T}) = w$

are in bijection by φ

Q-tableaux: example 1

$$UD = DU + Id$$

$$UD = qDU + I$$

$$\begin{cases} UD = qDU + I_v I_h \\ UI_v = I_v U \\ I_h D = D I_h \\ I_h I_v = I_v I_h \end{cases}$$

$$\begin{aligned} w &= U^n D^n \\ uv &= I_v^n I_h^n \end{aligned}$$

$$c(u, v; w) = n!$$

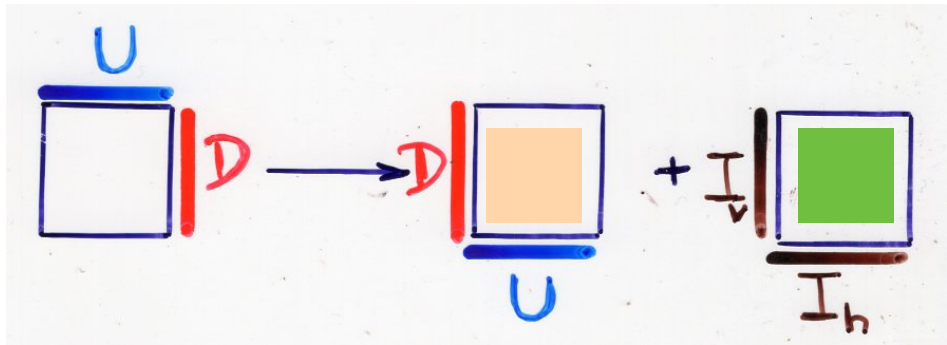
complete Q -tableau

$$\begin{aligned} uwb(T) &= U^n D^n \\ lwb(T) &= I_v^n I_h^n \end{aligned}$$

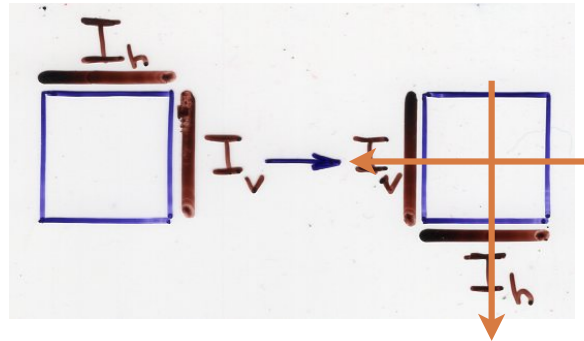
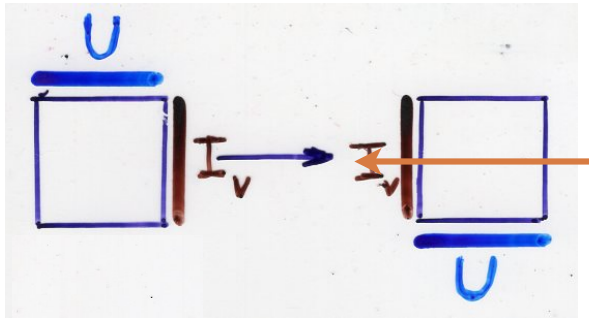
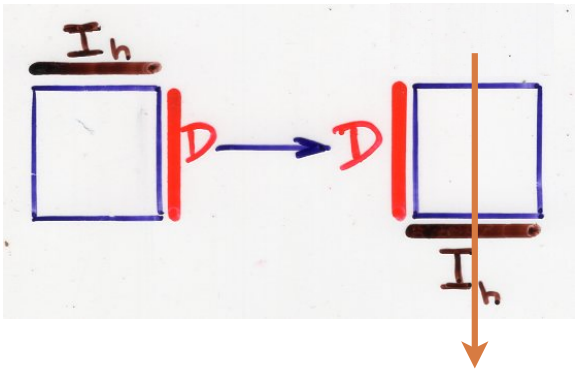
\longleftrightarrow Permutations
 G_n

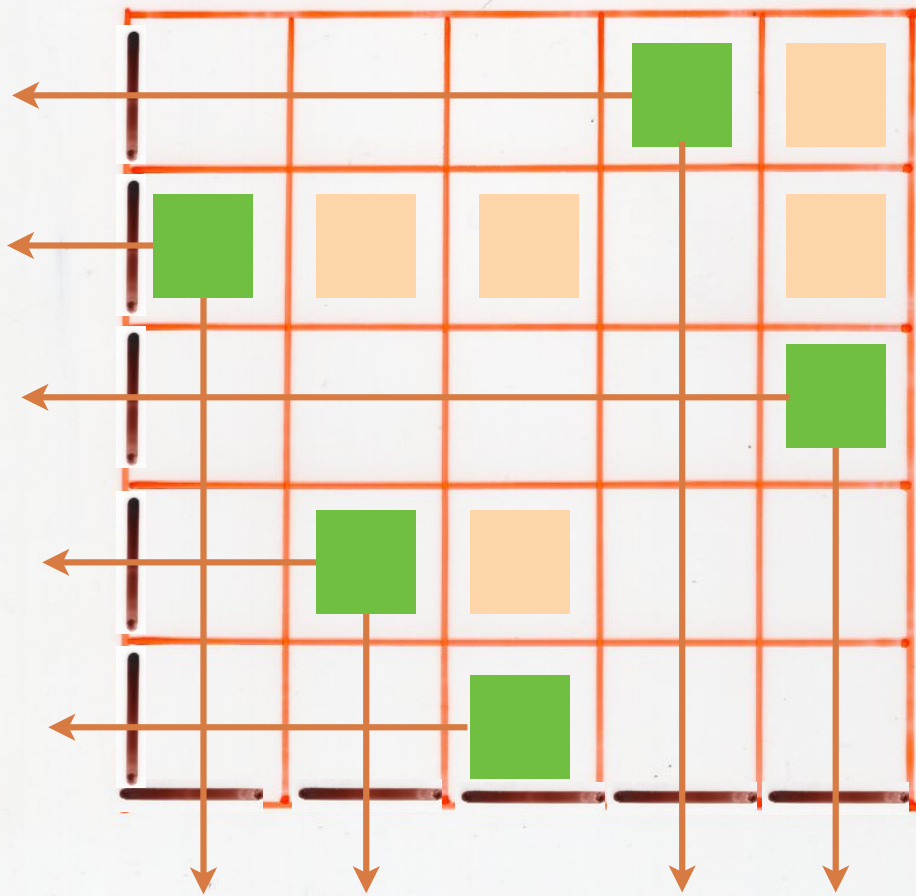
$$\begin{cases} U \mathcal{D} = q \mathcal{D} U + I_v I_h \\ U I_v = I_v U \\ I_h \mathcal{D} = \mathcal{D} I_h \\ I_h I_v = I_v I_h \end{cases}$$

$$U \mathcal{D} = q \mathcal{D} U + I$$



"complete"
Q-tableau





$$\begin{cases}
 U \mathcal{D} = \mathcal{D} U + I_v I_h \\
 U I_v = I_v U \\
 I_h \mathcal{D} = \mathcal{D} I_h \\
 I_h I_v = I_v I_h
 \end{cases}$$

"complete"
Q-tableau

$$\begin{array}{c} \varphi: R \longrightarrow L \\ \text{map} \end{array}$$

R = set of rewriting rules of the homogenous system associated to Q .

here 5 terms

L a set of "labels"
(for the cell of $[n] \times [n]$)

examples

$$L = \{ \square, \square \}$$

examples

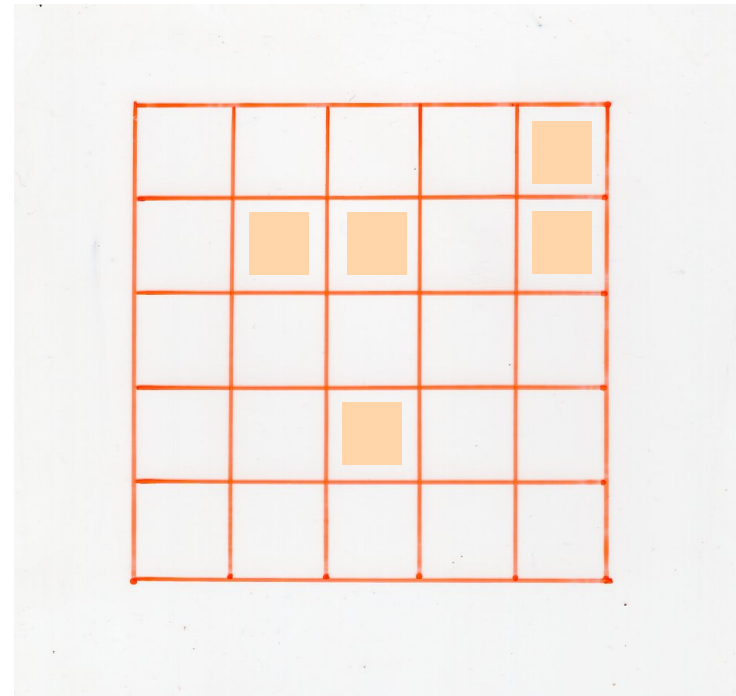
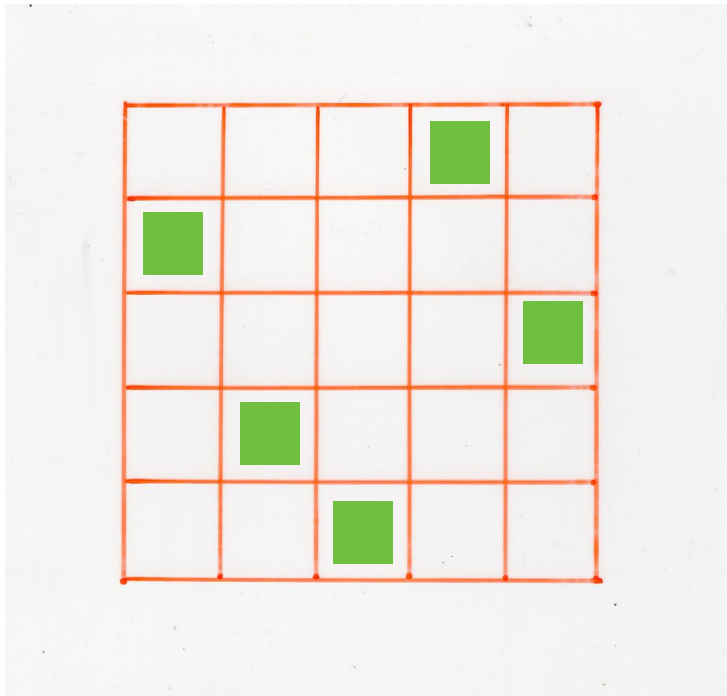
$$L = \{ \square, \square \}$$

$$\varphi: \begin{array}{l} UD \rightarrow DU, UI_v \rightarrow I_v U, \\ I_h D \rightarrow DI_h, I_h I_v \rightarrow I_v I_h \end{array} \rightarrow \begin{array}{c} \square \\ \text{empty} \\ \text{cell} \end{array}$$

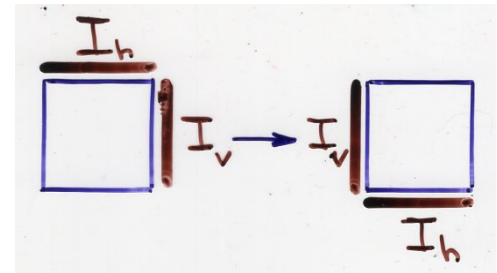
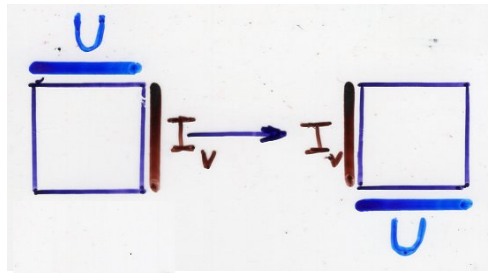
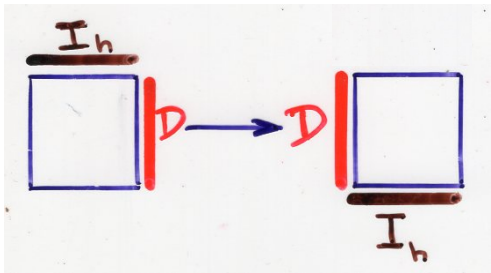
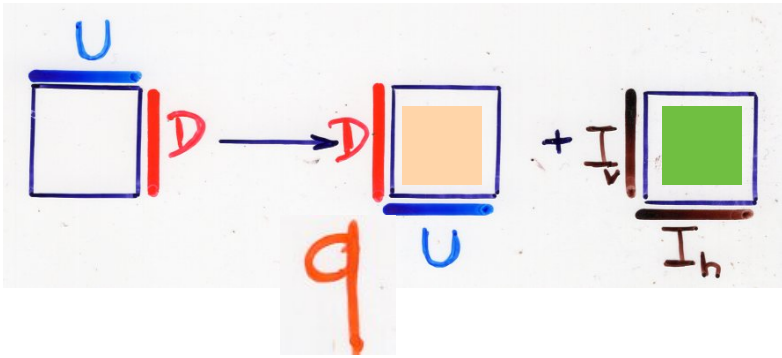
$$\varphi: \begin{array}{l} UD \rightarrow I_v I_h, UI_v \rightarrow I_v U, \\ I_h D \rightarrow DI_h, I_h I_v \rightarrow I_v I_h \end{array} \rightarrow \begin{array}{c} \square \\ \text{empty} \\ \text{cell} \end{array}$$

$$\varphi(UD \rightarrow I_v I_h) = \begin{array}{c} \square \\ \text{green} \end{array}$$

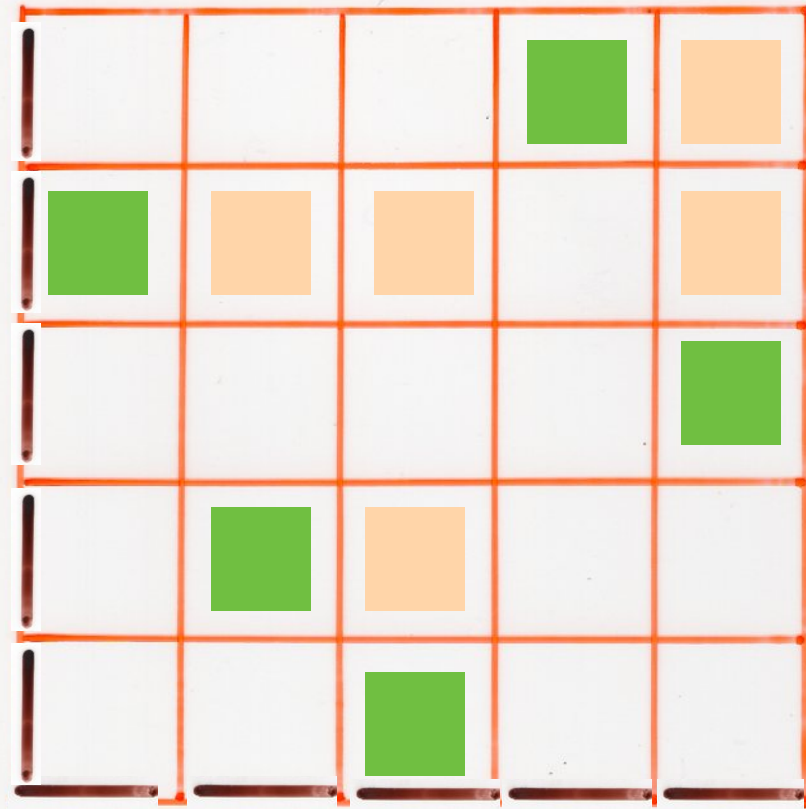
$$\varphi(UD \rightarrow DU) = \begin{array}{c} \square \\ \text{orange} \end{array}$$



$$\begin{cases}
 U \mathcal{D} = \mathcal{D} U + I_v I_h \\
 U I_v = I_v U \\
 I_h \mathcal{D} = \mathcal{D} I_h \\
 I_h I_v = I_v I_h
 \end{cases}$$



9



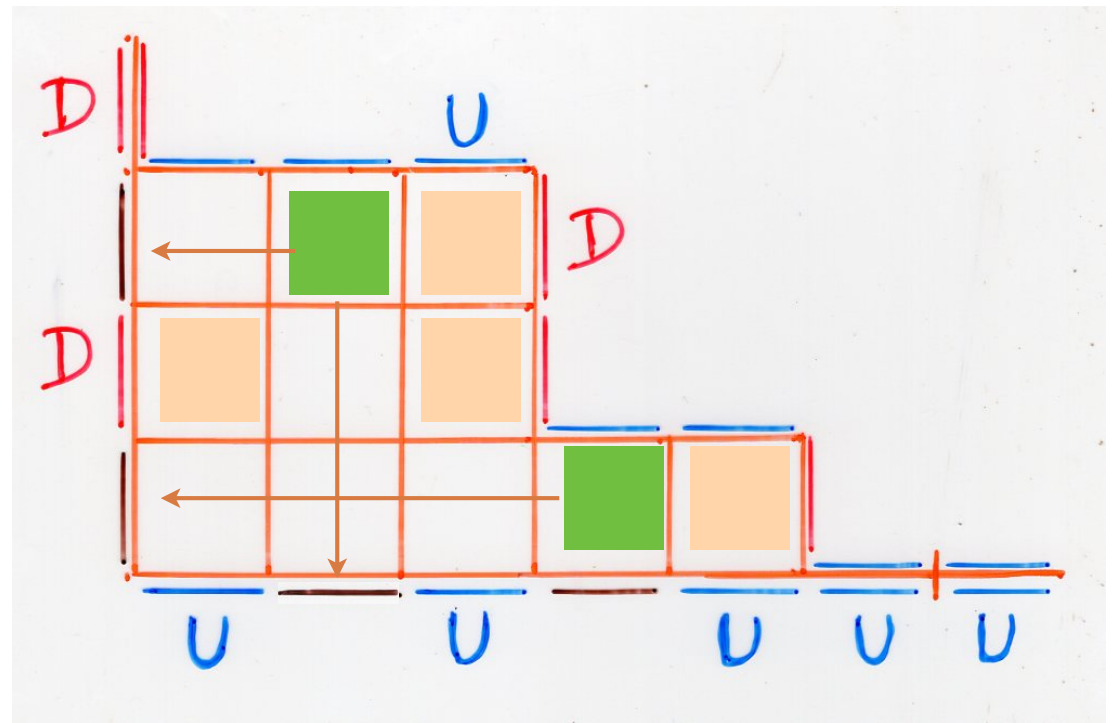
number of
inversions
of a permutation σ

$$w = D U^3 D^2 U^2 D U^2$$

$$w \rightarrow F = F(w)$$

F Ferrers diagram

Rooks placement

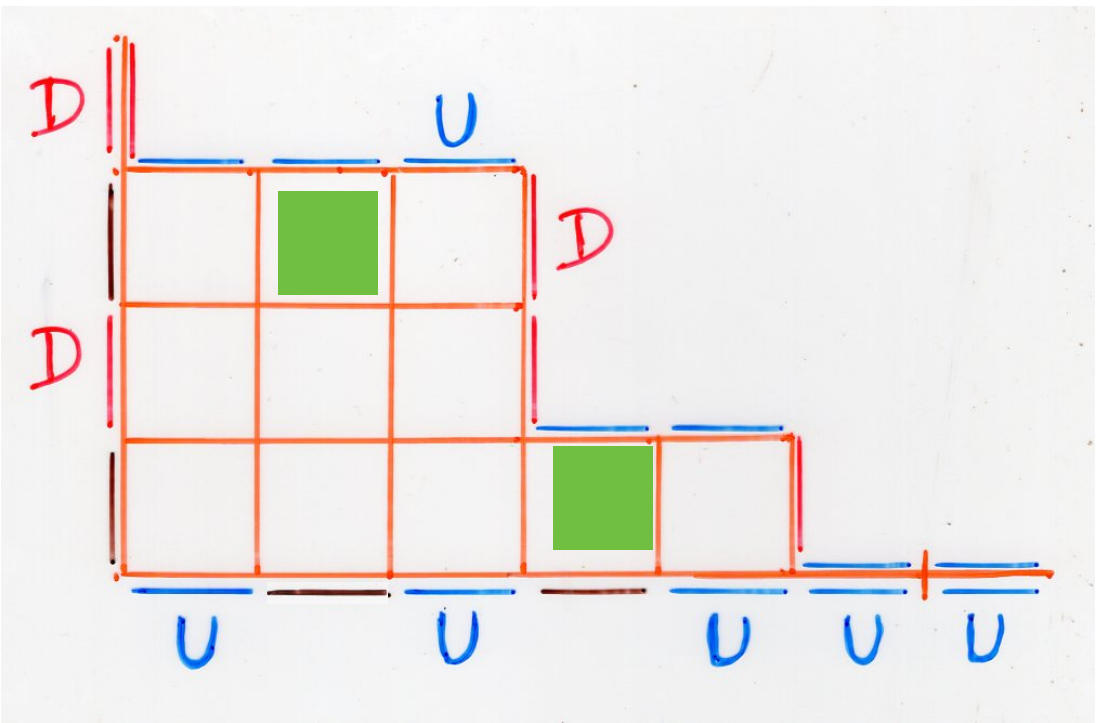


$$w = D U^3 D^2 U^2 D U^2$$

$$w \rightarrow F = F(w)$$

F Ferrers diagram

Rooks placement

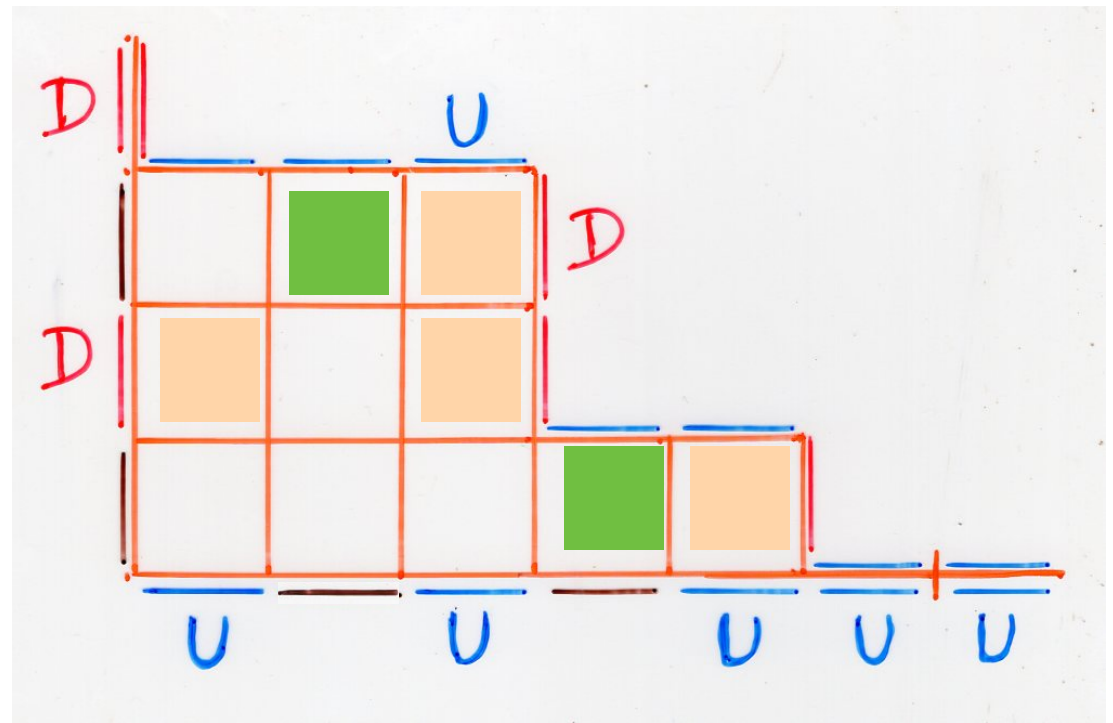


$$w = DU^3D^2U^2DU^2$$

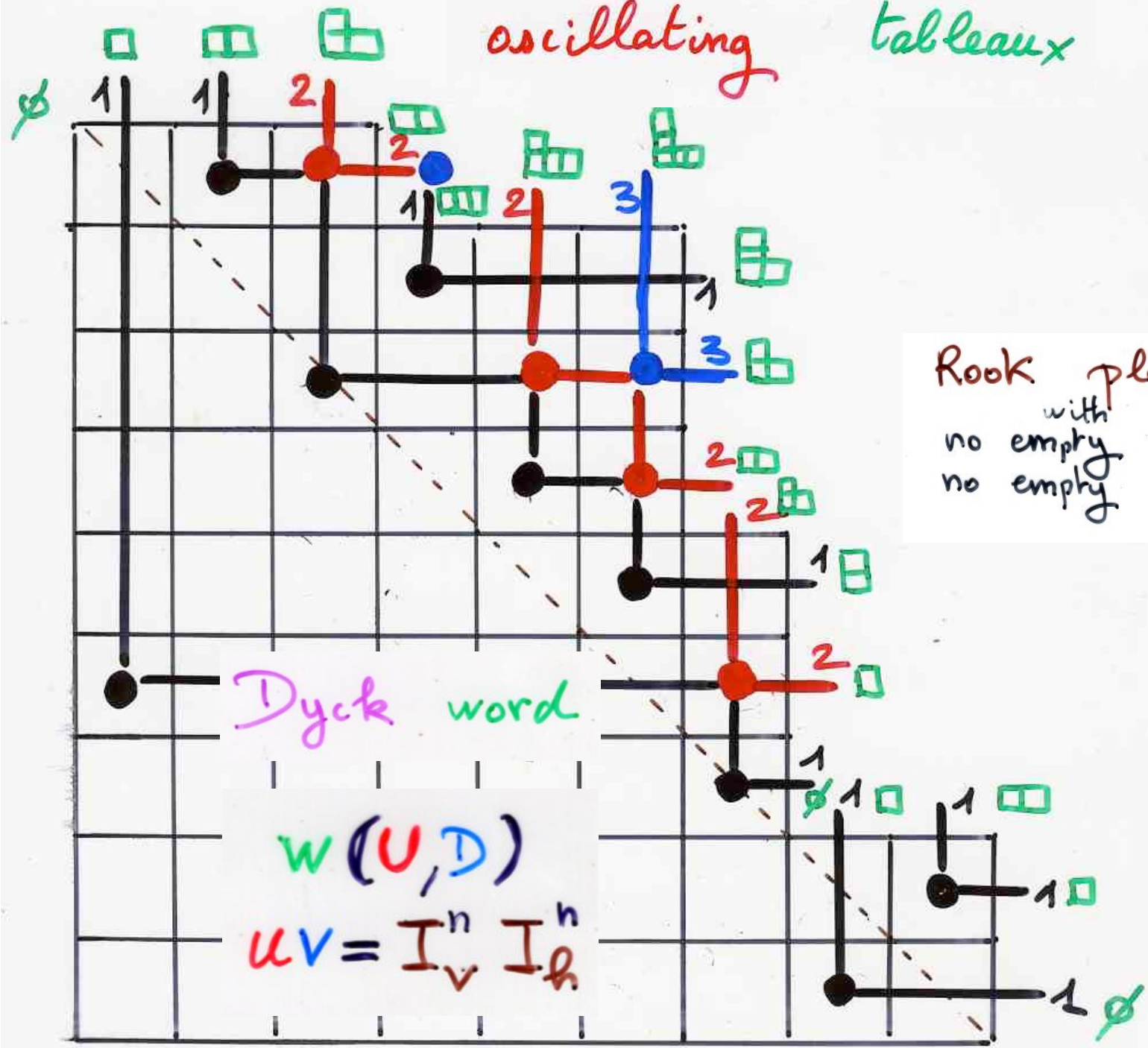
$$w \rightarrow F = F(w)$$

F Ferrers diagram

Rooks placement



oscillating tableaux



Rook placements
with
no empty row
no empty column

Dyck word

$w(U, D)$
 $uv = I_v^n I_u^n$

Q-tableaux: example 2

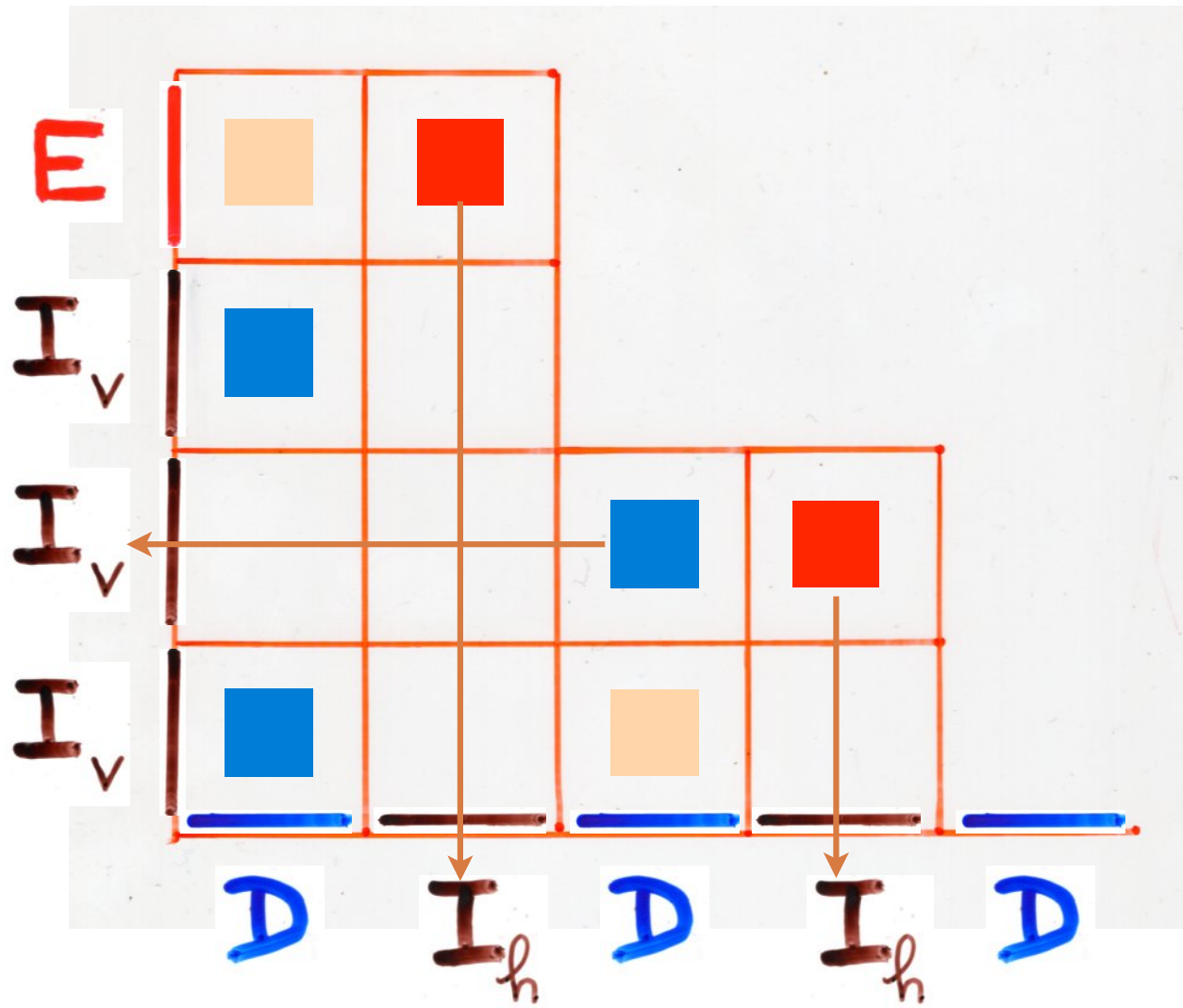
$$DE = qED + E + D$$

$$D E = q E D + E I_h + I_v D$$

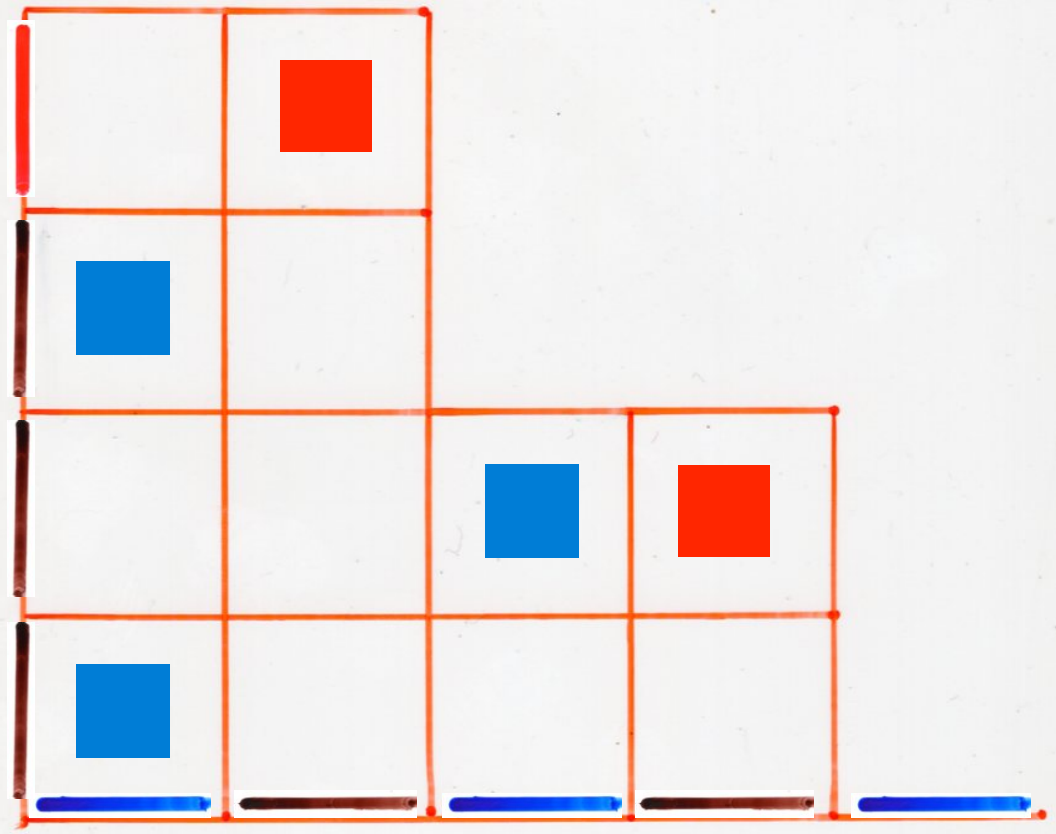
$$D I_v = I_v D$$

$$I_h E = E I_h$$

$$I_h I_v = I_v I_h$$



E



D

D

D

Q-tableaux: example 3

ASM

A, A', B, B' ,

commutations

$$\begin{cases} BA = AB + A'B' \\ B'A' = A'B' + AB \end{cases}$$

$$\begin{cases} B'A = AB' \\ BA' = A'B \end{cases}$$

$$w = B^n A^n$$

$$uv = A'^n B'^n$$

$c(u, v; w)$ = number of ASM $n \times n$

complete Q -tableau \longleftrightarrow ASM $n \times n$

$$Q(B, B', A, A')$$

$$uwb = B^n A^n$$

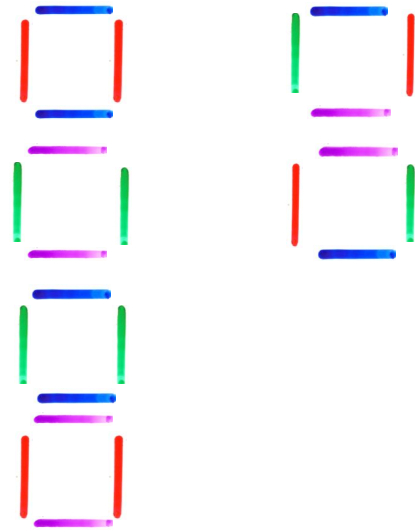
$$lwb = A'^n B'^n$$

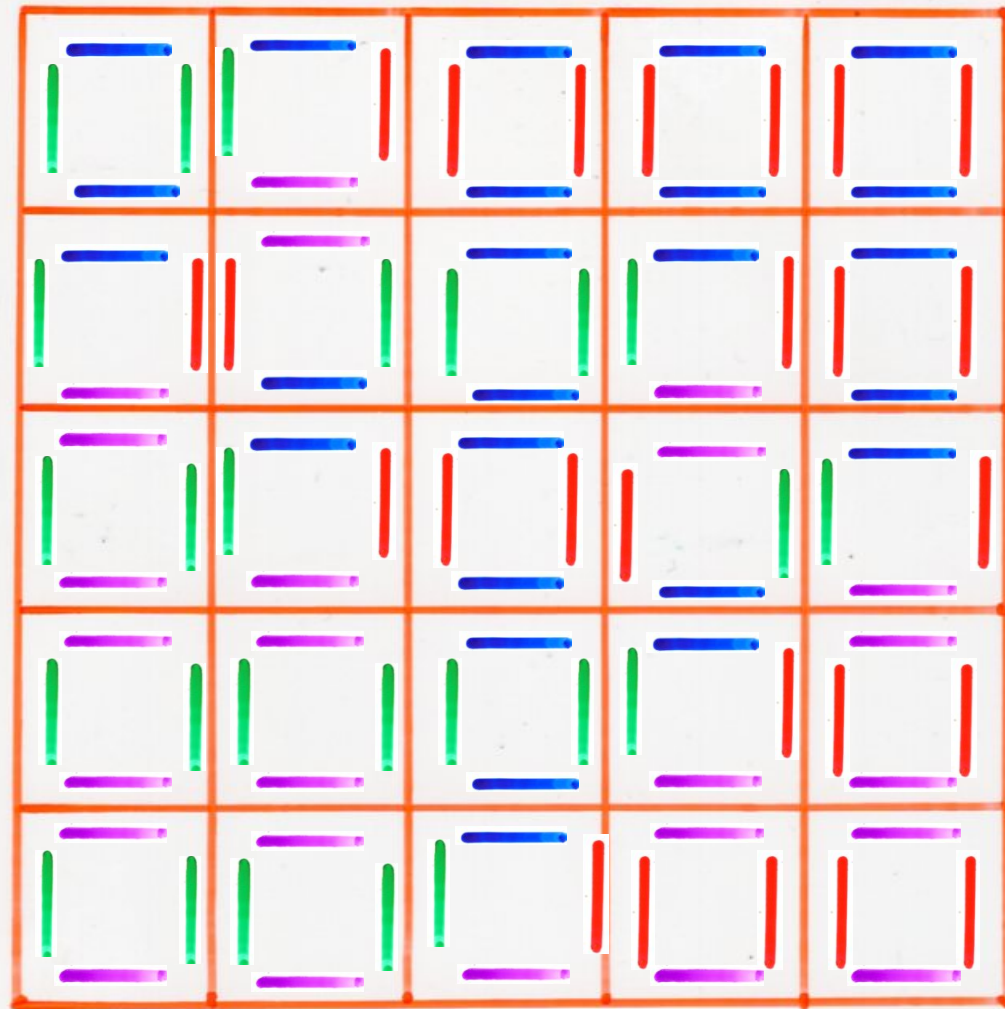
A, A', B, B'

commutations

$$\begin{cases} BA = AB + A'B' \\ B'A' = A'B' + AB \end{cases}$$

$$\begin{cases} B'A = AB' \\ BA' = A'B \end{cases}$$



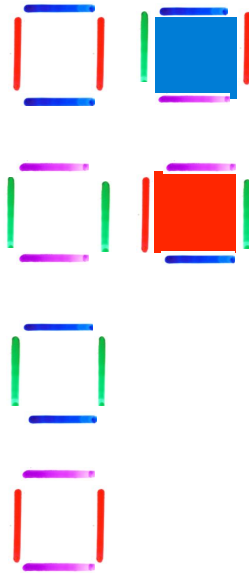


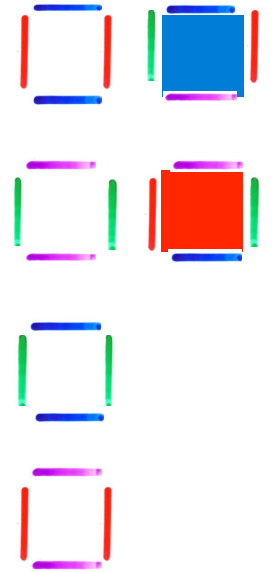
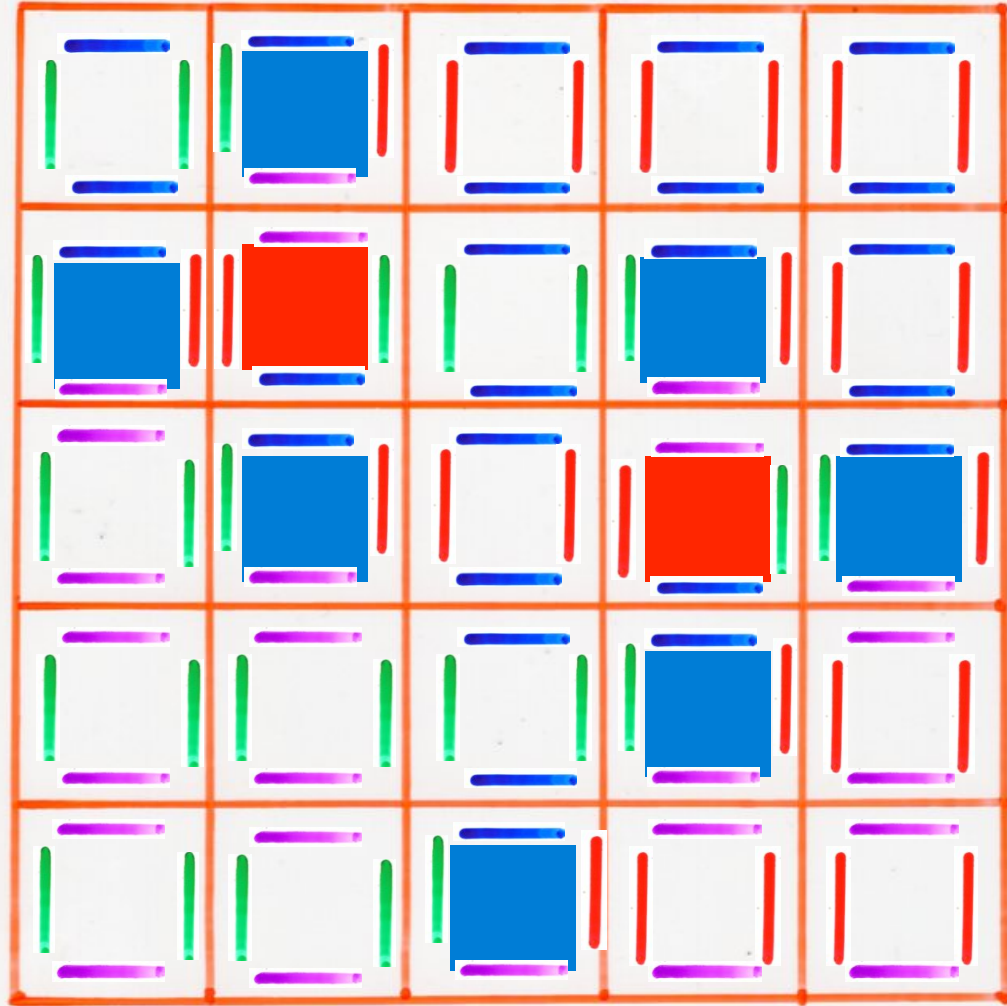
A, A', B, B'

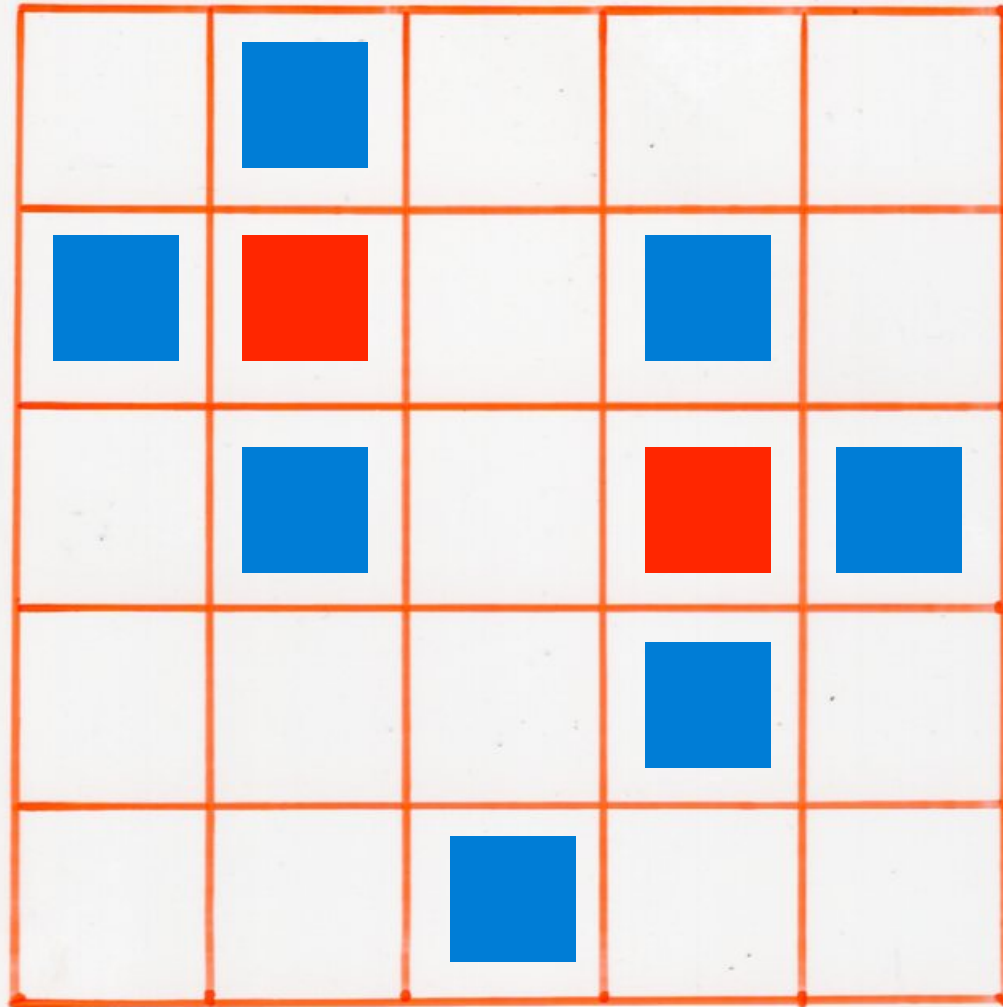
commutations

$$\begin{cases} BA = AB + A'B' \\ B'A' = A'B' + AB \end{cases}$$

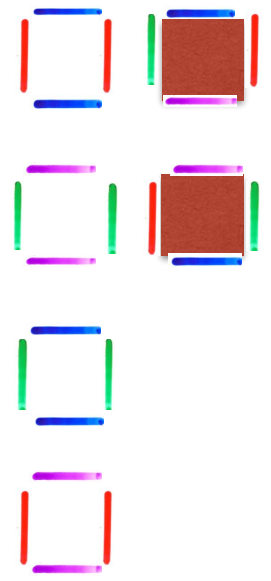
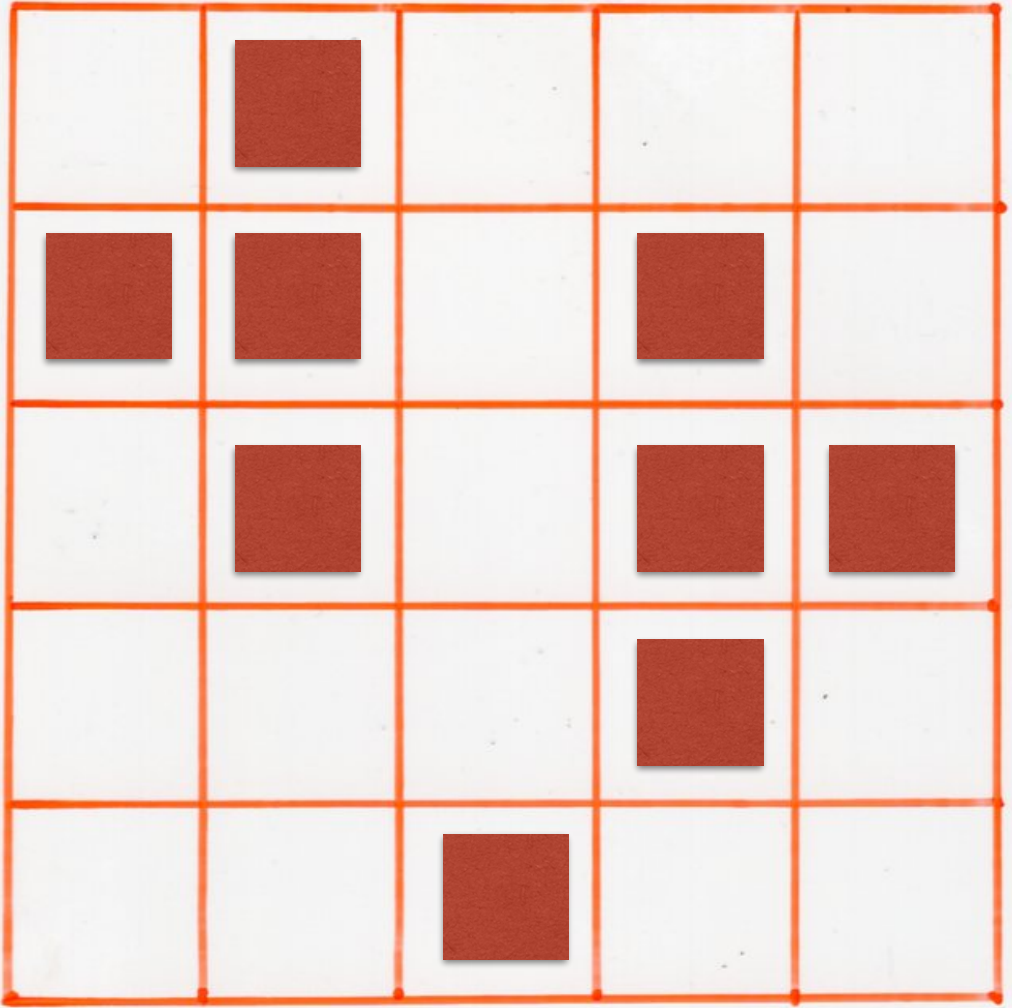
$$\begin{cases} B'A = AB' \\ BA' = A'B \end{cases}$$



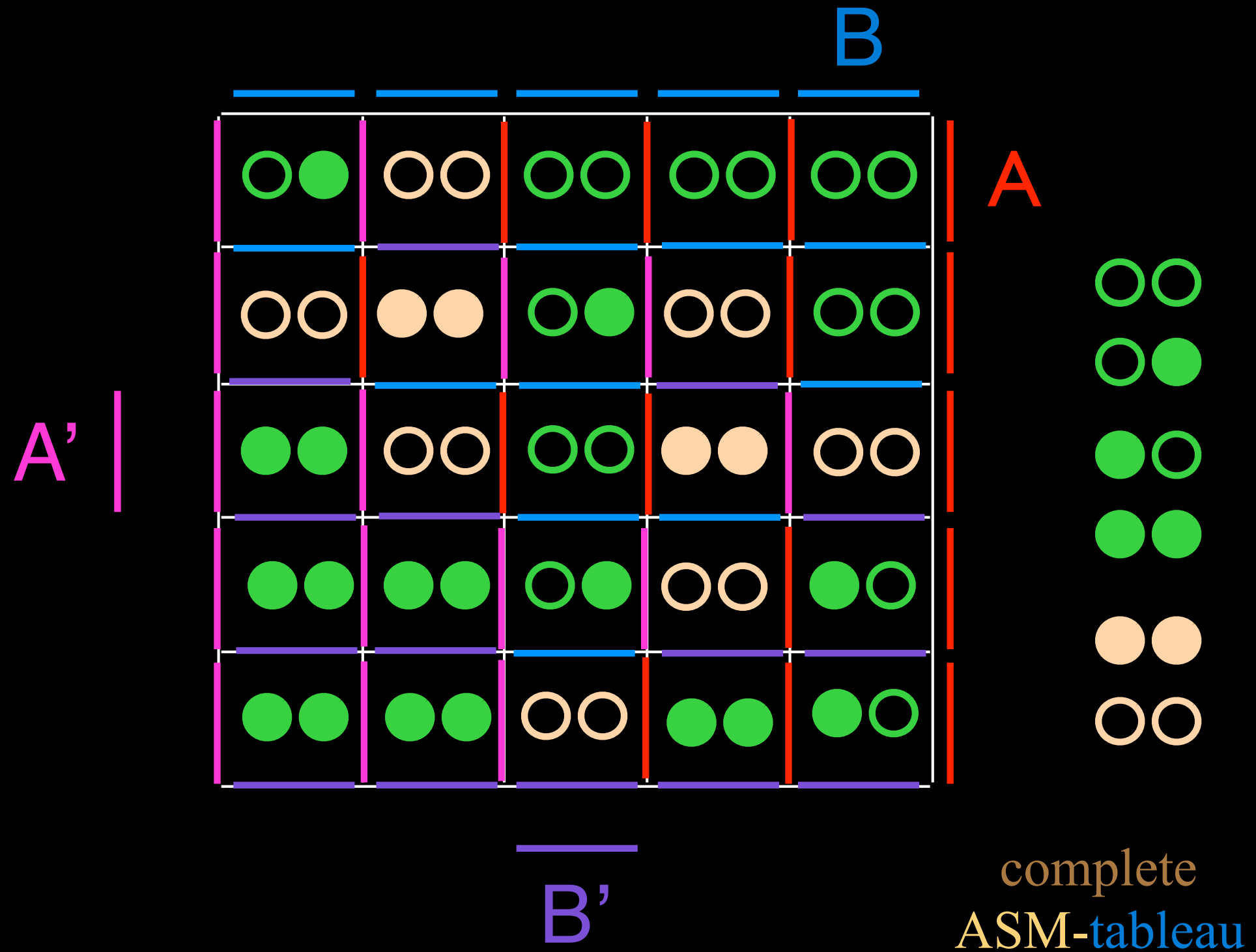


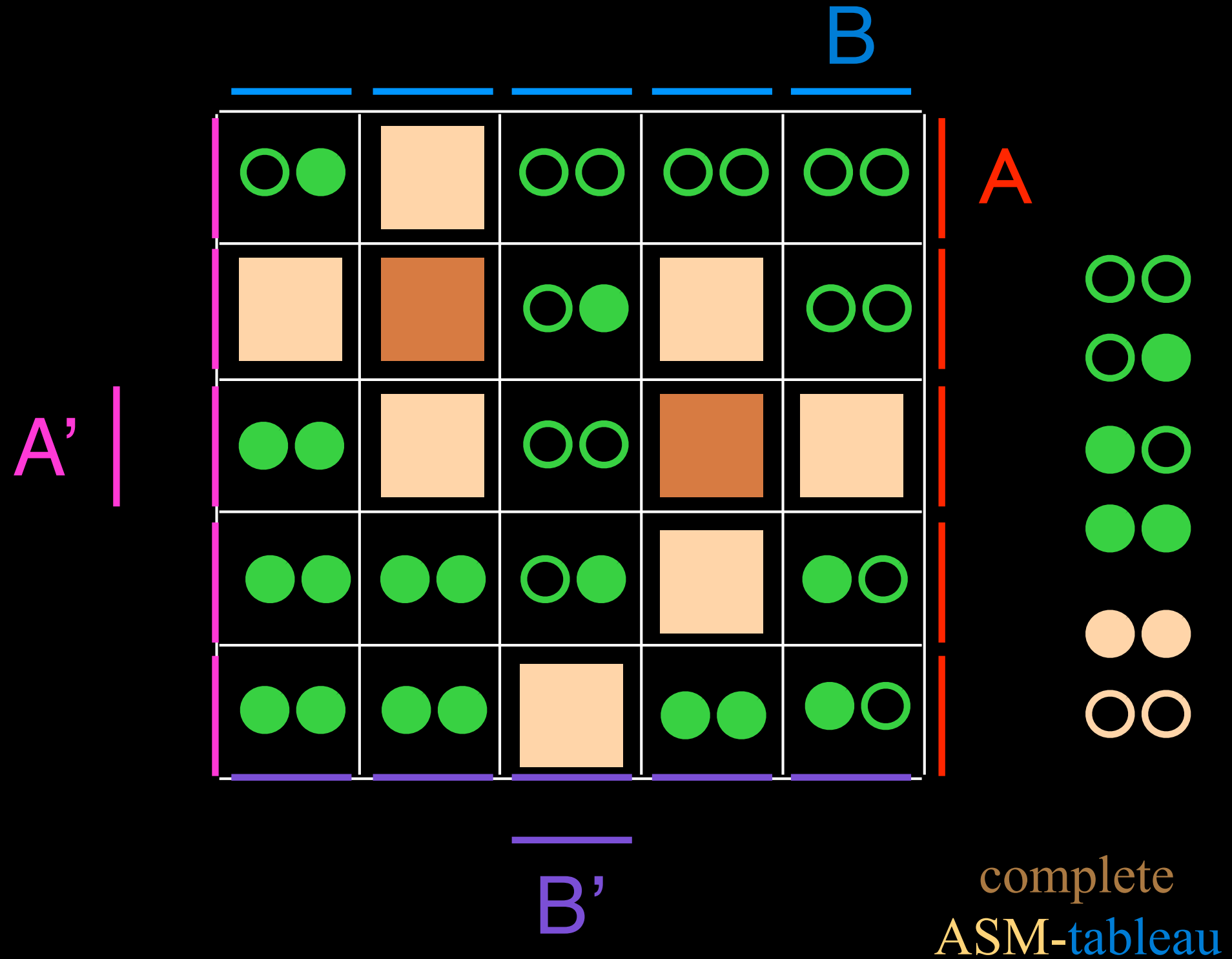


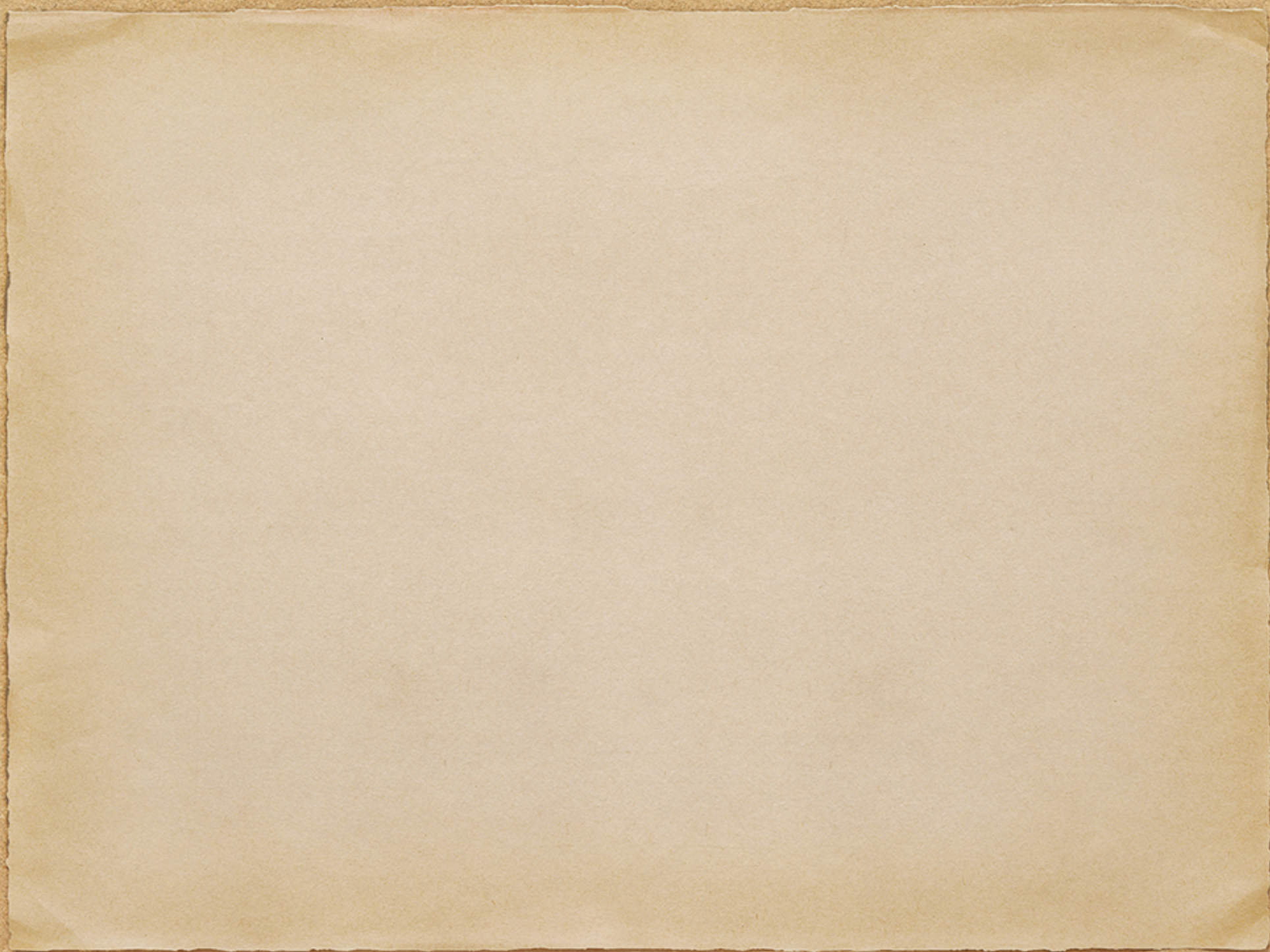
exercise



$$\begin{array}{cccc}
 B & A & = & q_{00} \\
 B' & A' & = & q_{01} \\
 B' & A & = & q_{10} \\
 B & A' & = & q_{11}
 \end{array}
 \begin{array}{cccc}
 A & B & + & q_{00} A' B' \\
 A' & B' & + & q_{01} A B \\
 A & B' & - & \\
 A' & B & - &
 \end{array}$$

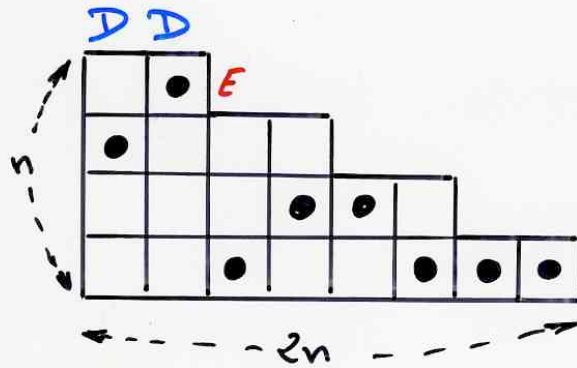






exercise

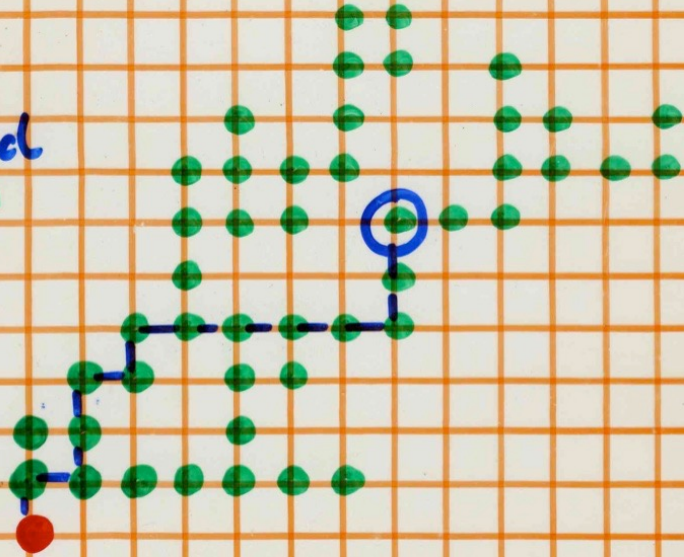
surjective pistol



Genocchi
numbers
 G_{2n+2}

Prove that such « tableaux » are Q -tableaux
for a certain quadratic algebra Q

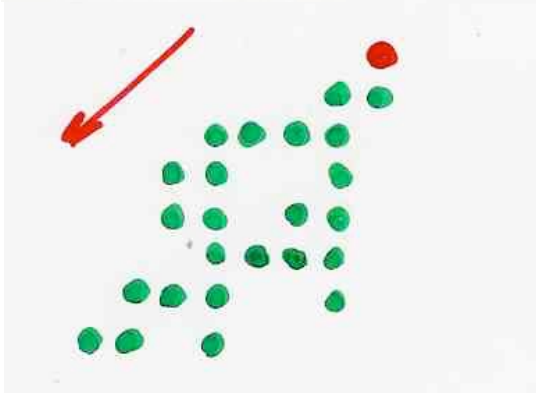
directed
animal



exercise

directed

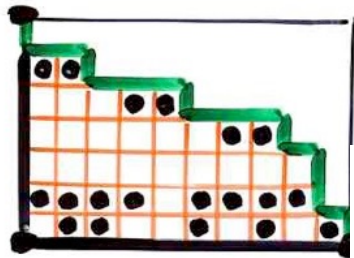
animal



Prove that such « tableaux » are Q -tableaux
for a certain quadratic algebra Q

exercise

Ferrers diagram $F \subseteq k \times (n-k)$
rectangle



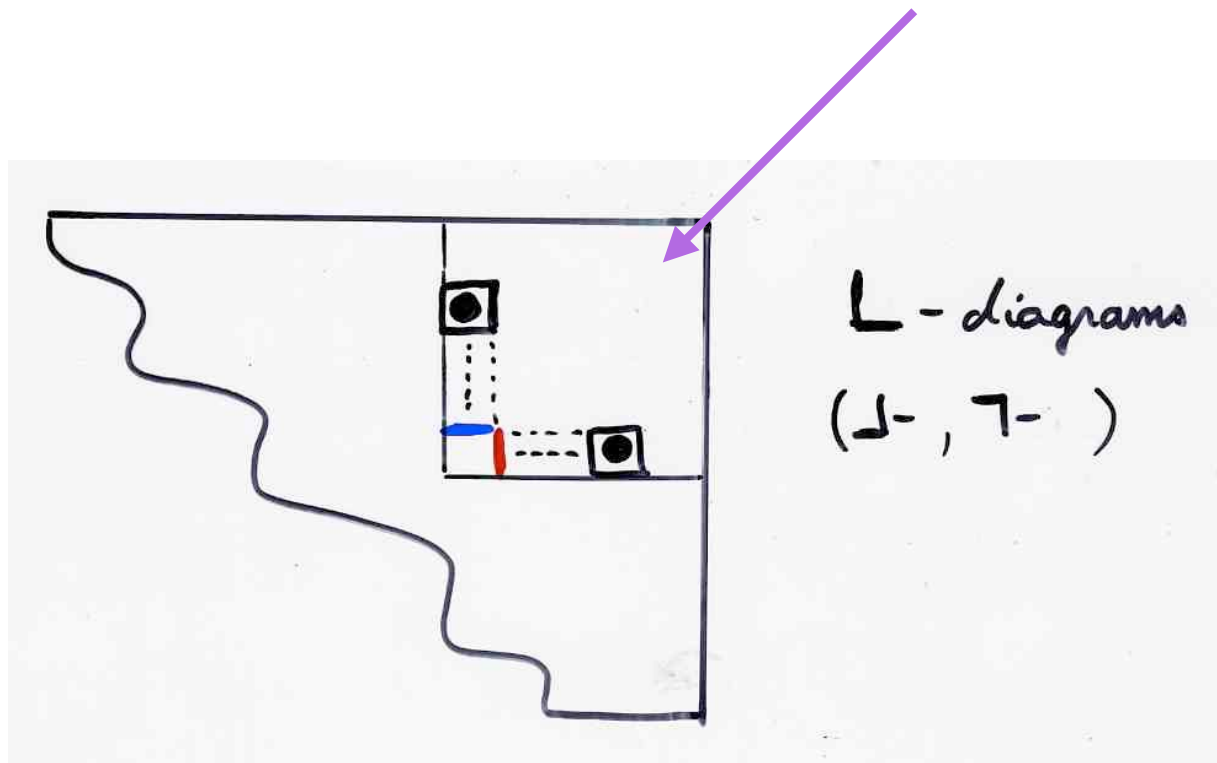
filling of the cells
with 0 and 1

$\square = 0$ $\blacksquare = 1$

(ii)  forbidden

J-diagram

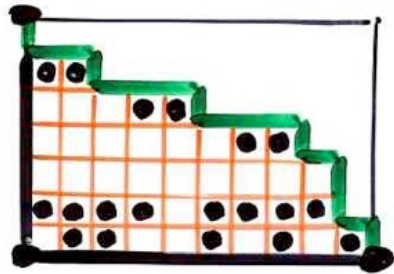
A. Postnikov (2001, ...)
totally nonnegative part of the Grassmannian
E. Steingrímsson, L. Williams (2005)



Prove that such « tableaux » are Q-tableaux
for a certain quadratic algebra Q

Permutation Tableau

Ferrers diagram $F \subseteq k \times (n-k)$
rectangle



filling of the cells
with 0 and 1

(i) in each column:
at least one 1

$\square = 0$ $\square \bullet = 1$

(ii) $\begin{array}{c} 1 \text{ --- } 0 \\ \quad \quad | \\ \quad \quad 1 \end{array}$ forbidden

permutation tableau

A. Postnikov (2001, ...)

totally nonnegative part of the Grassmannian

E. Steingrímsson, L. Williams (2005)

